

BOARD AND COMMITTEE MEETINGS SCHEDULE December 5-6, 2019 President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Thursday, December 5, 2019

11:00 - 11:45 a.m. Committee on Advancement

(Zucker (Chair), Brandon, Heavener, Powers, Rosenberg, Thomas)

11:50 a.m. - 12:40 p.m. Lunch

12:45 - 1:05 p.m. Speaker Designate Chris Sprowls

~1:10 - 2:10 p.m. Committee on Facilities and Capital Investments

(Hosseini (Chair), Brandon, Johnson, Kuntz, O'Keefe, Stern)

Break (~10 minutes)

~2:20 - 3:20 p.m. Committee on Finance, Strategic Planning and Performance Metrics

(Kuntz (Chair), Heavener, Hosseini, O'Keefe, Patel, Powers)

Break (~10 minutes)

~3:30 - 4:30 p.m. Committee on Governance, Government Relations and Internal Affairs

(Patel (Chair), Heavener, Hosseini, Kuntz, Rosenberg, Zucker)

6:00 - 8:00 p.m. Tour and Dinner at Fixel Institute for Neurological Diseases

(Trustees and Cabinet)

Friday, December 6, 2019

7:30 - 8:30 a.m. Breakfast

8:30 - 9:15 a.m. Committee on Audit and Compliance

(Powers (Chair), Brandon, Murphy, Patel, Stern, Thomas)

~9:15 - 10:00 a.m. Committee on Marketing, Public Relations and Strategic Communications

(Stern (Chair), Johnson, O'Keefe, Patel, Powers, Zucker)

Break (~10 minutes)

~10:10 - 11:10 a.m. <u>Committee on Academic, Faculty and Student Affairs & Experience</u>

(Rosenberg (Chair), Brandon, Johnson, Kuntz, Murphy, Thomas)

Break (~10 minutes)

~11:20 a.m. - 12:20 p.m. Board of Trustees' Meeting

(Full Board)

~12:20 - 1:20 p.m. Lunch



COMMITTEE ON ADVANCEMENT AGENDA

Thursday, December 5, 2019 11:00 a.m.

President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Committee Members:

Anita G. Zucker (Chair), David L. Brandon, James W. Heavener, Marsha D. Powers, Jason J. Rosenberg, Ray G. Thomas

1.0	Call to Order and WelcomeAnita G. Zu	ıcker, Chair
2.0	Verification of QuorumVice Presid	ent Liaison
3.0	Review and Approval of Minutes	ıcker, Chair
4.0	Discussion Items	n Co-Chairs vancement id Brandon
5.0	New BusinessAnita G. Zu	ıcker, Chair
6.0	Adjourn Anita G. Zu	ıcker, Chair



COMMITTEE ON ADVANCEMENT MEETING MINUTES

June 6, 2019

President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, Florida

Time Convened: 8:25 a.m. Time Adjourned: 8:47 a.m.

Committee and Board members present: Anita Zucker (Committee Chair), David L. Brandon, James W. Heavener, Mori Hosseini (Board Chair), Leonard H. Johnson, Thomas G. Kuntz (Board Vice Chair), Michael Murphy, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Jason J. Rosenberg, Robert G. Stern, Ray G. Thomas.

Others present:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Jodi Gentry, Vice President For Human Resources; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Mark Kaplan, Vice President for Government and Community Relations and University Secretary; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Senior Vice President for Health Affairs and President of UF Health, David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic Communications and Marketing; Curtis Reynolds, Vice President for Business Affairs; Scott Stricklin, Director of Athletics; other members of the University of Florida community, and other members of the public and the media.

1.0 Verification of Quorum

Vice President Tom Mitchell verified a quorum with all Committee members present.

2.0 Call to Order and Welcome

Committee Chair Zucker welcomed everyone in attendance and called the meeting to order at 8:25 a.m.

3.0 Review and Approval of Minutes

Committee Chair Zucker asked if there were any additions and/or corrections to the minutes of the Committee's meeting on March 28, 2019, and the pre-hearing conference calls held on April 25 and May 16, 2019. Hearing none, she asked for a motion for approval of the minutes, which was made by Trustee Heavener and seconded by Trustee Powers. The Committee Chair asked for further discussion, after which she asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

3/608

4.0 Discussion

Committee Chair Zucker thanked the committee members for their willingness to present at the meeting on a number of key discussion items and expressed her appreciation for their efforts. She then presented Trustee Patel with an incredible memory book from the Academy of Golden Gators celebration in March at which he received the Annual Volunteer of the Year Award.

Committee Chair Zucker proceeded to facilitate discussion around the following items:

4.1 Campaign Update (\$2B Target)

Committee Chair Zucker began by stating that numbers grow and change along the way and that trustees shouldn't be surprised if we see growth in the capital projects numbers, as many of our deans have capital projects to put on the table.

She reported that we have exceeded our campaign target for this year and are projecting that the campaign will be over \$2.1 billion by the end of this month. Congratulations to President Fuchs, Provost Glover, Tom Mitchell and everyone else who has worked to make this happen. We've reached 70% of our goal in 60% of our time, so we're tracking around \$300 million and 10 months, roughly speaking, ahead of schedule.

The endowment number is moving along as we had hoped, with 76% of the goal achieved. Cash into the endowment is tracking at 63% of the goal, and capital projects are tracking at 76% of the goal.

Our alumni participation is on schedule, and we continue to operate at a highly efficient and effective level, returning \$9 dollars for every \$1 dollar that is spent.

The news is very good on many fronts. A special thank you to our alumni and friends all over the world.

Committee Chair Zucker then called on Trustee Heavener to give a report on new gifts.

4.2 New Gifts (April – May – June)

Trustee Heavener stated that it was a privilege for him to be able to provide an update on the following new gifts that have been received since the last meeting:

- Ken and Linda McGurn \$15 million multi-unit allocation (Warrington College of Business, Florida Museum of Natural History and other undetermined areas)
- Kelley Bergstrom \$13.6 million to establish an applied research program within the Bergstrom Center of Real Estate
- Michael Lehtola \$12.5 million faculty and student support for College of Medicine
- Immunomic Therapeutics \$5 million support for brain tumor research program as a follow up to our recent ReMission Summit

Trustee Heavener reiterated that these are all new gifts and then turned it back over to Committee Chair Zucker who then called on former UFAA president Karen Unger to provide a report on alumni participation.

4.3 Alumni Participation Update

Former UFAA president Karen Unger expressed her delight in being invited to present on the alumni participation rate (APR) and noted the importance of the APR on our US News & World Report rankings.

As it relates to the Top 5 public universities for 2019, Karen reported that at 20%, the University of Virginia is #1 among this group in alumni participation, followed closely by Michigan and North Carolina. At 13%, Florida compares favorably among this group, and UCLA and Berkeley (the two top publics in the country) lag behind Florida in alumni participation. We have a great opportunity to grow the APR over the next 2-3 years.

We have activity targets we want to reach each year. That target is averaged over a two-year period and in the fall, US News & World Report publishes the average of those two years. We're confident that we'll reach our target for this year of 17%, so therefore our published rate will be 14%. When we started this initiative, our US News & World Report published average was 12%. The goal is to get over 19% by the end of the campaign, which would place us among the very top public universities.

Two initiatives that have helped us raise our APR are Giving Day and My Gift. Our Legacy. At your last meeting, Trustee Rosenberg briefed the board on the results of Stand Up & Holler: Gator Nation Giving Day. This was an amazing partnership involving many people that provided a huge lift this fiscal year and helped us move closer to a 17% alumni participation rate.

Most recently, we launched our second annual My Gift. Our Legacy. student philanthropy program. Once again, we had an overwhelming response, which resulted in a significant increase over last year. Graduating students are solicited to make a \$10 gift to the University of Florida at the time they purchase their regalia in celebration of their graduation and UF's rise to a top 10 public university. Students who opt-in to participate in My Gift. Our Legacy. receive a legacy medallion to wear during commencement as a token of appreciation. This year (fiscal year 2019) we had just over 15,000 graduates and over 5,500 signed up to make a contribution, which equates to a 36% participation rate.

Furthermore, Trustee Heavener was this year's commencement speaker for the Warrington College of Business. As part of UF's focus on student philanthropy and building a culture of giving, Trustee Heavener generously gifted a one-year UF Alumni Association Annual Membership to all spring 2019 graduates from the Warrington College of Business. Graduates received information about their gifted membership at commencement and in a series of emails following

commencement. Nearly 30% of the graduating class chose to activate their membership and will continue to remain connected to the university through the Alumni Association. It's clear that Trustee Heavener is making a difference.

Karen closed by acknowledging Board Chair Mori Hosseini for the challenge he issued at the recent College of Law commencement ceremony. If all 325 students who received their JD pass the bar exam on their first try, Chair Hosseini would contribute \$325,000 (\$1,000 per student) to scholarships in their names, and he would raise an additional \$325,000 from donors to match his funds for a total of \$650,000 for the scholarship program.

President Fuchs noted that we have wrestled for years and years to get a handle on the APR, and we have done it. He expressed his gratitude for the phenomenal success we've achieved. He also noted that if the Trustees keep doing these commencement speeches, they will stop asking him to present at commencement ceremonies.

Chair Hosseini thanked Karen Unger for all her efforts in helping Provost Glover to raise the APR. Karen replied that she was grateful for the opportunity and that one of the things that helped us move the APR was the strong national media presence that we had on Gator Giving Day.

Committee Chair Zucker commented that she has seen the My Gift. Our Legacy medallions, and they are quite beautiful. She thanked Karen for taking time to join us and introduced Trustee Brandon to report on endowments.

4.4 Endowments (State – SEC – Nation)

Trustee Brandon provided an update on endowments at other universities. He noted that while it's our goal to add \$1 billion to our endowment, capital projects are punitive to our endowment.

As it relates to the Top Publics, the peers we're chasing are all Top 5 schools, and at #7, UF is not that far out of the group. A \$1 billion goal will get us to where we want to be. Our endowment needs to continue to push up in order to provide adequate funding for students, etc.

In looking at the SEC Schools, UF ranks 3rd behind Vanderbilt and Texas A&M, but there's a significant drop off within this group, as it relates to their respective endowments. If you remove Vandy (a private institution) from the mix, our current campaign goals should get us to \$2.5 billion, so we're in really good shape.

As it relates to the Florida Publics, UF leads the pack, but this is not a race we compete in. It really just shows the hard work we're doing at UF in comparison to the other state institutions.

In comparison to a sample of the state's private institutions, UF clearly leads with the largest endowment. So in summary, UF leads the state of Florida, both public and private; is among the top 3 SEC schools; and is not far out from Top 5 as it relates to the top publics, which is our real focus.

This really sets the tone for the future of our professors, deans, administrators, etc., so a tip of the hat to Anita, President Fuchs, Tom's group and everyone who has contributed to this effort.

Committee Chair Zucker thanked Trustee Brandon and introduced Trustee Powers.

4.5 FY20 Committee Work Plan

Trustee Powers stated that she was excited to report on the BOT Advancement Committee draft work plan for fiscal year 2020. She shared an overview of the topics that were discussed at previous meetings and the topics that were being discussed today. She noted that it was very important that we get everyone's input and assistance on future topics for discussion.

There are four Board of Trustees meetings scheduled in fiscal year 2020, beginning with a retreat in September. If there is a committee meeting in September, we have already identified some suggested topics we'd like to focus on such as One UF Advancement Strategy and Structure; International Fundraising Strategy; Public/Private Partnerships; and Namings/Memorials Policy.

In the event we move to a full retreat in September, some of these items would shift to the December agenda. In December, we'd also like to discuss the state, SEC and national fundraising rankings, along with our funding model for Advancement.

In March, we'll give everyone an update on our campaign theme "Your Environment" and the environmental summit we're planning in Miami in late February, along with an update on our thoughts regarding Go Greater "Next."

If we have a committee meeting in June, we thought we might review our fiscal year 2021 goals and unveil our campaign theme for the year "Innovation."

Once again, these are just concepts and ideas for a proposed work plan, and this dynamic agenda will shift as our needs change; we just wanted to let everyone know that we're already thinking about fiscal year 2020 and how we can move forward. Trustee Powers closed by sharing that this was a great committee to serve on and that she is impressed with Committee Chair Zucker who is a phenomenal partner.

Committee Chair Zucker thanked Trustee Powers for her proactive thinking and willingness to look down the road and around the corner for things we should be talking about. She then called on Trustee Patel to talk about our namings and memorials policy.

4.6 Namings/Memorials

Trustee Patel stated that as there would be a presentation at the Governance Committee later in the day, he would like to defer the discussion on namings and memorials until that time.

Committee Chair Zucker agreed with Trustee Patel's request and noted that there are lots of thoughts around namings and memorials and it's a subject that's critically important to the university.

5.0 New Business

Committee Chair Zucker expressed her thanks to the trustees for their outstanding reports to date and congratulated university leaders and Advancement staff for the very good work they continue to do to advance the Go Greater campaign and provide critical resources to advance the University of Florida. She noted that if there is no further business, she'd like to close the meeting.

Trustee Patel spoke up and thanked Committee Chair Zucker for the great job she has done with a number of initiatives. He went on to announce that Committee Chair Zucker will be receiving the Ernst & Young Lifetime Achievement Award, which is a very big deal. She is the first nominee from South Carolina and the first woman in the southeast to receive this prestigious award.

Committee Chair Zucker thanked Trustee Patel for announcing this and said she was humbled and honored by the award.

6.0 Adjournment

There being no further discussion, Committee Chair Zucker expressed her thanks and adjourned the meeting at 8:47 a.m.



COMMITTEE ON ADVANCEMENT
MEETING MINUTES
Telephone Conference Call
Thursday, October 17, 2019
123 Tigert Hall Conference Room
University of Florida, Gainesville, FL
Time Convened: 4:05 p.m.

Time Adjourned: 4:16 p.m.

Committee Members Present:

Anita Zucker (Chair), David L. Brandon, James W. Heavener, Ray G. Thomas

Others present were:

Thomas Mitchell, Vice President for Advancement, Trustee Leonard Johnson, Trustee Rob Stern, and other members of the University community.

1.0 Call to Order and Welcome

Committee Chair Zucker welcomed everyone in attendance and called the meeting to order at 4:05 p.m.

2.0 Roll Call

Board Staff conducted a roll call of all Committee and Board members present.

3.0 Review Agenda for December 5 Meeting

Committee Chair Zucker reminded the Committee that the purpose of the conference call was to discuss the upcoming Committee Agenda for the December Board of Trustees' meeting.

She began by indicating that there will be minutes to review and approve from the June, October and November meetings.

She then asked if there were any questions or concerns on the draft Agenda. Hearing none, she turned the Committee's attention to the Agenda discussion items which include: Campaign Update, Timeline/Capstone Ideas, and National/SEC/State Data.

Campaign Update (\$3B Target) – Vice President Mitchell indicated that Committee Chair Zucker would provide a campaign report at the December meeting. The report will include that the Campaign is a year ahead of schedule and only \$700M short of the goal at this time. Advancement is counting down to the Campaign close of June 2021 with a Campaign celebration in September 2021. Additionally, major gifts made during the last three months will be highlighted at the December meeting.

Timeline/Capstone Ideas – Vice President Mitchell indicated that information will be shared at the December meeting about Advancement's discussions with Deans and Directors relating to timelines and capstone ideas to finish out the campaign.

National/SEC/State Data – Vice President Mitchell indicated they have prepared a report that shows how UF compares with the top public universities in the state, SEC, and nation, which will be discussed at the December meeting.

4.0 New Business

Vice President Mitchell indicated an update will be shared at the December GGRIA meeting on the Naming policy. Vice President Mitchell also asked if there was any additional information that should be provided to the Board. The Board would like to know the number of university capital projects and how many have private gifts in them.

5.0 Adjournment

There being no further discussion, Committee Chair Zucker expressed her thanks and adjourned the meeting at 4:16 p.m.



COMMITTEE ON ADVANCEMENT MEETING MINUTES Telephone Conference Call

Wednesday, November 20, 2019
123 Tigert Hall Conference Room
University of Florida, Gainesville, FL

Time Convened: 4:02 p.m. Time Adjourned: 4:12 p.m.

Committee Members Present:

Anita Zucker (Chair), David L. Brandon, James W. Heavener, Jason Rosenberg and Ray G. Thomas

Others present were:

Trustee Rob Stern, Vice President for Advancement Tom Mitchell and other members of the University community.

1.0 Call to Order and Welcome

Committee Chair Zucker welcomed everyone in attendance and called the meeting to order at 4:02 p.m.

2.0 Roll Call

Board Staff conducted a roll call of all Committee and Board members present.

3.0 Review Agenda for December 5 Meeting

Committee Chair Zucker thanked everyone for joining the call and reminded the Committee that the purpose of the conference call was to discuss the upcoming Committee Agenda for the December Board of Trustees' meeting. She noted that today's meeting would be a discussion only and no votes would be taken.

She began by indicating that there will be minutes to review and approve from the June, October and November meetings.

4.0 Action Items

There were no action items.

5.0 Discussion Items

Committee Chair Zucker asked if there were any questions or concerns on the draft Agenda. Hearing none, she turned the Committee's attention to the Agenda discussion items which include: Campaign Update, New Gifts, Draft Timeline/Capstone Ideas and State/SEC/National Data.

- **5.1 Campaign Update** Committee Chair Zucker indicated that campaign co-chairs Ken and Linda McGurn would be presenting the campaign update, which is included in the draft presentation on the board portal.
- **5.2** New Gifts Committee Chair Zucker indicated that Vice President Mitchell would be presenting on new gifts.

Vice President Mitchell shared that he will be talking about the Shriners gift, a recent gift from Committee Chair Zucker that we're very excited about, a new naming gift from Trustee Heavener and a gift to support law scholarships from Chairman Hosseini. These are all gifts that have been finalized since we last met as a group of trustees, and we're pleased, proud and thankful that many of our trustees continue to be so generous.

- **5.3 Draft Timeline/Capstone Ideas** Committee Chair Zucker will be presenting on the draft campaign timeline, which is available on the board portal, and Trustee Brandon will present on the capstone ideas. Vice President Mitchell noted that he has already prepared draft speaking points to go along with the slides, and he will send the respective slides and draft speaking points to the presenters as a follow up to today's call.
- 5.4 State/SEC/National Data Committee Chair Zucker asked if we were still waiting on data from our state universities. Vice President Mitchell indicated that the state universities are a little slower in responding, but we think we'll have most, if not all, of their data in the next week or so. He noted that we do have all the data for the SEC and top public universities. We're #1 in the state of Florida, #1 in the SEC and maybe #7 or #8 in the top public fundraising institutions in the country, depending upon where Michigan's numbers come in. Overall, the data looks very favorable for us.

6.0 New Business

Committee Chair Zucker asked if there were any questions about the main discussion items or any new business to bring up.

Vice President Mitchell responded that we may want to share that the university is asking us to think about more support for the Machen Florida Opportunity Scholars program in the next couple of years. We currently fund about 300 students each year. They'd like for us to think about another 50 to start with and then move to another 100 in the next few years. One of the big priority items we'll have in the last two years of the campaign will be our efforts to raise more funds for this program. He pointed out that Trustee Rosenberg was one of the first supporters, and he's very supportive of that program.

Trustee Rosenberg shared that the people who have seen these young people and what they've been able to do have felt tremendously inspired. He noted that it's easy to raise money for this program, and he's happy to help. Everyone can get behind it.

Committee Chair Zucker noted that she has left legacy dollars in her estate for the MFOS program.

Vice President Mitchell reported that we're working with all of our colleges to be sure each one has a big win in the campaign, and we're narrowing our focus to only those projects that must get done between now and the next 21 months. A list will be ready to go by the time we get ready for our Board of Trustees meeting.

7.0 Adjournment

There being no further discussion, Committee Chair Zucker expressed her thanks and adjourned the meeting at 4:12 p.m.

Florida State University

Florida A&M University

Florida Atlantic University

Florida Gulf Coast University

Florida International University

Florida Polytechnic University

New College of Florida

University of Central Florida

University of North Florida

University of South Florida

University of West Florida

FLORIDA

FAMU

Fau

FLORIDA ATLANTIC UNIVERSITY

FLORIDA

POLYTECHNIC

UNIVERSITY OF CENTRAL FLORIDA

UNIVERSITY OF SOUTH FLORIDA

UNF UNIVERSITY of NORTH FLORIDA.

UNIVERSITY of WEST FLORIDA

FLORIDA INTERNATIONAL UNIVERSITY

				HANDOOLI		
	INSTITUTION	FUNDRAISING	CAMPAIGN Goal/Length	FY19	ALUMNI PARTICIPATION RATE	ENDOWMENT
F	University of Florida	\$526M	\$3B -8 years (2014-2022)	\$2.18B	17%	\$1.8B

\$141M

\$111M

PENDING

\$500M - 8 years (2011-2019)

\$1B - 12 years (2006-2018)

14/608

\$532M

\$1.1B

3%

12%

HANDOUT 1

\$163M

\$514M

12

SEC Comparable Data HANDOUT 2						
	INSTITUTION	FUNDRAISING	CAMPAIGN Goal/Length	FY19	ALUMNI PARTICIPATION RATE	ENDOWMENT
F	University of Florida	\$526M	\$3B -8 years (2014-2022)	\$2.18B	17%	\$1.8B
ĀM	Texas A&M University	\$436M	\$4B – 8 years (2012-2020)	\$3.5B	12%	\$1.9B
LSU	Louisiana State University	\$244M	\$1.5B – 9 years (2016-2025)	\$765M	11%	\$479M
G	University of Georgia	\$224M	\$1.2B - 7 years (2013-2020)	\$1.3B	13%	\$1.25B
W	University of Kentucky	\$212M	\$2.1B – 8 years (2013-2021)	\$1.25B	11%	\$1.5B
MISSOURI	University of Missouri	\$200M	\$1.3B – 7 years (2013-2020)	\$1.3B	14%	\$1.1B
A	University of Alabama	\$199M	\$1.3B – 10 years (2016-2026)	\$520M	18%	\$955M
	University of South Carolina	\$186M	\$1B – 8 years (2007-2015)	Planning Next	12%	\$824M
	University of Tennessee	\$174M	\$1.1B – 8 years (2012-2020)	\$1.2B	11%	\$642M
UNIVERSITY OF ARKANSAS	University of Arkansas	\$163M	\$1.25B – 8 years (2012-2020)	\$1.1B	15%	\$1.2B
	Auburn University	\$141M	\$1.2B – 9 years (2008-2017)	Planning Next	13%	\$794M

\$1B - 10 years (2010-2020)

\$1B - 10 years (2016-2026)

15/608

\$969M

\$700M

\$525M

\$735M ₁₃

18%

9%

ISTATE

ObMiss

Mississippi State University

University of Mississippi

\$113M

\$100M

University of Virginia

University of Washington

University of California,

Los Angeles

University of California,

Berkeley

Ohio State University

University of North Carolina

University of Florida

University Virginia

\$850M

\$822M

\$798M

\$635M

\$623M

\$602M

\$526M

HANDOUT 3

ENDOWMENT

\$6.9B

\$3.6B

\$4.6B

\$4.4B

\$5.3B

\$3.3B

\$1.8B

ALUMNI

PARTICIPATION RATE

21%

12%

9%

12%

16%

18%

17%

FY19

\$2.6B

\$5.61B **《**

\$5B 🍑

\$2.7B

\$1.6B

\$2.8B

\$2.18B

CAMPAIGN

Goal/Length

\$5B - 8 years (2017-2025)

\$5B - 10 years (2010-2020)

\$4.2B - 7 years (2012-2019)

\$5B - 10 years (2014-2024)

\$4.5B - 8 years (2016-2024)

\$4.25B - 7 years (2015-2022)

\$3B -8% (2014-2022)

June 30, 2019



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS AGENDA

Thursday, December 5, 2019

Beginning at ~1:10 p.m.

President's Room 215B, Emerson Alumni Hall
University of Florida, Gainesville, FL

Committee on Facilities and Capital Investments Members:

Morteza Hosseini (Chair), David L. Brandon, Leonard H. Johnson, Thomas G. Kuntz, Daniel T. O'Keefe, Robert G. Stern Call to Order and Welcome Morteza Hosseini, Chair 1.0 2.0 Review and Approval of Minutes...... Morteza Hosseini, Chair 3.0 September 6, 2019 November 4, 2019 Housing Master Plan Presentation Charlie Lane, Senior Vice President/COO 4.0 5.0 Action Items Morteza Hosseini, Chair UF Housing Proposed Fees FY 2020-21......Charlie Lane Dining Services Proposed Fees FY 2020-21 Curtis Reynolds, Vice President FCI2 for Business Affairs FCI3 Transportation and Parking Proposed Fees FY 2020-21....... Curtis Reynolds FCI4 Naming: Sam Tripson Memorial Porch Tom Mitchell, Vice President for Advancement FCI7 Naming: The Malachowsky Data Science & Information Naming: Billy Donovan Court, Exactech Arena at the Stephen C. O'Connell Center FCI8Tom Mitchell 6.0 Construction Update Curtis Reynolds 6.1 7.0 Adjourn Morteza Hosseini, Chair 8.0



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS

Meeting Minutes
September 6, 2019
President's Room 215B, Emerson Alumni Hall
University of Florida, Gainesville, FL
Time Convened: 11:15 a.m.

Time Adjourned: 12:01 p.m.

Committee and Board members present

Morteza Hosseini (Board Chair), Thomas G. Kuntz (Board Vice Chair), David L. Brandon, James W. Heavener, Leonard H. Johnson, Michael C. Murphy, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Jason J. Rosenberg, Robert G. Stern, Ray G. Thomas, Anita G. Zucker

Others present were:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Zina Evans, Vice President for Enrollment Management and Associate Provost; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Edward Jimenez, Chief Executive Officer for UF Health Shands; Mark Kaplan, Vice President for Government and Community Relations and University Secretary; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Senior Vice President for Health Affairs and President of UF Health; David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic Communications and Marketing; Jack Payne, Senior Vice President for Agriculture and Natural Resources; Curtis A. Reynolds, Vice President for Business Affairs; Scott Stricklin, Director of Athletics; members of the President's Cabinet, members of the University of Florida Community, and other members of the public and the media.

1.0 Verification of Quorum

Vice President Curtis A. Reynolds confirmed a quorum with all Committee members present.

2.0 Call to Order and Welcome

Board and Committee Chair Hosseini welcomed everyone in attendance and called the meeting to order at 11:15 a.m.

3.0 Review and Approval of Minutes

Board and Committee Chair Hosseini asked for a motion to approve the minutes from the June 6, 2019 and August 5, 2019 meetings, which was made by Trustee Brandon and a second, which was made by Trustee Stern. Board and Committee Chair Hosseini asked for further discussion, after which he asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

4.0 Discussion/Informational

Board and Committee Chair Hosseini asked Dr. Lynda Hayes, Director, P.K. Yonge Developmental Research School to present the following discussion item:

4.1 PK Yonge Presentation

Dr. Lynda Hayes provided a PowerPoint presentation regarding the P.K. Yonge Developmental Research School's mission, background, statistics and need for the Phase 2: Secondary School Facility project to be considered for approval by the Board. Dr. Hayes introduced Mr. David Torbert and Mr. Brook Sherrard of SchenkelShultz Architecture, as the project designers. Board and Committee Chair Hosseini noted the contractor selection process used for this project was under the old guidelines and going forward, the new procedures will be adhered to.

5.0 Action Items

Board and Committee Chair Hosseini asked for the Action Items to be presented. Vice President Curtis Reynolds discussed the action items as follows:

FCI1 University of Florida Fixed Capital Outlay Budget Fiscal Year 2019-2020

Pursuant to the Board of Governors directive and Regulation 14.0025. Each university president will prepare a fixed capital outlay budget for approval by the board of trustees in accordance with the instructions, guidelines, and standard formats provided by the Chancellor. The fixed capital outlay budget must include both new construction and previously approved projects which have not yet been completed. Each university board of trustees shall adopt an annual fixed capital outlay budget that must be presented to the Board of Governors for approval by the date established by the Chancellor. Fixed capital outlay project expenditures may be made by the university, subject to approval of the board of trustees. Budget approval by the Board of Governors (BOG), or budget amendment approval by the Chancellor as provided in BOG Reg.14.0025.(7) is required. Such approvals remain in effect for the life of the project; and do not expire at the end of the fiscal year.

The Committee on Facilities and Capital Investments was asked to approve the Committee Action Item FCI1 for recommendation to the Board of Trustees for approval on the Consent Agenda.

Board and Committee Chair Hosseini asked for any questions or further discussion. There ensued a discussion regarding the PECO funding request for the Data Science and Information Technology Building. Board and Committee Chair Hosseini noted updating the funding request to reflect market inflation; from \$25 million to \$35 million, which resulted in a remaining need of \$59.7 million less \$25 million from University contribution. Vice President Reynolds noted that the Fixed Capital Outlay Budget is a living document reflecting all known projects and

funding sources to date. For business continuation, the university will process project work that is unknown to date and subsequently update the Fixed Capital Outlay Budget as appropriate for Board of Trustee approval.

Board and Committee Chair Hosseini asked for a motion to approve Action Item FCI1 which was made by Trustee Brandon, and a second, which was made by Trustee Stern for recommendation to the Board for its approval on the Consent Agenda. Board and Committee Chair Hosseini asked for further discussion. Board and Committee Chair Hosseini then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

FCI2 PK Yonge Phase II (Middle, High School)

This project is for phase two of a three phase plan to replace the deteriorated buildings on the PK Yonge campus (*Phase 1 - Elementary School completed 2012*). This phase includes the construction of a new 2 story 75,000 GSF middle & high school building, as well as the demolition of several condemned buildings. The project scope will also include all site utility infrastructure necessary to support the new facility. The total project budget is \$28M and will be funded from the following sources;

2019 Legislative Appropriation (PECO)	\$11,500,000
PKY Funds (Developmental Research School PECO, Carry Forward)	\$ 4,100,000
UF Administration	\$ 3,500,000
UF Internal Loans	\$ 8,000,000
Private Donations	\$ 900,000
Total Funding	\$28,000,000

The UF Internal Loan (Line of Credit) shall be repaid within ten years starting with FY 21-22 (or the fiscal year immediately following substantial completion) per the terms of the UF Central Bank.

The Committee on Facilities and Capital Investments is asked to approve the use of the proposed funding sources totaling (\$28M), and authorize the design and construction of a new middle & high school facility on the PK Yonge Campus for recommendation to the Board of Trustees for approval on the Consent Agenda.

Vice President Reynolds presented the PK Yonge Phase II (Middle, High School) project for discussion. Trustee Thomas Kuntz asked if PECO appropriations were received, would PK Yonge's internal loan amount be reduced? Vice President Reynolds confirmed.

Board and Committee Chair Hosseini asked for a motion to approve Action Item FCI2 which was made by Trustee Brandon, and a second, which was made by Trustee Stern for recommendation to the Board for its approval on the Consent Agenda. Board and Committee Chair Hosseini asked for further discussion. Board and Committee Chair Hosseini then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

FCI3 Amended Capital Improvement Plan Legislative Budget Request for Fiscal year ending June 30, 2021

The Board of Trustees initially approved the 2020-2021 Capital Improvement Plan Legislative Budget during the June 6, 2019 meeting. Subsequent outcomes from the 2019 Florida Legislative Session necessitate proposed changes.

- 1. The re-appropriation request for PECO priority #2 New Music Building prior appropriated funding (\$5.9M) was vetoed by Governor DeSantis. The University is hereby requesting approval to revert to the original project scope of Renovations and Addition to the existing Music Building. This action will allow for use of prior year legislative appropriated funds (\$5.9M), as well as maintain the project's viability. Additionally, approval is also requested to proceed with an Educational Plant Survey Amendment through the Board of Governors to recategorize the project from "New Music Building" to "Music Building Renovations and Addition".
- 2. PK Yonge Developmental Research School was awarded \$11.5M during the 2019 Legislative Session. Due to the favorable legislative support for Developmental Research School funding, the University is requesting approval to include remaining funding needs on the "CIP-2C Non-State Supplemental Funding of PECO and/or CITF Projects" list. This action will allow for PK Yonge to compete with other Developmental Research Schools for other sources of funding that might be available.

The Committee on Facilities and Capital Investments was asked to approve the requested amendments to the 2020-2021 Capital Improvement Plan Legislative Budget Request for recommendation to the Board of Trustees for its approval on the Consent Agenda and for submission to the Florida Board of Governors.

Board and Committee Chair Hosseini asked for a motion to approve Action Item FCI3 which was made by Trustee Brandon, and a second, which was made by Trustee O'Keefe for recommendation to the Board for its approval on the Consent Agenda. Board and Committee Chair Hosseini asked for further discussion. Board and Committee Chair Hosseini then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

Board and Committee Chair Hosseini asked Vice President Thomas Mitchell to present the naming Action Items as follows:

FCI4 Naming: Gary Condron Family Indoor Practice Facility

In recognition of the generous and significant support of the University of Florida by Gary Condron, the University, the University of Florida Foundation, and the University of Florida Athletic Association seek to name the existing Indoor Practice Facility the "Gary Condron Family Indoor Practice Facility."

The Committee on Facilities and Capital Investments was asked to approve Resolution #R19-217 to name the existing Indoor Practice Facility the "Gary Condron Family Indoor Practice Facility" for recommendation to the Board of Trustees for its approval on the Non-Consent Agenda.

Board and Committee Chair Hosseini asked for a motion to approve Action Item FCI4 which was made by Trustee Brandon, and a second, which was made by Trustee Stern for recommendation to the Board for its approval on the Non-Consent Agenda. Board and Committee Chair Hosseini asked for further discussion. Board and Committee Chair Hosseini then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

FCI5 Naming: Allison Folds Concession Stand

In recognition of the generous and significant support of the University of Florida by Folds, Walker & Maltby, LCC, the University, the University of Florida Foundation, and the University of Florida Athletic Association seek to designate a concession stand at the newly renovated Katie Seashole Pressly Softball Stadium as "Provided in Honor of Allison Folds."

The Committee on Facilities and Capital Investments was asked to approve Resolution #R19-218 to designate a concession stand at the newly renovated Katie Seashole Pressly Softball Stadium as "Provided in Honor of Allison Folds" for recommendation to the Board of Trustees for its approval on the Non-Consent Agenda.

Board and Committee Chair Hosseini asked for a motion to approve Action Item FCI5 which was made by Trustee Stern, and a second, which was made by Trustee Johnson for recommendation to the Board for its approval on the Non-Consent Agenda. Board and Committee Chair Hosseini asked for further discussion. Board and Committee Chair Hosseini then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

FCI6 Naming: John Morgan and Ultima Morgan Courtroom

In recognition of the generous support of the University of Florida by John Morgan, the University and the University of Florida Foundation seek to name the existing Main Courtroom in the Martin Levin Advocacy Center at the Fredric G. Levin College of Law, the "John Morgan & Ultima Morgan Courtroom."

The Committee on Facilities and Capital Investments was asked to approve Resolution #R19-219 to name the existing Main Courtroom in the Martin Levin Advocacy Center at the Fredric G. Levin College of Law, the "John Morgan & Ultima Morgan Courtroom" for recommendation to the Board of Trustees for its approval on the Non-Consent Agenda.

Vice President Mitchell noted the donors asked that the naming be modified to have the wife's name appear first as follows: the "Ultima Morgan & John Morgan Courtroom".

Board and Committee Chair Hosseini asked for a motion to approve Action Item FCI6 which was made by Trustee O'Keefe, and a second, which was made by Trustee Johnson for recommendation to the Board for its approval on the Non-Consent Agenda. Board and

Committee Chair Hosseini asked for further discussion. Board and Committee Chair Hosseini then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

FCI7 Naming: Philip A. Stansly Graduate Student Dormitory

In recognition of the many contributions made by Philip A. Stansly to the study and research of fruit-tree entomology and the inspiration and guidance he gave to his students, the University and the University of Florida Foundation seek to name the new dormitory at the UF/IFAS Southwest Florida Research and Education Center (SWREC) the "Philip A. Stansly Graduate Student Dormitory." Dr. Stansly was a valued member of the University of Florida faculty from 1986 until his death in 2018. Funding for the dormitory has been provided by over 50 private donors through the SWREC Foundation and funds made available by UF/IFAS.

The Committee on Facilities and Capital Investments was asked to approve Resolution #R19-220 to name the new dormitory at the UF/IFAS Southwest Florida Research and Education Center (SWREC) the "Philip A. Stansly Graduate Student Dormitory" for recommendation to the Board of Trustees for its approval on the Non-Consent Agenda.

Board and Committee Chair Hosseini asked for a motion to approve Action Item FCI7 which was made by Trustee Kuntz, and a second, which was made by Trustee Stern for recommendation to the Board for its approval on the Non-Consent Agenda. Board and Committee Chair Hosseini asked for further discussion. Board and Committee Chair Hosseini then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

6.0 New Business

There was no new business to come before the committee.

7.0 Adjourn

There being no further discussion, Committee Chair Hosseini adjourned the meeting at 12:01 p.m.



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS

Pre-Meeting Minutes
Telephone Conference Call
November 4, 2019

123 Tigert Hall, University of Florida, Gainesville, FL
Time Convened: 10:01 a.m.
Time Adjourned: 10:44 a.m.

Committee and Board members present:

Morteza Hosseini (Board Chair), Thomas G. Kuntz (Board Vice Chair), David L. Brandon, Leonard H. Johnson, Rahul Patel, Ray G. Thomas, Marsha Powers

Others present were:

W. Kent Fuchs, President, Winfred Phillips, Chief of Staff, Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Mark Kaplan, Vice President for Government and Community Relations and University Secretary; Curtis Reynolds, Vice President for Business Affairs, Susan Goffman, Executive Director, Development, Lindsey Farah, Development, Craig Hill, Associate Vice President, Business Affairs, Mark Helms, Assistant Vice President, Facilities Services, Carlos Dougnac, Assistant Vice President for Planning, Design and Construction; Colt Little, Senior University Counsel for Real Estate, Financing and Procurement; Kevin Heinicka, IFAS Director of Operations Office of Facilities Planning and Operations, Eugene Herring, Director Planning, Design and Construction, Linda Dixon, Director, Planning, Design and Construction, Carrie Blanchard Bush, Director of Strategic Initiatives, members of the University of Florida Community, and other members of the public and the media.

1.0 Roll Call

Board Staff conducted a roll call of all Committee and Board members present.

2.0 Call to Order and Welcome

Committee Chair Hosseini welcomed everyone in attendance and called the meeting to order at 10:01 a.m. Chair Hosseini asked that the order of the agenda be changed to have the Call to Order first before Roll Call.

- 3.0 Review Agenda for December 5-6, 2019 BOT Meeting
 - 3.1 Dining Services Proposed Fees FY 2020-21

Charlie Lane, Senior Vice President and Chief Operating Officer, presented the Dining Services Proposed Fees FY 2020-21 for discussion. Committee Chair Hosseini asked Dr. Lane to provide a brief explanation of the proposed fees. During the discussion, Committee Chair Hosseini asked at what point would the increases cease. Vice President Curtis Reynolds indicated that this request would likely be the final request from the current food service vendor, noting, the university will be soliciting dining services in FY2021.

Over the past five years, dining fees have increased or remained constant, as outlined below:

Year	% Increase
FY 2019-2020	5.11%
FY 2018-2019	0.00%
FY 2017-2018	0.00%
FY 2016-2017	2.03%
FY 2015-2016	3.60%

The proposed FY20-21 fee increase for the 7-day Open Access Plus Meal Plan is 4%, which would bring the dining costs per semester from \$2,300 to \$2,375.

New revenues associated with increases in the meal plans will be used to offset cost increases for food, labor, and other direct costs (estimated 3% for food, 4% labor, 2.1% supplies and other direct costs).

Based on the current-year meal plan costs for the three open-access meal plans offered, the cost of each meal is \$3.89 per access (based on an average of four accesses per day). For the 10-meals-per-week plan, the cost is \$7.15 per access. For the open-access plans, meal plan costs per meal are significantly under local market meal comparisons; for the 10-meal plan, the meal plan cost is near or under market comparisons (based on whether the meal is breakfast, lunch, or dinner).

3.2 Transportation and Parking Services Proposed Fees FY 2020-21

Senior Vice President Lane discussed the Transportation and Parking Services Proposed Fees for FY 2020-21.

The proposed FY 2020-21 fee change would create a lower cost option and would implement a fee for the more proximate student parking.

For FY 2020-21, the following changes to student decal program are recommended:

• lowering the price of the least proximate student parking locations from \$160 to \$85 per year (a price decrease of 47% impacting an estimated 2,700 student decals and a reduction of \$200,000 in revenue),

- a modest price increase from \$160 to \$170 per year for the mid proximate student parking (a price increase of 6% impacting an estimated 5,000 student decals, generating \$50,000 in new revenue), and
- increasing the most proximate decal prices for student residents living in fraternity, sorority, and university housing near the academic core of campus from \$160 to \$340 per year (a price increase of 112% impacting an estimated 2,200 student decals and generating \$400,000 in new revenue).

3.3 UF Housing Proposed Fees FY 2020-21

Senior Vice President Lane discussed the UF Housing Proposed Fees for FY 2020-21 and noted that the proposed fees are part of an overall housing master plan strategy. The housing plan strategy encompasses a 5 to 10 year development, which includes the addition of more than 1900 new beds and the renovation of more than 1300 existing beds.

Senior Vice President Lane also noted that the UF Housing Program has not received a fee increase over the past 5 years and in order to implement the housing master plan strategy a modification to the program fee structure was required.

Senior Vice President Lane explained the following housing program fee proposed;

Staff is proposing a 4.5% annual increase in housing costs for the next 5 years. If this fee increase is permitted, it will generate over \$30 million in additional revenue for the system over a 5-year period. These funds are needed in order to ensure that the housing system is infused with additional cash necessary to absorb the financial impact of bonding new construction of up to 1900 residence hall beds and the renovation of 700 beds. If the university is unable to raise rental rates, it will be unable to bond new construction and renovations in the immediate future.

Currently, UF Housing average rental rate is among the lowest in the SUS. Our on-campus rental rate is on-average 34% less than that of off-campus housing. Meanwhile, the university's rates compare very favorably with our SUS counterparts.

Staff will be come back to you in subsequent meetings with the details of our bonding strategy, but the primary issue is our need to increase rental rates by 4.5% per year for the next five years.

Committee Chair Hosseini noted that the Housing Master Plan was thoughtful and necessary to move the UF Housing Program into similar status as the University.

Vice President Reynolds asked Committee Chair Hosseini to allow Vice President Thomas Mitchell to add two namings to the agenda. Chair Hosseini recognized Vice President Mitchell for the presentation of the following naming(s):

3.4 Naming: Jason Beaird Memorial Bullpen

In recognition of the life of Jason Beaird, and his contributions as a member of the Florida Gators baseball team, the University, the University of Florida Foundation, and the University of Florida Athletic Association seek to name the visiting team bullpen at the Florida Ballpark the "Jason Beaird Memorial Bullpen."

Vice President Mitchell acknowledged this request meets the naming and gift guidelines set by the UF Board of Trustees and that an information packet would be provided prior to the December Board of Trustee meeting.

3.5 Naming: Sam Tripson Memorial Porch

In recognition of the life of Sam Tripson, the University and the University of Florida Foundation seek to name the front porch of the IFAS Beef Teaching Unit the "Sam Tripson Memorial Porch."

Vice President Mitchell acknowledged this request meets the naming and gift guidelines set by the UF Board of Trustees and that an information packet would be provided prior to the December Board of Trustee meeting.

4.0 Discussion Items

The following Discussion Items were addressed by the Committee:

4.1 Housing Master Plan

Senior Vice President Charlie Lane provided an update on the Housing Master Plan. Senior Vice President Lane discussed the options for a phased implementation of the plan development. Chair Hosseini noted that UF should take the "all in" approach by constructing and renovating the full development. Senior Vice President Lane expressed concurrence for the more aggressive approach and would advise the Board on his finding.

Trustee Thomas Kuntz asked for a calculation of the cost per bed and the price per square foot of the housing master plan projects, as well as compare what other universities have done in terms of housing and their associated costs. Senior Vice President Charlie Lane affirmed that staff would provide these calculations to the Board.

Committee Chair Hosseini recommended the financing of the project be done in one bond issuance. Trustee Kuntz asked for the plan for housing when beds are taken offline during the renovation part of the project and discuss the impact.

4.2 Campus Master Plan

Senior Vice President Charlie Lane provided an update on the Campus Master Plan; noting that UF was within its's statutory required five-year cycle. SVP Lane also, noted that during the

next calendar-year BOT meetings that the Campus Master Plan required two Public Hearings prior to adoption of the Campus Development Agreement. Senior Vice President Lane stated that staff would keep the BOT posted on the Plan progress and required hearings.

4.3 Food Service Master Plan

Vice President Reynolds provided an update of the Food Service Master Plan noting that Business Affairs had engaged Brailsford and Dunlavey, Inc. (B&D) in collaboration with Petit Consulting LLC to lead the process. The consultants conducted an analysis of existing conditions and engaged with campus stakeholders, including students, faculty and staff. Vice President Reynolds noted that the new food services contract will be robust in cooperation with Athletics, concession service in the stadium and the O'Connell Center. Committee Chair Hosseini noted the UF food service contract was a large contract and the UF Board of Trustees would like to stay informed and advise on the solicitation progress.

5.0 New Business

President Kent Fuchs informed the committee of the recent freshman applications to date were 8,000 more applicants than last year.

6.0 Adjourn

There being no further discussion, Committee Chair Hosseini adjourned at the meeting at 10:44 a.m.



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI1 December 5, 2019

SUBJECT: UF Housing Proposed Fees FY 2020-21

BACKGROUND INFORMATION

As presented to the UF Board of Trustees in June 2019, the Housing Master Plan provides a road map for UF to pursue a long-term implementation strategy for residential facilities on campus. The plan emphasizes UF's strategic objectives to prioritize capital reinvestment in existing facilities, pursue new construction through bonding, and support the overall financial health of the housing system.

To begin implementation of the Housing Master Plan, an annual 5-year rental rate increase of an estimated 4.5%, throughout the housing system, is required. This rental rate increase will meet two pre-implementation requirements:

- 1. Ensure the housing system is infused with additional cash necessary to absorb the financial impact of bonding new construction of residence halls and executing bonded renovation campaigns outlined in the Housing Master Plan.
- 2. Yield additional revenue to fund reinvestment in facilities not covered under the bonding process.

Without a multi-year rental rate increase, the ability to bond new construction and renovations in the immediate future is not possible. For comparison purposes, UF Housing's current average rental rate across the system is among the lowest in the SUS. On average, UF's housing rents are 10-30% less than other member SUS institutions.

If a 4.5% annual increase over a 5-year period is approved, UF proposes to re-engage the UF Board of Trustees on an annual basis, in order to present information on the financial performance of the housing system and related plan implementation.

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve the UF Housing Proposed Fees FY 2020-21 for recommendation to the Board of Trustees for approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

None.	
Supporting Documentation Included: none	
Submitted by: Charles E. Lane, Senior Vice F	President/Chief Operating Officer
Approved by the University of Florida Boar	d of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI2 December 5, 2019

SUBJECT: Dining Services Proposed Fees FY 2020-21

BACKGROUND INFORMATION

Dining Services is an essential service for the health and wellness of students, faculty, and staff, as well as an important component in creating a vibrant academic, professional, and social environment at the University of Florida. The dining program strives to maintain excellence in food quality, customer service, menu variety, healthy nutrition, and sustainability. In order to maintain service at the expected increasingly higher levels of excellence, it is essential to maintain an appropriate balance between revenue and costs of goods and services. New revenues will be used to offset anticipated cost increases of 3% for food, 4% labor, and 2.1% for supplies and other direct costs, as well as program enhancement costs.

For FY 2020-2021, the following changes to the university's meal-plan program is recommended:

Increase the meal plan cost 4% on all tiers. Students/parents will continue to have the option to select the meal plan tier that best suits their needs. The cost for the 7-day Open Access Meal Plan will increase from \$1,850 to \$1,925 per semester (does not include flex bucks). There are currently 2 (two) 7-day open access meal plan bundle options, one with \$250 in flex bucks and the *plus* plan with \$450 in flex bucks. The net increase to the student is \$75 per semester (average \$0.25/meal or less based on average 3 meals per day) and the revenue generated by these changes is estimated at \$556,000 annually.

The generated revenue will cover 85% of the estimated new expenses as delineated below. Aramark will absorb the remaining 15% of estimated expenses.

Projected fulltime employees wage match (minimum \$14/hr. to minimum \$15/hr.	\$ 128,800
for pool of over 100 fulltime hourly employees)	
Increase minimum wage for part-time employees from \$8.75/hr. to \$9.50/hr. (in	\$ 147,500
excess of 1,300 employees, 75% of whom are students)	
Inflation costs for food (estimated 3%)	\$ 125,600
Inflation costs for supplies and other direct costs (estimated 2.1%)	\$ 78,600
Program Enhancements (food and labor costs for dining hall grill stations/new	\$ 175,300
dessert offerings)	
TOTAL ESTIMATED NEW EXPENSES	\$ 655,800

Over the past five years, changes to the university's meal plan program have averaged 2.1% as noted below:

<u>Year</u>	<u>% Increase</u>
FY 2019-2020	5.11%
FY 2018-2019	0.00%
FY 2017-2018	0.00%
FY 2016-2017	2.03%
FY 2015-2016	3.60%

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve the Dining Services Proposed Fees FY 2020-21 for recommendation to the Board of Trustees for approval on the Consent Agenda.

None. Supporting Documentation Included: None Submitted by: Curtis A. Reynolds, VP, Business Affairs Approved by the University of Florida Board of Trustees, December 6, 2019 Morteza Hosseini, Chair W. Kent Fuchs, President and Corporate Secretary



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI3 December 5, 2019

SUBJECT: Transportation and Parking Services Proposed Fees FY 2020-21

BACKGROUND INFORMATION

The UF student parking decal prices have remained unchanged since FY 2015-16. The proposed FY 2020-21 fee changes would create a lower cost option and would implement a higher fee for the most proximate student parking. For FY 2020-21, the following changes to student decal program are recommended:

- lowering the price of the least proximate student parking locations from \$160 to \$85 per year (a price decrease of 47% impacting an estimated 2,700 student decals and a reduction of \$200,000 in revenue),
- a modest price increase from \$160 to \$170 per year for the mid proximate student parking (a price increase of 6% impacting an estimated 5,000 student decals, generating \$50,000 in new revenue), and
- increasing the most proximate decal prices for student residents living in fraternity, sorority, and university housing near the academic core of campus from \$160 to \$340 per year (a price increase of 112% impacting an estimated 2,200 student decals and generating \$400,000 in new revenue).

The above tiered pricing allows students to select the parking option that best suits their needs and ability to pay. The net new revenue generated by these changes, estimated at \$250,000, will help pay for future Transportation and Parking operating expense inflation, reduce the future burden on faculty and staff decal prices, and contribute toward the necessary debt expense for new parking structures.

The employee parking decal price will increase by 5.5% (on average) in FY 2020-21 which is needed to fund the incremental annual debt service expense associated with the Garage 14 construction project and general operating expense inflation. This is the fourth and final installment of the multi-year approach to employee decal price increases that was presented and approved at the June 2016 Board of Trustee meeting when the action item for the issuance of the Transportation and Parking revenue bonds for Garage 14 was presented and approved.

While the FY 2020-21 employee parking decal options will range from \$198 to \$1,512 per year, the price for the most common employee decal will increase \$24 per year (from \$396 to \$420, a 6% increase), or \$1 per pay period. The new revenue generated by the FY 2020-21 employee parking decal average increase of 5.5% is estimated at \$430,000.

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve the Transportation and Parking Services Proposed Fees FY 2020-21 for recommendation to the Board of Trustees for approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

None.	
Supporting Documentation Include	d: None
Submitted by: Curtis A. Reynolds, V	/P, Business Affairs
Approved by the University of Flor	ida Board of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI4

December 5, 2019

SUBJECT: Naming: Sam Tripson Memorial Porch

BACKGROUND INFORMATION

In recognition of the life of Sam Tripson, the University and the University of Florida Foundation seek to name the front porch of the IFAS Beef Teaching Unit the "Sam Tripson Memorial Porch."

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve Resolution R19-221 to name the front porch at the IFAS Beef Teaching Unit the "Sam Tripson Memorial Porch" for recommendation to the Board of Trustees for approval on the Non-Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required, but Board of Governors' regulations require all facility, road, and landscape naming to be approved separately on the Non-Consent Agenda. [Note: BOG Reg. 9.002]

Supporting Documentation Included: See attached materials and Resolution #R19-221

Submitted by: Thomas J. Mitchell, Executive Vice President, University of Florida Foundation and Vice President for Advancement

Approved by the University of Florida Board of Trustees December 6, 2019			
 Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary		



Samuel Rupert Tripson



Born on February 18, 1982, Sam was a native of Vero Beach, Florida. He was a graduate of Vero Beach High School Class of 2000. He received an Associate of Arts Degree from Indian River Community College, and went on to the University of Florida to earn his Bachelor of Science Degree in Agricultural Operations Management. Sam was a lifelong Gator, from Glendale Elementary to the University of Florida.

Sam descended from a long line of Indian River cattlemen and citrus growers. Although he stood at 6'7, he truly was a gentle giant and a blessing to all who knew him. He had an innate sense for all living things and could tame even the wildest of bulls. It was always said "You knew it was going to be a good day when Sam was in the cow pens." From the time he could walk, Sam, alongside his brother Rob, was out at his uncle Ralph's ranch, his uncle Randy's orange groves, and Big John's dove field and farm. He learned from a very young age how to care for animals and respect the land. He raised livestock through the Indian River County 4H, and continued to be a dedicated supporter in passing along his knowledge and respect for agriculture to the next generation. This, along with caring for his family, was one of Sam's greatest joys in life.

During college and after graduation, Sam worked as a ranch manager for Dr. Roger West in Gainesville, FL before beginning his career with Helena Chemical Company in Fort Pierce, FL. Sam was never one to jump from job to job, and he considered the Wests and his Helena Chemical coworkers as family.

Sam was a proud brother of Alpha Gamma Rho Fraternity, where he forged lifelong bonds with his brothers who courageously stood by him in "all kinds of weather". He was a member of First United Methodist Church, a Life member of the University of Florida Alumni Association, Secretary of the Indian River County Cattlemen's Association, a member of the National Cattlemen's Beef Association, and an adamant supporter of Indian River County 4H and the Dasie Bridgewater Hope Center.

Samuel Rupert Tripson died September 15, 2015 after a hard fought battle with cancer. He was surrounded by his family and an overwhelming amount love.





UNIVERSITY OF FLORIDA BOARD OF TRUSTEES RESOLUTION

Number:

R19-221

Subject:	Naming the "Sam Tripson Memorial F	Porch"
Date:	December 5, 2019	
community ur	WHEREAS, Sam Tripson was a valued for ntil his death in 2015;	mer member of the UF IFAS alumni
the front porc	WHEREAS, in memory of his life and contribent the IFAS Beef Teaching Unit the "Sam Ti	
the IFAS Beef	WHEREAS, the University of Florida Founda Teaching Unit the "Sam Tripson Memorial Po	·
conferred by t	WHEREAS, the University of Florida Boar the Florida Board of Governors under its Reg	
that the front Porch."	NOW, THEREFORE , the University of Florida porch at the IFAS Beef Teaching Unit be nam	•
Adopt	ed this 6th day of December, 2019, by the Ui	niversity of Florida Board of Trustees.
Morteza Hoss	eini, Chair	W. Kent Fuchs, President and Corporate Secretary



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI5

December 5, 2019

SUBJECT: Naming: Jason Beaird Memorial Bullpen

BACKGROUND INFORMATION

In recognition of the life of Jason Beaird, and his contributions as a member of the Florida Gators baseball team, the University, the University of Florida Foundation, and the University of Florida Athletic Association seek to name the visiting team bullpen at the Florida Ballpark the "Jason Beaird Memorial Bullpen."

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve Resolution R19-222 to name the visiting team bullpen at the Florida Ballpark the "Jason Beaird Memorial Bullpen" for recommendation to the Board of Trustees for approval on the Non-Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required, but Board of Governors' regulations require all facility, road, and landscape naming to be approved separately on the Non-Consent Agenda. [Note: BOG Reg. 9.002]

Supporting Documentation Included: See attached materials and Resolution # R19-222

Submitted by: Thomas J. Mitchell, Executive Vice President, University of Florida Foundation and Vice President for Advancement

Approved by the University of Flor	ida Board of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary



Jason Daniel Beaird



Born on May 28, 1970, Jason grew up in Pinellas County, FL. Upon graduation from Clearwater High School in 1988 he attended the University of Florida where he played baseball for the Gators and was selected for the All SEC Academic Team.

At UF, Jason met many people who would become life-long friends, as well as his future wife, Jennifer Lynne Brown. He attained his Master's in

Accounting in 1993 and, after graduation, moved to Orlando and began working for KPMG as a Certified Public Accountant. Jason progressed in his professional career and in 1997 he began working at Marriott Vacation Club International (currently, Marriott Vacations Worldwide (MVW)) as a financial analyst. He worked at MVW for ten years and finished his career by joining Hilton Grand Vacations in 2008 as Vice President of Strategic Planning and Analysis.

Jason was an avid athlete and continued to enjoy competition through sport and social clubs in Orlando, work softball teams and an adult baseball league. In addition, he began training and competing in triathlons, the culmination of which was his completion of an Ironman Triathlon in November 1999. His cancer diagnosis helped fuel his triathlon passion and he began competing to raise money for the Leukemia & Lymphoma Society and Multiple Myeloma Research Foundation - earning multiple fundraising awards.

Baseball continued to be another passion of Jason's and he began coaching his son, Aaron's, baseball teams at Delaney Park Little League (DPLL). He coached at DPLL from 2008 forward - encompassing numerous Rookies and Minor League teams, winning the Minor Division Championship with the Dodgers in 2012. Jason carried his coaching expertise into St. James Cathedral School and helped coach Aaron's Catholic Youth League (CYL) football and basketball teams - winning the CYL 3rd grade basketball championship and the 4th grade football championship.

As a part of Gator Nation, the Beaird family attended many University of Florida Gator football games - both at home games in Gainesville and on the road - even attending the Gators First National Championship. The Beaird family also attended Gator baseball games and Jason relived fond baseball memories with many of his teammates by participating in the Gator Baseball Alumni vs. Current Team baseball games.

Jason passed away on March 14, 2013 in Orlando, Florida after a courageous fight against cancer.

EXTERIOR JASON BEAIRD MEMORIAL BULLPEN



INTERIOR JASON BEAIRD MEMORIAL BULLPEN





UNIVERSITY OF FLORIDA BOARD OF TRUSTEES RESOLUTION

Number:

R19-222

Subject:	Naming the "Jason Beaird Memorial	Bullpen"
Date:	December 5, 2019	
baseball team	WHEREAS, Jason Beaird was a valued for and supported the University of Florida unt	
the visiting tea	WHEREAS, in memory of his life and contril am bullpen at the Florida Ballpark the "Jasor	
Athletic Assoc Beaird Memor	WHEREAS, the University of Florida Founda iation seek to name the visiting team bullpe rial Bullpen;"	·
conferred by t	WHEREAS, the University of Florida Board of Governors under its Reg	
that the visitir Bullpen."	NOW, THEREFORE , the University of Floridang team bullpen at the Florida Ballpark be na	•
Adopte	ed this 6th day of December, 2019, by the U	niversity of Florida Board of Trustees.
Morteza Hoss	eini, Chair	W. Kent Fuchs, President and Corporate Secretary



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI6

December 5, 2019

SUBJECT: Naming: James W. "Bill" Heavener Football Training Center

BACKGROUND INFORMATION

In recognition of the generous and significant support of the University of Florida by James W. "Bill" Heavener, the University, the University of Florida Foundation, and the University of Florida Athletic Association seek to name the new football training center the "James W. "Bill" Heavener Football Training Center."

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve Resolution R19-223 to name the new football training center the James W. "Bill" Heavener Football Training Center for recommendation to the Board of Trustees for approval on the Non-Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required, but Board of Governors' regulations require all facility, road, and landscape naming to be approved separately on the Non-Consent Agenda. [Note: BOG Reg. 9.002]

Supporting Documentation Included: See attached materials and Resolution # R19-223

Submitted by: Thomas J. Mitchell, Executive Vice President, University of Florida Foundation and Vice President for Advancement

Approved by the University of Florida Board of Trustees, December 6, 2019						
Morteza Hosseini, Chair	 — — — — — — — — — — — — — — — — — — —					



James W. Heavener



James W. Heavener is the CEO of The Heavener Company, through which he holds and manages his interests in education, media production, internet services, real estate franchising, publishing, banks and information technology.

Mr. Heavener is Co-Chairman and CEO of Full Sail University, Co-Chairman of LA Film School, LA Recording School, RMCAD (Rocky Mountain College of Art and Design) and Grupo Seven in Brazil. His real estate interests include serving as a Managing Director of ERA Europe, a group of 1,100 European residential real estate offices

located in 19 European Union countries, Co-Chairman of ProMarketWeb, Ltd., a European residential MLS, and Co-Chairman and CEO of Silver City Partners of Florida. He is the Co-CEO and Director of Pioneer Technology Group, LLC, a software development company specializing in software solutions for government institutions. He is also a Director for Florida Capital Bank.

In addition to Mr. Heavener's Board of Trustees responsibilities, he serves as a Director of the Davey O'Brien Foundation. Mr. Heavener serves on the Board of the Tim Tebow Foundation, University of Florida Alumni Association, The University of Florida Foundation, is a Legacy Director and past-President of the University of Florida Booster Association, and is also a member of the Harvard Club of Central Florida and New York.

Mr. Heavener holds a BSBA from the University of Florida and is a graduate of the Harvard Business School OPM.





UNIVERSITY OF FLORIDA BOARD OF TRUSTEES RESOLUTION

Number:

R19-223

Naming the James W. "Bill" Heavener	Football Training Center
December 5, 2019	
WHEREAS , James W. "Bill" Heavener has reto support the University of Florida;	made many generous and significant
WHEREAS, in grateful recognition of this suppall training center the "James W. "Bill" Heave	
WHEREAS, the University of Florida Foundariation seek to name the new football training tball Training Center;"	·
WHEREAS, the University of Florida Board in the Florida Board of Governors under its Regu	
NOW, THEREFORE , the University of Florida football training center be named the "James	
ed this 6th day of December, 2019, by the Un	niversity of Florida Board of Trustees.
eini, Chair	W. Kent Fuchs, President and Corporate Secretary
	WHEREAS, James W. "Bill" Heavener has a to support the University of Florida; WHEREAS, in grateful recognition of this support training center the "James W. "Bill" Heave whereas, the University of Florida Foundatiation seek to name the new football training tball Training Center;" WHEREAS, the University of Florida Boars the Florida Board of Governors under its Regulation of Control of Florida Board football training center be named the "James football training cente



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI7

December 5, 2019

SUBJECT: Naming: The Malachowsky Data Science & Information Technology Building

BACKGROUND INFORMATION

In recognition of the generous support of the University of Florida by Chris Malachowsky, the University and the University of Florida Foundation seek to name the new Data Science & Information Technology Building the "The Malachowsky Data Science & Information Technology Building."

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve Resolution R19-224 to name the new Data Science & Information Technology Building the Chris Malachowsky Data Science & Information Technology Building for recommendation to the Board of Trustees for approval on the Non-Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required, but Board of Governors' regulations require all facility, road, and landscape naming to be approved separately on the Non-Consent Agenda. [Note: BOG Reg. 9.002]

Supporting Documentation Included: See attached materials and Resolution # R19-224

Submitted by: Thomas J. Mitchell, Executive Vice President, University of Florida Foundation and Vice President for Advancement

Approved by the University of Flo	rida Board of Trustees, December 6, 2019
 Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary

Chris Malachowsky



Chris Malachowsky co-founded the global technology company, NVIDIA, in 1993 and has more than 30 years of experience in the industry. Now retired from day-to-day operations, he serves as a member of the executive staff and a senior technology executive for the company.

Based in Santa Clara, CA, the company has pioneered visual computing—the art and science of computer graphics. NVIDIA has dominated in the area of high-performance graphics processors (GPUs), which act as the brain of computers, robots and self-driving cars that can perceive and understand the world, as well as aid in the translation and application of big data and data science. NVIDIA's field has expanded to encompass

video games, movie production, product design, medical diagnosis, scientific research and, most recently, modern artificial intelligence (AI).

Malachowsky has been instrumental in managing, defining and driving the company's core technologies as it has grown from a startup to the global leader in visual and parallel computing. As an executive at NVIDIA, he has led numerous functions, including IT, operations and all facets of the company's product engineering. Most recently, he was responsible for NVIDIA's world-class research organization, which is chartered with developing the strategic technologies that will help drive the company's future growth and success.

Prior to NVIDIA, Malachowsky held engineering and technical leadership positions at HP and Sun Microsystems.

A recognized authority on integrated-circuit design and methodology, he has authored close to 40 patents. Malachowsky earned a Bachelor of Science in Electrical Engineering with high honors from the University of Florida and a Master of Science in Computer Science from Santa Clara University. Both schools have honored him with Distinguished Alumnus awards, and he is a 2019 inductee into the Florida Inventors Hall of Fame. At UF, he serves on the UF Foundation Executive Board, the Herbert Wertheim College of Engineering Dean's Advisory Board and Engineering West Coast Advisory Board.

Malachowsky currently serves on the boards of the Computer History Museum and Hiller Aviation Museum in Silicon Valley and previously served on the Los Angeles County Museum of Art's Art & Technology Lab board. Beyond his technical accomplishments, Malachowsky has also received an Emmy for a film he helped produce that won Best Documentary in 2009.



Herbert Wertheim College of Engineering Office of the Dean

300 Weil Hall PO Box 116550 Gainesville, FL 32611-6550 352-392-6000 352-392-9673 Fax

November 25, 2019

Dear Tom, I am writing you to share my support for the proposed naming gift from Chris Malachowsky for the University of Florida's new Data Science and Information Technology (DSIT) Building. As you know, this facility is a major university priority, and a gift from the Malachowskys would generate tremendous momentum toward our efforts to enhance educational accessibility and transform the future of digital learning. Chris is an alum of the UF Electrical and Computer Engineering Dept, and co-founded the global technology company NVIDIA in 1993. He holds almost 40 patents and has been instrumental in helping NVIDIA develop the architecture to drive innovation in artificial intelligence and machine learning. Because of his outstanding contributions to the development of the graphical processing unit (GPU), he was recently inducted into the Florida Inventors Hall of Fame. Chris has also been a transformational partner to the university by sparking conversations about our "Moonshot" projects, especially with the College of Engineering's Digital Transformation Initiative. When you consider their interests and legacy in technology, their efforts on behalf of the university and the size of their proposed gift, it becomes clear that the Malachowsky name would be an ideal choice to adorn our dynamic and important new DSIT building. This lead partnership initiative that will elevate UF into the most digitally literate and digitally responsible public university in the nation. I would like to propose naming the building in their honor and respectfully request your assistance in taking this matter to the Board of Trustees for approval. Thank you for your consideration. Cammy R. Abernathy

CC: Meg Hendryx, Assistant Vice President, UF Advancement

Dean, Herbert Wertheim College of Engineering



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES RESOLUTION

Number:

R19-224

itallisei.	NIJ ZZ-						
Subject:	Naming the "The N Technology Buildir	-	Data Scie	ence 8	& Informa	ation	
Date:	December 5, 2019						
commitments	WHEREAS, Chris Ito support the Univers	Malachowsky sity of Florida;	have ma	ade g	generous	and	significant
	WHEREAS, in grateful Science & Information echnology Building;"						
Science & Info	WHEREAS, the Univer rmation Technology B uilding;"						
conferred by t	WHEREAS , the Unive						g authority
	NOW, THEREFORE, the Science & Information or Technology B	Technology Bu				•	
Adopto	ed this 6th day of Dece	mber, 2019, by	the Unive	ersity o	f Florida B	oard of	Trustees.
Morteza Hoss	eini, Chair						_



COMMITTEE ON FACILITIES AND CAPITAL INVESTMENTS ACTION ITEM FCI8

December 5, 2019

SUBJECT: Naming: Billy Donovan Court, Exactech Arena at the Stephen C. O'Connell Center

BACKGROUND INFORMATION

In recognition of the tremendous contributions made to the University of Florida by former Head Men's Basketball Coach Billy Donovan, the University, University of Florida Foundation, and the University of Florida Athletic Association seek to name the basketball court at the Exactech Arena at the Stephen C. O'Connell Center the "Billy Donovan Court."

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve Resolution R19-225 to name the basketball court at the Exactech Arena at the Stephen C. O'Connell Center the "Billy Donovan Court" for recommendation to the Board of Trustees for approval on the Non-Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required, but Board of Governors' regulations require all facility, road, and landscape naming to be approved separately on the Non-Consent Agenda. [Note: BOG Reg. 9.002]

Supporting Documentation Included: See attached <u>materials</u> and <u>Resolution # R19-225</u>

Approved by the University of Florida Board of Trustees, December, 6, 2019

Submitted by: Thomas J. Mitchell, Executive Vice President, University of Florida Foundation and Vice President for Advancement

Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary



Billy Donovan



Billy Donovan is head coach for the Oklahoma City Thunder and is former Head Coach of the Florida Gators Men's Basketball Team.

Donovan was hired March 27, 1996 by former Florida athletic director Jeremy Foley. Over the course of 19 seasons with Florida, Donovan took a program that previously experienced small pockets of success and made history. Under his leadership, the Gators went to two National

Championships and three appearances in the title game, four Final Fours, six SEC Championships, three consecutive SEC Tournament titles from 2005-07 (adding a fourth in 2014), the No. 1 ranking in the nation in five different seasons, sixteen straight 20-win seasons, three 30-win seasons (including school records of 36 wins and a 30-game winning streak in 2013-14), and seventeen consecutive postseason appearances.

Donovan concluded his time at Florida with the second most wins for a SEC program and his 35 NCAA tournament wins rank as the most all-time among SEC coaches. His win total is more than twice that of any other coach in Florida history.

Coaching aside, Billy and his wife, Christine, were dedicated consummate model university citizens during their time at Florida and received multiple requests to speak and attend university, UF Foundation and alumni events. They also established an endowed scholarship fund to benefit the Machen Florida Opportunity Scholars program, and Billy regularly attended Florida Opportunity Scholars meetings and was prominently featured in a highlight video.

Donovan began his career as a graduate assistant coach, and later assistant coach, at Kentucky and was part of the Wildcats' Final Four run in 1993. From there, he was hired as head coach at Marshall where, in two short years, he won more than 60 percent of his games. Prior to coaching, Donovan enjoyed a four-year playing career at Providence College, where he led the Friars to their best season in school history and a trip to the Final Four in 1987. He was also a member of the New York Knicks during the 1987-88 season.

Donovan is one of only two people in the history of Division I college basketball who have played in a Final Four, served as an assistant coach on a Final Four team and participated in the Final Four as a head coach.

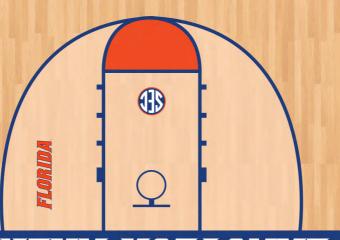
He and Christine have four children: Billy, Hasbrouck, Bryan and Connor.

EXACTECH ARENA

SATURS.



Beer Dower



EXACTECH ARENA

53/608

STEPHEN G O'GONNELL GENTER



SCOTT STRICKLIN DIRECTOR OF ATHLETICS SCOTTS@GATORS.UFL.EDU OFFICE (352) 375-4683 EXT. 6000 FAX (352) 384-2725

November 20, 2019

Tom Mitchell Vice President for Advancement University of Florida Advancement 1938 W. University Ave., Gainesville, FL 32603

Dear Tom,

I hope this letter finds you well.

I am writing you in reference to the proposed naming of "Billy Donovan Court" for Gator basketball games at Exactech Arena at the Stephen C O'Connell Center.

Coach Billy Donovan served as the Head Men's Basketball Coach for the University of Florida from 1996 until 2015. Under Donovan's leadership, the Gators experienced unprecedented success. During his 19 seasons, Donovan achieved a record of 467-185 including two National Championships, four Final Fours, and six SEC championships. We believe it is fitting to name the court in honor of Billy Donovan to celebrate his tremendous contribution to the University of Florida.

We would like to ask for your permission to move forward with this approach and request your support in bringing this matter to the attention of President Fuchs and the Board of Trustees.

Thank you for your consideration.

Scott Stricklin Athletics Director

CC: Phil Pharr, Executive Director, Gator Boosters





UNIVERSITY OF FLORIDA BOARD OF TRUSTEES RESOLUTION

Number:	K19-225		
Subject:	Naming the Billy Donovan Co O'Connell Center	urt, Exactech Arena at the Stephen C.	
Date:	December 5, 2019		
tremendous o	WHEREAS, former Head Men's contributions to the University of Flo	Basketball Coach Billy Donovan has ma orida;	ade
name the bas Donovan Cou	sketball court at the Exactech Arena	for these contributions, the University seeks at the Stephen C. O'Connell Center the "Billy	
		a Foundation and the University of Florida court at the Exactech Arena at the Stephen (C.
conferred by	WHEREAS, the University of Flo the Florida Board of Governors und	rida Board of Trustees has naming authorer its Regulations 1.001 and 9.005;	rity
that the bask the "Billy Dor	etball court at the Exactech Arena a	of Florida Board of Trustees hereby resolves t the Stephen C. O'Connell Center be named	
Adopt	ed this 6th day of December, 2019,	by the University of Florida Board of Trustee	2 S.
Morteza Hoss	seini, Chair	W. Kent Fuchs, President and Corporate Secretary	



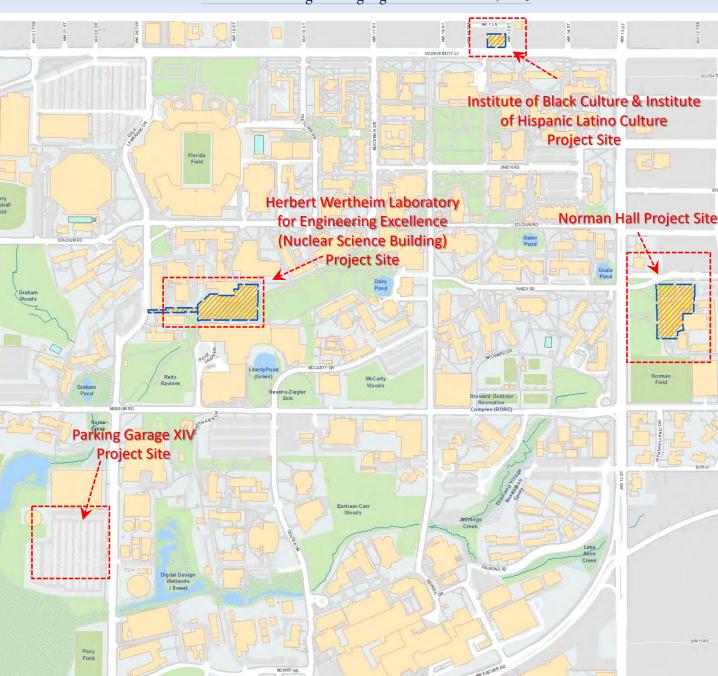
UNIVERSITY of FLORIDA

COMMITTEE on FACILITIES and CAPITAL INVESTMENTS

Construction Update

Committee Meeting December 4, 2019

Construction Program Highlights – December 4, 2019





Construction Program Highlights – December 4, 2019

Project:

UF-221 –Norman Hall Rehabilitation and College of Education Center Addition **Description**:

The project consists of the exterior rehabilitation and interior renovation of historic Norman Hall for the University of Florida, College of Education. Norman Hall, originally known as P.K. Yonge Laboratory School, is a historic academic building on the eastern campus of the University of Florida. It was designed by architect Rudolph Weaver and constructed in 1932. It was added to the U.S. National Register of Historic Places in 1990. The program includes the rehabilitation of the 80,000 GSF Norman Hall, plus the addition of a new 6,800 GSF (approximate) stand- alone College of Education Center. The rehabilitation and renovation will include upgrades to the building MEPF systems, the building envelope and glazing systems, ADA and other code compliance. In addition, the interior of the Norman Educational Library (1st and 2nd levels) will be renovated to enhance the indoor air quality, ADA & code compliance, technology, and aesthetics.

Current Project Budget: \$34,270,216
Architect: Walker Architects Inc.
Contractor: D E Scorpio Corporation

Status Update: Overall Construction 95% Complete. (Phase 1- Levels 1,2,3 occupancy in August 19, Ground Floor/Conference Center occupancy December 2019, Underground Utilities February

2020)







Construction Program Highlights – December 4, 2019

Project:

UF-608 -Parking Garage XIV

Description:

This project consists of a new parking garage to be located on the north portion of the Commuter Lot west of Gale Lemerand Drive and south of existing Parking Garage V. The new structure proposes 2,029 spaces on seven floors (1998 standard, 31 ADA) and will be approximately 642,000 GSF.

Current Project Budget: \$31,700,000

Architect: Davis Architects **Contractor:** Brasfield & Gorrie

Status Update: The project is currently 90% complete with Substantial completion scheduled for

February 2020.









Construction Program Highlights - December 4, 2019

Project:

UF-619 - Institute of Black Culture & Institute of Hispanic Latino Culture Facilities

Description:

This project replaces the deteriorated Institute of Black Culture & Institute of Hispanic Latino Culture buildings with new modern facilities of approximately 6,700 GSF each. The new facilities have been designed to efficiently present both cultures, and will help meet the needs of today's students while continuing to preserve the cultural histories that each represents.

Current Project Budget: \$9,871,048

Architect: DLR Group

Contractor: Foresight Construction Group

Status Update:. The project is currently at Substantial completion.





Construction Program Highlights - December 4, 2019

Project:

UF-461 – Herbert Wertheim Laboratory for Engineering Excellence (Nuclear Science Building) **Description:**

The renovation and addition to the current Nuclear Sciences Building will provide approximately 87,000 sq. feet for a new and renovated state-of-the-art educational environment to bring together interdisciplinary faculty, undergraduates and graduate students in collision spaces that compel collaboration. The location of the building is a key feature. Located in the center of campus and facing the Reitz Union, pedestrian walkways and intersections, this building provides a centerpiece for the face of innovation on UF's campus. University, private and government funds will be used to: 1) provide state-of-the-art, high-technology instruction and research lab space to better address the educational needs of an expanding enrollment; 2) expand instructional program opportunities, including recruitment and retention; 3) foster industry partnerships; 4) expand interdisciplinary research funding.

Current Project Budget: \$72,316,512

Architect: Grimshaw Architects **Contractor:** Whiting Turner

Status Update: Construction is currently 75% Complete, Phase 1 Substantial Completion scheduled for January 2020, Phase 2 Substantial Completion scheduled for April 2020.





University of Florida Board of Trustees Construction Projects Update

Unit: Planning, Design, and Construction Division

Meeting Date: December 4, 2019



UF Major Projects The Foundation for The Gator Nation Project Budget Construction Budget Substantial Project Change Change Number Description Current Previous Current AE Contractor Completion Status Otr.-3&4 Otr.-3&4 UAA-41 Florida Ballpark (Baseball) 65,000,000 37.861.000 53,594,700 Brasfield & Gorrie LLC Jun-20 Construction 50% Complete Populous Walker Architects Inc UF-221 Norman Hall Remodeling and \$ 34,270,216 \$ 1,359,303 \$ 24,471,300 \$ 26,630,015 \$ 1,130,015 D E Scorpio Corporation Aug-19 Overall Construction 95% Complete. (Phase 1- Levels 1,2,3 occupancy in August 19, Addition Ground Floor/Conference Center occupancy December 2019, Underground Utilities February 2020) LJF-394 PK Yonge Developmental School \$ 28,000,000 \$ 17.022.000 \$ 23.200.000 Schenkel & Shultz, Inc. Parrish McCall Oct-20 Construction 2% Complete Phase II Constructors Inc UF-461 Herbert Wertheim Laboratory for \$ 72,316,512 \$ 38,000,000 \$ 47,137,911 Grimshaw Architects Whiting Turner Apr-20 Construction 75% Complete, Phase 1 SC Engineering Excellence (Nuclear Jan/20, Phase 2 SC April/20 Science Building) UF-608 Parking Garage XIV \$ 31,700,000 30,300,000 \$ 29,645,754 Davis Architects Brasfield & Gorrie LLC Feb-20 Construction 90% Complete UF-615 Electrical Substation 2 Cable and 6,010,162 4,825,000 \$ 5,015,769 **RMF** Engineering D E Scorpio Corporation Feb-20 Construction 65% Complete Switchgear Replacement UF-619 Institute of Black Culture & Institute 9,871,048 \$ 971.048 6,850,000 \$ 8,066,725 \$ 1,216,725 DLR Group Foresight Construction Nov-19 Substantial Completion of Hispanic Latino Culture Facility Group UF-622 VET Med Central Energy Plant \$ 25,000,000 25,000,000 \$ 25,000,000 Siemens Industry, Inc., Brentwood Company Jun-20 Construction 40% Complete (ESCO) Building Technologies/I.C. Thomasson UF-634 UF IPI Wildlight \$ 30,817,898 \$ 20,548,273 \$ 20,548,273 Greshem Smith Brasfield & Gorrie LLC Nov-19 Substantial Completion Ambulatory/Medical Office Building Yulee 9 Total Construction Phase Projects \$ 302,985,836 \$ 204,877,573 \$ 238,839,147 UAA-53 Football Training Complex \$ 59,961,700 49,168,600 \$ 49,168,600 Hellmuth Obata & Parrish McCall Dec-21 60% Construction Documents Training Kassabaum Constructors Inc Complex, Maintenance Building construction started ECD/April 2020 UF-623B Thermal Utilities Infrastructure \$ 50,000,000 \$ 48,000,000 \$ 48,000,000 Jacobs Engineering CPPI TBD Construction Documents (Museum Rd) UF-632 Data Science and Information \$ 135,000,000 96,000,000 \$ 97,200,000 Bohlin Cywinski Ajax Building Corporation Oct-22 Advanced Schematic Design Technology Building **Jackson** UF-642 SW Campus Transportation Road 9,400,000 7,877,500 \$ 7.877.500 IB Professional Group TBD TBD Advanced Schematic Design Improvement Broward Hall Phase II Renovation 6,400,000 5,300,000 \$ 5,300,000 Tekton Architecture Oelrich Construction Aug-20 Fast Track Design in progress 5 Total Design Phase Projects \$ 260,761,700 \$ 206,346,100 \$ 207,546,100 UF-200 University Public Safety Building \$ 26,500,000 21,000,000 \$ 21,000,000 Schenkel & Shultz, Inc. TBD TBD AE Selected, Facility Program Development (Police Department) UF-373 UF-373 - FLMNH Special Collections 8,000,000 6,500,000 \$ 6,500,000 TBD TBD TBD D/B Selection in progress, Facility Program Building (Alcohol Storage) Development 32,000,000 \$ 32,000,000 UF-401 Music Building Renovations & \$ 38,400,000 TBD TBD AE Selection/Scope to proceed based upon TBD Addition \$5.9M Appropriation UF-623 Central Energy Plant & Utilities TBD TBD TBD TBD TBD Planning Jacobs Engineering Infrastructure UF-638 Student Health Care Center Phase 2 \$ 22,000,000 \$ 17,600,000 \$ 17,600,000 Walker Architects Inc TBD TBD AE Selected, CM Advertisement in progress (Infirmary) UF-626 Powell University House TBD TBD TBD TBD TBD TBD AE Selection

Project		Project I	Budget	Co	onstruction Budg	<u>et</u>			Substantial	
Number	Description	Current	Change Qtr3&4	Previous	Current	Change Qtr3&4	AE	Contractor	Completion	Status
UF-644	Reitz Union Lawn Inner Road Thermal Infrastructure Improvements	\$ 20,000,000		TBD	TBD		RMF Engineering	TBD	TBD A	E Contract Negotiations
UF-640	Blueberry Research Facility	\$ 4,924,490		\$ 3,500,000	\$ 3,500,000		TBD	TBD	TBD A	E Selection
8 Total Pla	nnning Phase Projects	\$ 119,824,490		\$ 80,600,000	\$ 80,600,000					
22 Active	Projects	\$ 683,572,026			\$ 526,985,247					
FCO Ame	ndment Projects as of 12/4/2019									
UF-645	Shealy Drive Parking Deck	\$ 3,000,000		\$ 2,460,000	\$ 2,460,000		TBD	TBD	TBD Fa	acility Program Development
UF-641	East Campus Data Center Utility Upgrade	\$ 4,124,964		\$ 3,500,000	\$ 3,500,000		TBD	TBD	TBD Fa	acility Program Development
2 Total FC	O Amendment Projects	\$ 7,124,964		\$ 5,960,000	\$ 5,960,000					
Complete	d Projects Summary									
	eted Projects This Period									
Project &	Construction Budget changes grouped b	y project # are a	s indicated b	•						

Additional CITF & Student Affairs Funding received to cover full original GMP scope and completion of the project

Additional Funding added to the project for Starbucks/POD Food Vending buildout/Equipment, Utilities Steam/Man Hole, Building Waterproofing, Covered Walkway, Design. (\$1,359,303)

UF-221

UF-619

Norman Hall Remodeling and

Institute of Black Culture & Institute of Hispanic Latino Culture Facility

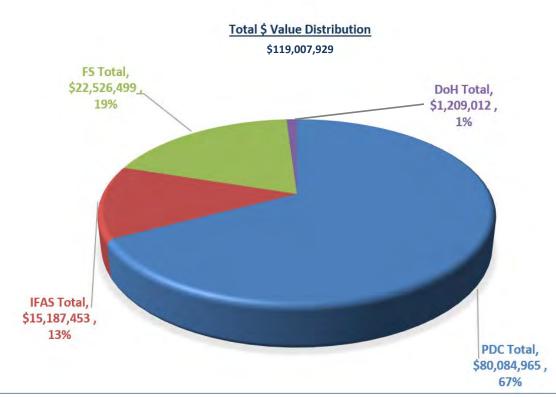
Addition

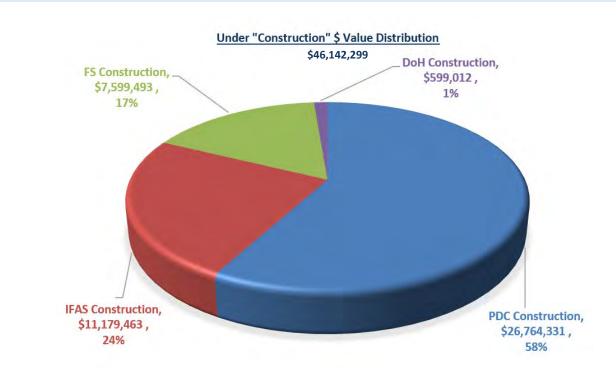


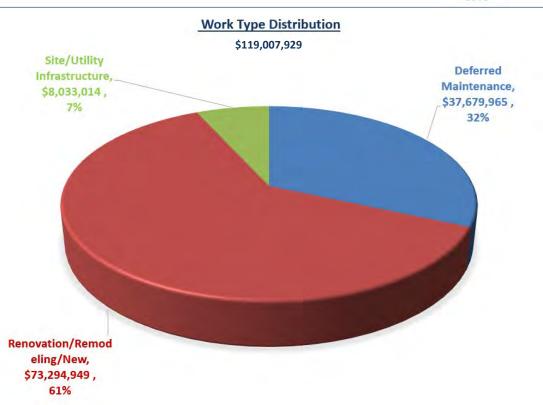
Board of Trustees COMMITTEE on FACILITIES and CAPITAL INVESTMENTS

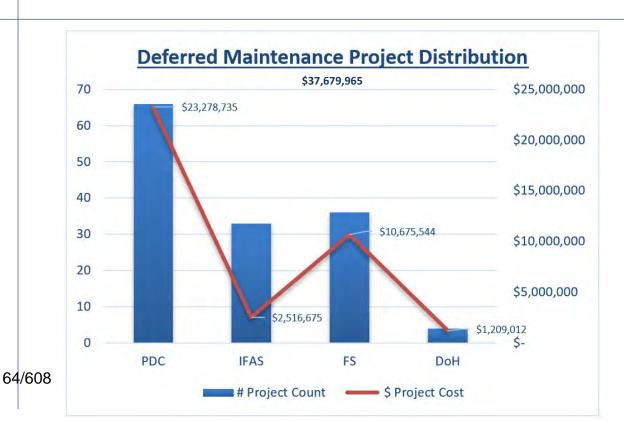
Minor Construction Projects – Activity Overview

December 4, 2019









University of Florida Board of Trustees Minor Construction Projects Update

<u>Units: Housing - IFAS - Planning Design and Construction - Facilities Services</u>

Meeting Date: December 4, 2019



	Weeting Date: December 4, 2019			PROJ		CONST			
PROJECT	NAME	PHASE		BUDGET		BUDGET	SCDATE	STATUS	ORG
	0021- Florida Gym- ReRoof	Construction	Ś	1,559,994	_			Construction proceeding - 95%	PDC
MP01602	Building 476 - Hitchcock Field and Fork Food Pantry - Phase II	Construction	\$	714,615				Substantial completion	PDC
MP01659	0100- Williamson Hall- Design and Install Fire Sprinkler System- Phase 3	Construction	\$	725,000				Construction proceeding - 95%	PDC
MP02020	0445-MSB M125 Suite Renovation	Construction	\$	270,811				Construction proceeding - 65%	PDC
MP02452	0309 - Harn Museum - Water Intrusion North Wall	Construction	\$	548,740		•		Substantial completion	PDC
MP02632	0724 - Black Hall - Replace Domestic Water Piping	Construction	\$	841,530				Construction proceeding - 90%	PDC
MP02664	0092- Physics Building Re-Roof Phase 1	Construction	\$	2,096,477				Construction proceeding - 85%	PDC
MP02801	203 - Communicore - Design and Install Fan Walls in AHU- 2A and 2B	Construction	\$	1,952,282				Construction proceeding - 95%	PDC
MP03083	Building 3381 - HUMAN RESOURCES BUILDING Service Center Renovation	Construction	\$	2,285,167				Substantial completion	PDC
MP03575	0010- Griffin-Floyd Re-Roof Design	Construction	\$	1,144,461		<u> </u>		Construction proceeding - 95%	PDC
MP03789	Parking Garages - Fulfill 2017-18 Repairs	Construction	\$	1,471,968				Construction proceeding - 95%	PDC
MP03844	Parking Lot south of Kangaroo/Leonardo's	Construction	\$	400,000				Substantial completion	PDC
MP03963	0668 ECL Remodel for FLARE Project	Construction	\$	394,532				Construction proceeding - 90%	PDC
MP04032	0021-FL Gym - New donor wall outside of Deans suite	Construction	\$	61,298				Construction proceeding - 70%	PDC
MP04055	Building 059 - Renovate LG Labs 139-160 into open lab space.	Construction	\$	1,381,772		•		Substantial completion	PDC
MP04094	Bldg 0886 Welcome Ctr Business Affairs Suite & Gator One Remodel		\$	512,247				Construction Started	PDC
MP04169	23 - Van Fleet Hall - A/C-VRF System Renovation and Parking Improvements	Construction Construction	\$	1,500,000				Construction started Construction proceeding - 95%	PDC
			\$				11-21-2019		PDC
MP04342	03030- Renovation FLMNH Warehouse	Construction	\$	290,169 273,875				Construction proceeding - 95%	PDC
MP04402	032- 0170/171 - Hub - International Center Lobby Remodel	Construction	\$			•		Construction proceeding - 70%	
MP04464	UF IT Move to 800 Bldg on SW 2nd Ave (2nd floor)	Construction	- 7	1,762,325				Substantial completion	PDC
MP04490	Band Practice Field Pavilion	Construction	\$	714,275				Construction proceeding - 95%	PDC
MP04512	0445 - Stetson Medical Sciences - P Wing Lab Renovations	Construction	\$	261,745				Construction proceeding - 75%	PDC
MP04546	1147 - Eastside Clinic - Divert Water From Building	Construction	\$	25,000				Construction proceeding - 95%	PDC
MP04566	0689 - Library West - 2nd Floor East Wing Remodel	Construction	\$	707,883				Construction proceeding - 45%	PDC
MP04580	0003-Walker Hall - Office Suite102-106 renovation	Construction	\$	175,849				Construction proceeding - 55%	PDC
MP04632	1041 - Sid Martin - Lab Control Upgrade	Construction	\$	113,984		•		Construction Started	PDC
MP04673		Construction	\$	165,000		•		Project complete	PDC
MP04687	0094 - Stephen C. O'Connell Center, M1330-Install New Booster Pump	Construction	\$	97,500				PO Requested	PDC
MP04705	0689-Library West - new counters- several areas	Construction	\$	187,138				Construction proceeding - 90%	PDC
MP04712	315 - Phillips Center - Replace Boiler	Construction	\$	58,000		•		Construction proceeding - 95%	PDC
MP04848	0005- Smathers Library - Processing Space	Construction	\$	36,500				Construction proceeding - 95%	PDC
MP04853	341 - McGuire Center - Strobic Fans Replacement	Construction	\$	44,500				Construction proceeding - 95%	PDC
MP04869	0445 - Stetson Medical Sciences - P wing 6th Floor Fume Hood Relocation	Construction	\$	38,700				Construction proceeding - 90%	PDC
MP04883	0275-Hernandez Hall- Install easywater treatment system to PCW loop	Construction	\$	23,822			01-17-2020	Construction Started	PDC
MP04910	0759 - Bruton-Geer Hall - Solar and Roof Work	Construction	\$	291,346		•		Early Sitework Proceeding	PDC
MP04937	0316 - Southwest Recreation Center - Emergency Management Upgrades	Construction	\$	328,285				Waiting on GMP	PDC
MP04940	0203 - Communicore - Brick Facade Repair, Exterior Foundation and Floor	Construction	\$	67,276				Construction proceeding - 75%	PDC
MP04945	0203- Communicore - Elevator Modernization (Units 203-15; 203-16)	Construction	\$	543,500				Waiting on GMP	PDC
MP05010	0268 - Architecture Building - Gallery 222 Upgrades	Construction	\$	136,254				PO Requested	PDC
MP05031	0205-Dental Science - Lab Renovations	Construction	\$	298,531				Construction proceeding - 80%	PDC
MP05077	0029 - David Stuzin Hall, Replace the 250kW Generator and Automatic Transfe	Construction	\$	85,000	\$	85,000	03-28-2020	Construction proceeding - 95%	PDC

MROS079 0024 CSE - Rm £209 - Add room to building through Can fermode for staffling Construction \$ 3,200 66,842 333-3200 PO Requested POC MROS090 0029 - Dental Science Building, Roof Flashing Repairs Construction \$ 31,00 \$ 1,101 12-200 Doctoration proceeding; 99% POC MROS090 0029 - Dental Science Building, Roof Flashing Repairs Construction \$ 10,00 \$ 1,101 12-2019 Sobstantial completion POC MROS090 POC MROS090 POC					PROJ	CONST			
MP05090 2002 CSE. Rm E209 - Add room to building HVAC and remoted for staffing Construction S 3,100 S 68,422 B3 31,200 Por Requested POC MP05109 Building 1909 Wilmot Gardens - Grading and Drainage Improvements Construction S 31,00 S 12,000 D12,242,200 Construction proceeding - 75% POC MP05103 Building 1909 Wilmot Gardens - Grading and Drainage Improvements Construction S 70,000 S 2,000 112,402,101 Substantial Completion POC MP05103 Building 1909 Wilmot Gardens - Grading and Drainage Improvements Construction S 70,000 S 2,000 112,402,101 Substantial Completion POC MP05103 Building 1005 - Library Signage Construction S 70,000 S 6,000 T 12,402,101 Substantial Completion POC MP05103 Building 1005 - Library Signage Construction S 70,000 S 6,000 T 12,402,101 Construction POC MP05103 Construction S 70,000 S 6,000 S	PROJECT	NAME	PHASE				SCDATE	STATUS	ORG
M995130 1926						\$			
Memosiss 17.1 March Fred Hall Bus Shefter Construction S 16.910 S 13.901 19.2019 Institution proceeding - 75% PDC Memosiss Birling (DSF - Library) Signage Construction S 70.000 S 25,000 11.90219 Sometimatic completion PDC Memosiss Birling (DSF - Library) Signage Construction S 70.000				-					PDC
Memos223 Bulding 500- Hardy Signage Construction \$ 7,000 \$ 1,3577 \$ 1,26039 \$ substantial competion PDC Memos223 Bulding 1040- Blote-shnology in J. 1021-MECHANICAL AREA - Boller Replacement Construction \$ 7,67,06 \$ 1,9500 \$ 1,216-2019 Construction proceeding - 20% PDC Memos227 2041-VPC Lost - New memosal bulding to replace existing Construction \$ 2,67,06 \$ 1,9500 \$ 2,16-2019 Construction proceeding - 20% PDC Memos239 Ilding Construction \$ 2,000 \$ 3,000 \$ 2,000 20,000 Construction proceeding - 20% PDC Memos393 Ilding Construction \$ 2,000 \$ 3,000 \$ 3,000 \$ 2,000 Construction PDC Memos394 Ilding Construction \$ 2,000 \$ 3,000 \$ 3,000 Construction PDC Memos394 Ilding Construction \$ 2,000 \$ 5,000 \$ 1,000 Construction PDC Memos394 Ilding Construction \$ 2,000 \$ 1,000 \$ 1,000 Construction PDC Memos394 Ilding Construction \$ 2,000 \$ 1,000 \$ 1,000 Construction PDC Memos394 Ilding Construction \$ 2,000 \$ 1,000 Construction PDC Memos394 Ilding Construction \$ 2,000 \$ 1,000 Construction PDC Memos394 Ilding Construction \$ 2,000 \$ 1,000 Construction PDC Memos394 Ilding Construction PDC M			Construction	\$					PDC
MP09232 Building 009 - Bitterformology 41, 8201-MICMANICAL AREA - Boiler Replacement S. 70,000 5 76,000 5 76,000 21-80290 Construction proceeding - 20% PDC MP09237 Validing 1009 - Bitterformology 41, 8201-MICMANICAL AREA - Boiler Replacement Construction \$ 320,574 \$ 303,405 303-10200 POR Requested PDC MP09237 Validing 1009 - Bitterformology 41, 8201-MICMANICAL AREA - Boiler Replacement Construction \$ 320,574 \$ 303,405 303-10200 POR Requested PDC MP09330 1218-C2019 Construction \$ 22,411 \$ 22,411 51-232-2020 Construction PDC MP09330 Bitter State PDC MP09330 Alex Processed PDC M			Construction	\$					PDC
MP09277 2014 1016 2014								·	PDC
MR09339 137-5 Orthopaetics : 37-20 & 41H Floor Remodel Construction \$ 320,574 \$ 330,465 \$ 3-91.2000 Followed PDC MR09339 137-5 Orthopaetics : 37-20 & 41H Floor Remodel Construction \$ 22,411 \$ 22,2411 \$ 12-3200 Construction S 20,000 MR09339 137-200 MR09339 137-200 MR09339 MR09349 MR				_				· ·	PDC
MP05395 18/20 20038 Ryamt Wall removal between com 30 and 130A Construction S 8,701 S 5,4221 D 12-2020 Construction PDC MP05395 Mg0038 Ryamt Wall removal between com 30 and 130A Construction S 31,987 S 31,987 12-0.2019 Construction PDC MP05419 OSP MP05			Construction	\$					PDC
MPD9395 Bigg 0038 Bryant Wall removal between room 130 and 130A Construction \$ 22,411 \$ 22,411 \$ 1,23-2020 Construction Started PDC MPD9315 405°- Wabilury South Shore - Erosion Control Miligation Construction \$ 73,114 \$ 79,114 \$ 1,120.2019 Substantial completion PDC MPD9359 Apres 720 Building - Internal Audit Staff Renovation Construction \$ 73,114 \$ 79,114 \$ 1,120.2019 Substantial completion PDC MPD9359 Apres 720 Building - Internal Audit Staff Renovation Construction \$ 72,757 \$ 24,160 \$ 12-04-2019 Construction Started PDC MPD9359 Apres 720 Building - Internal Audit Staff Renovation Construction \$ 20,000 \$ 2,000.00 \$ 1,205-2019 Construction Started PDC MPD9351 Building 0032 - HUB - Addition of fire lite to 5 doors Construction \$ 30,000 \$ 2,000.00 \$ 1,205-2019 Construction Started PDC MPD9359 Apres 720 Building - Addition of fire lite to 5 doors Construction \$ 334,403 \$ 2,981.10 \$ 1,228.2019 Construction Started PDC MPD9359 Apres 720 Building 0032 - HUB - Addition of fire lite to 5 doors Construction \$ 334,403 \$ 2,981.10 \$ 1,228.2019 Construction proceeding - 20% PDC MPD9359 Apres 720 Building 0032 - HUB - Addition of fire lite to 5 doors Construction \$ 334,403 \$ 2,981.10 \$ 1,228.2019 Construction proceeding - 20% PDC MPD9359 Apres 720 Building 0032 - HUB - 4,000 Apres 720 Bui	MP05304	1178 - Orthopaedics - 3rd & 4th Floor Remodel	Construction	\$		54,221	02-15-2020	Construction proceeding - 40%	PDC
MPD65131 G87 - H. Philip Constants Theatret, Q055-Office Split Construction \$ 33,987 \$ 31,897 \$ 12.10-2019 Construction proceeding - 95% PDC MPD65539 Ayes 720 Building - Internal Audit Staff Renovation Construction \$ 77,700 \$ 525,000 04-01-2020 Construction PDC MPD65539 Ayes 720 Building - Internal Audit Staff Renovation PDC Construction \$ 77,700 \$ 525,000 04-01-2020 Construction Started PDC MPD6567 Age 2-ECOB - 3rd Plore Wall between IFAS / Pharmacy Construction \$ 27,700 \$ 24,160 \$ 12-04-2019 Construction Started PDC MPD6573 Building 0032 - HUB - Addition of fire-life to 5 doors Construction \$ 4,500 \$ 4,500 \$ 12-02-2019 Construction Started PDC MPD6733 Building 0032 - HUB - Addition of fire-life to 5 doors Construction \$ 334,403 \$ 28,8116 \$ 12-28-2019 Construction proceeding - 50% PDC MPD6733 Building 0032 - HUB - Addition of fire-life to 5 doors Construction \$ 315,000 \$ 4,500 \$ 12-02-2019 Construction proceeding - 50% PDC MPD6734 Construction proceeding - 50% PDC MPD6734 Construction proceeding - 50% PDC MPD67354 Construction \$ 310,000 \$ 266,000 65-25-2018 Construction proceeding - 50% PDC MPD67354 Construction \$ 510,000 \$ 266,000 65-25-2018 Construction proceeding - 50% PDC MPD67354 Construction \$ 510,000 \$ 266,000 65-25-2018 Construction proceeding - 50% PDC MPD67354 Construction \$ 510,000 \$ 266,000 65-25-2018 Construction proceeding - 50% PDC MPD67354 Construction \$ 510,000 \$ 266,000 65-25-2018 Construction proceeding - 50% PDC MPD67354 Construction proceeding - 50% PDC	MP05395	Bldg 0038 Bryant Wall removal between room 130 and 130A		\$					PDC
MP05533 Ayers 720 Building - Internal Audit Staff Renovation Construction S 577,000 S 52,000 Construction Started PDC MP05637 Idg 111 Daver Kinchenette Refersh from 0m 103A 8 0103B Construction S 27,576 24,160 204-2019 Construction Started PDC MP05637 Idg 111 Daver Kinchenette Refersh from 0m 103A 8 0103B Construction S 20,000 S 20,000 2.05-2019 Construction Started PDC MP05637 Building 0032 - HUB - Addition of fire-lite to 5 doors Construction S 34,600 S 20,000 2.05-2019 Construction proceeding - 20% PDC MP0573 Building 0032 - HUB - Addition of fire-lite to 5 doors Construction S 334,403 S 298,116 12-22-2019 Construction proceeding - 20% PDC MP0573 Construction S 34,700 S 26,500 Construction S 34,700 S 22,000 Construction PDC MP0574 Construction S 34,700 S 26,500 Construction PDC MP0574 Construction S 34,700 S 26,500 Construction PDC MP0574 Construction S 34,700 S 3			Construction	\$		31,987	12-10-2019	Construction proceeding - 95%	PDC
MP05533 Ayers 720 Building - Internal Audit Staff Renovation Construction S 577,000 S 52,000 Construction Started PDC MP05637 Idg 111 Daver Kinchenette Refersh from 0m 103A 8 0103B Construction S 27,576 24,160 204-2019 Construction Started PDC MP05637 Idg 111 Daver Kinchenette Refersh from 0m 103A 8 0103B Construction S 20,000 S 20,000 2.05-2019 Construction Started PDC MP05637 Building 0032 - HUB - Addition of fire-lite to 5 doors Construction S 34,600 S 20,000 2.05-2019 Construction proceeding - 20% PDC MP0573 Building 0032 - HUB - Addition of fire-lite to 5 doors Construction S 334,403 S 298,116 12-22-2019 Construction proceeding - 20% PDC MP0573 Construction S 34,700 S 26,500 Construction S 34,700 S 22,000 Construction PDC MP0574 Construction S 34,700 S 26,500 Construction PDC MP0574 Construction S 34,700 S 26,500 Construction PDC MP0574 Construction S 34,700 S 3	MP05519	1405 - Wauburg South Shore - Erosion Control Mitigation	Construction	\$					PDC
MPD5531 1816 1817 1818 1817 1818	MP05539	Ayers 720 Building - Internal Audit Staff Renovation	Construction	\$	577,000	\$ 525,000	04-01-2020	Construction Started	PDC
MPD67313 Bullding 0023 - HUB - Addition of fire-life to 5 doors	MP05614	Bldg 0111 Dauer kitchenette Refresh room 0103A & 0103B	Construction	\$		24,160	12-04-2019	Construction Started	PDC
MP05713 Bullding 0023 - HUB - Addition of fire-lite to 5 doors	MP05637	1628 - ECOB - 3rd Floor Wall between IFAS / Pharmacy	Construction	\$	20,000	\$ 20,000	12-05-2019	Construction Started	PDC
UA-455 Locker Room HVAC Upgrades	MP05713		Construction	\$	4,500	\$ 4,500	12-02-2019	Construction proceeding - 50%	PDC
UP-461A Wertheim Chilled Water utility upgrades Construction S 157,800 S 3,470 L2-14-2019 Construction proceeding - 95% PDC	MP3622	3906 - Ribera House - Ribera Yard Landscape Phase I	Construction	\$	334,403	\$ 298,116	12-28-2019	Construction proceeding - 20%	PDC
Construction Count	UAA-55	Locker Room HVAC Upgrades	Construction	\$	310,000	\$ 266,000	05-25-2018	Construction proceeding - 95%	PDC
MP02132 Building 2012 - Raoru 1203 Simulation & Learning Lab Design S 1,795,000 S 1,620,000 10-01-2020 Design Development PDC	UF-461A	Wertheim Chilled Water utility upgrades	Construction	\$	157,800	\$ 3,470	12-14-2019	Construction proceeding - 95%	PDC
MP03762 Building 0212 - Room 1203 Simulation & Learning Lab Design \$ 2,010,460 \$ 1,880,000 12-21-2020 Design Development PDC		Construction Count	59	\$	26,764,331	\$ 24,101,255			
MP03300 Building 266 - Racquet Club Dining Center - Remodel Dining Area Design \$ 1,711,000 \$ 1,670,000 10-01-2020 PO Requested PDC	MP00213	Building 689 - Library West Colonnade Renovation	Design	\$	1,795,000	\$ 1,620,000	10-01-2020	100% CDs	PDC
MP03301 0341 - McGuire Center - 0111 - Replace Butterfly Exhibit Bridges Design \$ 65,000 \$ 55,000 01-11-2020 100% CDs PDC MP03367 Building 1377 - Aquatics Saltwater Loop - Saltwater Temp Control System Design \$ 44,400 \$ 40,000 07-01-2020 100% CDs PDC MP03366 Building 205 - 4th floor Lab Renovations Design \$ 200,000 \$ 1,750,000 \$ 1,582,000 10-01-2020 Design Development PDC MP03396 Building 203 - CG-023 Renovation. Design \$ 200,000 \$ 1,693,000 90-1-2020 PDR equested PDC MP03957 Q24 - Weil Hall 5th floor HVAC and East Renovation Design \$ 400,000 \$ 35,000 90-1-2020 PDR equested PDC MP04097 Building 308 - Temporary Gallery Renovation Design \$ 400,000 \$ 35,000 80-17-2020 Design Development PDC MP04011 Building 308 - Powell Hall - Rainforest landscape lighting area redesign. Design \$ 250,000 \$ 225,000 07-01-2020 Design Development PDC MP04050 Building 599 - MBI Upper roof(s) replacement	MP02762	Building 0212 - Room 1203 Simulation & Learning Lab	Design	\$	2,010,460	\$ 1,880,000	12-21-2020	Design Development	PDC
MP03367 Building 1377 - Aquatics Saltwater Loop - Saltwater Temp Control System Design \$ 44,400 \$ 40,000 07-01-2020 100% CDs PDC	MP03300	Building 266 - Racquet Club Dining Center - Remodel Dining Area	Design	\$	1,711,000	\$ 1,670,000	10-01-2020	PO Requested	PDC
MP03756 Building 205 - 4th floor Lab Renovations Design \$ 1,700,000 \$ 1,582,000 10-12-202 Design Development PDC MP03846 0215 VCS or 0217 VMMB - Select Space for Robotic Xray Equipment Design \$ 200,000 \$ 1,75,000 6-28-2020 Design Development PDC MP03906 Building 203 - CG-023 Renovation. Design \$ 1,750,000 \$ 1,693,000 09-01-2020 PO Requested PDC MP04009 Building 303 - Temporary Gallery Renovation Design \$ 1,311,249 \$ 1,208,304 12-01-2019 100% CDs PDC MP04011 Building 308 - Powell Hall - Rainforest landscape lighting area redesign. Design \$ 250,000 \$ 25,000 07-01-2020 Design Development PDC MP04015 Building 509 - Renovate L1 labs131-168 into open lab space. Design \$ 1,933,000 \$ 1,880,000 08-01-2020 Design proceeding PDC MP04056 Building 509 - Renovate L1 labs131-168 into open lab space. Design \$ 1,933,000 \$ 1,800,000 08-01-2020 Design proceeding PDC MP040267 T178 - Orthopaedics - 4206 - Radiology Renovation <td>MP03301</td> <td>0341 - McGuire Center - 0111 - Replace Butterfly Exhibit Bridges</td> <td>Design</td> <td>\$</td> <td>65,000</td> <td>\$ 55,000</td> <td>01-11-2020</td> <td>100% CDs</td> <td>PDC</td>	MP03301	0341 - McGuire Center - 0111 - Replace Butterfly Exhibit Bridges	Design	\$	65,000	\$ 55,000	01-11-2020	100% CDs	PDC
MP03846 0215 VCS or 0217 VMMB - Select Space for Robotic Xray Equipment Design \$ 200,000 \$ 175,000 06-28-2020 Design Development PDC MP03996 Building 203 - CG-023 Renovation. Design \$ 1,750,000 \$ 1,693,000 09-01-2020 PO Requested PDC MP03957 O24 - Weil Hall 5th floor HVAC and East Renovation Design \$ 1,311,249 \$ 1,208,304 12-01-2019 100% CDs PDC MP04091 Building 308 - Temporary Gallery Renovation Design \$ 400,000 \$ 354,000 88-17-2020 Design Development PDC MP04011 Building 308 - Powell Hall - Rainforest landscape lighting area redesign. Design \$ 250,000 \$ 225,000 07-01-2020 Design Development PDC MP04052 Building 599 - MBI Upper roof(s) replacement Design \$ 1,833,148 \$ 1,860,000 05-02-2020 100% CDs PDC MP04056 Building 599 - Renovate L1 labs131-168 into open lab space. Design \$ 1,953,000 \$ 1,800,000 05-02-2020 100% CDs PDC MP04056 Building 599 - Renovate L1 labs131-168 into open lab space. D	MP03367	Building 1377 - Aquatics Saltwater Loop - Saltwater Temp Control System	Design	\$	44,400	\$ 40,000	07-01-2020	100% CDs	PDC
MP03906 Building 203 - CG-023 Renovation. Design \$ 1,750,000 \$ 1,693,000 09-01-2020 PO Requested PDC MP03957 024 - Weil Hall Sth floor HVAC and East Renovation Design \$ 1,311,249 \$ 1,208,304 12-01-2019 100% CDs PDC MP04009 Building 308 - Femporary Gallery Renovation Design \$ 400,000 \$ 354,000 08-17-2020 Design Development PDC MP04012 Building 308 - Powell Hall - Rainforest landscape lighting area redesign. Design \$ 250,000 \$ 225,000 07-01-2020 100% CDs PDC MP04052 Building 059 - MBI Upper roof(s) replacement Design \$ 1,833,148 \$ 1,680,000 05-02-2020 100% CDs PDC MP04052 Building 059 - Renovate L1 labs131-168 into open lab space. Design \$ 1,833,148 \$ 1,680,000 08-01-2020 Design proceeding PDC MP04056 Building 699 - Renovate L1 labs131-168 into open lab space. Design \$ 1,953,000 \$ 1,800,000 88-01-2020 Design proceeding PDC MP04056 Building 699 - Renovate L1 labs131-168 into open lab space. Des	MP03756	Building 205 - 4th floor Lab Renovations	Design	\$	1,700,000	\$ 1,582,000	10-01-2020	Design Development	PDC
MP03957 024 - Weil Hall 5th floor HVAC and East Renovation Design \$ 1,311,249 \$ 1,208,304 12-01-2019 100% CDs PDC MP04009 Building 308 - Temporary Gallery Renovation Design \$ 400,000 \$ 354,000 08-17-2020 Design Development PDC MP04011 Building 308 - Powell Hall - Rainforest landscape lighting area redesign. Design \$ 250,000 \$ 225,000 07-01-2020 100% CDs PDC MP04052 Building 059 - MBI Upper roof(s) replacement Design \$ 1,833,148 \$ 1,680,000 05-02-2020 100% CDs PDC MP04093 UF Veterinary Medicine and FWC Pathology Laboratory Building Design \$ 2,307,833 \$ 1,905,000 08-01-2020 Design Development PDC MP04093 UF Veterinary Medicine and FWC Pathology Renovation Design \$ 2,307,833 \$ 1,905,000 03-20-2020 Design Development PDC MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 1,209,110 \$ 1,123,500 05-01-2020 Conceptual Schematic PDC MP044267 1579 - Greek Monuments - Reitz Union Lawn D	MP03846	0215 VCS or 0217 VMMB - Select Space for Robotic Xray Equipment	Design	\$	200,000	\$ 175,000	06-28-2020	Design Development	PDC
MP04009 Building 308 - Temporary Gallery Renovation Design \$ 400,000 \$ 354,000 08-17-2020 Design Development PDC MP04011 Building 308 - Powell Hall - Rainforest landscape lighting area redesign. Design \$ 250,000 \$ 225,000 07-01-2020 100% CDs PDC MP04052 Building 059 - MBI Upper roof(s) replacement Design \$ 1,833,148 \$ 1,680,000 05-02-2020 100% CDs PDC MP04056 Building 059 - Renovate L1 labs131-168 into open lab space. Design \$ 1,953,000 \$ 1,800,000 08-01-2020 Design proceeding PDC MP04093 UF Veterinary Medicine and FWC Pathology Laboratory Building Design \$ 1,953,000 \$ 1,905,000 03-20-2020 Design proceeding PDC MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 1,209,110 \$ 1,123,500 05-01-2020 Design proceeding PDC MP04426 F029 - Greek Monuments - Reitz Union Lawn Design \$ 350,000 \$ 295,000 05-02-2020 Design proceeding PDC MP04508 0269-Fine Arts D Suite 315 - Photography Suite Renovations <td>MP03906</td> <td>Building 203 - CG-023 Renovation.</td> <td>Design</td> <td>\$</td> <td>1,750,000</td> <td>\$ 1,693,000</td> <td>09-01-2020</td> <td>PO Requested</td> <td>PDC</td>	MP03906	Building 203 - CG-023 Renovation.	Design	\$	1,750,000	\$ 1,693,000	09-01-2020	PO Requested	PDC
MP04011 Building 308 - Powell Hall - Rainforest landscape lighting area redesign. Design \$ 250,000 \$ 225,000 07-01-2020 100% CDs PDC MP04052 Building 059 - MBI Upper roof(s) replacement Design \$ 1,833,148 \$ 1,680,000 05-02-2020 100% CDs PDC MP04056 Building 059 - Renovate L1 labs131-168 into open lab space. Design \$ 1,953,000 \$ 1,800,000 08-01-2020 Design proceeding PDC MP04093 UF Veterinary Medicine and FWC Pathology Laboratory Building Design \$ 2,307,833 \$ 1,905,000 03-20-2020 Design Development PDC MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 1,209,110 \$ 1,23,500 05-01-2020 Conceptual Schematic PDC MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 350,000 \$ 295,000 06-26-2020 Design Development PDC MP044267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 350,000 \$ 295,000 06-26-2020 Design Development PDC MP04508 0269-Fine Arts D Suite at State at State at	MP03957	024 - Weil Hall 5th floor HVAC and East Renovation	Design	\$	1,311,249	\$ 1,208,304	12-01-2019	100% CDs	PDC
MP04052 Building 059 - MBI Upper roof(s) replacement Design \$ 1,833,148 \$ 1,680,000 05-02-2020 100% CDs PDC MP04056 Building 059 - Renovate L1 labs131-168 into open lab space. Design \$ 1,953,000 \$ 1,800,000 08-01-2020 Design proceeding PDC MP04093 UF Veterinary Medicine and FWC Pathology Laboratory Building Design \$ 2,307,833 \$ 1,905,000 03-20-2020 Design Development PDC MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 1,209,110 \$ 1,123,500 05-01-2020 Conceptual Schematic PDC MP04426 F029 - Greek Monuments - Reitz Union Lawn Design \$ 350,000 \$ 295,000 06-26-2020 Design proceeding PDC MP04508 0269-Fine Arts D Suite 315 - Photography Suite Renovations Design \$ 49,292 \$ 38,500 01-05-2020 100% CDs PDC MP04515 0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel Design \$ 1,800,000 \$ 35,500 04-03-2020 100% CDs PDC MP04592 O092 - Physics Building - Phase 2, Replace Flat Membrane <t< td=""><td>MP04009</td><td>Building 308 - Temporary Gallery Renovation</td><td>Design</td><td>\$</td><td>400,000</td><td>\$ 354,000</td><td>08-17-2020</td><td>Design Development</td><td>PDC</td></t<>	MP04009	Building 308 - Temporary Gallery Renovation	Design	\$	400,000	\$ 354,000	08-17-2020	Design Development	PDC
MP04056 Building 059 - Renovate L1 labs131-168 into open lab space. Design \$ 1,953,000 \$ 1,800,000 08-01-2020 Design proceeding PDC MP04093 UF Veterinary Medicine and FWC Pathology Laboratory Building Design \$ 2,307,833 \$ 1,905,000 03-20-2020 Design Development PDC MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 1,209,110 \$ 1,123,500 05-01-2020 Conceptual Schematic PDC MP04267 F029 - Greek Monuments - Reitz Union Lawn Design \$ 350,000 \$ 295,000 06-26-2020 Design proceeding PDC MP04508 0269-Fine Arts D Suite 315 - Photography Suite Renovations Design \$ 49,292 \$ 38,500 01-05-2020 100% CDs PDC MP04515 0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel Design \$ 42,860 \$ 35,500 04-03-2020 100% CDs PDC MP04592 0092 - Physics Building - Phase 2, Replace Flat Membrane Design \$ 1,800,000 \$ 1,677,300 08-04-2020 100% CDs PDC MP04594 Building 005 - Library East - Repair roof and brick in Judaica	MP04011	Building 308 - Powell Hall - Rainforest landscape lighting area redesign.	Design	\$	250,000	\$ 225,000	07-01-2020	100% CDs	PDC
MP04093 UF Veterinary Medicine and FWC Pathology Laboratory Building Design \$ 2,307,833 \$ 1,905,000 03-20-2020 Design Development PDC MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 1,209,110 \$ 1,123,500 05-01-2020 Conceptual Schematic PDC MP04426 F029 - Greek Monuments - Reitz Union Lawn Design \$ 350,000 \$ 295,000 06-26-2020 Design proceeding PDC MP04508 0269-Fine Arts D Suite 315 - Photography Suite Renovations Design \$ 49,292 \$ 38,500 01-05-2020 100% CDs PDC MP04515 0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel Design \$ 42,860 \$ 35,500 04-03-2020 100% CDs PDC MP04592 0092 - Physics Building - Phase 2, Replace Flat Membrane Design \$ 1,800,000 \$ 1,677,300 08-04-2020 100% CDs PDC MP04594 Building 005 - Library East - Repair roof and brick in Judaica Suite area. Design \$ 682,500 \$ 615,500 06-01-2020 100% CDs PDC MP04600 0100- Williamson Hall - Auditorium Renovations <	MP04052	Building 059 - MBI Upper roof(s) replacement	Design	\$	1,833,148	\$ 1,680,000	05-02-2020	100% CDs	PDC
MP04267 1178 - Orthopaedics - 4206 - Radiology Renovation Design \$ 1,209,110 \$ 1,123,500 05-01-2020 Conceptual Schematic PDC MP04426 F029 - Greek Monuments - Reitz Union Lawn Design \$ 350,000 \$ 295,000 06-26-2020 Design proceeding PDC MP04508 0269-Fine Arts D Suite 315 - Photography Suite Renovations Design \$ 49,292 \$ 38,500 01-05-2020 100% CDs PDC MP04515 0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel Design \$ 42,860 \$ 35,500 04-03-2020 100% CDs PDC MP04592 0092 - Physics Building - Phase 2, Replace Flat Membrane Design \$ 1,800,000 \$ 1,677,300 08-04-2020 100% CDs PDC MP04594 Building 005 - Library East - Repair roof and brick in Judaica Suite area. Design \$ 682,500 \$ 615,500 06-01-2020 100% CDs PDC MP04600 0100- Williamson Hall- Auditorium Renovations Design \$ 576,580 \$ 500,000 12-16-2019 100% CDs PDC MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design	MP04056	Building 059 - Renovate L1 labs131-168 into open lab space.	Design	\$	1,953,000	\$ 1,800,000	08-01-2020	Design proceeding	PDC
MP04426 F029 - Greek Monuments - Reitz Union Lawn Design \$ 350,000 \$ 295,000 06-26-2020 Design proceeding PDC MP04508 0269-Fine Arts D Suite 315 - Photography Suite Renovations Design \$ 49,292 \$ 38,500 01-05-2020 100% CDs PDC MP04515 0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel Design \$ 42,860 \$ 35,500 04-03-2020 100% CDs PDC MP04592 0092 - Physics Building - Phase 2, Replace Flat Membrane Design \$ 1,800,000 \$ 1,677,300 08-04-2020 100% CDs PDC MP04594 Building 005 - Library East - Repair roof and brick in Judaica Suite area. Design \$ 682,500 \$ 615,500 06-01-2020 100% CDs PDC MP04600 0100- Williamson Hall- Auditorium Renovations Design \$ 576,580 \$ 500,000 12-16-2019 100% CDs PDC MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design \$ 10,318 \$ 76,218 12-06-2019 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design	MP04093	UF Veterinary Medicine and FWC Pathology Laboratory Building	Design	\$	2,307,833	\$ 1,905,000	03-20-2020	Design Development	PDC
MP04508 0269-Fine Arts D Suite 315 - Photography Suite Renovations Design \$ 49,292 \$ 38,500 01-05-2020 100% CDs PDC MP04515 0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel Design \$ 42,860 \$ 35,500 04-03-2020 100% CDs PDC MP04592 0092 - Physics Building - Phase 2, Replace Flat Membrane Design \$ 1,800,000 \$ 1,677,300 08-04-2020 100% CDs PDC MP04594 Building 005 - Library East - Repair roof and brick in Judaica Suite area. Design \$ 682,500 \$ 615,500 06-01-2020 100% CDs PDC MP04600 0100- Williamson Hall- Auditorium Renovations Design \$ 576,580 \$ 500,000 12-16-2019 100% CDs PDC MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design \$ 108,318 \$ 76,218 12-06-2019 Design Development PDC MP04651 0001 - University Auditorium - Building Envelope Analyses Design \$ 33,800 \$ 1,800,000 06-08-2020 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW <t< td=""><td>MP04267</td><td>1178 - Orthopaedics - 4206 - Radiology Renovation</td><td>Design</td><td>\$</td><td>1,209,110</td><td>\$ 1,123,500</td><td>05-01-2020</td><td>Conceptual Schematic</td><td>PDC</td></t<>	MP04267	1178 - Orthopaedics - 4206 - Radiology Renovation	Design	\$	1,209,110	\$ 1,123,500	05-01-2020	Conceptual Schematic	PDC
MP04515 0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel Design \$ 42,860 \$ 35,500 04-03-2020 100% CDs PDC MP04592 0092 - Physics Building - Phase 2, Replace Flat Membrane Design \$ 1,800,000 \$ 1,677,300 08-04-2020 100% CDs PDC MP04594 Building 005 - Library East - Repair roof and brick in Judaica Suite area. Design \$ 682,500 \$ 615,500 06-01-2020 100% CDs PDC MP04600 0100- Williamson Hall- Auditorium Renovations Design \$ 576,580 \$ 500,000 12-16-2019 100% CDs PDC MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design \$ 108,318 76,218 12-06-2019 Design Development PDC MP04651 0001 - University Auditorium - Building Envelope Analyses Design \$ 33,800 \$ 10,000 12-31-2019 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design \$ 1,888,000 \$ 1,800,000 06-08-2020 Design proceeding PDC	MP04426	F029 - Greek Monuments - Reitz Union Lawn	Design	\$	350,000	\$ 295,000	06-26-2020	Design proceeding	PDC
MP04592 0092 - Physics Building - Phase 2, Replace Flat Membrane Design \$ 1,800,000 \$ 1,677,300 08-04-2020 100% CDs PDC MP04594 Building 005 - Library East - Repair roof and brick in Judaica Suite area. Design \$ 682,500 \$ 615,500 06-01-2020 100% CDs PDC MP04600 0100- Williamson Hall- Auditorium Renovations Design \$ 576,580 \$ 500,000 12-16-2019 100% CDs PDC MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design \$ 108,318 \$ 76,218 12-06-2019 Design Development PDC MP04651 0001 - University Auditorium - Building Envelope Analyses Design \$ 33,800 \$ 10,000 12-31-2019 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design \$ 1,888,000 \$ 1,800,000 06-08-2020 Design proceeding PDC	MP04508	0269-Fine Arts D Suite 315 - Photography Suite Renovations	Design	\$	49,292	\$ 38,500	01-05-2020	100% CDs	PDC
MP04594 Building 005 - Library East - Repair roof and brick in Judaica Suite area. Design \$ 682,500 \$ 615,500 06-01-2020 100% CDs PDC MP04600 0100- Williamson Hall- Auditorium Renovations Design \$ 576,580 \$ 500,000 12-16-2019 100% CDs PDC MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design \$ 108,318 \$ 76,218 12-06-2019 Design Development PDC MP04651 0001 - University Auditorium - Building Envelope Analyses Design \$ 33,800 \$ 10,000 12-31-2019 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design \$ 1,888,000 \$ 1,800,000 06-08-2020 Design proceeding PDC	MP04515	0030 - Weimer Hall - 1205 - ADA Unisex Restroom Remodel	Design	\$	42,860	\$			PDC
MP04600 0100- Williamson Hall- Auditorium Renovations Design \$ 576,580 \$ 500,000 12-16-2019 100% CDs PDC MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design \$ 108,318 \$ 76,218 12-06-2019 Design Development PDC MP04651 0001 - University Auditorium - Building Envelope Analyses Design \$ 33,800 \$ 10,000 12-31-2019 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design \$ 1,888,000 \$ 1,800,000 06-08-2020 Design proceeding PDC	MP04592	0092 - Physics Building - Phase 2, Replace Flat Membrane	Design	\$	1,800,000	\$ 1,677,300	08-04-2020	100% CDs	PDC
MP04637 0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms Design \$ 108,318 \$ 76,218 12-06-2019 Design Development PDC MP04651 0001 - University Auditorium - Building Envelope Analyses Design \$ 33,800 \$ 10,000 12-31-2019 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design \$ 1,888,000 \$ 1,800,000 06-08-2020 Design proceeding PDC	MP04594	Building 005 - Library East - Repair roof and brick in Judaica Suite area.	Design	\$	682,500	\$ 615,500	06-01-2020	100% CDs	PDC
MP04651 0001 - University Auditorium - Building Envelope Analyses Design \$ 33,800 \$ 10,000 12-31-2019 Design proceeding PDC MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design \$ 1,888,000 \$ 1,800,000 06-08-2020 Design proceeding PDC	MP04600	0100- Williamson Hall- Auditorium Renovations	Design	\$	576,580	\$ 500,000	12-16-2019	100% CDs	PDC
MP04667 Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW Design \$ 1,888,000 \$ 1,800,000 06-08-2020 Design proceeding PDC	MP04637	0214 - HMEB CLASS LAB 474 - convert rooms to debrief rooms	Design	\$	108,318	\$			PDC
	MP04651	0001 - University Auditorium - Building Envelope Analyses	Design	\$	33,800	\$ 10,000	12-31-2019	Design proceeding	PDC
	MP04667	Building 0308 - Powell Hall (FLMNH), 0130-EXHIBITION NW	Design	\$	1,888,000	\$ 1,800,000	06-08-2020	Design proceeding	PDC
	MP04668	Building 0308 - Powell Hall (FLMNH), 0140-EXHIBITION SW	Design	\$	1,246,000	\$ 1,200,000	12-28-2020	Design proceeding	PDC
MP04676 1041- Sid Martin Re-Roof Design \$ 277,604 \$ 243,700 03-01-2020 100% CDs PDC	MP04676	1041- Sid Martin Re-Roof	Design	\$	277,604	\$ 243,700	03-01-2020	100% CDs	PDC
MP04677 0747- Bartram Hall- ADA Unisex Restroom Design \$ 54,707 \$ 37,750 04-03-2020 100% CDs PDC	MP04677	0747- Bartram Hall- ADA Unisex Restroom	Design	\$	54,707	\$ 37,750	04-03-2020	100% CDs	PDC

			PROJ		CONST			
PROJECT	NAME	PHASE	BUDGET		BUDGET	SCDATE	STATUS	ORG
MP04688	1018-Courtelis Equine- Lecture Hall VE104A & B	Design	\$ 259,025			08-14-2020		PDC
MP04783	0004- Peabody- Remodel Suite South wing third floor	Design	\$ 568,670			03-20-2020		PDC
MP04789	0267-Turlington Hall - Firehouse Subs & Jamba Juice	Design	\$ 734,953	_	665,300	01-31-2020	Design proceeding	PDC
MP04805	0212 - HPNP - G186 - ADA Unisex Restroom Remodel	Design	\$ 44,500			04-08-2020		PDC
MP04810	Building 205 - Chilled Water Line Replacement	Design	\$ 300,000		270,000	05-01-2020	100% CDs	PDC
MP04827	0723- Chemical Engineering Structural Analysis	Design	\$ 15,950				Design proceeding	PDC
MP04835	0026 - Tigert Hall - Suite 101 Remodel	Design	\$ 400,000	\$	243,000	02-28-2020	Design proceeding	PDC
MP04836	Whitney Marine Lab Security Upgrades	Design	\$ 21,000				Design proceeding	PDC
MP04844	1375-CTRB- Remodel Lobby 1231	Design	\$ 167,500	\$		01-30-2020		PDC
MP04860	0686 - J. Wayne Reitz Union - Elevator Upgrades/ S. Terrace Renovations	Design	\$ 850,000	\$	806,015	12-31-2019	Design proceeding	PDC
MP04885	0009 - Leigh Hall- 0436 - Lab Renovation	Design	\$ 11,040	\$	10,000	11-30-2019	100% CDs	PDC
MP04890	0686 - J. Wayne Reitz Union, 2380A- Grand Ballroom Renovation	Design	\$ 1,767,500	\$	1,661,500	01-31-2021	Conceptual Schematic	PDC
MP04893	0026 - Tigert Hall Suite 302 Renovation UF Online	Design	\$ 829,640	\$	742,140	01-31-2020	Design Development	PDC
MP04939	1379 - Aquatic Pathobiology - Chiller Replacement	Design	\$ 189,473	\$	152,732	03-27-2020	100% CDs	PDC
MP04941	0727 - Racing Lab - Chiller and Boiler Replacement	Design	\$ 150,000	\$	133,000	01-31-2020	100% CDs	PDC
MP04944	0892 - Clinical & Translational Research Building - Chiller Replacement	Design	\$ 80,000	\$	80,000	01-17-2020	100% CDs	PDC
MP04953	0005 - Smathers Library - AHUs Replacement (AHU 3 and 4)	Design	\$ 535,000	\$	448,000	01-01-2020	Advanced Schematic	PDC
MP04956	0030 - Weimer Hall - Roof Replacement (Section 10)	Design	\$ 707,000	\$	620,000	05-02-2020	100% CDs	PDC
MP04959	0038 - Bryant Space Science Ctr - Roof Replacement (Section 6)	Design	\$ 85,750		65,000	04-24-2020	Design proceeding	PDC
MP04960	0269 - Fine Arts D - Roof Replacement (Section 3)	Design	\$ 62,000	\$	40,000	01-31-2020	Design proceeding	PDC
MP05017	0059 - Mcknight Brain Institute, LG-114B MRI Replacement	Design	\$ 1,118,000	\$	1,010,000	04-01-2020	Design proceeding	PDC
MP05023	0429 - Telecommunications - Transition to Security Offices	Design	\$ 692,303	\$	547,877	12-31-2019	Design proceeding	PDC
MP05121	Building 0208 - Parking Garage X - Lighting and electrical upgrades.	Design	\$ 100,893	\$	100,000	06-01-2020	100% CDs	PDC
MP05122	Innovation HUB - Renovate Rooms 140, 147, 150, 157, and 264	Design	\$ 331,520	\$	300,000	05-01-2020	100% CDs	PDC
MP05215	3425 Lake Nona Research Center - Heat Pump Bypass and Associated MEP	Design	\$ 40,135	\$		11-29-2019		PDC
MP05284	0688 Sisler Hall - Engineering Assessment and Fume Hood Installation	Design	\$ 51,988				Design proceeding	PDC
MP05363	Building 3260 - 4th Floor Renovation	Design	\$ 630,500	\$		06-01-2020		PDC
MP05421	Building 267 - Building envelope moisture intrusion analysis.	Design	\$ 24,000				Design proceeding	PDC
MP05517	CERHB - Security Cameras	Design	\$ 10,000				Project in planning	PDC
MP05518	Fraternity Row - Code Blue Phones	Design	\$ 210,504				Project in planning	PDC
MP05522	Bldg 0004 Peabody Ground Floor Remodel	Design	\$ 269,550				Design proceeding	PDC
MP05596		Design	\$ 400,000				Design proceeding	PDC
MP05605	Building 0030 - Rae O. Weimer Hall, 3208A-OFFICE SERVICE	Design	\$ 6,614	_			Design Development	PDC
MP05815	Building 1377 - Emerging Pathogens BSL3 Lab Reverification	Design	\$ 36,100	\$			Project in planning	PDC
MP05837		Design	\$ 45,000	\$		04-04-2020	Project in planning	PDC
	Design Count	62	\$ 37,395,969	\$	33,822,963			
	Planning Count	82	\$ 10,843,817	\$	8,558,076			
	Quick Response Count	347	\$ 5,080,848	\$	4,385,809			
	PDC Minor Projects Totals	550	\$ 80,084,965	\$	70,868,103			
IF017047	B4240 - BSL2&3 Renovation (CAP)	Construction	\$ 1,950,000		1,950,000	TBD	Construction Started	IFAS
IF017070	B1850 - Renovate Hotel (CAP)	Construction	\$ 130,618		130,618	TBD	Construction Started	IFAS
IF017087	B0495 - Renovate Labs (EXP)	Construction	\$ 209,464	\$	209,464	TBD	Construction Started	IFAS
IF018008	B5237 - Field Lab (CAP)	Construction	\$ 1,554,300	\$	1,554,300	TBD	Construction proceeding - 85%	IFAS
IF018012	B8101 - Renovate (CAP)	Construction	\$ 104,610	\$	104,610	TBD	Construction Started	IFAS
IF018054	S6800 - Replace minor BAS Systems (EXP)	Construction	\$ 338,500	\$	338,500		Construction Started	IFAS
IF018060	S0001- Repair roofs per thermo imaging (EXP)	Construction	\$ 100,000	\$	100,000	TBD	Construction Started	IFAS

PROJECT NAME PROJECT SUDICITY SCOATE STATUS ORG					PROJ	CONST			
19738096 19739 Peaser of table (CAP) Construction S 799,000 5 500,000 799,000 190 Construction 1874 1973909	PROJECT	NAME	PHASE	В			SCDATE	STATUS	ORG
1918/1988 827.9 Research Lab (CAP Construction S. 979,528 S. 98,528 TBC Construction Started FAS	IF018065	B5001 - Replace roof / replace doors (CAP)	Construction	\$	81,850	\$ 81,850	TBD	Construction proceeding - 95%	IFAS
1903111 3001 - Grove relocation and infrastructure (Baseball) (CAP) Construction S 274,460 S 274,660 TO Construction S 274,661 TO Construction S 2	IF018066	B7305 - Replace chiller equipment (CAP)	Construction	\$	550,000	\$ 550,000	TBD	Construction Started	IFAS
	IF018098	B8279 - Research Lab (CAP)	Construction	\$	799,528	\$ 799,528	TBD	Construction proceeding - 10%	IFAS
In In In In In In In In	IF018111	S0001 - Grove relocation and infrastructure (Baseball) (CAP)	Construction	\$	954,522	\$ 954,522	TBD	Construction Started	IFAS
Month Mont	IF018124	B1132 - Chemical/Fertilizer Mix/Load (CAP)	Construction	\$	220,861	\$ 220,861	TBD	Construction Started	IFAS
IRGB3142 B4000 - Hortrocuture Garden Facility (CAP)	IF018131	B7135 - Renovate Labs (CAP)	Construction	\$	774,460	\$ 774,460	TBD	Construction proceeding - 10%	IFAS
FRIBIASTS 80354 - Renovate Research Lat (Barber) (CAP)	IF018132	B7167 - Upgrade ADA restroom (EXP)	Construction	\$	60,000	\$ 60,000	TBD	Construction Started	IFAS
Ministable 87756 - Carduste Residence (CAP)	IF018142	B1400 - Horticulture Garden Facility (CAP)	Construction	\$	60,000	\$ 60,000	06-01-2020	Construction Started	IFAS
FIGURESIA S0217 - Removate Pavillon, (CAP)	IF018143	B0154 - Renovate Research Lab (Barber) (CAP)	Construction	\$	179,000	\$ 179,000	TBD	Construction Started	IFAS
Ministree Mini	IF018145	B7758 -Graduate Residence (CAP)	Construction	\$	305,049	\$ 305,049	TBD	Construction Started	IFAS
FRIDERISES 2071 - Removate several offices (EXP) Construction S 64,459 S 64,459 TBD Construction S 167,565 S 17,566 S 17,56	IF018156	B9217 - Renovate Pavilion, (CAP)	Construction	\$		500,000	TBD	Construction Started	IFAS
FRISTORIAN B1291 - Install outdoor autoclave (EXP)	IF018168	B0717 - Renovate several offices (EXP)	Construction	\$	64,459	\$ 64,459	TBD	Construction Started	IFAS
FRISTORIAN B1291 - Install outdoor autoclave (EXP)	IF019006	S1101 - Upgrade irrigation and drainage (EXP)	Construction	\$	61,756	\$ 61,756	TBD	Construction Started	IFAS
FR019036 B5028 - Replace Chiller (CAP) Construction S 400,000 S 400,000 TBD Construction Started FAS FR019035 B0920 - Pump House (CAP) Construction S 108,815 S 108,815 TBD Construction S 107,941 S 10,541 TBD Construction S 108,941 S 10,541 TBD Construction S 10,541 TBD Construction Started FAS F00,900 FAS F00,9	IF019013		Construction	\$				Construction Started	IFAS
FR019036 B5028 - Replace Chiller (CAP) Construction S 400,000 S 400,000 TBD Construction Started FAS FR019035 B0920 - Pump House (CAP) Construction S 108,815 S 108,815 TBD Construction S 107,941 S 10,541 TBD Construction S 108,941 S 10,541 TBD Construction S 10,541 TBD Construction Started FAS F00,900 FAS F00,9	IF019025	S320 - Michael - Marianna minor bldg / site repairs (EXP)	Construction	\$			TBD	Construction Started	IFAS
FIG19035 B0920 - Pump House (CAP) Construction S 108,815 S	IF019034		Construction	\$	400,000	\$ 400,000	TBD	Construction Started	IFAS
FIG19036 B0631 - Replace counter topy/sink and paint (EXP) Construction \$ 10,541	IF019035	B0920 - Pump House (CAP)	Construction	\$			TBD	Construction Started	IFAS
IFO19037 80460 & B0544 - Renovate Bathrooms / Replace Windows (EXP) Construction \$ 147,000 \$ 147,000 \$ 15,244 \$ 15,244 \$ 16,000	IF019036	B0631 - Replace counter top/sink and paint (EXP)	Construction	\$		10,541	TBD	Construction Started	IFAS
IFO19038 87745,B7759,B7760 Pump House(s) (CAP) Construction \$ 15,244 \$ 15,244 TBD Construction Started IFAS				\$				Construction Started	IFAS
FIG19048 S0001 - Entomology Site Improvements (EXP) Construction \$ 50,000 \$ 50,000 TBD Construction Started FAS FIG19050 S2903 - Emergency Power Generator (EXP) Construction \$ 40,000 \$ 40,000 TBD Construction Started FAS FIG19057 S0001 - Sanitary Improvement for Agronomy Complex (EXP) Construction \$ 121,690 \$ 121,690 TBD Construction Started FAS FIG19058 S0108 - Initiation of Agronomy Complex (EXP) Construction \$ 20,000 \$ 20,000 TBD Construction Started FAS FIG19058 S0108 - Initiation of Agronomy Complex (EXP) Construction \$ 92,589 \$ 92,589 TBD Construction Started FAS FIG19059 S0108 - Initiation of Agronomy Complex (EXP) Construction \$ 80,000 \$ 80,000 Construction Started FAS FIG19059 S0108 - Initiation of Agronomy Complex (EXP) Construction \$ 80,000 \$ 80,000 Construction Started FAS FIG19059 FIG1905		B7745,B7759,B7760 Pump House(s) (CAP)	Construction	\$				Construction Started	IFAS
FRO15005 S2903 - Emergency Power Generator (EXP) Construction \$ 40,000 \$ 40,000 BD Construction Started FAS FRO15053 B8006 - Hurricane Michael - Addition (CAP) Construction \$ 121,690 \$ 121,690 TBD Construction Started IFAS FRO15053 FRO15053 S0000 - Sanitary improvement for Agronomy Complex (EXP) Construction \$ 20,000 \$ 20,000 TBD Construction Started IFAS FRO15058 S0108 - Irrigation, and Fence (EXP) Construction \$ 92,589 \$ 92,589 \$ 92,589 BD Construction Started IFAS FRO15059 B8013 - Repair bidg (CAP) Construction \$ 80,000 \$ 80,000 Construction Started IFAS FRO15059 B8013 - Repair bidg (CAP) Construction \$ 49,233 \$ 49,233 TBD Construction Started IFAS FRO15059 B716 - Building Repairs & Roof Replacement (CAP) Construction \$ 49,233 \$ 49,233 TBD Construction Started IFAS FRO15053 FRO1505	IF019048	S0001 - Entomology Site Improvements (EXP)	Construction	\$		50,000	TBD	Construction Started	IFAS
FR5109053 B8006 - Hurricane Michael - Addition (CAP) Construction \$ 121,690 \$ 121,690 TBD Construction Started FAS F019057 S0001 - Sanitary Improvement for Agronomy Complex (EXP) Construction \$ 92,000 \$ 20,000 TBD Construction Started FAS F019058 S1008 - Irrigation, and Fence (EXP) Construction \$ 92,000 \$ 20,000 TBD Construction Started FAS F019059 B8013 - Repair bidg (CAP) Construction \$ 92,000 \$ 80,000 \$ 80,000 \$ 30,000 Construction Started FAS F019050 B7717 - Building Repairs (CAP) Construction \$ 40,233 \$ 49,233 \$ 49,233 TBD Construction Started FAS F019063 B7717 - Building Repairs (CAP) Construction \$ 41,291 \$				\$			TBD		IFAS
For			Construction	\$			TBD	Construction Started	IFAS
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PROJECT	NAME	PHASE		BUDGET		OGET	SCDATE	STATUS	ORG
IF020020	B2250-Exterior Building Maintenance (EXP)	Construction	\$	4,550	\$	4,550	TBD	Construction Started	IFAS
IF020021	B1334 - Parlor Water Proofing (EXP)	Construction	\$	15,000	\$	15,000	TBD	Construction Started	IFAS
IF020022	S6800 - Fire Monitoring Improvements (EXP)	Construction	\$	100,000	\$	100,000	TBD	Construction Started	IFAS
IF020038	4H Camps - Maintenance around FL	Construction	\$	70,000	\$	70,000	TBD	Construction Started	IFAS
IF020041	B8120 - New Field Support Bldg (CAP)	Construction	\$	12,834	\$	12,834	TBD	Construction Started	IFAS
IF020042	B5234 - Repair Shade House (CAP)	Construction	\$	11,000	\$	11,000	TBD	Construction Started	IFAS
IF020046	B0483 - Roof Repair (CAP)	Construction	\$	5,000	\$	5,000	TBD	Construction Started	IFAS
	Construction Count	60	\$	11,179,463	\$ 11,	179,463			
IF018121	B8480 - Field Research Support Bldg. (CAP)	Design	\$	173,084	\$	173,084	TBD	Design proceeding	IFAS
IF018166	Agronomy Archer Road Complex - Design Only (339,344,345,350) (EXP)	Design	\$	580,000	\$	580,000	TBD	Design proceeding	IFAS
IF019003	B2260 - Security Cottage - Design (EXP)	Design	\$	6,290	\$	6,290	TBD	Design proceeding	IFAS
IF019005	B1851 - Build-out of 3rd floor (CAP)	Design	\$	31,850	\$	31,850	TBD	Design proceeding	IFAS
IF019033	B0885 - Replace HVAC system - Design (CAP)	Design	\$	40,000	\$	40,000	TBD	Design proceeding	IFAS
IF019051	B0711 - Renovate Growth Chambers (CAP)	Design	\$	500,000	\$	500,000	TBD	Design proceeding	IFAS
IF020004	B5225 - New Balm Greenhouse	Design	\$	1,100,000	\$ 1,	100,000	TBD	Design proceeding	IFAS
IF020009	B7499 - Renovate Suite (EXP)	Design	\$	24,655	\$	24,655	TBD	Design proceeding	IFAS
IF020011	B0685 Chiller and Boiler Replacement (CAP)	Design	\$	40,000	\$	40,000	TBD	Design proceeding	IFAS
IF020015	B0717 - Relocation of Hot Water System (EXP)	Design	\$	40,000	\$	40,000	TBD	Design proceeding	IFAS
IF020016	B0981 - Relocation of Boiler Vents (EXP)	Design	\$	5,000	\$	5,000	TBD	Design proceeding	IFAS
IF020019	S6101 - Master Site & Storm Water Improvement (EXP)	Design	\$	120,000		120,000	TBD	Design proceeding	IFAS
IF020049	B0499 - Renovate rm 0224	Design	\$	75,000	\$	75,000	TBD	PO Requested	IFAS
	Design Count	13	\$	2,735,879	\$ 2,	735,879		·	
	Planning Count	14	\$	1,272,112	\$ 1,	272,112			
	IFAS Minor Projects Totals	87	ć	15,187,453	¢ 15	187 //53			
HS19501	Housing Main Office Roof Replacement	Construction	\$	599,012			09-25-2019	Project complete	HOUSING
11313301	Construction Count	1	\$	599,012		599,012	03-23-2013	1 Toject complete	110031110
HS20501	Tanglewood 0538 (AKA 2901) Roof Replacement	Planning	\$	100,000		100,000	TBD	Project in planning	HOUSING
HS20502	Jennings Central Reroof	Planning	\$	60,000				Project in planning	HOUSING
HS20504	Riker Window Replacement	Planning	\$	450,000				Project in planning	HOUSING
11320301	Planning Count	3	\$	610,000		610,000	07 31 2020	r rojece in pianning	110001110
	-		Y	010,000	<u> </u>	010,000			
	Housing Minor Projects Totals	4	\$	1,209,012	\$ 1,	209,012			
MP05267	Bldg 0203 Communicore Classroom C2-233	Construction	\$	65,000	\$	65,000	10-24-2019	Construction proceeding - 55%	FS
UT00156	WRF Biosolids Thickening	Construction	\$	1,999,953	\$ 1,	829,953	03-01-2019	Substantial completion	FS
UT00187	WRF Capital Asset Replacements	Construction	\$	225,000	\$	200,000	08-31-2018	PO Requested	FS
UT00198	Lighting upgrades in support of Campus Wide Security Master Plan	Construction	\$	1,600,002	\$ 1,	600,002	02-01-2019	Construction proceeding - 30%	FS
UT00209	NorthEast Steam Manhole Investigation	Construction	\$	205,001			03-30-2019	Design proceeding	FS
UT00220	Gator Dining Manhole 3D4-5 Rehabilitation	Construction	\$	1,320,000	\$ 1,	270,000	04-30-2019	Construction proceeding - 95%	FS
UT00223	Orthopedics electrical transition from overhead to underground	Construction	\$	156,000	\$	150,000	04-30-2018	Project complete	FS
UT00224	WRF Headworks Mechanical Screen Replacement	Construction	\$	640,000	\$	640,000	04-30-2019	PO Requested	FS
UT00232	Transformer Modifications West Sorority	Construction	\$	100,000	\$	95,000	02-01-2019	Construction proceeding - 70%	FS
UT00234	BLDG 0099 - Microkelvin Lab (#99) Electric Service Connection Replacement	Construction	\$	100,000	\$	100,000	TBD	Construction proceeding - 70%	FS
UT00236	WRF System Upgrades/Improvements - Chlorine Contact Chamber	Construction	\$	180,000				Substantial completion	FS
UT00237	Underground Electrical Service Extension - Fifield Temp Parking Lot	Construction	\$	150,000		150,000		Construction proceeding - 95%	FS
UT00244	MH-3D3-35 University Auditorium Steam Manhole Repair	Construction	\$	121,000		121,000		Project complete	FS
UT00245	MH-3C3-14 HPNP Northeast Steam Manhole Repair	Construction	\$	115,402	\$	115,402	TBD	Project complete	FS

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PROJECT	NAME	PHASE		BUDGET		BUDGET	SCDATE	STATUS	ORG
UT00248	MH-3D4-61 Gator Dining Steam Manhole Repair	Construction	\$	122,135	\$	122,135	TBD	Project complete	FS
UT00250	MH-3D2-23 Anderson Steam Manhole Repair	Construction	\$	150,000	\$	150,000	07-10-2019	Project complete	FS
UT00275	MH-3D3-75 Dauer Steam Manhole Repair	Construction	\$	150,000	\$	150,000	08-17-2019	Project complete	FS
UT00282	MH-3D4-57 Pugh Hall Steam Manhole Repair	Construction	\$	200,000	\$	175,000	TBD	Project complete	FS
	Construction Count	18	\$	7,599,493	\$	7,093,492			
UT00166	PPD Compound & Building 700 Transformer Replacement	Design	\$	96,000	\$	90,000	09-03-2019	Design proceeding	FS
UT00169	Steam and Chilled Water Distribution Details and Standards	Design	\$	95,000	\$	95,000	03-31-2019	Design proceeding	FS
UT00175	Waste Water Treatment Plant Legacy Controller Upgrade	Design	\$	475,000	\$	400,000	06-01-2020	50% CDs	FS
UT00180	Steam Manhole Remediation and Repair at HPNP (Building 212)	Design	\$	330,000	\$	300,000	04-30-2019	Design proceeding	FS
UT00186	Reclaimed Water / Irrigation Hydraulic Model & Master Plan	Design	\$	200,000	\$	200,000	12-01-2019	Design proceeding	FS
UT00207	SouthEast and Central Campus Steam Manhole Investigation	Design	\$	205,000	\$	205,000	02-28-2019	Design proceeding	FS
UT00208	North Central Steam Manhole Evaluation	Design	\$	205,001	\$	205,001	03-30-2019	Design proceeding	FS
UT00210	Steam AutoCAD to GIS integration	Design	\$	150,001	\$	150,001	02-28-2019	Design proceeding	FS
UT00211	Chilled Water GIS to AutoCAD Integration	Design	\$	150,001	\$	150,001	02-28-2019	Design proceeding	FS
UT00218	Newell Road Steam Line Replacement	Design	\$	1,940,003	\$	1,800,003	08-15-2019	Design proceeding	FS
UT00239	Campus Lift Stations and Force Main Condition Assessment	Design	\$	150,000	\$	150,000	TBD	Design proceeding	FS
	Design Count	11	\$	3,996,006	\$	3,745,006			
	Planning Count	82	\$	10,931,000	\$	9,266,000			
	Facilities Services Minor Projects Totals	111	\$	22,526,499	Ş	20,104,498	1		1
	Grand Count	409	L .						
			\$	119,007,929	\$	107,369,066			



COMMITTEE ON FINANCE, STRATEGIC PLANNING AND PERFORMANCE METRICS AGENDA

Thursday, December 5, 2019

Beginning at ~2:20 p.m.

President's Room 215B, Emerson Alumni Hall
University of Florida, Gainesville, FL

Committee Members:

Thomas G. Kuntz (Chair), James W. Heavener, Morteza Hosseini, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers Call to Order and WelcomeThomas G. Kuntz, Chair 1.0 2.0 Review and Approval of Minutes......Thomas G. Kuntz, Chair 3.0 September 6, 2019 November 6, 2019 Discussion Items......Thomas G. Kuntz, Chair 4.0 Enterprise Cash Working Group Update......Rahul Patel, Trustee 4.1 4.2 UFICO Update...... William Reeser, Chief Investment Officer, UFICO 4.3 Faculty Hiring Update......Jodi Gentry, Vice President for Human Resources 4.4 June 2019 Financials.......Michael McKee, Vice President and CFO 4.6 Student to Faculty Ratio Plan...... Joseph Glover, Provost New BusinessThomas G. Kuntz, Chair 5.0 AdjournThomas G. Kuntz, Chair 6.0



COMMITTEE ON FINANCE, STRATEGIC PLANNING AND PERFORMANCE METRICS Meeting Minutes September, 6, 2019 President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL Time Convened: 12:01 p.m.

Committee and Board members present:

Thomas G. Kuntz (Chair), James W. Heavener, Morteza Hosseini, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers

Time Adjourned: 12:30 p.m.

Others present were:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Zina Evans, Vice President for Enrollment Management and Associate Provost; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Edward Jimenez, Chief Executive Officer for UF Health Shands; Mark Kaplan, Vice President for Government and Community Relations and University Secretary; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Senior Vice President for Health Affairs and President of UF Health; David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic Communications and Marketing; Jack Payne, Senior Vice President for Agriculture and Natural Resources; Curtis Reynolds, Vice President for Business Affairs; members of the University of Florida Community, and other members of the public and the media.

1.0 Verification of Quorum

Vice President Michael McKee verified a guorum with all members present.

2.0 Call to Order and Welcome

Committee Chair Thomas G. Kuntz welcomed everyone in attendance and called the meeting to order at 12:01 p.m.

3.0 Review and Approval of Minutes

The Committee Chair asked for a motion to approve the minutes of the June 6, 2019 and August 19, 2019 committee meetings. The Committee Chair asked for further discussion, and the motion was approved unanimously.

The Committee considered the following Action Items:

4.0 Action Items

FSPPM1 Carryforward Spending Plan

Vice President McKee began the discussion by providing an overview of the action item. He noted that the format was developed and approved by the Board of Governors and that he, the President, and the Board Chair are required to sign and submit a 2019-2020 Carryforward Budget Certification to BOG by September 23. Vice President McKee also mentioned that the carryforward balance is an estimate but will be made true at the end of the year, and budget amounts for capital projects have been synced with Facilities. Committee Chair Kuntz indicated that both President Fuchs and Vice President McKee have approved the Carryforward Spending Plan and will sign the BOG Certification to that effect. He asked for any further discussion. There was none, and the action item was approved.

FSPPM2 DSO and Affiliate Budgets

Vice President McKee provided an overview of the DSO and Affiliate budget along with supporting documentation provided to the Board. He indicated that, at the request of the FSPPM Committee, a fiscal year comparison of budgets and actuals from 2018-2019 has been provided. Committee Chair Kuntz asked for questions and requested Vice President McKee walkthrough one of the units. Vice President McKee deferred to Assistant Vice President George Kolb, who stated that the budget provided was approved at the June 2019 BOT meeting and only changes to the format have been made. AVP Kolb went on to explain that all the DSO and Affiliated budgets are now in that same format. Committee Chair Kuntz asked if the format was good going forward. Assistant Vice President Kolb indicated that it was. The Committee Chair then asked for any further discussion. There was none, and the action item was approved.

The following Discussion/Informational Items were the addressed by the Committee:

5.0 Discussion/Informational Items

5.1 Faculty Hiring Update

Vice President Jodi Gentry began the discussion by giving an overview of progress since the last BOT meeting. She stated that much progress had been made but efforts will continue into this academic year; and indicated that the student to faculty ratio for fall 2019 will be provided at the December BOT meeting after calculations are made at the end of October. Vice President Gentry continued the discussion by providing an update on progress towards meeting the Faculty 500 goal; 472 of target 500 have been hired and another 28 are being actively recruited. Committee Chair Kuntz noted that over 1000 faculty have been hired but overall the base headcount has only grown about 360. Vice

President Gentry agreed stating that the net number accounts for turnover, which is average and in line with national norms, and mentioned that turnover has decreased since 2017. Committee Chair Kuntz noted the progress made since beginning the Faculty 500 initiative: 4117 base faculty in 2017 to 4485 in 2019. He stated that good progress has been made but there is still work to do. Following was a discussion about data prior to 2017. Vice President Gentry mentioned that numbers from 2012 to now were presented on the report she provided. Committee Chair Kuntz requested an additional 3-4 years and suggested that a plan going forward be presented by President Fuchs at the next FSPPM pre-meeting. Board Chair Hosseini agreed that a plan needs to be developed. He stated that the Board approved a 16:1 faculty to student ratio and the University has not yet hit it. He asked that the President and the Provost work on a plan to get to 16:1. President Fuchs agreed that it was imperative to get to 16:1 to be in the top 5, and agreed that the University needs to figure out how to make this happen. There was then a brief discussion of whether to transfer this discussion item to the Academic, Faculty and Student Affairs and Experience Committee. Board Chair Hosseini determined that the item will stay with FSPPM. Committee Chair Kuntz stated that the President and Provost will present a plan to achieve 16:1 student to faculty ratio at the next BOT meeting in December. Vice President Gentry again indicated that we will have an idea of this year's actual ratio at the end of October, and Committee Chair Kuntz reiterated the need to discuss the plan going forward at the next FSPPM meetings. President Fuchs wrapped up the discussion by stating that the Faculty 500 is more than just a 16:1 ratio but also involves research. He suggested that, in order to get where we need to be, the University needs to hire more than 500 faculty. We also need to support these faculty and dedicate resources to do so. Board Chair Hosseini stressed the need to reach 500 new faculty hires. He stated that the University needs to continue to hire until we reach the goal, and began to develop a plan beyond that once it's met.

6.0 New Business

There was no new business to come before the committee.

7.0 Adjourn

There being no further discussion, Committee Chair Kuntz adjourned at the meeting at 12:30 p.m.



COMMITTEE ON FINANCE, STRATEGIC PLANNING AND PERFORMANCE METRICS

Pre-Meeting Minutes
Telephone Conference Call
November 6, 2019

123E Tigert Hall, University of Florida, Gainesville, FL

Time Convened: 9:01 a.m. Time Adjourned: 9:42 a.m.

Committee and Board members present:

David L. Brandon, James W. Heavener, Leonard H. Johnson, Thomas G. Kuntz (Board Vice Chair), Michael C. Murphy, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, , Robert G. Stern, Anita G. Zucker

Others present were:

W. Kent Fuchs, President; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Amy Hass, Vice President and General Counsel; Mark Kaplan, Vice President for Government and Community Relations and University Secretary; Michael McKee, Vice President and Chief Financial Officer; George Kolb, Assistant Vice President, Financial Analysis and Budget; Alan West, Assistant Vice President and University Controller; Melissa Curry, Assistant Vice President, Human Resources; members of the University of Florida Community, and other members of the public and the media.

1.0 Roll Call

Board Staff conducted a roll call of all Committee and Board members present.

2.0 Call to Order and Welcome

Committee Chair Thomas G. Kuntz welcomed everyone in attendance and called the meeting to order at 9:01 a.m.

3.0 Discussion Items

The following Discussion Items were addressed by the Committee:

Action Items

FSPPM1 Student to Faculty Ratio Plan

Committee Chair Kuntz began by briefly discussing the Faculty 500 initiative and the need for a plan going forward that achieves a student to faculty ratio of 16:1. He charged Provost Glover and President Fuchs with developing a plan and asked that it be provided to the Board at

December's meeting. Provost Glover committed to bringing a plan to the December meeting and noted that the current student to faculty ratio is still being calculated. He indicated that the plan will be dependent upon final numbers and mentioned that there should be some focus on reaching \$1B in research. President Fuchs agreed that they will be ready to share a plan at the December meeting. Committee Chair Kuntz wrapped up the discussion by requesting that the plan include how UF will get to a 16:1 ratio and when, along with what the reporting structure will be and how progress will be measured.

Discussion Items

5.1 Enterprise Cash Working Group Update

Trustee Rahul Patel provided an overview of the work group and stated that a high level summary of their findings, along with some recommendations and tweaks to financial management and governance standards, will be provided at the December meeting. Committee Chair Kuntz asked for a presentation or summary be sent out to the Board in advance. Trustee Patel stated that he and Vice President Amy Hass have been working on a presentation and ensured that something will be sent out to the Board prior to the December meeting.

5.2 UFICO Update

UFICO Chief Investment Officer William Reeser gave a quick update stating that FY19 returns were strong and are well over median from last year. He also stated that his presentation at the December meeting will include a section on working capital. Trustee Bill Heavener questioned the return on the \$2B moved to UFICO, and asked for an amount. Bill Reeser stated that the information would be included in his presentation at the December meeting. Committee Chair Kuntz asked that he also include a refresher on what the guidelines are for short term investments and where the money is in terms of risk.

5.3 Faculty Hiring Update

Assistant Vice President Melissa Curry presented the Faculty Hiring Update and noted that it now includes 10 years of history. She briefly went through departures and hires for the academic year. Committee Chair Kuntz asked if it was possible to dig deeper into departures to identify where people are leaving from, why they're leaving, and if the departures are within industry standards. Vice President Curry stated that an exit survey is being sent to departing faculty, and this information can be compiled and presented based on survey results. Provost Glover asked how many of the departures are due to faculty retirements. Vice President Curry indicated that retirement information would be compiled and presented, and noted that there are a lot of departures on the clinical side. Committee Chair Kuntz stated that having this information would be helpful in identifying opportunities to retain faculty. Provost Glover suggested that retirements be removed from total departures and E&G and Clinical departures be compared. Committee Chair Kuntz suggested that an engagement survey may also be helpful. Trustee Rob Stern asked what percentage of the exit surveys sent out are returned. Also, at what point the surveys are sent to departing faculty and whether or not they have the opportunity to remain anonymous. Vice President Curry indicated that completion rate is about 60%, the surveys are sent out after faculty leave, and that those who complete the survey have the option to remain anonymous. Trustee Stern asked about follow-up and questioned whether it would increase the percentage of completion. Vice President Curry stated that UFHR is working to collect cell phone information so that the survey link and reminders can be texted; research shows that there are higher completion rates when the survey can be completed on a phone. Committee Chair Kuntz asked if those not completing the survey are from a concentrated area. Vice President Curry will look into it and report back in December.

5.4 – 5.5 June and September Financial Reports

Committee Chair Kuntz began the discussion by asking Vice President Mike McKee to provide highlights of the financial reports and then go into detail at the December meeting. Vice President McKee noted that the first quarter reports are very fresh and that notes for any variances are included on notes pages. Committee Chair Kuntz asked for an executive summary to be presented at the December meeting. Vice President McKee and Assistant Vice President Alan West agreed to prepare an executive summary for the December meeting. Committee Chair Kuntz asked the FSPPM committee members if there was anything in particular that needed to be discussed; there were no suggestions. Vice President McKee noted that June financials are also provided, and that they may be more beneficial than the September reports. He also suggested that having the reports in advance of the December meeting allows the Board to review the financials and develop questions. Committee Chair Kuntz encouraged the committee to review the reports. Trustee Dan O'Keefe indicated that he had questions but will reach out to Vice President McKee and Assistant Vice President West separately. There were no further questions.

4.0 New Business

There was no new business to come before the committee.

5.0 Adjourn

There being no further discussion, Committee Chair Kuntz adjourned at the meeting at 9:42 a.m.



UNIVERSITY of FLORIDA INVESTMENT CORPORATION

Presentation

for the

Finance, Strategic Planning & Performance Metrics Committee

of the

University of Florida Board of Trustees

December 5, 2019

Bill Reeser

CEO & Chief Investment Officer



UFF Endowment

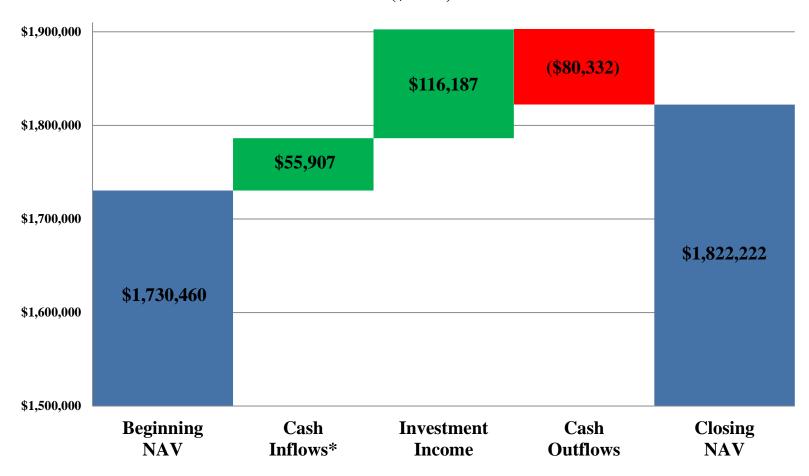




FY 19 Portfolio Review

UFF Endowment

Fiscal Year-to-Date 6/30/2019 (\$000's)



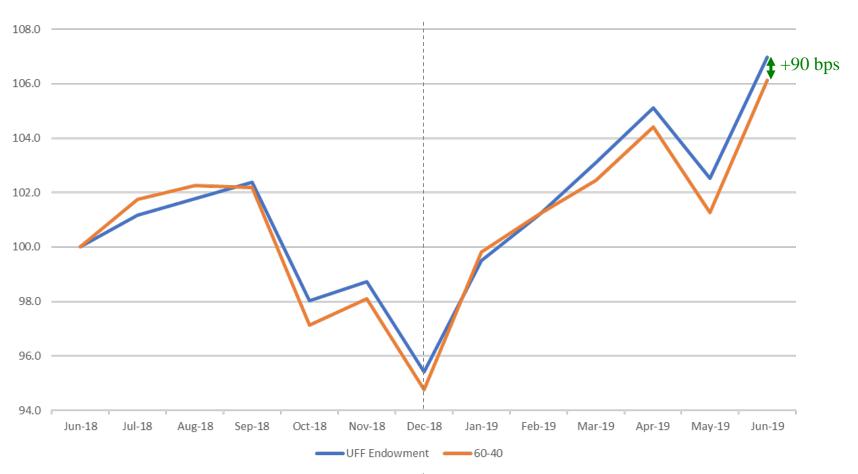
^{*} Note: The timing of cash inflows does not always correspond with the timing of endowment gifts. 80/608





FY 2019 Performance

A tale of halves



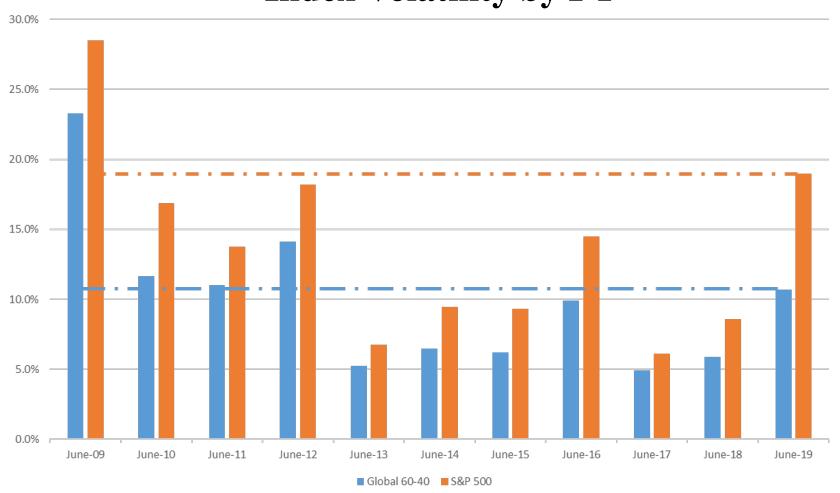
First half: -4.6%

Second half: +12.1%



FY 19 Above Median Market Volatility

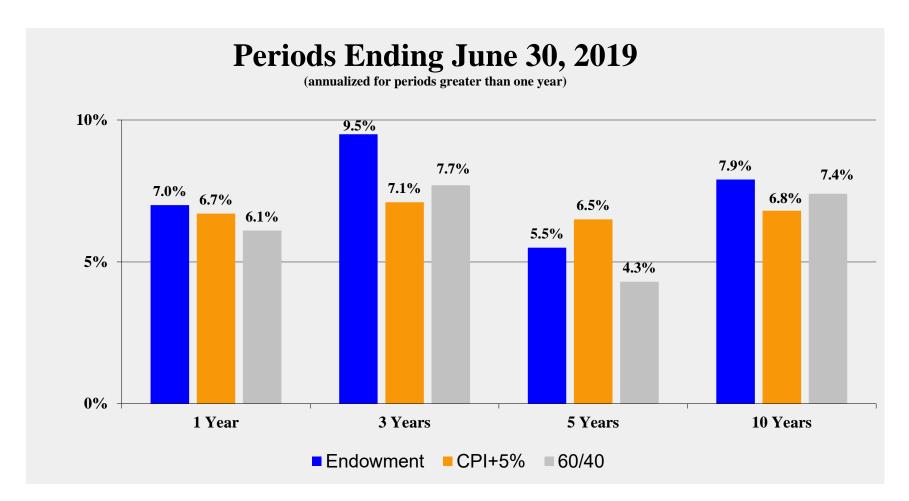
Index Volatility by FY



December 5, 2019



Endowment Portfolio Investment Performance



- * Final performance
- ** 60% MSCI ACWI / 40% Barclays Global Agg





Current Strategy = Strong Results

UFF Endowment Trailing 3 Year Return Ranking

Return Rank	Fiscal Year	Endowment Return	60-40 Return	Relative
1 (highest)	2012	9.8%	9.2%	0.6%
2	2019	9.5%	7.7%	1.8%
3	2013	8.6%	9.0%	-0.4%
4	2015	8.5%	7.4%	1.1%
5	2014	7.5%	7.3%	0.2%
6	2018	5.8%	6.1%	-0.3%
7	2016	4.7%	4.9%	-0.2%
8	2017	3.7%	2.9%	0.8%
9 (lowest)	2011	2.7%	3.5%	-0.7%

~\$80m
relative
value add,
net of all expenses

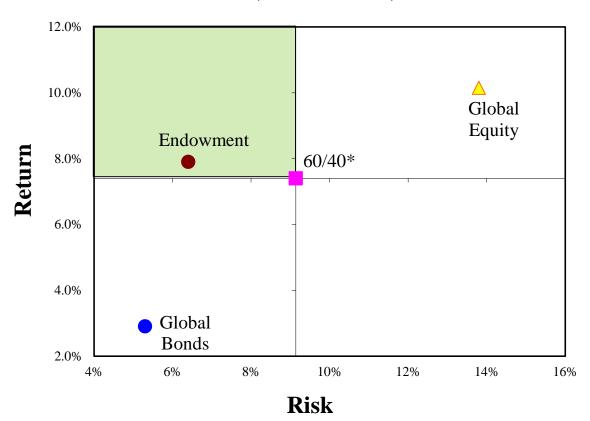
Based on Investment Returns, which were backfilled starting 7/1/2008



Long-Term Risk & Return

10 Year Risk & Return

June 30, 2009 to June 30, 2019







Endowment Portfolio Financial Recap



Since UFICO inception July 1, 2004; as of June 30, 2019; (\$000)





Endowment Allocations Proforma 11/1/2019

	Sub Portfolios	Estimated Current Allocation	Broad Allocation Actual	Broad Allocation Targets	Sub Portfolio Targets	Sub Portfolio Variances
Growth Allocation	Public Equity Private Growth Private Park Mkt. Directional HFs	35.9% — 24.1% 6.1% 11.4% _	— 77.5%	80.0%	40.0% 30.0% 0.0% 10.0%	-4.1% -5.9% 6.1% 1.4%
Diversifying Allocation	Diversifying HFs	11.9%	11.9%	10.0%	10.00%	1.9%
Liquidity Allocation	Fixed Income Inflation Liquidity Cash	6.6% 2.4% 1.6%	10.6%	10.0%	6.5% 2.5% 1.0%	0.1% -0.1% 0.6%
	Totals	100.0%	100.0%	100.0%	100.0%	

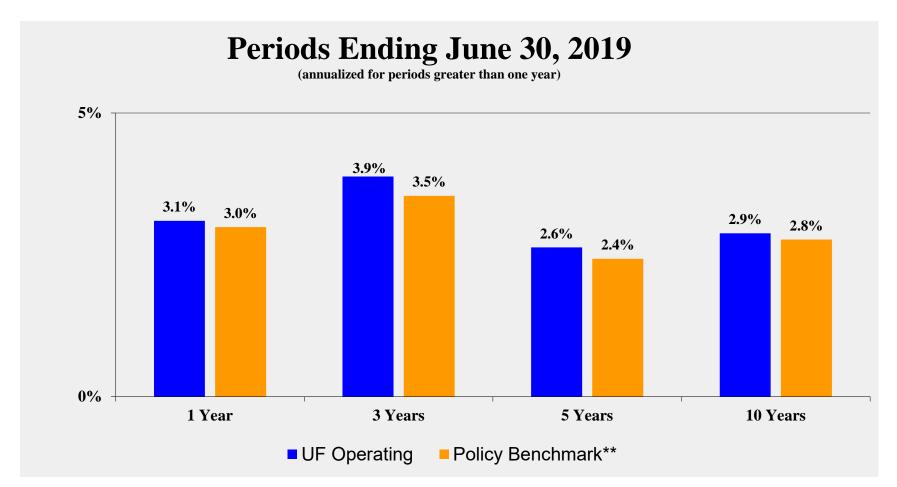


UF Operating Funds





UF Operating Investment Performance



- * Final performance
- ** Blended benchmark using actual allocations. SPIA, Central Bank Loans, and other direct investments benchmarked to themselves. UFICO portfolios use the benchmarks of the underlying portfolios.





Total Portfolio Objectives & Constraints

Primary: Safety & Liquidity

Liquidity Requirements

- Daily: ~\$30m to \$100m max deposit/withdrawal
- Monthly: ~\$150m to \$350m deposits/withdrawals
- Fiscal Year: \$3b+ cash revenue / expenditures

Secondary: Return using prudent risk

Return Objective

• \$45 million annually

Risk Tolerance

• \$100 million drawdown limit





UF UNIVERSITY of FLORIDA INVESTMENT CORPORATION

Faculty Hiring Report

10/01/2019



		U.S	S. News and World Report	
	Full-Time Base Faculty Headcount (A)	Instructional FTE (B)	Student FTE (C)	Student-to-Teacher Ratio (D)
Fall 2009	3,289	2,122	43,370	20.4:1
Fall 2010	3,401	2,076	42,708	20.5:1
Fall 2011	3,591	2,075	42,438	20.5:1
Fall 2012	3,578	1,979	42,431	21.4:1
Fall 2013	3,618	2,006	42,186	21.0:1
Fall 2014	3,691	2,034	42,149	20.7:1
Fall 2015	3,792	2,104	43,455	20.7:1
Fall 2016	3,905	2,142	43,716	20.4:1
Fall 2017	4,117	2,292	43,778	19.1:1
Fall 2018	4,357	2,410	43,700	18.0:1
Fall 2019 (Preliminary)	4,532	TBA	TBA	TBA

une 2017 UF announces plans to hire 500 new faculty positions											
		U.S	. News and World Repo	ort							
	Full-Time Base Faculty	Instructional FTE	Student FTE	Student-to-Teacher							
	Headcount	(B)	(C)	Ratio							
	(A)			(D)							
Fall 2017	4,117	2,292	43,778	19.1:1							
Faculty Departures	410	116									
Faculty Hires	650	234									
Fall 2018	4,357	2,410	43,700	18.0:1							
Faculty Departures	330	218									
Faculty Hires	510	265									
Fall 2019 (Preliminary)	4,532	2,457									
Total Activity Since Fall 2017											
New Faculty Hires—Total	1,160	499									
Net New Faculty Hires—Total	415	155									
New Faculty 500—Hires and Accepted Offers	483	457									
Recruiting	17	17									

Notes:

Base faculty includes faculty in academic departments and excludes adjuncts, county extension, librarians, faculty administrators (dean level and above), and career faculty. Instructional faculty are not a subset of Base faculty. Base and Instructional faculty are over-lapping sets.

The Instructional faculty reported for the Student Faculty ratio exclude faculty in stand-alone graduate and professional programs (e.g. Medicine, Dentistry, Veterinary Medicine, and Law). Include staff teaching a course as 1/3 FTE.

		Faculty 500								
College/Center	Total Hires	Hires	Accepted Offers	Hiring Target						
College of Agriculture and Life Sciences	74	32	5	44						
College of Business	14	11	0	11						
College of Dentistry	22	3	1	4						
College of Design, Construction & Planning	24	17	2	20						
College of Education	40	27	0	27						
College of Engineering	84	76	3	78						
College of Health & Human Performance	15	16	1	20						
College of Journalism & Communications	20	22	1	24						
College of Law	8	0	0	0						
College of Liberal Arts & Sciences	179	133	3	139						
College of Medicine	343	21	4	25						
College of Nursing	25	21	0	22						
College of Pharmacy	38	8	0	8						
College of Public Health & Health Professions	45	24	3	27						
College of the Arts	35	29	1	31						
College of Veterinary Medicine	42	10	1	11						
Florida Museum of Natural History	4	2	0	2						
Office of Research	1	1	0	1						
College of MedicineJacksonville	108	0	0	0						
Center for Latin American Studies	4	1	0	1						
Whitney Labs	3	2	0	2						
Honor's Program	1	1	0	2						
Innovation Academy	1	1	0	1						
Florida Sea Grant	0	0	0	0						
Totals	1130	458	25	500						

	R	Reasons for Departure								
Departure from Base	Resignation	Retirement	Involuntary	Other	Total Base Faculty	Turnover %				
79	29	40	6	4	576	14%				
14	7	3	3	1	102	14%				
21	11	7	1	2	107	20%				
8	5	3	0	0	89	9%				
25	9	10	2	4	106	24%				
40	29	9	2	0	350	11%				
7	3	4	0	0	44	16%				
4	2	2	0	0	57	7%				
13	7	1	0	5	66	20%				
75	35	31	5	4	705	11%				
264	195	19	19	31	1253	21%				
9	2	4	0	3	50	18%				
18	15	2	0	1	113	16%				
39	36	2	1	0	154	33%				
15	6	6	2	1	116	13%				
23	15	6	1	1	152	15%				
6	1	3	0	2	28	21%				
1	0	1	0	0	17	6%				
78	64	5	4	5	400	20%				
0	0	0	0	0	8	0%				
0	0	0	0	0	12	0%				
0	0	0	0	0	1	0%				
0	0	0	0	0	0	0%				
1	0	0	0	1	1	100%				
740	471	158	46	65	4507					

UF Enterprise

Notable Non-GAAP Assets & Liabilities (in thousands)

As of June 30, 2019

										-,										
	Ur	niversity of Florida		niversity of Florida oundation	niversity Athletic ssociation		ther Direct Support ganizations		rida Clinical actice Plan	Ot	ther Practice Plans	Shands Teaching ospital and Clinics	Ja	Shands cksonville ealthCare	ı	Total UF Enterprise 6/30/2019	E	Total UF Enterprise 6/30/2018	 Variance	% Variance
Cash and Investments																				
Cash in Bank	\$	126	\$	8,165	\$ 10,504	\$	30,326	\$	78,356	\$	33,809	\$ 45,276	\$	99,732	\$	306,294	\$	290,959	\$ 15,335	5.27%
State Appropriation		195,969		-	-		-		-		-	-		-		195,969		196,444	(475)	-0.24%
Tuition and Technology Fees (Note 1)		114,087		-	-		-		-		-	-		-		114,087		99,857	14,230	14.25%
Research Restricted		505,681		-	-		-		-		-	-		-		505,681		480,127	25,554	5.32%
Business Activities		317,601		-	-		-		-		-	-		-		317,601		322,526	(4,925)	-1.53%
Donor Restricted		198,000		-	-		-		-		-	-		-		198,000		198,855	(855)	-0.43%
Student Financial Aid		50,601		-	-		-		-		-	-		-		50,601		47,825	2,776	5.80%
Construction (Note 2)		190,729		-	-		-		-		-	-		-		190,729		146,388	44,341	30.29%
Other (Note 3)		159,768		-	-		-		-		-	-		-		159,768		141,515	18,253	12.90%
Held on Behalf of Component Units (Note 4)		221,822		-	-		-		-		-	-		-		221,822		173,712	48,110	27.70%
Endowment		-		1,822,222	-		-		-		-	-		-		1,822,222		1,757,338	64,884	3.69%
Component Unit Short-Term Inv		-		-	98,396		38,051		25,841		240,706	14,539		23,795		441,328		472,515	(31,187)	-6.60%
Component Unit Long-Term Inv (Note 5)		-		116,022	51,870		492		8,843		-	625,284		9,960		812,471		713,454	99,017	13.88%
Total Cash and Investments	\$	1,954,384	\$	1,946,409	\$ 160,770	\$	68,869	\$	113,040	\$	274,515	\$ 685,099	\$	133,487	\$	5,336,573	\$	5,041,515	\$ 295,058	5.85%
Accounts Receivable																				
Contracts and Grants Receivable	\$	61.173	\$	_	\$ _	\$	-	\$	_	\$	-	\$ _	\$	_	\$	61,173	\$	65,007	\$ (3,834)	-5.90%
Donor Receivables (Note 6)		-		74,117	 _	_	_	<u> </u>	_		_	-		_		74,117		57,952	 16,165	27.89%
Patient Receivables		-		-	_		_		39,772		15,417	242,161		118,915		416,265		393,966	22,299	5.66%
Other Accounts Receivable		893		1,731	7,918		7,103		8,705		15,582			-		41,932		34,217	7,715	22.55%
Total Accounts Receivable	\$	62,066	\$	75,848	\$ 7,918	\$	7,103	\$	48,477	\$	30,999	\$ 242,161	\$	118,915	\$	593,487	\$		\$ 42,345	7.68%
Buildings and Equipment	\$	1,679,032	\$	62,133	\$ 186,425	\$	13,206	\$	83,483	\$	23,466	\$ 1,081,668	\$	249,841	\$	3,379,254	\$	3,422,224	\$ (42,970)	-1.26%
Accounts Payable	\$	57,324	\$	787	\$ 16,924	\$	15,610	\$	9,565	\$	12,152	\$ 195,418	\$	65,264	\$	373,044	\$	361,931	\$ 11,113	3.07%
Due To Component Units (Note 7)	\$	221,822	\$	<u>-</u>	\$ -	\$	-	\$	-	\$	<u>-</u>	\$ _	\$	<u>-</u>	\$	221,822	\$	173,712	\$ 48,110	27.70%
		,	·			·		·			0.000	000 00		0.40.000		·			·	
Bonds and Loans	\$	164,237	\$	2,017	\$ 126,025	\$	-	\$	91,875	\$	8,006	\$ 878,795	\$	243,029	\$	1,513,984	\$	1,400,581	\$ 113,403	8.10%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

UF Enterprise Notable Non-GAAP Receipts & Disbursements (in thousands) For the Twelve Months Ended June 30, 2019

		niversity of Florida		iversity of Florida undation		University Athletic association	;	her Direct Support ganizations		ida Clinical ctice Plan	Oth	er Practice Plans	Ho	Shands Teaching ospital and Clinics	Jac	Shands cksonville ealthCare	E	Total UF Interprise Q4 FY19		Total UF Enterprise Q4 FY18	<u> </u>	Variance	% Variance
Cash Receipts																							
Tuition and Fees	\$	393,720	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	393,720	\$	389,835	\$	3,885	1.00%
State Appropriations (Note 8)		851,875		-		4,880		8,854		-		-		4,700		-		870,309		830,187		40,122	4.83%
Contracts and Grants		668,340		-		-		6		-		-		-		-		668,346		656,188		12,158	1.85%
Federal and State Financial Aid (Note 9)		231,233		-		-		-		-		-		-		-		231,233		204,537		26,696	13.05%
Patient Service Revenue		-		-		-		-		508,930		287,036		1,487,899		731,549		3,015,414		2,902,566		112,848	3.89%
Contributions/Donations		-		140,667		-		37,391		-		-		15,562		57		193,677		193,889		(212)	-0.11%
Investment Income (Note 10)		58,194		6,892		1,388		1,130		(3,006)		17,834		39,555		1,022		123,009		139,029		(16,020)	-11.52%
Licensing and Royalties (Note 11)		-		-		68,175		91,384		-		-		-		-		159,559		108,424		51,135	47.16%
Sales of Goods & Services		325,934		-		40,778		198,987		-		9,738		-		-		575,437		536,881		38,556	7.18%
Other Cash Receipts (Note 12)		66,015		<u>-</u> _				<u>-</u>		-				<u>-</u> _		<u>-</u> _		66,015		117,440		(51,425)	-43.79%
Total Cash Receipts	\$	2,595,311	\$	147,559	\$	115,221	\$	337,752	\$	505,924	\$	314,608	\$	1,547,716	\$	732,628	\$	6,296,719	\$	6,078,976	\$	217,743	3.58%
	<u> </u>																						
Transfers																							
From Component Units																							
Hospitals and Practice Plan	\$	709,465	\$	-	\$	-	\$	-	\$	183,540	\$	27,233	\$	-	\$	-	\$	920,238	\$	851,751	\$	68,487	8.04%
UF Foundation (Donor Restricted)		121,082		_		-		7,463		-		-		_		-		128,545		133,297		(4,752)	-3.56%
Other		38,517		_		38,057		-		_		_		_		_		76,574		80,033		(3,459)	-4.32%
To University/Component Units		-		(128,545)		(3,350)		(73,223)		(499,045)		(187,127)		(196,804)		(37,263)		(1,125,357)		(1,065,081)		(60,276)	5.66%
Net Transfers	\$	869,064	\$	(128,545)	\$	34,707	\$	(65,760)	\$	(315,505)	\$	(159,894)	\$	(196,804)	•		\$		\$	(1,000,001)	-	(00,210)	0.00%
Net mansiers	Ψ	003,004	ų.	(120,343)	Ψ	34,707	Ψ	(03,700)	Ψ	(313,303)	Ψ	(133,034)	Ψ	(130,004)	Ψ	(37,203)	Ψ		Ψ		Ψ	<u>-</u>	0.0076
Total Revenues Net of Transfers	\$	3,464,375	\$	19,014	\$	149,928	\$	271,992	\$	190,419	\$	154,714	\$	1,350,912	\$	695,365	\$	6,296,719	\$	6,078,976	\$	217,743	3.58%
Operating Expense Disbursements																							
Employee Compensation and Benefits																							
Instruction	\$	617,233	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	617,233	\$	579,803	\$	37,430	6.46%
Research		465,282		-		-		-		-		-		-		-		465,282		441,025		24,257	5.50%
Patient Services and Other Public Services		611,032		-		-		-		-		98,486		719,135		336,841		1,765,494		1,674,244		91,250	5.45%
Support		286,395		-		-		-		-		-		-		-		286,395		264,551		21,844	8.26%
Other		256,078		19,889		58,242		3,110		-		-		-		-		337,319		326,293		11,026	3.38%
Total Employee Comp & Benefits (Note 13)	\$	2,236,020	\$	19,889	\$	58,242	\$	3,110	\$	-	\$	98,486	\$	719,135	\$	336,841	\$	3,471,723	\$	3,285,916	\$	185,807	5.65%
Other Operating Expense Disbursements																							
Instruction	\$	37,480	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	37,480	\$	41,280	\$	(3,800)	-9.21%
Research		227,602		-		-		-		-		-		-		-		227,602		214,210		13,392	6.25%
Patient Services and Other Public Services		104,537		-		-		-		185,423		59,258		564,664		340,296		1,254,178		1,160,533		93,645	8.07%
Support		100,210		-		-		-		-		-		-		-		100,210		104,077		(3,867)	-3.72%
Scholarships and Student Support		172,646		-		-		-		-		-		-		-		172,646		141,363		31,283	22.13%
Other		290,752		17,322		60,394		214,662		-		-		-		-		583,130		557,744		25,386	4.55%
Total Other Operating Expense Disbursements	\$	933,227	\$	17,322	\$	60,394	\$	214,662	\$	185,423	\$	59,258	\$	564,664	\$	340,296	\$	2,375,246	\$	2,219,207	\$	156,039	7.03%
Total Operating Expense Disbursements	\$	3,169,247	\$	37,211	\$	118,636	\$	217,772	\$	185,423	\$	157,744	\$	1,283,799	\$	677,137	\$	5,846,969	\$	5,505,123	\$	341,846	6.21%
Total Cash Receipts and Transfers Less																							
Operating Expense Disbursements	\$	295,128	\$	(18,197)	\$	31,292	\$	54,220	\$	4,996	\$	(3,030)	\$	67,113	\$	18,228	\$	449,750	\$	573,853		(124,103)	-21.63%
Other Bessints & Dishurran																							
Other Receipts & Disbursements	^	(400 500)	•	(4.500)	^	(04.400)	•	00	Φ.	(47.070)	_	(0.444)	^	(00.000)	Φ.	(04.545)	Ć.	(070.000)	•	(202 522)	Φ.	44.450	0.0704
Equipment and Building Expenses	\$	(196,589)	\$	(4,590)	\$	(31,493)	\$	22	\$	(17,672)	\$	(8,411)	\$	(98,832)	\$	(21,515)	\$	(379,080)	\$	(393,536)	\$	14,456	-3.67%
Debt Proceeds/Repayment (Note 14)	\$	16,954	\$	(1,116)	\$	41,479	\$	-	\$	34,284	\$	4,351	\$	(13,974)	\$	(1,527)	\$	80,451	\$	(35,672)	\$	116,123	-325.53%
Change in Receivables and Payables (Note 15)	\$	31,421	\$	143,471	\$	(1,196)	\$	(48,831)	_{\$} 9	5/6084	\$	14,798	\$	(4,916)	\$	5,436	\$	143,937	\$	107,018	\$	36,919	34.50%
		446 - 11			_		_				Ţ		_				_				_		
Net Cash for the 12 Months Ended June 30, 2019	\$	146,914	\$	119,568	\$	40,082	\$	5,411	\$	25,362	\$	7,708	\$	(50,609)	\$	622	\$	295,058	\$	251,663	\$	43,395	17.24%

UF Enterprise

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
1	Tuition and Tech Fees - Cash and Investments	Increase is primarily driven by nonrecurring expenses in the prior year to fund the student services system project.
2	Construction - Cash and Investments	Increase is due to unspent debt proceeds received by the University for the construction of a new parking garage (\$16M) and funds for the construction of the Herbert Wertheim Laboratory for Engineering Excellence (\$33M).
3	Other - Cash and Investments	Increase is primarily due to unexpended investment earnings at the University.
4	Held on Behalf of Component Units - Cash and Investments	Increase is due to deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M). See Note 11.
5	Component Unit Long-Term Inv - Cash and Investments	Increase is due to new trust and annuity gifts and positive investment returns generated by the UF Foundation, as well as Shands Teaching Hospital converting short-term investments into long-term investments.
6	Donor Receivables	Increase is attributable to new pledges net of payments at the UF Foundation (\$7M) and a lower allowance percentage for uncollectible receivables based on an analysis of bad debt on non-endowed pledges (\$9M).
7	Due to Component Units	Increase is due to deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M). See Note 11.
8	State Appropriations	Increase is primarily driven by the Capital State Appropriation received for the Herbert Wertheim Laboratory (\$18M) and the Norman Hall Rehabilitation (\$13M), as well as an increase in preeminence funding (\$7M).
9	Federal and State Financial Aid	Increase is due to increased funding at the University from Bright Futures Scholarships, as a result of higher per credit hour funding for Florida Medallion recipients (\$12M increase) and a higher number of students qualifying for Florida Academic Scholars during FY19 (\$15M increase).
10	Investment Income	Decrease is due to weaker market conditions during FY19, leading to reduced investment earnings, particularly at the UF Foundation (\$21M lower).
11	Licensing and Royalties	Increase is primarily due to a one-time payout of on equity holdings received by UF Research Foundation (\$38M).
12	Other Cash Receipts	Decrease is due to a one-time payment of received in prior year by the University from Duke Energy to satisfy their contractual obligations to the University (\$55M).

UF Enterprise

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
13	Employee Comp and Benefits	Increase is primarily due to higher number of faculty and staff employed by the University, particularly from the Faculty 500 Initiative, leading to an overall increase in payroll for faculty (\$51M) and staff (\$34M). The University also had a performance payment to UF employees (\$37M). Additionally, Shands Teaching Hospital incurred increased payroll expenses to operate the new UF Health Heart and Vascular hospital.
14	Debt Proceeds/Repayment	Increase is due to the debt proceeds at the University from the issuance of the new Transportation and Parking bond (\$31M), at University Athletic Association for construction of the new baseball/softball stadium (\$55M), and at Florida Clinical Practice Association for the new medical facility at the Oaks Mall (\$30M).
15	Change in Receivables and Payables	Increase is primarily due to the higher value of deferred split interest agreements at the UF Foundation due to new trust gifts in FY19 (\$22M). Also contributing to this increase is the additional cash received by Shands Teaching Hospital from other operations including leasing, institutional billing, exported organs, pharmacy, and food service (\$12M).

97/608 4

University of Florida

Notable Non-GAAP Assets & Liabilities (in thousands)
As of June 30, 2019

	6/30/2019	6/30/2018	\$ Variance	% Variance
Cash and Investments				
Cash in Bank	\$ 126	\$ 221	\$ (95)	-42.99%
State Appropriation	195,969	196,444	(475)	-0.24%
Tuition and Technology Fees (Note 1)	114,087	99,857	14,230	14.25%
Research Restricted (Note 2)	505,681	480,127	25,554	5.32%
Business Activities	317,601	322,526	(4,925)	-1.53%
Donor Restricted	198,000	198,855	(855)	-0.43%
Student Financial Aid	50,601	47,825	2,776	5.80%
Construction (Note 3)	190,729	146,388	44,341	30.29%
Other (Note 4)	159,768	141,515	18,253	12.90%
Held on Behalf of Component Units (Note 5)	221,822	173,712	48,110	27.70%
Total Cash and Investments	\$ 1,954,384	\$ 1,807,470	\$ 146,914	8.13%
Accounts Receivable				
Contracts and Grants Receivable	\$ 61,173	\$ 65,007	\$ (3,834)	-5.90%
Other Accounts Receivable	893	 851	 42	4.94%
Total Accounts Receivable	\$ 62,066	\$ 65,858	\$ (3,792)	-5.76%
Buildings and Equipment	\$ 1,679,032	\$ 1,746,862	\$ (67,830)	-3.88%
Accounts Payable	\$ 57,324	\$ 57,448	\$ (124)	-0.22%
Due To Component Units (Note 6)	\$ 221,822	\$ 173,712	\$ 48,110	27.70%
Bonds and Loans (Note 7)	\$ 164,237	\$ 143,220	\$ 21,017	14.67%

<u>Note</u>: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

98/608 5

University of Florida

Notable Non-GAAP Receipts & Disbursements (in thousands)
For the Twelve Months Ended June 30, 2019

		Q4 FY19		Q4 FY18	\$	Variance	% Variance
Cash Receipts							
Tuition and Fees	\$	393,720	\$	389,835	\$	3,885	1.00%
State Appropriations (Note 8)		851,875		811,666		40,209	4.95%
Contracts and Grants		668,340		653,768		14,572	2.23%
Federal and State Financial Aid (Note 9)		231,233		204,537		26,696	13.05%
Investment Income		58,194		64,848		(6,654)	-10.26%
Sales of Goods & Services		325,934		316,109		9,825	3.11%
Other Cash Receipts <i>(Note 10)</i>		66,015	_	117,440		(51,425)	-43.79%
Total Cash Receipts	\$	2,595,311	\$	2,558,203	\$	37,108	1.45%
Transfers							
From Component Units							
Hospitals and Practice Plan (Note 11)	\$	709,465	\$	640,932	\$	68,533	10.69%
UF Foundation (Donor Restricted)		121,082		122,963		(1,881)	-1.53%
Other		38,517		38,605		(88)	-0.23%
Net Transfers	\$	869,064	\$	802,500	\$	66,564	8.29%
Total Cash Receipts Net of Transfers	\$	3,464,375	\$	3,360,703	\$	103,672	3.08%
						,	
Operating Expense Disbursements Employee Compensation and Benefits							
Instruction	¢	617 222	¢	579,803	\$	27 420	6.46%
	\$	617,233	\$		Ф	37,430	
Research Patient Services and Other Public Services		465,282		441,025		24,257	5.50% 5.28%
		611,032 286,395		580,389 264,551		30,643 21,844	8.26%
Support Other		•		,		•	
Total Employee Comp & Benefits (Note 12)	\$	256,078 2,236,020	\$	233,285 2,099,053	\$	22,793 136,967	9.77%
Other Operating Expense Disbursements							
Instruction	\$	37,480	\$	41,280	\$	(3,800)	-9.21%
Research	Ψ	227,602	Ψ	214,210	Ψ	13,392	6.25%
Patient Services and Other Public Services		104,537		102,686		1,851	1.80%
		104,537		102,000		(3,867)	-3.72%
Support Scholarships and Student Support		172,646		141,363		31,283	
·							22.13%
Other Total Other Operating Expense Disbursements	\$	290,752 933,227	\$	278,822 882,438	\$	11,930 50,789	<u>4.28%</u> 5.76%
	<u> </u>	,					
Total Operating Expense Disbursements		3,169,247	\$	2,981,491	\$	187,756	6.30%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	295,128	\$	379,212	\$	(84,084)	-22.17%
Other Receipts & Disbursements							
Equipment and Building Expenses (Note 13)	\$	(196,589)	\$	(167,981)	\$	(28,608)	17.03%
	<u> </u>	(100,000)	•	(107,001)	Ť	(20,000)	17.0070
Debt Proceeds/Repayment (Note 14)	\$	16,954	\$	(25,693)	\$	42,647	-165.99%
Change in Receivables and Payables (Note 15)	\$	31,421	\$	(14,545)	\$	45,966	-316.03%
Net Cash for the 12 Months Ended June 30, 2019	\$	146,914	\$	170,993	\$	(24,079)	-14.08%
not outli for the 12 months Linded Julie 30, 2019		608	<u> </u>	110,333	Ψ	(27,013)	-14.00 /0

University of Florida

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
1	Tuition and Tech Fees - Cash and Investments	Increase is primarily driven by nonrecurring expenses in the prior year to fund the student services system project.
2	Research Restricted - Cash and Investments	Increase is primarily driven by the recovery of more facilities and administrative costs from sponsors along with greater federal research activity.
3	Construction - Cash and Investments	Increase is due to unspent debt proceeds received for the construction of a new parking garage (\$16M) and funds for the construction of the Herbert Wertheim Laboratory for Engineering Excellence (\$33M).
4	Other - Cash and Investments	Increase is primarily due to unexpended investment earnings at the University.
5	Held on Behalf of Component Units - Cash and Investments	Increase is due to deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M)
6	Due to Component Units	Increase is due to deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M).
7	Bonds and Loans	Increase is primarily due to new funds for the construction of a parking garage in the amount of \$31M, offset by continued debt repayment on existing loans and notes.
8	State Appropriations	Increase is primarily driven by the Capital State Appropriation received for the Herbert Wertheim Laboratory (\$18M) and the Norman Hall Rehabilitation (\$13M), as well as an increase in preeminence funding (\$7M).
9	Federal and State Financial Aid	Increase is due to increased funding from Bright Futures Scholarships, as a result of higher per credit hour funding for Florida Medallion recipients (\$12M increase) and a higher number of students qualifying for Florida Academic Scholars during FY19 (\$15M increase).
10	Other Cash Receipts	Decrease is due to a one-time payment of received in prior year by the University from Duke Energy to satisfy their contractual obligations to the University (\$55M).
11	Transfers From Hospitals and Practice Plan	Increase is primarily due to transfers from the FCPA. Growth in patient services and raises for faculty physicians resulted in additional work performed by faculty physicians and increased transfers to the University to cover their salaries and benefits.
12	Employee Comp & Benefits	Increase is primarily due to higher number of faculty and staff employed by the University, particularly from the Faculty 500 Initiative, leading to an overall increase in payroll for faculty (\$51M) and staff (\$34M). The University also had a performance payment to UF employees (\$37M).
13	Equipment and Building Expenses	Increase is due to construction of the new parking garage beginning in FY19, as well as the ramping up of construction on the Norman Hall Renovations and the Herbert Wertheim Laboratory for Engineering Excellence.
14	Debt Proceeds/Repayment	Increase is due to the debt proceeds at the University from the issuance of the new Transportation and Parking bond (\$31M). Also, contributing to this variance is a decrease in debt payments made during FY19 due to the payoff in full during FY18 of the University's ESCO agreement with Bank of America.
15	Change in Receivables and Payables	Increase is due to significant deposits made by UF Research Foundation into the UF Component Unit fund during FY19. See Notes 5 & 6.

100/608 7

University of Florida Foundation

Notable Non-GAAP Assets & Liabilities (in thousands)
As of June 30, 2019

		6/30/2019	6/30/2018		Variance	% Variance
Cash and Investments						
Cash in Bank	\$	8,165	\$ 7,937	\$	228	2.87%
Endowment (Note 1)		1,822,222	1,757,338		64,884	3.69%
Component Unit Long-Term Inv (Note 2)		116,022	 61,566		54,456	88.45%
Total Cash and Investments	\$	1,946,409	\$ 1,826,841	\$	119,568	6.55%
Accounts Receivable						
Donor Receivables (Note 3)	\$	74,117	\$ 57,952	\$	16,165	27.89%
Other Accounts Receivable		1,731	902		829	91.91%
Total Accounts Receivable	\$	75,848	\$ 58,854	\$	16,994	28.87%
Buildings and Equipment	\$	62,133	\$ 61,967	\$	166	0.27%
Accounts Payable	\$	787	\$ 806	\$	(19)	-2.36%
Bonds and Loans	\$	2,017	\$ 3,132	\$	(1,115)	-35.60%

<u>Note</u>: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

University of Florida Foundation
Notable Non-GAAP Receipts & Disbursements (in thousands) For the Twelve Months Ended June 30, 2019

	Q4 FY19			Q4 FY18		Variance	% Variance
Cash Receipts			_			,	
Contributions/Donations	\$	140,667	\$	133,077	\$	7,590	5.70%
Investment Income (Note 4)		6,892		28,473		(21,581)	-75.79%
Total Cash Receipts	\$	147,559	\$	161,550	\$	(13,991)	-8.66%
Transfers							
From Component Units	•		Φ.		Φ.		0.000/
Other	\$	- (100 - 1-)	\$	- (400.00=)	\$	-	0.00%
To University/Component Units		(128,545)		(133,297)		4,752	-3.56%
Net Transfers	\$	(128,545)	\$	(133,297)	\$	4,752	-3.56%
Total Cash Receipts Net of Transfers	\$	19,014	\$	28,253	\$	(9,239)	-32.70%
						<u> </u>	
Operating Expense Disbursements							
Employee Compensation and Benefits							
Other	\$	19,889	\$	18,882	\$	1,007	5.33%
Total Employee Comp & Benefits	\$	19,889	\$	18,882	\$	1,007	5.33%
Other Operating Expense Disbursements							
Scholarships and Student Support	\$	_	\$	_	\$	_	0.00%
Other	·	17,322	·	10,439	·	6,883	65.94%
Total Other Operating Expense Disbursements	\$	17,322	\$	10,439	\$	6,883	65.94%
Total Operating Expense Disbursements	<u> </u>	27 244		20.224		7 900	26.91%
Total Operating Expense Disbursements	\$	37,211	\$	29,321	\$	7,890	26.91%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	(18,197)	\$	(1,068)	\$	(17,129)	1603.84%
Other Receipts & Disbursements							
Equipment and Building Expenses	\$	(4,590)	\$	(7,430)	\$	2,840	-38.22%
Equipment and Building Expenses	Ψ	(1,000)	Ψ	(7,100)	Ψ	2,010	00.2270
Debt Proceeds/Repayment	\$	(1,116)	\$	(1,116)	\$	-	0.00%
Change in Receivables and Payables (Note 5)	\$	143,471	\$	129,465	\$	14,006	10.82%
.,,,						,	
Net Cash for the 12 Months Ended June 30, 2019	\$	119,568	\$	119,851	\$	(283)	-0.24%

University of Florida Foundation

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
1	Endowment - Cash and Investments	Increase is due to new endowment gifts and spendable transfers as well as positive investment returns, partially offset by administrative fees.
2	Component Unit Long-Term Inv - Cash and Investments	Increase is attributable to new trust and annuity gifts and positive investment returns.
3	Donor Receivables	Increase is attributable to new pledges net of payments (\$7M) and a lower allowance percentage for uncollectible receivables based on an analysis of bad debt on non-endowed pledges (\$9M).
4	Investment Income	Decrease is due to less favorable market conditions in FY19 as compared to FY18 leading to overall lower investment returns.
5	Change in Receivables and Payables	Increase is primarily due to an increase in the value of deferred split interest agreements due to new trust gifts in FY19 (\$22M).

University Athletic Association

Notable Non-GAAP Assets & Liabilities (in thousands)
As of June 30, 2019

6	6/30/2019		6/30/2018		Variance	% Variance
\$	10,504	\$	8,134	\$	2,370	29.14%
	98,396		59,890		38,506	64.29%
	51,870		52,664		(794)	-1.51%
\$	160,770	\$	120,688	\$	40,082	33.21%
\$	7,918	\$	6,408	\$	1,510	23.56%
\$	7,918	\$	6,408	\$	1,510	23.56%
\$	186,425	\$	178,751	\$	7,674	4.29%
\$	16,924	\$	12,690	\$	4,234	33.36%
\$	126,025	\$	81,275	\$	44,750	55.06%
	\$ \$ \$ \$	98,396 51,870 \$ 160,770 \$ 7,918 \$ 7,918 \$ 186,425 \$ 16,924	\$ 10,504 \$ 98,396 51,870 \$ 160,770 \$ \$ 7,918 \$ \$ 7,918 \$ \$ 186,425 \$ \$ \$ 16,924 \$	\$ 10,504 \$ 8,134 98,396 59,890 51,870 52,664 \$ 160,770 \$ 120,688 \$ 7,918 \$ 6,408 \$ 7,918 \$ 6,408 \$ 186,425 \$ 178,751 \$ 16,924 \$ 12,690	\$ 10,504 \$ 8,134 \$ 98,396 59,890 51,870 52,664 \$ 160,770 \$ 120,688 \$ \$ 7,918 \$ 6,408 \$ \$ 7,918 \$ 6,408 \$ \$ \$ 186,425 \$ 178,751 \$ \$ 16,924 \$ 12,690 \$	\$ 10,504 \$ 8,134 \$ 2,370 98,396 59,890 38,506 51,870 52,664 (794) \$ 160,770 \$ 120,688 \$ 40,082 \$ 7,918 \$ 6,408 \$ 1,510 \$ 7,918 \$ 6,408 \$ 1,510 \$ 186,425 \$ 178,751 \$ 7,674 \$ 16,924 \$ 12,690 \$ 4,234

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

University Athletic Association
Notable Non-GAAP Receipts & Disbursements (in thousands) For the Twelve Months Ended June 30, 2019

	Q4	1 FY19	Q4	Q4 FY18		Variance	% Variance
Cash Receipts							
State Appropriations	\$	4,880	\$	2,332	\$	2,548	109.26%
Contributions/Donations		-		4,587		(4,587)	-100.00%
Investment Income		1,388		4,734		(3,346)	-70.68%
Licensing and Royalties		68,175		64,834		3,341	5.15%
Sales of Goods & Services		40,778		31,967		8,811	27.56%
Total Cash Receipts	\$	115,221	\$	108,454	\$	6,767	6.24%
Transfers							
From Component Units							
UF Foundation (Donor Restricted)	\$	-	\$	267	\$	(267)	-100.00%
Other		38,057		41,428		(3,371)	-8.14%
To University/Component Units		(3,350)		(7,434)		4,084	-54.94%
Net Transfers	\$	34,707	\$	34,261	\$	446	1.30%
Total Cash Receipts Net of Transfers	\$	149,928	\$	142,715	\$	7,213	5.05%
Operating Expense Disbursements							
Employee Compensation and Benefits							
Other	\$	58,242	\$	70,948	\$	(12,706)	-17.91%
Total Employee Comp & Benefits (Note 3)	\$	58,242	\$	70,948	\$	(12,706)	-17.91%
Other Operating Expense Disbursements							
Other	\$	60,394	\$	60,158	\$	236	0.39%
Total Other Operating Expense Disbursements	\$	60,394	\$	60,158	\$	236	0.39%
Total Operating Expense Disbursements	\$	118,636	\$	131,106	\$	(12,470)	-9.51%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	31,292	\$	11,609	\$	19,683	169.55%
Other Receipts & Disbursements							
Equipment and Building Expenses (Note 4)	\$	(31,493)	\$	(4,430)	\$	(27,063)	610.90%
Debt Proceeds/Repayment (Note 5)	\$	41,479	\$	(5,140)	\$	46,619	-906.98%
Change in Receivables and Payables	\$	(1,196)	\$	5,199	\$	(6,395)	-123.00%
Net Cash for the 12 Months Ended June 30, 2019	\$	40,082	\$	7,238	\$	32,844	453.77%

University Athletic Association

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
1	Component Unit Short-Term Inv - Cash and Investments	Increase is due to investment of debt proceeds from the bond issued for the construction of the new baseball/softball stadium.
2	Bonds & Loans	Increase is due to the bond issued for construction of the new baseball/softball stadium.
3	Employee Compensation & Benefits	Decrease is due to football termination contracts that were paid in full during the prior year.
4	Equipment and Building Expenses	Increase is due to expenditures made for construction of the new baseball/softball stadium, and the resurfacing of the track.
5	Debt Proceeds/Repayment	Increase is due to debt proceeds on the bond issued for construction of the new baseball/softball stadium (\$55M).

Other Direct Support Organizations

Notable Non-GAAP Assets & Liabilities (in thousands)
As of June 30, 2019

	6/30/2019		6/	30/2018	\$ \	Variance	% Variance
Cash and Investments			'				
Cash in Bank	\$	30,326	\$	31,831	\$	(1,505)	-4.73%
Component Unit Short-Term Inv		38,051		31,138		6,913	22.20%
Component Unit Long-Term Inv		492		489		3	0.61%
Total Cash and Investments	\$	68,869	\$	63,458	\$	5,411	8.53%
Accounts Receivable							
Other Accounts Receivable	\$	7,103	\$	4,720	\$	2,383	50.49%
Total Accounts Receivable	\$	7,103	\$	4,720	\$	2,383	50.49%
Buildings and Equipment	\$	13,206	\$	12,923	\$	283	2.19%
Accounts Payable	\$	15,610	\$	14,015	\$	1,595	11.38%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Other Direct Support Organizations
Notable Non-GAAP Receipts & Disbursements (in thousands)
For the Twelve Months Ended June 30, 2019

	(Q4 FY19	(Q4 FY18		Variance	% Variance
Cash Receipts							
State Appropriations	\$	8,854	\$	9,139	\$	(285)	-3.12%
Contracts and Grants		6		2,420		(2,414)	-99.75%
Contributions/Donations		37,391		34,601		2,790	8.06%
Investment Income		1,130		719		411	57.16%
Licensing and Royalties (Note 1)		91,384		43,590		47,794	109.64%
Sales of Goods & Services (Note 2)		198,987		180,619		18,368	10.17%
Total Cash Receipts	\$	337,752	\$	271,088	\$	66,664	24.59%
Transfers							
From Component Units							
UF Foundation (Donor Restricted)	\$	7,463	\$	10.067	\$	(2,604)	-25.87%
	φ	(73,223)	Ф	(72,599)	Ф	(624)	0.86%
To University/Component Units Net Transfers		(65,760)	\$	(62,532)	\$	(3,228)	5.16%
The Transfers	Ψ	(00,700)	Ψ	(02,002)	Ψ	(0,220)	0.1070
Total Cash Receipts Net of Transfers	\$	271,992	\$	208,556	\$	63,436	30.42%
Operating Expense Disbursements							
Employee Compensation and Benefits							
Other	\$	3,110	\$	3,178	\$	(68)	-2.14%
Total Employee Comp & Benefits	\$	3,110	\$	3,178	\$	(68)	-2.14%
rotal Zimpioyoo comp a Zonomo	•	0,110	Ψ	3,	Ψ	(00)	2
Other Operating Expense Disbursements							
Other	\$	214,662	\$	208,325	\$	6,337	3.04%
Total Other Operating Expense Disbursements	\$	214,662	\$	208,325	\$	6,337	3.04%
Total Operating Expense Disbursements	\$	217,772	\$	211,503	\$	6,269	2.96%
Total Cash Receipts and Transfers Less	_			(2.2.17)			1000 0 101
Operating Expense Disbursements	<u>\$</u>	54,220	\$	(2,947)	\$	57,167	-1939.84%
Other Receipts & Disbursements							
Equipment and Building Expenses	\$	22	\$	(801)	\$	823	-102.75%
Change in Receivables and Payables (Note 3)	\$	(48,831)	\$	(4,185)	\$	(44,646)	1066.81%
Net Cash for the 12 Months Ended June 30, 2019	\$	5,411	\$	(7,933)	\$	13,344	-168.21%
Net Cash for the 12 Months Efficed Julie 30, 2019	<u> </u>	3,411	φ	(7,333)	Ψ	13,344	-100.21%

Other Direct Support Organizations

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description Licensing and Royalties	Explanation Increase is primarily due to a one-time payout of on equity holdings received by UF Research Foundation (\$38M). Additionally, UFRF received additional Licensing Fees from Sarepta (\$7M).
2	Sales of Goods & Services	Increase is primarily due to GatorCare membership increase, with a smaller amount due to an increased premium rate for certain GatorCare members.
3	Changes in Receivables and Payables	Increase is due to significant deposits (\$50M) made by UFRF into the UF Component Unit fund during FY19 due to the additional Licensing and Royalties revenues referenced in Note 1.

Florida Clinical Practice Plan

Notable Non-GAAP Assets & Liabilities (in thousands)
As of June 30, 2019

	6	/30/2019	6	/30/2018	\$ \	Variance	% Variance
Cash and Investments							
Cash in Bank (Note 1)	\$	78,356	\$	53,517	\$	24,839	46.41%
Component Unit Short-Term Inv		25,841		20,885		4,956	23.73%
Component Unit Long-Term Inv		8,843		13,276		(4,433)	-33.39%
Total Cash and Investments	\$	113,040	\$	87,678	\$	25,362	28.93%
Accounts Receivable							
Patient Receivables	\$	39,772	\$	36,854	\$	2,918	7.92%
Other Accounts Receivable		8,705		7,314		1,391	19.02%
Total Accounts Receivable	\$	48,477	\$	44,168	\$	4,309	9.76%
Buildings and Equipment (Note 2)	\$	83,483	\$	70,557	\$	12,926	18.32%
Accounts Payable	\$	9,565	\$	7,586	\$	1,979	26.09%
Bonds and Loans (Note 3)	\$	91,875	\$	57,591	\$	34,284	59.53%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Florida Clinical Practice Plan

Notable Non-GAAP Receipts & Disbursements (in thousands) For the Twelve Months Ended June 30, 2019

	(Q4 FY19	Q4 FY18	\$ Variance	% Variance
Cash Receipts	·				
Patient Service Revenue (Note 4)	\$	508,930	\$ 464,140	\$ 44,790	9.65%
Investment Income		(3,006)	1,739	(4,745)	-272.86%
Total Cash Receipts	\$	505,924	\$ 465,879	\$ 40,045	8.60%
Transfers					
From Component Units					
Hospitals and Practice Plan	\$	183,540	\$ 180,465	\$ 3,075	1.70%
To University/Component Units (Note 5)		(499,045)	(458,474)	(40,571)	8.85%
Net Transfers	\$	(315,505)	\$ (278,009)	\$ (37,496)	13.49%
Total Cash Receipts Net of Transfers	\$	190,419	\$ 187,870	\$ 2,549	1.36%
Operating Expense Disbursements					
Other Operating Expense Disbursements					
Patient Services and Other Public Services	\$	185,423	\$ 169,978	\$ 15,445	9.09%
Total Other Operating Expense Disbursements	\$	185,423	\$ 169,978	\$ 15,445	9.09%
Total Operating Expense Disbursements	\$	185,423	\$ 169,978	\$ 15,445	9.09%
Total Cash Receipts and Transfers Less					
Operating Expense Disbursements	\$	4,996	\$ 17,892	\$ (12,896)	-72.08%
Other Receipts & Disbursements					
Equipment and Building Expenses (Note 6)	\$	(17,672)	\$ (27,556)	\$ 9,884	-35.87%
Debt Proceeds/Repayment (Note 7)	\$	34,284	\$ 23,508	\$ 10,776	45.84%
Change in Receivables and Payables	\$	3,754	\$ (1,783)	\$ 5,537	-310.54%
Net Cash for the 12 Months Ended June 30, 2019	\$	25,362	\$ 12,061	\$ 13,301	110.28%

Florida Clinical Practice Plan

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
1	Cash in Bank - Cash and Investments	Increase is due to debt proceeds that were received for the new medical facility at the Oaks Mall (\$30M).
2	Buildings and Equipment	Increase is due to construction of Medical Office Building II completed in FY19, as well as the beginning of construction on the new medical facility at the Oaks Mall during FY19.
3	Bonds and Loans	Increase is due to additional draws to complete Medical Office Building II as well as the debt proceeds for the new medical facility at the Oaks Mall (\$30M).
4	Patient Service Revenue	Increase is primarily due to increased volume of patient visits at clinics.
5	Transfers To University/Component Units	Increase is due to the growth in patient services and raises for faculty physicians, which resulted in additional work performed by faculty physicians and increased transfers to the University to cover their salaries and benefits.
6	Equipment and Building Expenses	Decrease is primarily due to less costs associated with construction of Medical Office Building II as it was primarily funded in the prior year.
7	Debt Proceeds/Repayment	Increase is due to the cash received from debt proceeds for the new medical facility at the Oaks Mall (\$30M), partially offset by the paydown of existing debt.

Other Practice Plans

Notable Non-GAAP Assets & Liabilities (in thousands) As of June 30, 2019

	6	6/30/2019		6/30/2018		Variance	% Variance
Cash and Investments							
Cash in Bank (Note 1)	\$	33,809	\$	53,878	\$	(20,069)	-37.25%
Component Unit Short-Term Inv (Note 2)		240,706		212,929		27,777	13.05%
Total Cash and Investments	\$	274,515	\$	266,807	\$	7,708	2.89%
Accounts Receivable							
Patient Receivables	\$	15,417	\$	16,659	\$	(1,242)	-7.46%
Other Accounts Receivable		15,582		14,022		1,560	11.13%
Total Accounts Receivable	\$	30,999	\$	30,681	\$	318	1.04%
Buildings and Equipment	\$	23,466	\$	19,749	\$	3,717	18.82%
Accounts Payable	\$	12,152	\$	9,450	\$	2,702	28.59%
Bonds and Loans	\$	8,006	\$	3,655	\$	4,351	119.04%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Other Practice Plans

Notable Non-GAAP Receipts & Disbursements (in thousands) For the Twelve Months Ended June 30, 2019

	(Q4 FY19	(Q4 FY18	\$ Variance	% Variance
Cash Receipts		•				
Patient Service Revenue	\$	287,036	\$	276,056	\$ 10,980	3.98%
Investment Income		17,834		9,527	8,307	87.19%
Sales of Goods & Services		9,738		8,186	1,552	18.96%
Total Cash Receipts	\$	314,608	\$	293,769	\$ 20,839	7.09%
Transfers						
From Component Units						
Hospitals and Practice Plan	\$	27,233	\$	30,354	\$ (3,121)	-10.28%
To University/Component Units		(187,127)		(179,744)	(7,383)	4.11%
Net Transfers	\$	(159,894)	\$	(149,390)	\$ (10,504)	7.03%
Total Cash Receipts Net of Transfers	\$	154,714	\$	144,379	\$ 10,335	7.16%
Operating Expense Disbursements						
Employee Compensation and Benefits						
Patient Services and Other Public Services	\$	98,486	\$	94,452	\$ 4,034	4.27%
Total Employee Comp & Benefits	\$	98,486	\$	94,452	\$ 4,034	4.27%
Other Operating Expense Disbursements						
Patient Services and Other Public Services	\$	59,258	\$	58,753	\$ 505	0.86%
Total Other Operating Expense Disbursements	\$	59,258	\$	58,753	\$ 505	0.86%
Total Operating Expense Disbursements	\$	157,744	\$	153,205	\$ 4,539	2.96%
Total Cash Receipts and Transfers Less						
Operating Expense Disbursements	\$	(3,030)	\$	(8,826)	\$ 5,796	-65.67%
Other Receipts & Disbursements						
Equipment and Building Expenses	\$	(8,411)	\$	(6,736)	\$ (1,675)	24.87%
Debt Proceeds/Repayment	\$	4,351	\$	(810)	\$ 5,161	-637.16%
Change in Receivables and Payables	\$	14,798	\$	13,241	\$ 1,557	11.76%
Net Cash for the 12 Months Ended June 30, 2019	\$	7,708	\$	(3,131)	\$ 10,839	-346.18%

Other Practice Plans

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation Decrease in due to the conversion of expose each into SDIA by LIE. legisconville Dhysicians, as
1	Cash in Bank - Cash and Investments	Decrease is due to the conversion of excess cash into SPIA by UF Jacksonville Physicians, as well as a short-term loan made by UF Jacksonville Physicians to UF Health Jacksonville as of June 30, 2019.
2	Component Unit Short-Term Inv - Cash and Investments	Increase is primarily due to the conversion of excess cash into SPIA by UF Jacksonville Physicians, as well as positive investment earnings generated by UF Self-Insurance Program during FY19.

Shands Teaching Hospital and Clinics

Notable Non-GAAP Assets & Liabilities (in thousands)
As of June 30, 2019

	 6/30/2019	6/30/2018	\$ Variance	% Variance
Cash and Investments				
Cash in Bank	\$ 45,276	\$ 37,322	\$ 7,954	21.31%
Component Unit Short-Term Inv (Note 1)	14,539	122,200	(107,661)	-88.10%
Component Unit Long-Term Inv (Note 2)	625,284	576,186	49,098	8.52%
Total Cash and Investments	\$ 685,099	\$ 735,708	\$ (50,609)	-6.88%
Accounts Receivable				
Patient Receivables	\$ 242,161	\$ 225,358	\$ 16,803	7.46%
Total Accounts Receivable	\$ 242,161	\$ 225,358	\$ 16,803	7.46%
Buildings and Equipment	\$ 1,081,668	\$ 1,065,697	\$ 15,971	1.50%
Accounts Payable	\$ 195,418	\$ 190,407	\$ 5,011	2.63%
Bonds and Loans	\$ 878,795	\$ 867,670	\$ 11,125	1.28%

<u>Note</u>: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Shands Teaching Hospital and Clinics
Notable Non-GAAP Receipts & Disbursements (in thousands)
For the Twelve Months Ended June 30, 2019

	Q4 FY19	Q4 FY18	\$ Variance	% Variance
Cash Receipts			_	
State Appropriations	\$ 4,700	\$ 7,050	\$ (2,350)	-33.33%
Patient Service Revenue	1,487,899	1,476,294	11,605	0.79%
Contributions/Donations	15,562	21,615	(6,053)	-28.00%
Investment Income (Note 3)	39,555	 27,176	12,379	45.55%
Total Cash Receipts	\$ 1,547,716	\$ 1,532,135	\$ 15,581	1.02%
Transfers				
To University/Component Units	\$ (196,804)	\$ (187,311)	\$ (9,493)	5.07%
Net Transfers	\$ (196,804)	\$ (187,311)	\$ (9,493)	5.07%
Total Cash Receipts Net of Transfers	\$ 1,350,912	\$ 1,344,824	\$ 6,088	0.45%
Operating Expense Disbursements				
Employee Compensation and Benefits				
Patient Services and Other Public Services	\$ 719,135	\$ 678,499	\$ 40,636	5.99%
Total Employee Comp & Benefits (Note 4)	\$ 719,135	\$ 678,499	\$ 40,636	5.99%
Other Operating Expense Disbursements				
Patient Services and Other Public Services	\$ 564,664	\$ 515.827	\$ 48.837	9.47%
Total Other Operating Expense Disbursements (Note 5)	\$ 564,664	\$ 515,827	\$ 48,837	9.47%
Total Operating Expense Disbursements	\$ 1,283,799	\$ 1,194,326	\$ 89,473	7.49%
Total Cash Receipts and Transfers Less				
Operating Expense Disbursements	\$ 67,113	\$ 150,498	\$ (83,385)	-55.41%
Other Receipts & Disbursements				
Equipment and Building Expenses (Note 6)	\$ (98,832)	\$ (153,153)	\$ 54,321	-35.47%
Debt Proceeds/Repayment	\$ (13,974)	\$ (16,467)	\$ 2,493	-15.14%
Change in Receivables and Payables (Note 7)	\$ (4,916)	\$ (23,823)	\$ 18,907	-79.36%
Net Cash for the 12 Months Ended June 30, 2019	\$ (50,609)	\$ (42,945)	\$ (7,664)	17.85%

Shands Teaching Hospital and Clinics

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
1	Component Unit Short-Term Inv - Cash and Investments	Decrease in due to converting short-term investments into long-term investments, as well as due to the liquidation of short-term investments to fund operating cash flow needs.
2	Component Unit Long-Term Inv - Cash and Investments	Increase is due to converting short-term investments into long-term investments. See Note 1.
3	Investment Income	Increase is due to unrealized gains on Shands Teaching Hospital investments.
4	Employee Comp and Benefits	Increase is due to additional expenses to operate the new UF Health Heart and Vascular hospital.
5	Other Operating Expense Disbursements	Increase is due to additional expenses to operate the new UF Health Heart and Vascular hospital.
6	Capital Asset Proceeds/Expenditures	Decrease is due to less costs associated with construction of the new UF Health Heart and Vascular hospital as it was primarily funded in the prior year.
7	Changes in Receivables and Payables	Increase in due to increases in cash received from other operations including leasing, institutional billing, exported organs, pharmacy, and food service (\$12M).

Shands Jacksonville HealthCare

Notable Non-GAAP Assets & Liabilities (in thousands)
As of June 30, 2019

6/30/2019	6/30/2018		\$ Variance		% Variance
\$ 99,732	\$	98,119	\$	1,613	1.64%
23,795		25,473		(1,678)	-6.59%
9,960		9,273		687	7.41%
\$ 133,487	\$	132,865	\$	622	0.47%
\$ 118,915	\$	115,095	\$	3,820	3.32%
\$ 118,915	\$	115,095	\$	3,820	3.32%
\$ 249,841	\$	265,718	\$	(15,877)	-5.98%
\$ 65,264	\$	69,529	\$	(4,265)	-6.13%
\$ 243,029	\$	244,038	\$	(1,009)	-0.41%
\$ \$ \$ \$	\$ 118,915 \$ 149,841 \$ 65,264	\$ 99,732 \$ 23,795 9,960 \$ 133,487 \$ \$ 118,915 \$ \$ 118,915 \$ \$ \$ 249,841 \$ \$ 65,264 \$	\$ 99,732 \$ 98,119 23,795 25,473 9,960 9,273 \$ 133,487 \$ 132,865 \$ 118,915 \$ 115,095 \$ 118,915 \$ 115,095 \$ 249,841 \$ 265,718 \$ 65,264 \$ 69,529	\$ 99,732 \$ 98,119 \$ 23,795 25,473 9,960 9,273 \$ 133,487 \$ 132,865 \$ \$ \$ 118,915 \$ 115,095 \$ \$ 118,915 \$ 115,095 \$ \$ \$ 249,841 \$ 265,718 \$ \$ \$ 65,264 \$ 69,529 \$	\$ 99,732 \$ 98,119 \$ 1,613 23,795 25,473 (1,678) 9,960 9,273 687 \$ 133,487 \$ 132,865 \$ 622 \$ 118,915 \$ 115,095 \$ 3,820 \$ 118,915 \$ 115,095 \$ 3,820 \$ 249,841 \$ 265,718 \$ (15,877) \$ 65,264 \$ 69,529 \$ (4,265)

<u>Note</u>: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Shands Jacksonville HealthCare

Notable Non-GAAP Receipts & Disbursements (in thousands) For the Twelve Months Ended June 30, 2019

	(Q4 FY19	Q4 FY18	\$	Variance	% Variance
Cash Receipts				'		
Patient Service Revenue (Note 1)	\$	731,549	\$ 686,076	\$	45,473	6.63%
Contributions/Donations		57	9		48	533.33%
Investment Income		1,022	1,813		(791)	-43.63%
Total Cash Receipts	\$	732,628	\$ 687,898	\$	44,730	6.50%
Transfers						
To University/Component Units (Note 2)	\$	(37,263)	\$ (26,222)	\$	(11,041)	42.11%
Net Transfers	\$	(37,263)	\$ (26,222)	\$	(11,041)	42.11%
Total Cash Receipts Net of Transfers	\$	695,365	\$ 661,676	\$	33,689	5.09%
Operating Expense Disbursements						
Employee Compensation and Benefits						
Patient Services and Other Public Services	\$	336,841	\$ 320,904	\$	15,937	4.97%
Total Employee Comp & Benefits	\$	336,841	\$ 320,904	\$	15,937	4.97%
Other Operating Expense Disbursements						
Patient Services and Other Public Services (Note 3)	\$	340,296	\$ 313,289	\$	27,007	8.62%
Total Other Operating Expense Disbursements	\$	340,296	\$ 313,289	\$	27,007	8.62%
Total Operating Expense Disbursements	\$	677,137	\$ 634,193	\$	42,944	6.77%
Total Cash Receipts and Transfers Less						
Operating Expense Disbursements	\$	18,228	\$ 27,483	\$	(9,255)	-33.68%
Other Receipts & Disbursements						
Equipment and Building Expenses	\$	(21,515)	\$ (25,449)	\$	3,934	-15.46%
Debt Proceeds/Repayment	\$	(1,527)	\$ (9,954)	\$	8,427	-84.66%
Change in Receivables and Payables	\$	5,436	\$ 3,449	\$	1,987	57.61%
Net Cash for the 12 Months Ended June 30, 2019	\$	622	\$ (4,471)	\$	5,093	-113.91%

Shands Jacksonville HealthCare

Notes to Notable Non-GAAP Financial Information For the Twelve Months Ended June 30, 2019

Note #	Line Description	Explanation
1	Patient Service Revenue	Increase is a result of higher intensity of case mix (i.e. relative complexity of cases), particularly due to higher surgical volume.
2	Transfers To University/Component Units	Increase is primarily due to the timing of a payment to UF Jacksonville Physicians related to FY18 that was made in early FY19.
3	Other Operating Expense Disbursements	Increase is primarily due to higher medical supply costs. Also contributing to this variance are increased consulting fees as a result of a consulting project with Deloitte, and lower expenses in prior year due to a credit that was received from the OIG.

University of Florida Enterprise - Cash and Investments Reconciliation As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

	Generally Available	Conditional Availability	Not Available
Total			
\$ 5,041,515	\$ 1,355,200	\$ 1,053,257	\$ 2,633,058
295,058	(56,780)	41,960	309,878
\$ 5,336,573	\$ 1,298,420	\$ 1,095,217	\$ 2,942,936

University of Florida Enterprise Cash Balances by Restriction as of June 30, 2019 (1)

University of Florida University of Florida Foundation University Athletic Association Other Direct Support Organizations

Florida Foundation Seed Producers Citrus Research & Development Foundation Gator Boosters University of Florida Development Corporation

University of Florida Development Corporation Gatorcare Health Management Corporation University of Florida Research Foundation

Florida Clinical Practice Plan Other Practice Plans

Faculty Associates
College of Pharmacy Practice Plan
UF Jacksonville Physicians

Veterinary Medicine Faculty Association
UF Self-Insurance / HealthCare Education Insurance

Shands Gainesville Shands Jacksonville

Total UF Enterprise

(1) See notes to cash balances by entity and restriction

	Generally Available	Conditional Availability	Not Available			
Total		Ī				
\$ 1,954,384	\$ 324,458	\$ 750,311	\$ 879,615			
1,946,409	10,423	66,258	1,869,728			
160,770	79,746	-	81,024			
12,284	-	12,284	-			
4,044	4,044	•	-			
3,423	2,934	•	489			
2,349	2,349	-	-			
44,756	44,756	•	-			
2,013	-	2,013	-			
113,040	13,308	27,817	71,915			
17,112	17,112	-	-			
143	143	-	-			
29,548	29,548	-	-			
3,868	3,868	-	-			
223,844	-	223,844	-			
685,099	644,934	•	40,165			
133,487	120,797	12,690	-			
\$ 5,336,573	\$ 1,298,420	\$ 1,095,217	\$ 2,942,936			

UF Enterprise

Notes to Cash Balances by Entity and Restriction For the Twelve Months Ended June 30, 2019

Line Description		mount (in housands)	Explanation					
University of Florida	\$	1,954,384						
Generally Available	\$	324,458	Consists of State appropriations (\$167M), unrestricted student tuition and fees (\$99M), monies in the University's Strategic fund (\$32M), and overhead charged to campus to fund support units (\$26M).					
Conditional Availability	\$	750,311	Consists primarily of amounts restricted for research from indirect cost recovery (\$306M), residual amounts on Contracts and Grants (\$84M), allocations of royalties from UF Research Foundation restricted for research and sponsored training (\$35M), and the UF strategic fund for research (\$20M); amounts in various auxiliary funds, namely the Office of Distance Learning (\$86M); amounts held for infrastructure projects (\$48M); State appropriations for recruitment, program enhancement, and online programs (\$29M); and student fees for UF Online (\$5M).					
Not Available	\$	879,615	Consists primarily of construction monies, particularly for the Herbert Wertheim Laboratory (\$33M), the Vet Med Energy Service Contract (\$20M), the new parking garage fund (\$16M), and numerous other construction projects (\$74M); monies transferred from component units, including donor restricted amounts from UF Foundation (\$198M) and Practice Plans (\$31M) to pay clinical faculty salaries; investments held on behalf of component units (\$222M); financial aid (\$51M) and other amounts related to Contracts and Grants (\$60M); and student fees restricted for instructional technology (\$10M)					
UF Foundation	•	1,946,409						
Generally Available	\$	10,423	Consists of amounts on hand to fund general administration and operations of the UF Foundation.					
Conditional Availability	\$	66,258	Consists of temporarily restricted earnings on UF Foundation investments and non-endowed monies that have not yet been transferred to the University.					
Not Available	\$	1,869,728	Consists of permanently restricted endowed funds held by the UF Foundation as well as split-interest agreements intended to benefit endowment funds.					
11		400 770						
University Athletic Association	<u>\$</u>	160,770						
Generally Available	\$	79,746	Consists of amounts on hand to fund general administration and operations of the University Athletic Association.					
Not Available	\$	81,024	Consists primarily of amounts on hand to fund construction projects (\$38M) and to satisfy debt covenants (\$32M). Also included are certain donor restricted funds (\$8M) and amounts on hand to satisfy contracts payable (\$4M).					

UF Enterprise

Notes to Cash Balances by Entity and Restriction For the Twelve Months Ended June 30, 2019

Florida Foundation Seed Producers	\$	12,284	
Conditional Availability	\$	12,284	Consists of amounts on hand from sale of seeds that are restricted to IFAS departments for research.
Citrus Research and Development	¢	4.044	
Foundation	<u> </u>	4,044	
Generally Available	\$	4,044	Consists of amounts on hand from State appropriations to Citrus Research and Development Foundation to carry out its mission of researching diseases impacting citrus crops.
Gator Boosters	\$	3,423	
Generally Available	\$	2,934	Consists of amounts on hand to fund general administration and operations of Gator Boosters.
Not Available	\$	489	Consists of amounts on hand from donor contributions and restricted for various purposes as specified by the donors.
UF Development Corporation	\$	2,349	
Generally Available	\$	2,349	Consists of amounts on hand to fund general administration and operations of UF Development Corporation.
GatorCare Health Management Corporation	\$	44,756	
Generally Available	\$	44,756	Consists primarily of amounts held in reserve to fund current and future claims payable on behalf of participating organizations. Also includes amounts on hand to fund general administration and operations.
UF Research Foundation	\$	2,013	
Conditional Availability	\$	2,013	Consists of amounts generated by the various intellectual properties related to the University and restricted for distribution to inventors, programs, and UF colleges and departments.
Florida Clinical Practice Association	\$	113,040	
Generally Available	\$	13,308	Consists of amounts on hand to fund general administration and operations of the Florida Clinical Practice Association.
Conditionally Available	\$	27,817	Consists of the Florida Clinical Practice Association investment portfolio held in reserve for contingencies or strategic initiatives.
Not Available	\$	71,915	Consists of amounts set aside and restricted for payroll funding (\$17M), employment contracts (\$15M), construction projects (\$23M), and to satisfy debt covenants (\$10M), as well as FCPA's joint venture investment in UF Health South Central (\$7M).

UF Enterprise

Notes to Cash Balances by Entity and Restriction For the Twelve Months Ended June 30, 2019

Faculty Associates	\$	17,112	
Generally Available	\$	17,112	Consists of amounts on hand to fund general administration and operations of Faculty Associates.
College of Pharmacy Practice Plan	\$	143	
Generally Available	\$	143	Consists of amounts on hand to fund general administration and operations of the College of Pharmacy Practice Plan.
UF Jacksonville Physicians	\$	29,548	
Generally Available	\$	29,548	Consists of amounts on hand to fund general administration and operations of UF Jacksonville Physicians.
Veterinary Medicine Faculty Association	\$	3,868	
Generally Available	\$	3,868	Consists of amounts on hand to fund general administration and operations of the Veterinary Medicine Faculty Association.
UF Self-Insurance / HealthCare Education			
Insurance	\$	223,844	
Conditional Availability	\$	223,844	Consists of amounts held by UF Self-Insurance and HealthCare Education Insurance programs in reserve for the payment of claims, losses, and loss adjustment expenses.
Shands Gainesville	\$	685,099	
Generally Available	\$	644,934	Consists primarily of amounts designated by the Shands Teaching Hospital Board of Directors for specific purposes, such as to support capital projects and other health programs (\$585M). Also includes amounts on hand to fund general administration and operations (\$60M).
Not Available	\$	40,165	Consists of amounts reserved to satisfy debt covenants.
Ohanda Jaska sudilla	•	400 407	
Shands Jacksonville	<u>\$</u>	133,487	Consists primarily of apparents on hand to find goneral administration and according to Columbia
Generally Available	\$	120,797	Consists primarily of amounts on hand to fund general administration and operations of Shands Jacksonville (\$101M) as well as amounts internally designated by the Shands Jacksonville Board of Directors for clinical support, education, research, and other health programs (\$20M).
Conditionally Available	\$	12,690	Consists of amounts on hand to fund construction projects (\$3M) and to satisfy debt covenants (\$10M).

University of Florida Enterprise - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

			Ge	nerally Available	Cond	litional Availability		Not Available
	Total							
Cash Receipts								
Tuition and Fees	\$	393,720	\$	156,681	\$	126,500	\$	110,539
State Appropriations		870,309		756,862		42,774		70,673
Contracts and Grants		668,346		6		130,831		537,509
Federal and State Financial Aid		231,233		-		-		231,233
Patient Service Revenue		3,015,414		3,015,414		-		-
Contributions/Donations		193,677		52,999		83,390		57,288
Investment Income		123,009		82,004		14,858		26,147
Licensing and Royalties		159,559		56,988		91,384		11,187
Sales of Goods & Services		575,437		243,537		257,746		74,154
Other Cash Receipts		66,015		388		5,172		60,455
Total Cash Receipts	\$	6,296,719	\$	4,364,879	\$	752,655	\$	1,179,185
Transfers								
From Component Units								
Hospitals and Practice Plan	\$	920,238	\$	210,773	\$	14,712	\$	694,753
UF Foundation (Donor Restricted)		128,545		7,463		-		121,082
Other		76,574		31,691		29,706		15,177
To University/Component Units		(1,125,357)		(773,755)		(221,561)		(130,041)
Net Transfers	\$	-	\$	(523,828)	\$	(177,143)	\$	700,971
Total Cash Receipts Net of Transfers	\$	6,296,719	\$	3,841,051	\$	575,512	\$	1,880,156
Operating Expense Disbursements								
Employee Compensation and Benefits	\$	3,471,723	\$	2,140,735	\$	256,540	\$	1,074,448
. ,						·		
Operating Expense disbursements	\$	2,375,246	\$	1,608,274	\$	262,259	\$	504,713
Total Counties Foresteen disharm and		5.040.000		0.740.000		540 700		4 570 404
Total Operating Expense disbursements	\$	5,846,969	\$	3,749,009	\$	518,799	\$	1,579,161
Total Cash receipts and transfers less								
Operating expense disbursements	\$	449,750	\$	92,042	\$	56,713	\$	300,995
Operating expense disbursements	4	449,730	Ψ	32,042	Ψ	30,713	Ψ	300,393
Other Receipts & Disbursements								
Equipment and Building Expenses	\$	(379,080)	\$	(180,520)	\$	(22,801)	\$	(175,759)
Equipment and building Expenses	Φ	(379,060)	Φ	(160,520)	Ψ	(22,001)	φ	(175,759)
Debt Proceeds/Repayment	\$	80,451	\$	(9,348)	\$	(3,364)	\$	93,163
• •		·				,		,
Change in Receivables and Payables	\$	143,937	\$	41,046	\$	11,412	\$	91,479
Net Cash for the Twelve Months Ended June 30, 2019	\$	295,058	\$	(56,780)	\$	41,960	\$	309,878

University of Florida - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Generally Available Conditional Availability Not Available Held on Behalf of Component State Research **Business** Research Business Donor Student Units Appropriation Tuition Other Appropriation Tuition Restricted Activities Construction Tech Fees Restricted Restricted Financials Construction Other Total Activities 173,712 \$ 1,807,470 \$ 85,492 \$ 56,499 \$ 17,394 \$ 3,420 \$ 234,879 \$ 55,000 \$ 10,945 \$ 87,647 \$ 198,855 \$ 91,388 \$ 85,015 \$ 179,271 \$ 422,383 \$ 57,744 \$ 47,826 \$ 146,914 (12,142)13,562 1,776 11,571 1,382 22,908 (11,330)(7,296)(714)2,647 6,405 (854) 2,775 51,636 16,477 48,111 58,275 94,052 \$ 1,954,384 167,129 \$ 99,054 \$ 28,965 \$ 4,802 \$ 445,291 \$ 223,549 \$ 47,704 \$ 10,231 \$ 60,391 \$ 198,001 \$ 50,601 \$ 143,024 \$ 101,492 \$ 221,823

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

University of Florida - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

		Generally Available				Conditional Availability					Not Available											
		Total		State propriation	Τι	uition	Other		State opriation	Tuition	Research Restricted		siness ivities	Construction	Tech Fees	Research Restricted	Business Activities	Donor Restricted	Student Financials	Construction	Other	Held on Behalf of Component Units
Cash Receipts																						
Tuition and Fees	\$	393,720	\$	-	\$	155,581 \$	1,100	\$	- :	\$ 9,904	\$ -	\$	116,596	\$ -	\$ 8,007	\$ -	\$ 16,489	\$ -	\$ 63,796	\$ -	\$ 22,247	\$ -
State Appropriations		851,875		743,308		-	-		37,573	-	-		321	-	-	-	9,224	-	-	61,449		-
Contracts and Grants		668,340		-		-	-		-	-	130,660		171	-	-	531,353	1,435	-	4,721	-		-
Federal and State Financial Aid		231,233		-		-	-		-	-	-		-	-	-	-	-	-	231,233	-		-
Investment Income		58,194		-		-	41,603		-	-	-		19	558	-	(38)	-	-	896	667	14,489	-
Sales of Goods & Services		325,934		-		-	1,537		-	-	-		246,215	-	-	-	78,182	-	-	-		-
Other Cash Receipts		66,015		-		101	287		-	-	30		5,142	-	-	-	32,171	-	1,169		(27	/
Total Cash Receipts	\$	2,595,311	\$	743,308	\$	155,682 \$	44,527	\$	37,573	9,904	\$ 130,690	\$	368,464	\$ 558	\$ 8,007	\$ 531,315	\$ 137,501	\$ -	\$ 301,815	\$ 89,258	\$ 36,709	\$ -
Transfers																						
From Component Units	_	700 105	_		_							•	44740	_		•		•				
Hospitals and Practice Plan	\$	709,465	\$	-	\$	- \$	-	\$	- 3	-	\$ -	\$	14,712	-	\$ -	\$ -	\$ 694,753	\$ -	\$ -	-	\$	- \$
UF Foundation (Donor Restricted)		121,082		-		-	-		-	-	-		-	-	-	17,637	-	97,233	6,212	-	•	-
Other		38,517		- (00 770)		-	-		(4.750)	-	29,706		(0.4.000)	(= =00)	-	- (40.000)	6,027	- (2.522)	2,784	-		-
Within the University	_	-		(30,772)		206,886	8,406		(4,753)	-	(5,485)		(34,623)	(7,783)	-	(16,309)	(34,874)	(8,593)	(169,636	,	1,160	
Net Transfers	\$	869,064	\$	(30,772)	\$	206,886 \$	8,406	\$	(4,753)	-	\$ 24,221	\$	(19,911)	\$ (7,783)	\$ -	\$ 1,328	\$ 665,906	\$ 88,640	\$ (160,640)	\$ 96,376	\$ 1,160	-
Total Cash Receipts Net of Transfers	\$	3,464,375	\$	712,536	\$	362,568 \$	52,933	\$	32,820	9,904	\$ 154,911	\$	348,553	\$ (7,225)	\$ 8,007	\$ 532,643	\$ 803,407	\$ 88,640	\$ 141,175	\$ 185,634	\$ 37,869	\$ -
Operating Expense Disbursements		0.000.000		507.004	•	0.40.000	40,400		47.070	7.004	A 70.407	•	450.040	•	Φ 0000	A 000 404	4 7 00 000	A 50.070				
Employee Compensation and Benefits	\$	2,236,020	\$	537,321	\$	349,006 \$	19,422	\$	17,273	7,201	\$ 73,137	\$	158,212	-	\$ 3,839	\$ 302,494	\$ 703,866	\$ 52,670	\$ -	\$ -	\$ 11,579	-
Operating Expense disbursements	\$	933,227	\$	159,663	\$	- \$	30,284	\$	3,862	1,321	\$ 40,619	\$	197,920	\$ 70	\$ 4,493	\$ 220,844	\$ 87,369	\$ 34,234	\$ 138,342	\$ -	\$ 14,206	\$ -
Total Operating Expense disbursements	\$	3,169,247	\$	696,984	\$	349,006 \$	49,706	\$	21,135	8,522	\$ 113,756	\$	356,132	\$ 70	\$ 8,332	\$ 523,338	\$ 791,235	\$ 86,904	\$ 138,342	s -	\$ 25,785	\$ -
		, ,		,			<u> </u>				<u> </u>				,	<u> </u>		<u> </u>				
Total Cash receipts and transfers less																						
Operating expense disbursements	\$	295,128	\$	15,552	\$	13,562 \$	3,227	s	11,685	1,382	\$ 41,155	\$	(7,579)	\$ (7,295)	\$ (325)	\$ 9,305	\$ 12,172	\$ 1,736	\$ 2,833	\$ 185,634	\$ 12,084	. \$ -
, , , , , , , , , , , , , , , , , , ,	Ė	,		.,		.,			,	,	, , , , , , , , , , , , , , , , , , , ,		(, ,	. (,,,,,,	, (, ,,	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,	, , , , , , , , , , , , , , , , , , , ,	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		, , , , , ,	
Other Receipts & Disbursements																						
•	\$	(196,589)	\$	(26,397)	\$	- \$	(1,432	\$	(217)		\$ (15,438)	\$	(4,409)	s -	\$ (411)	\$ (9,428)	\$ (5,889)	\$ (2,527)	s -	\$ (130,281)	\$ (160) \$ -
_quip.iisiii uiiuuiiuiigxpoiiooo	Ψ	(100,000)	_	(20,00.)	•	ľ	(1,102	1	(=)		(10,100)	_	(1,100)	*	()	(0,120)	(0,000)	(2,02.)	,	(100,201)	(, ,
Debt Proceeds/Repayment	\$	16,954	\$	(71)	\$	- \$	(44	\$	_ 9	s -	\$ (2,808)	\$	(228)	\$ -	\$ -	\$ (133)	\$ (754)	\$ (20)	\$ -	\$ 22,396	\$ (1,384	.) \$ -
	ľ	,		()			((=,000)		(==3)			(100)	(, (,)	. (20)			(7,00	, i
Change in Receivables and Payables	\$	31,421	\$	(1,226)	\$	- \$	25	\$	103	-	\$ (1)	\$	886	\$ (1)	\$ 22	\$ 2,903	\$ 876	\$ (43)	\$ (58)	\$ (26,113	\$ 5,937	\$ 48,111
Net Cash for the Twelve Months Ended June 30, 2019	\$	146,914	\$	(12,142)	\$	13,562 \$	1,776	s	11,571	1,382	\$ 22,908	\$	(11,330)	\$ (7,296)	\$ (714)	\$ 2,647	\$ 6,405	\$ (854)	\$ 2,775	\$ 51,636	\$ 16,477	\$ 48,111
Not out it the I weive Months Linded Julie 30, 2015	Ψ	170,314	Ψ	(12,142)	Ψ	10,002 \$	1,770	۳	11,571	1,302	Ψ 22,300	Ψ	(11,000)	ψ (1,230)	ψ (114)	Ψ 2,041	Ψ 0,403	ψ (054)	Σ,113	Ψ 31,030	Ψ 10,477	Ψ 70,111

University of Florida Foundation - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

	Generally Available	Conditional Availability	Not Available
Total	Board Designated	Donor Related	Donor Related
\$ 1,826,841	\$ 9,877	\$ 57,719	\$ 1,759,245
119,568	546	8,539	110,483
\$ 1,946,409	\$ 10,423	\$ 66,258	\$ 1,869,728

University of Florida Foundation - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

				Generally	Conditional			Not Available	
				Available		Availability			
		Total	В	Board Designated		Donor Related		Donor Related	
Cash Receipts									
Contributions/Donations	\$	140,667	\$	46	\$	83,333	\$	57,288	
Investment Income		6,892		51		(3,292)		10,133	
Total Cash Receipts	\$	147,559	\$	97	\$	80,041	\$	67,421	
Transfers									
From Component Units									
Other	\$	_	\$	<u>-</u>	\$	-	\$	_	
To University/Component Units	•	(128,545)		5,206	_	(133,751)	_	_	
Net Transfers	\$	(128,545)	1	5,206	\$	(133,751)	\$	-	
	·	, , ,		,	•	, ,			
Total Cash Receipts Net of Transfers	\$	19,014	\$	5,303	\$	(53,710)	\$	67,421	
Operating Expense Disbursements									
Employee Compensation and Benefits	\$	19,889	\$	19,889	\$	-	\$	-	
Operating Expense disbursements	\$	17,322	\$	12,598	\$	(501)	\$	5,225	
					_		_		
Total Operating Expense disbursements	\$	37,211	\$	32,487	\$	(501)	\$	5,225	
Total Cash receipts and transfers less	l _	(40.40=)		(07.404)		(50,000)		20.422	
Operating expense disbursements	\$	(18,197)	\$	(27,184)	\$	(53,209)	\$	62,196	
Other Receipts & Disbursements									
Equipment and Building Expenses	\$	(4,590)	\$	(820)	\$	(2,920)	\$	(850)	
Equipment and Banding Expenses	ľ	(1,000)	Ψ	(020)	Ψ	(2,020)	Ψ	(000)	
Debt Proceeds/Repayment	\$	(1,116)	\$	(100)	\$	(1,016)	\$	-	
. ,		, , ,		, ,		,			
Change in Receivables and Payables	\$	143,471	\$	28,650	\$	65,684	\$	49,137	
Net Cash for the Twelve Months Ended June 30, 2019	\$	119,568	\$	546	\$	8,539	\$	110,483	

University Athletic Association - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

	Generally Available	Conditional Availability	Not Available								
Total	General Admin & Operations	State Appropriation	Donor Restricted	Debt Covenants	Construction	Contracts Payable					
\$ 120,688	\$ 76,976	\$ -	\$ 5,831	\$ 20,319	\$ 10,000	\$ 7,562					
40,082	2,770	-	2,441	11,187	27,712	(4,028)					
\$ 160,770	\$ 79,746	\$ -	\$ 8,272	\$ 31,506	\$ 37,712	\$ 3,534					

University Athletic Association - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

(amounts expressed in anousands)		Generally Available	Conditional Availability	NOT AVAIIANIE						
	Total	General Admin & Operations	State Appropriation	Donor Restricted	Debt Covenants	Construction	Contracts Payable			
Cash Receipts										
State Appropriations	\$ 4,880	\$ -	\$ 4,880	\$ -	-	-	\$ -			
Contribution/Donations	-	-	-	-	-	-	-			
Licensing and Royalties	68,175	56,988	-	-	11,187	-	-			
Investment Income	1,388	1,388	-	-	-	-	-			
Sales of Goods & Services	40,778	44,806	-	-	-	-	(4,028)			
Total Cash Receipts	\$ 115,221	\$ 103,182	\$ 4,880	\$ -	\$ 11,187	\$ -	\$ (4,028)			
Transfers										
From Component Units			•	_			•			
UF Foundation (Donor Restricted)	\$ -	\$ -	\$ -	\$ -	-	-	\$ -			
Other	38,057	31,691	=	6,366	-	-	-			
To University/Component Units	(3,350)	(3,350)	-	-	-	-	-			
Net Transfers	\$ 34,707	\$ 28,341	-	\$ 6,366	-	-	\$ -			
Total Cash Receipts Net of Transfers	\$ 149,928	\$ 131,523	\$ 4,880	\$ 6,366	\$ 11,187	\$ -	\$ (4,028)			
Operating Expense Disbursements										
Employee Compensation and Benefits	\$ 58,242	\$ 58,242	\$ -	\$ -	-	-	\$ -			
Operating Expense disbursements	\$ 60,394	\$ 55,514	\$ 4,880	\$ -	\$ -	\$ -	\$ -			
Total Operating Expense disbursements	\$ 118,636	\$ 113,756	\$ 4,880	\$ -	\$ -	\$ -	\$ -			
Total Cash receipts and transfers less										
Operating expense disbursements	\$ 31,292	\$ 17,767	\$ -	\$ 6,366	\$ 11,187	\$ -	\$ (4,028)			
Operating expense disbursements	ψ 31,29 <u>2</u>	Ψ 17,707	Ψ -	φ 0,300	Ψ 11,107	-	φ (4,020)			
Other Receipts & Disbursements										
Equipment and Building Expenses	\$ (31,493)	\$ (5,280)	\$ -	\$ (3,925)	\$ -	\$ (22,288)	\$ -			
		,		,		,				
Debt Proceeds/Repayment	\$ 41,479	\$ (8,521)	\$ -	\$ -	-	\$ 50,000	\$ -			
Change in Receivables and Payables	\$ (1,196)	\$ (1,196)	\$ -	\$ -	\$ -	\$ -	\$ -			
Net Cash for the Twelve Months Ended June 30, 2019	\$ 40,082	\$ 2,770	\$ -	\$ 2,441	\$ 11,187	\$ 27,712	\$ (4,028)			

Other Direct Support Organizations - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

		Generally	Ava	ilable		onditional vailability	Not Available		
Total	State	e Appropriations		neral Admin & Operations	Resea	arch Restricted	Don	or Restricted	
\$ 63,458	\$	4,102	\$	43,629	\$	15,238	\$	489	
5,411		(58)		6,410		(941)		-	
\$ 68,869	\$	4,044	\$	50,039	\$	14,297	\$	489	

Other Direct Support Organizations - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

			Generally	Available	Conditional Availability	Not Available
	,	Γotal	State Appropriations	General Admin & Operations	Research Restricted	Donor Restricted
Cash Receipts						
State Appropriations	\$	8,854	\$ 8,854	\$ -	\$ -	\$ -
Contracts and Grants		6	6	-	-	-
Contributions/Donations		37,391	1,020	36,371	-	-
Investment Income		1,130	93	881	156	-
Licensing and Royalties		91,384	-	-	91,384	-
Sales of Goods & Services		198,987	-	197,194	1,793	-
Total Cash Receipts	\$	337,752	\$ 9,973	\$ 234,446	\$ 93,333	\$ -
Transfers						
From Component Units						
Hospitals and Practice Plan	\$	-	\$ -	-	\$ -	\$ -
UF Foundation (Donor Restricted)		7,463	-	7,463	-	-
Other		-	-	-	-	-
To University/Component Units		(73,223)	-	(38,057)	(35,166)	-
Net Transfers	\$	(65,760)	\$ -	\$ (30,594)	\$ (35,166)	\$ -
Total Cook Bossints Not of Transfers	\$	271,992	\$ 9,973	\$ 203,852	\$ 58,167	\$ -
Total Cash Receipts Net of Transfers	Ψ.	211,992	φ 9,973	\$ 203,632	Φ 50,167	-
Operating Expense Disbursements						
Employee Compensation and Benefits	\$	3,110	\$ 580	\$ 1,813	\$ 717	\$ -
Employee compensation and benefits	Ψ	3,110	Ψ	Ψ 1,010	Ψ	Ψ -
Operating Expense disbursements	\$	214,662	\$ 9,718	\$ 196,173	\$ 8,771	\$ -
operating Expense disbarbonients	Ť	214,002	Ψ 0,710	Ψ 100,170	Φ 0,771	Ψ
Total Operating Expense disbursements	\$	217,772	\$ 10,298	\$ 197,986	\$ 9,488	\$ -
Total Cash receipts and transfers less						
Operating expense disbursements	\$	54,220	\$ (325)	\$ 5,866	\$ 48,679	\$ -
Other Receipts & Disbursements						
Equipment and Building Expenses	\$	22	\$ -	\$ (214)	\$ 236	\$ -
Change in Receivables and Payables	\$	(48,831)	\$ 267	\$ 758	\$ (49,856)	\$ -
Net Cash for the Twelve Months Ended June 30, 2019	\$	5,411	\$ (58)	\$ 6,410	\$ (941)	\$ -

Florida Clinical Practice Plan - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

	Generally Available	Conditional Availability		Not Available									
	General Admin &			Investment in UF Health South Employment									
Total	Operations	Other	Debt Covenant	Central, LLC	Construction	Medicaid	Contracts	Payroll Funding					
\$ 87,678	\$ 14,175	\$ 25,203	\$ 10,000	\$ 8,135	\$ -	\$ -	\$ 13,165	\$ 17,000					
25,362	(867)	2,614	-	(1,278)	23,058	-	1,835	-					
\$ 113,040	\$ 13,308	\$ 27,817	\$ 10,000	\$ 6,857	\$ 23,058	\$ -	\$ 15,000	\$ 17,000					

Florida Clinical Practice Plan - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

		Generally Available	Conditional Availability			Not Av	ailable		
	Total	General Admin & Operations	Other	Debt Covenant	Investment in UF Health South Central, LLC	Construction	Medicaid	Employment Contracts	Payroll Funding
Cash Receipts	TOtal	Operations	Other	Debt Covenant	Central, LLC	Construction	Medicald	Contracts	Payron Funding
Patient Service Revenue	\$ 508,930		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Investment Income	(3,006)	(3,006)	-	-	-	-	-	-	-
Total Cash Receipts	\$ 505,924	\$ 505,924	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Transfers From Component Units Hospitals and Practice Plan To University/Component Units	\$ 183,540 (499,045)	\$ 183,540 (500,880)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ - 1,835	\$ - -
Net Transfers	\$ (315,505)	\$ (317,340)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,835	\$ -
Total Cash Receipts Net of Transfers	\$ 190,419	\$ 188,584	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,835	\$ -
Operating Expense Disbursements Employee Compensation and Benefits Operating Expense disbursements	\$ - \$ 185,423	\$ - \$ 185,423	\$ -	\$ -	\$ - \$	\$ - \$	\$ - \$ -	\$ -	\$ - \$
Operating Expense disbursements	\$ 100,423	\$ 165,423	5 -	-	5 -	5 -	-	5 -	5 -
Total Operating Expense disbursements	\$ 185,423	\$ 185,423	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total Cash receipts and transfers less Operating expense disbursements	\$ 4,996	\$ 3,161	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,835	\$ -
Other Receipts & Disbursements Equipment and Building Expenses	\$ (17,672)	\$ (17,672)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Debt Proceeds/Repayment	\$ 34,284	\$ 11,226	\$ -	\$ -	\$ -	\$ 23,058	\$ -	\$ -	\$ -
Change in Receivables and Payables	\$ 3,754	\$ 2,418	\$ 2,614	\$ -	\$ (1,278)	\$ -	\$ -	\$ -	\$ -
Net Cash for the Twelve Months Ended June 30, 2019	\$ 25,362	\$ (867)	\$ 2,614	\$ -	\$ (1,278)	\$ 23,058	\$ -	\$ 1,835	\$ -

Other Practice Plans - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

	Generally	Conditional
	Available	Availability
Total	General Admin & Operations	Self-Insurance Restricted
\$ 266,807	\$ 56,784	\$ 210,023
7,708	(6,113)	13,821
\$ 274,515	\$ 50,671	\$ 223,844

Other Practice Plans - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

		Generally Available		Conditional Availability
	Total	General Admin & Operations		Self-Insurance Restricted
Cash Receipts Patient Service Revenue Contributions/Donations	\$ 287,036	\$ 287,03	5 \$	-
Investment Income Sales of Goods & Services	17,834 9,738	41	_	17,417 9,738
Total Cash Receipts	\$ 314,608	\$ 287,45	3 \$	27,155
Transfers From Component Units Hospitals and Practice Plan	\$ 27,233	\$ 27,23	3 \$	
To University/Component Units	(187,127)	(187,12	7)	-
Net Transfers	\$ (159,894)	\$ (159,89	4) \$	-
Total Cash Receipts Net of Transfers	\$ 154,714	\$ 127,55	9 \$	27,155
Operating Expense Disbursements Employee Compensation and Benefits	\$ 98,486	\$ 98,48	5 \$	-
Operating Expense disbursements	\$ 59,258	\$ 53,94	1 \$	5,317
Total Operating Expense disbursements	\$ 157,744	\$ 152,42	7 \$	5,317
Total Cash receipts and transfers less Operating expense disbursements	\$ (3,030)	\$ (24,86	3) \$	21,838
Other Receipts & Disbursements Equipment and Building Expenses	\$ (8,411)	\$ (8,41	1) \$	-
Debt Proceeds/Repayment	\$ 4,351	\$ 4,35	1 \$	-
Change in Receivables and Payables	\$ 14,798	\$ 22,81	5 \$	(8,017)
Net Cash for the Twelve Months Ended June 30, 2019	\$ 7,708	\$ (6,11	3) \$	13,821

Shands Teaching Hospital and Clinics - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

	Generally	Available	Not Av	ailable
Total	General Admin & Operations	Board Designated	Debt Reserves	Construction
\$ 735,708	\$ 159,521	\$ 548,007	\$ 28,180	\$ -
(50,609)	(99,706)	37,112	11,985	-
\$ 685,099	\$ 59,815	\$ 585,119	\$ 40,165	\$ -

Shands Teaching Hospital and Clinics - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

			Generally	Available	Not Av	ailable
	Total		General Admin & Operations	Board Designated	Debt Reserves	Construction
Cash Receipts		4.700	4.700	•	•	Φ.
State Appropriations Contributions/Donations		4,700 5,562	\$ 4,700 15,562	\$ -	\$ -	\$ -
Patient Service Revenue		7,899	1,487,899	_	-	-
Investment Income	3	9,555	2,443	37,112	-	-
Total Cash Receipts	\$ 1,54	7,716	\$ 1,510,604	\$ 37,112	\$ -	-
To control						
Transfers To University/Component Units	\$ (19	6,804)	\$ (196,804)	e	\$ -	\$ -
Net Transfers		6,804)			\$ -	\$ -
	(13	,,,,,	(100,001,	Ť	•	•
Total Cash Receipts Net of Transfers	\$ 1,35	0,912	\$ 1,313,800	\$ 37,112	\$ -	\$ -
Overthe Francis Billion and to						
Operating Expense Disbursements Employee Compensation and Benefits	\$ 71	9,135	\$ 719,135	¢	\$ -	\$ -
Employee Compensation and Benefits	φ /1.	9,133	φ 719,133	φ -	φ -	φ -
Operating Expense disbursements	\$ 56	4,664	\$ 564,664	\$ -	\$ -	\$ -
Total Operating Expense Disbursements	\$ 1,28	3,799	\$ 1,283,799	-	\$ -	\$ -
T-(-10-10-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1						
Total Cash receipts and transfers less Operating expense disbursements	\$ 6	7,113	\$ 30,001	\$ 37,112	\$ -	\$ -
Operating expense disbursements	ψ 0	7,113	Ψ 30,001	Ψ 57,112	-	Ψ -
Other Receipts & Disbursements						
Equipment and Building Expenses	\$ (9	8,832)	\$ (98,832)	\$ -	\$ -	\$ -
					_	
Debt Proceeds/Repayment	\$ (1)	3,974)	\$ (13,974)	-	\$ -	\$ -
Change in Receivables and Payables	\$ (4	4,916)	\$ (16,901)	\$ -	\$ 11,985	\$ -
enange m	(.,010)	(10,001)		, 000	*
Net Cash for the Twelve Months Ended June 30, 2019	\$ (5	0,609)	\$ (99,706)	\$ 37,112	\$ 11,985	\$ -

Shands Jacksonville HealthCare - Cash and Investments Reconciliation

As of June 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2018

Net Cash for the Twelve Months Ended June 30, 2019

Cash Balance as of 6/30/2019

		G	ene	erally Availab	le	Conditional	al Availability		
Total	G	eneral Admin & Operations	Во	ard Designated	Capital Expenditures	Construction		Debt	
\$ 132,865	\$	101,367	\$	19,500	\$ -	\$ 2,726	\$	9,272	
622		(70)		-	-	4		688	
\$ 133,487	\$	101,297	\$	19,500	\$ -	\$ 2,730	\$	9,960	

Shands Jacksonville HealthCare - Analysis of Cash Receipts and Disbursements

For the Twelve Months Ended June 30, 2019

(amounts expressed in thousands)

		G	enerally Availab	le	Conditional	l Availability
	Total	General Admin & Operations	Board Designated	Capital Expenditures	Construction	Debt
Cash Receipts Patient Service Revenue Contributions/Donations Investment Income	\$ 731,549 57 1,022	\$ 731,549 - 1,022	\$ - -	\$ - - -	\$ - 57	\$ - - -
Total Cash Receipts	\$ 732,628	\$ 732,571	\$ -	\$ -	\$ 57	\$ -
Transfers To University/Component Units Net Transfers	\$ (37,263) \$ (37,263)			\$ - \$ -	\$ - \$ -	\$ - \$ -
Total Cash Receipts Net of Transfers	\$ 695,365	, ,		\$ -	\$ 57	\$ -
·	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	· · · · · · · · · · · · · · · · · · ·	*		,	*
Operating Expense Disbursements Employee Compensation and Benefits	\$ 336,841	\$ 336,841	\$ -	\$ -	\$ -	\$ -
Operating Expense disbursements	\$ 340,296	\$ 340,296	\$ -	\$ -	\$ -	\$ -
Total Operating Expense disbursements	\$ 677,137	\$ 677,137	\$ -	\$ -	\$ -	\$ -
Total Cash receipts and transfers less Operating expense disbursements	\$ 18,228	\$ 18,171	\$ -	\$ -	\$ 57	\$ -
Other Receipts & Disbursements Equipment and Building Expenses	\$ (21,515)	\$ (21,462)	\$ -	\$ -	\$ (53)	\$ -
Debt Proceeds/Repayment	\$ (1,527)	\$ (2,215)	\$ -	\$ -	\$ -	\$ 688
Change in Receivables and Payables	\$ 5,436	\$ 5,436	\$ -	\$ -	\$ -	-
Net Cash for the Twelve Months Ended June 30, 2019	\$ 622	\$ (70)	\$ -	\$ -	\$ 4	\$ 688

University of Florida

Budget to Actual (in thousands) For the period ended 6/30/19

	Actual	Budget	\$ Variance	% Variance
Cash Receipts				
Tuition and Fees	\$ 393,720	\$ 388,361	\$ 5,359	1.38%
State Appropriations	851,875	833,633	18,242	2.19%
Contracts and Grants	668,340	675,367	(7,027)	-1.04%
Federal and State Financial Aid	231,233	205,955	25,278	12.27%
Investment Income	58,194	85,137	(26,943)	-31.65%
Sales of Goods & Services (Note 1)	325,934	402,847	(76,913)	-19.09%
Other Cash Receipts (Note 2)	 66,015	112,904	(46,889)	-41.53%
Total Cash Receipts	\$ 2,595,311	\$ 2,704,204	\$ (108,893)	-4.03%
Transfers				
From Component Units				
Hospitals and Practice Plan	\$ 709,465	\$ 627,000	\$ 82,465	13.15%
UF Foundation (Donor Restricted)	121,082	130,000	(8,918)	-6.86%
Other	38,517	38,000	517	1.36%
Net Transfers	\$ 869,064	\$ 795,000	\$ 74,064	9.32%
Total Cash Receipts Net of Transfers	\$ 3,464,375	\$ 3,499,204	\$ (34,829)	-1.00%
Operating Expense Disbursements				
Employee Comp & Benefits	\$ 2,236,020	\$ 2,162,973	\$ 73,047	3.38%
Other Operating Expense Disbursements (Note 3)	\$ 933,227	998,225	(64,998)	-6.51%
Total Operating Expense Disbursements	\$ 3,169,247	\$ 3,161,198	\$ 8,049	0.25%
Total Cash Receipts and Transfers Less				
Operating Expense Disbursements	\$ 295,128	\$ 338,006	\$ (42,878)	-12.69%

University of Florida Foundation

Budget to Actual (in thousands) For the period ended 6/30/19

		Actual		Budget	\$	Variance	% Variance
Cash Receipts	<u>'</u>		<u>'</u>		'		
Contributions/Donations	\$	140,667	\$	137,287	\$	3,380	2.46%
Investment Income		6,892		103,201		(96,309)	-93.32%
Total Cash Receipts	\$	147,559	\$	240,488	\$	(92,929)	-38.64%
Transfers							
From Component Units							
Other					\$	-	0.00%
To University/Component Units		(128,545)		(131,229)		2,684	-2.05%
Net Transfers	\$	(128,545)	\$	(131,229)	\$	2,684	-2.05%
Total Cash Receipts Net of Transfers	\$	19,014	\$	109,259	\$	(90,245)	-82.60%
Operating Expense Disbursements							
Employee Comp & Benefits	\$	19,889	\$	21,138	\$	(1,249)	-5.91%
Other Operating Expense Disbursements (Note 4)		17,322	_	24,716		(7,394)	-29.92%
Total Operating Expense Disbursements	\$	37,211	\$	45,854	\$	(8,643)	-18.85%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	(18,197)	\$	63,405	\$	(81,602)	-128.70%

Budget to Actual (in thousands) For the period ended 6/30/19

	A	ctual	В	udget	\$ Va	ariance	% Variance		
Cash Receipts									
State Appropriations	\$	4,880			\$	4,880	0.00%		
Contributions/Donations		-		1,916		(1,916)	-100.00%		
Investment Income		1,388		650		738	113.54%		
Licensing and Royalties		68,175		61,854		6,321	10.22%		
Sales of Goods & Services		40,778		33,825		6,953	20.56%		
Total Cash Receipts	\$	115,221	\$	98,245	\$	16,976	17.28%		
Transfers									
From Component Units									
UF Foundation (Donor Restricted)	\$	-	\$	-	\$	-	0.00%		
Other		38,057		21,986		16,071	73.10%		
To University/Component Units		(3,350)		(3,393)		43	-1.27%		
Net Transfers	\$	34,707	\$	18,593	\$	16,114	86.67%		
Total Cash Receipts Net of Transfers	\$	149,928	\$	116,838	\$	33,090	28.32%		
Operating Expense Disbursements									
Employee Comp & Benefits	\$	58,242	\$	55,135	\$	(137)	-0.25%		
Other Operating Expense Disbursements		60,394		61,595		(1,201)	-1.95%		
Total Operating Expense Disbursements	\$	118,636	\$	116,730	\$	1,906	1.63%		
Total Cash Receipts and Transfers Less									
Operating Expense Disbursements	\$	31,292	\$	108	\$	31,184	28874.07%		

Other Direct Support Organizations

Budget to Actual (in thousands) For the period ended 6/30/19

	Actual	Budget	\$ Variance	% Variance		
Cash Receipts	 					
State Appropriations	\$ 8,854	\$ 7,900	\$ 954	12.08%		
Contracts and Grants	6		6	0.00%		
Contributions/Donations	37,391	37,197	194	0.52%		
Investment Income	1,130	415	715	172.29%		
Licensing and Royalties (Note 5)	91,384	32,200	59,184	183.80%		
Sales of Goods & Services (Note 6)	198,987	7,570	191,417	2528.63%		
Total Cash Receipts	\$ 337,752	\$ 85,282	\$ 252,470	296.04%		
Transfers						
From Component Units						
UF Foundation (Donor Restricted)	\$ 7,463	\$ 2,050	5,413	264.05%		
To University/Component Units	\$ (73,223)	\$ (50,251)	\$ (22,972)	45.71%		
Net Transfers	\$ (65,760)	\$ (48,201)	\$ (17,559)	36.43%		
Total Cash Receipts Net of Transfers	\$ 271,992	\$ 37,081	\$ 234,911	633.51%		
Operating Expense Disbursements						
Employee Comp & Benefits	\$ 3,110	\$ 4,801	\$ (1,691)	-35.22%		
Other Operating Expense Disbursements (Note 7)	\$ 214,662	\$ 43,033	\$ 171,629	398.83%		
Total Operating Expense Disbursements	\$ 217,772	\$ 47,834	\$ 169,938	355.27%		
Total Cash Receipts and Transfers Less						
Operating Expense Disbursements	\$ 54,220	\$ (10,753)	\$ 64,973	-604.23%		

146/608

Budget to Actual (in thousands) For the period ended 6/30/19

	Actual	Budget	\$ `	Variance	% Variance
Cash Receipts					
Patient Service Revenue (Note 8)	\$ 508,930	\$ 441,211	\$	67,719	15.35%
Investment Income	 (3,006)	712		(3,718)	-522.19%
Total Cash Receipts	\$ 505,924	\$ 441,923	\$	64,001	14.48%
Transfers					
From Component Units					
Hospitals and Practice Plan	\$ 183,540	\$ 178,500	\$	5,040	2.82%
To University/Component Units (Note 9)	 (499,045)	 (452,694)		(46,351)	10.24%
Net Transfers	\$ (315,505)	\$ (274,194)	\$	(41,311)	15.07%
Total Cash Receipts Net of Transfers	\$ 190,419	\$ 167,729	\$	22,690	13.53%
				_	
Operating Expense Disbursements					
Employee Comp & Benefits			\$	-	0.00%
Other Operating Expense Disbursements	 185,423	 167,729		17,694	10.55%
Total Operating Expense Disbursements	\$ 185,423	\$ 167,729	\$	17,694	10.55%
Total Cash Receipts and Transfers Less					
Operating Expense Disbursements	\$ 4,996	\$ -	\$	4,996	0.00%

Other Practice Plans

Budget to Actual (in thousands) For the period ended 6/30/19

		Actual	Budget	\$ Variance	% Variance
Cash Receipts	'				
Patient Service Revenue	\$	287,036	\$ 284,463	\$ 2,573	0.90%
Contributions/Donations		-		-	0.00%
Investment Income		17,834	9,840	7,994	81.24%
Sales of Goods & Services		9,738	 12,478	 (2,740)	-21.96%
Total Cash Receipts	\$	314,608	\$ 306,781	\$ 7,827	2.55%
Transfers					
From Component Units					
Hospitals and Practice Plan	\$	27,233	\$ 43,124	\$ (15,891)	-36.85%
To University/Component Units		(187,127)	 (172,983)	 (14,144)	8.18%
Net Transfers	\$	(159,894)	\$ (129,859)	\$ (30,035)	23.13%
Total Cash Receipts Net of Transfers	\$	154,714	\$ 176,922	\$ (22,208)	-12.55%
Operating Expense Disbursements					
Employee Comp & Benefits (Note 10)	\$	98,486	\$ 137,489	\$ (39,003)	-28.37%
Other Operating Expense Disbursements (Note 11)	\$	59,258	\$ 43,031	\$ 16,227	37.71%
Total Operating Expense Disbursements	\$	157,744	\$ 180,520	\$ (22,776)	-12.62%
Total Cash Receipts and Transfers Less					
Operating Expense Disbursements	\$	(3,030)	\$ (3,598)	\$ 568	-15.79%

Shands Teaching Hospital and Clinics

Budget to Actual (in thousands) For the period ended 6/30/19

	Actual	_	Budget	 Variance	% Variance
Cash Receipts					
State Appropriations	\$ 4,700	\$	7,050	\$ (2,350)	-33.33%
Patient Service Revenue (Note 12)	1,487,899		1,607,479	(119,580)	-7.44%
Contributions/Donations	15,562			15,562	0.00%
Investment Income	 39,555		14,225	 25,330	178.07%
Total Cash Receipts	\$ 1,547,716	\$	1,628,754	\$ (81,038)	-4.98%
Tuomofore					
Transfers To University/Component Units	\$ (196,804)	\$	(187,636)	\$ (9,168)	4.89%
Net Transfers	\$ (196,804)	\$	(187,636)	\$ (9,168)	4.89%
Total Cash Receipts Net of Transfers	\$ 1,350,912	\$	1,441,118	\$ (90,206)	-6.26%
Operating Expense Disbursements					
Employee Comp & Benefits	\$ 719,135	\$	703,569	\$ 15,566	2.21%
Other Operating Expense Disbursements (Note 13)	564,664		689,328	(124,664)	-18.08%
Total Operating Expense Disbursements	\$ 1,283,799	\$	1,392,897	\$ (109,098)	-7.83%
Total Cash Receipts and Transfers Less					
Operating Expense Disbursements	\$ 67,113	\$	48,221	\$ 18,892	39.18%

Shands Jacksonville HealthCare

Budget to Actual (in thousands) For the period ended 6/30/19

		Actual	Budget	\$ Variance	% Variance		
Cash Receipts	'			_			
Patient Service Revenue	\$	731,549	\$ 752,851	\$ (21,302)	-2.83%		
Contributions/Donations		57		57	0.00%		
Investment Income		1,022	 1,057	(35)	-3.31%		
Total Cash Receipts	\$	732,628	\$ 753,908	\$ (21,280)	-2.82%		
Transfers							
To University/Component Units	\$	(37,263)	\$ (34,607)	\$ (2,656)	7.67%		
Net Transfers	\$	(37,263)	\$ (34,607)	\$ (2,656)	7.67%		
Total Cash Receipts Net of Transfers	\$	695,365	\$ 719,301	\$ (23,936)	-3.33%		
Operating Expense Disbursements							
Employee Comp & Benefits	\$	336,841	\$ 336,033	\$ 808	0.24%		
Other Operating Expense Disbursements (Note 14)		340,296	380,686	(40,390)	-10.61%		
Total Operating Expense Disbursements	\$	677,137	\$ 716,719	\$ (39,582)	-5.52%		
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	18,228	\$ 2,582	\$ 15,646	605.96%		

150/608

UNIVERSITY OF FLORIDA Budget to Actual Notes For the Period ended 6/30/19

Entity	Note	Line Description	Explanation
UF	1	Sales of Goods & Services	Excess budget in this category has resulted from changes in fund mapping
UF	2	Other Cash Receipts	Excess budget in this category has resulted from changes in fund mapping
UF	3	Other Operating Expense	Budget reduced by \$207M to account for difference in accounting for scholarship expenses for financial statement purposes compared to BOG budgeting requirements
UFF	4	Other Operating Expense	Budget is based on accrual while actuals are cash based
Oth DSO	5	Licensing and Royalties	Includes additional revenue to UFRF for one-time payout and increased licensing
Oth DSO	6	Sales of Goods & Services	Employer contributions reported by GatorCare are not included in their budget
Oth DSO	7	Other Operating Expense	Claim expenses reported by GatorCare are not included in their budget
FCPA	8	Patient Service Revenue	Increased business growth
FCPA	9	Transfers To Univ/Comp Units	Additional support needed for increased business growth
Other PP	10	Employee Comp & Benefits	UFJPI TEAMS staff included in Employee Comp are actually paid via UF transfer
Other PP	11	Other Operating Expense	UFJPI Employee Comp included some non-salary administrative costs
Shands GV	12	Patient Service Revenue	Budgeted figure is accrual basis while actuals represent cash basis
Shands GV	13	Other Operating Expense	Budgeted figure is accrual basis while actuals represent cash basis
Shands JX	14	Other Operating Expense	Budgeted figure is accrual basis while actuals represent cash basis

Construction		Budget Per	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total	Rudget Minus	Cash Received			
Project ID	Construction Project Name	Project Tracker	Exp FY19	Exp FY19	Exp FY19	Exp FY19	Expenditures	Expense	by UF	Available Cash	Primary SOF	Notes
F016058	B1851 - Nature Coast Biological Station	2,395,435	869	0	0	0	2,395,435	0	2,395,435		C&G	(
017018	B0459 - Replace AHU/HVAC and Renovate Rooms	2,106,662	600,717	198,920	597,797	186,590	2,021,492	85,170	2,079,378	57,886		,
017047	B4240 - BSL2 & BSL3 Renovation	1,950,000	0	122,396	712,715	813,662	1,728,900	221,100	1,935,789	206,889	C&G	
F017062	Manure Management System	2,085,194	152,822	149,079	0	0	2,085,194	0	2,085,194		C&G, E&G	(:
ИP02095	VetMed Parking	988,055	72,300	0	0	0	988,055	0	988,055		Auxiliary	(:
VP02664	0092 - Physics Building Re-Roof Phase 1	2,104,440	0	0	59,895	762,745	937,576	1,166,864	926,489	(11,087)		(4
VP03083	Building 3381 - Human Resource Service Center Renovation	2,285,167	0	11,725	141,250	704,978	857,953	1,427,214	2,285,167	1,427,214		(5
MP03754 MP03962	0686 J. Wayne Reitz Union Auditorium Renovation	2,386,474	104,445 160,785	97,296	582,259	774,507	1,595,072	791,402	1,591,474	(3,598)		
MP04093	0759 - Bruton - Greer - Experimental Law Clinic Remodel UF Veterinary Medicine and FWC Building 216 Renovation	2,198,556 2,307,833	100,785	242,063 71,427	30,342 0	940,186 0	1,946,737 71,427	251,819 2,236,406	2,198,556 150.000	78.573	Investment Earnings	
MP04627	0759 Bruton-Greer - Second Floor Remodel	2,298,987	0	71,427	79,053	1,642,445	1,721,498	577,489	2,298,987	-,	Investment Earnings	
JF000212	Newell Hall Renovation	18,821,119	6,127	1,285	3,063	1,042,443	18,564,944	256,175	18,836,954		Auxiliary, PECO	
JF000221	Norman Hall Rehabilitation and College of Education Center Addition	34,107,603	3,346,119	1,351,929	4,095,342	7,802,901	21,172,131	12,935,472	21,525,631	353,500		
JF000357	Institute on Aging/Clinical Translational Research Building	45,657,396	3,503	0	0	0	44,738,023	919,373	45,298,386		C&G, CTRB Bond	
JF000368	Reitz Union Expansion and Renovation	77,171,773	13,763	0	0	3,679	77,126,326	45,447	78,058,320	931,994	CITF, Reitz Union Expansion Bond	
JF000392	Stephan O'Connell Center Renovation and Addition	69,776,866	653,047	0	(688)	0	69,693,228	83,639	69,776,866	83,639	Auxiliary, Investment Earnings	
JF000394	Middle and High School Expansion for PK Yonge	27,829,931	0	68,640	208,881	264,552	1,260,307	26,569,624	1,265,976	5,669	PECO	
JF000402	Lacy Rabon Plant - Steam Boiler Addition	9,933,434	733,284	166,605	11,423	521,235	8,738,367	1,195,067	9,937,919	1,199,553		
JF000461	Herbert Wertheim Laboratory for Engineering Excellence	71,316,512	5,165,697	4,504,596	2,279,197	9,770,200	32,661,548	38,654,964	65,493,709		PECO, Private Donations	
JF000603	CRC Addition and Renovation	10,379,500	2,200,247	79,484	381,162	32,330	10,002,930	376,569	10,729,500	726,569		
JF000607	Innovation HUB, Phase II	17,264,045	677,511	43,482	10,255	0	17,125,363	138,682	17,288,582	163,219		
JF000608	Parking Garage XIV	32,569,599	191,952	110,771	78,498	15,721,694	16,938,164	15,631,435	17,486,835		Auxiliary	
JF000615	Electrical Substation 2 - Cable and Switchgear Replacement	6,010,162	107,719	115,425	1,218,764	1,034,555	2,841,297	3,168,866	6,670,172	3,828,875		
JF000619	IBC and IHLC New Facility	9,871,048	234,960	163,285	600,985	2,800,821	4,436,520	5,434,528	4,806,500	369,980		
JF000620 JF000621	IFAS Bee Unit Facility UF Veterinary Hospital and CVM Expansion	3,034,330 6,513,438	312,263 1.347.342	38,224 2,144,554	54,155 1,412,883	2,979 746,887	3,028,447 6,079,993	5,883 433,445	3,034,330 6,131,389	5,883	E&G, HSC O&M Fund	(
JF000621 JF000622	VetMed Plant ESCO	25,148,775	728,805	2,144,554	(1,222,937)	3,043,938	4,819,037	20,329,738	25,148,775	20,329,738	•	
JF000623	Central Energy Plant & Utilities Infrastructure	10,744,347	960,383	0	1,238,417	1,259,312	5,366,620	5,377,727	10,695,547		Infrastructure Deferred Maintenance	
JF000628	IT Move to Ayers Building	3,140,204	11,619	1,432,776	913	297,398	2,805,433	334,771	3,140,210	334,777		
JF000629	Band Practice Field Upgrade	2,137,525	1,482,341	219,861	(7,055)	167,341	1,968,469	169,056	2,137,525		Investment Earnings	
JF000631	IFAS Lone Cabbage Reef Restoration	3,774,738	3,015,104	744,408	0	0	3,774,738	0	3,774,738		C&G	
JF00323A	Chemistry/Chemical Biology Building	65,762,999	65,014	3,670	0	23,383	65,576,762	186,237	65,577,780		Investment Earnings, PECO	
JF-373	FLMNH Special Collections Building	8,000,000	15,300	0	0	19,868	35,168	7,964,832	126,300	91,132	Investment Earnings	
JF-623A	Phase 3: Utilities Infrastructure	89,825	67,820	22,005	0	0	89,825	0	89,825	0	Auxiliary	
JF-632	Data Science and Information Technology Building	135,000,000	306	0	30,000	0	30,306	134,969,694	280,000	249,694		
JF-641	1635 - East Campus Data Center Utility Upgrades	4,124,964	0	0	0	93	93	4,124,871	350,000		Auxiliary	
JF-643	Broward Hall Renovation Phase II	6,400,000	0	0	0	112	112	6,399,888	500,000		Auxiliary	
JAA-43	Katie Seashole Pressly Softball Stadium Renovation	15,000,000	2,570,428	4,373,542	4,725,608	2,564,765	14,864,061	135,939	15,000,000	135,939		(7
JAA-41	Florida Ballpark Baseball Stadium	65,000,000	466,768	742,171	1,596,062	10,553,885	14,621,969	50,378,031	65,000,000	50,378,031		(8
JAA-53	Football Training Complex	4,520,000	89	303,985	344,982	687,906	1,437,749	3,082,251	4,520,000	3,082,251		(9
JF 0634 2019-103	UFJPI - Wildlight Ambulatory Medical Services Building FCPA Health The Oaks - Clinics and Audiology	30,113,901 38,960,400	282,436 323.390	263,532 1,247,751	2,132,271 1,269,901	2,695,862 9,579,178	5,739,957 12,843,486	24,373,944 26,116,914	30,000,000 38,960,400	24,260,043 26.116.914		(10
2019-103	Guest House	55,926,324	1,669,704	5,732,367	5,229,083	6,053,180	25,304,582	30,621,742	55,926,324	-, -,-	UF Health Shands Gainesville	(12
2010-327	The Oaks Mall Surgery and Radiology	33,955,374	1,005,704	12,603	271,432	533,942	817,976	33,137,398	33,955,374		UF Health Shands Gainesville	(13
2017-321	Fixel Movement Disorders Clinic Building (Williston Road)	9,000,000	577,382	1,768,365	2,838,561	-	5,363,755	3,636,245	9,000,000	3,636,245	of ficulti shahas damesvine	(1)
.01, 521	The movement bisorders entire banding (***mister noda)	3,000,000	377,302	1,700,505	2,030,301		3,303,733	3,030,213	3,000,000	3,030,2 13	UF Health Shands Gainesville	(14
2018-215	IT Infrastructure Renovation (multiple floors - UF Health Shands Hospital)	20,000,000	2,080	158,858	44,955	1,267,291	1,556,434	18,443,566	20,000,000	18,443,566		ν-
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2017-355	24/25 Renovation (Second Floor - UF Health Shands Hospital ICUs	29,587,814	3,271,506	4,889,095	4,947,426	2,183,587	19,747,642	9,840,172	29,587,814	9,840,172		
											UF Health Shands Gainesville	(16
2018-107	Pediatric PACU Renovation	7,900,000	95,599	1,140,757	3,268,059	637,615	4,397,607	3,502,393	7,900,000	3,502,393		
2018-371												
2018-153											UF Health Shands Gainesville	(1
018-368	Surgery GI Suite (Former Cath Lab)	7,600,000	-	744,423	166,224	129,941	1,040,588	6,559,412	7,600,000	6,559,412		
2018-371											UF Health Shands Gainesville	(1
018-111	Labor & Delivery Room (UF Health Shands Hospital) (7)	5,551,764	999,653	1,208,387	984,047	95,136	3,769,041	1,782,723	5,551,764		UF Health Shands Gainesville	(1
2018-128	Legends Building Renovation (Florida Recovery Center) (5)	3,000,000	454,619	911,461	493,201	149,857	2,852,274	147,726	3,000,000	147,726		/-
017.104	Dade Infraince Contact/LIF Hoolth Chands 11-1-12-13 (0)	2.044.022	727.644	1.050.005	074 000	22.002	2 424 542	402.427	2 04 4 622	402.42=	UF Health Shands Gainesville	(2
017-191	Peds Infusion Center (UF Health Shands Hospital) (8)	3,914,639	727,641	1,050,985	871,882	32,693	3,421,512	493,127	3,914,639		UF Health Shands Gainesville	(2
2018-110	Convert Unit 54/55 (Fifth floor of UF Health Shands Hospital) to Long-Term Acute Care Hospital (location of JV with Select) (5)	8,214,594	1,279,975	25,516	(70,199)	104,462	7,393,553	821,041	8,214,594	821,041		
	Acute care mospital (location of 14 with select) (3)										UF Health Shands Gainesville	(2:
018-369	OR Renovation	5,100,000		_		18,293	18,293	5,081,707	5,100,000	5 081 707	UF Health Shands Gainesville	(2:
.020 303	S. Henovadon	3,100,000	-	-	-	10,293	10,293	3,001,707	3,100,000	3,001,707	Cculti Shahas Gamesvine	(2)
							560,413,969			289,913,235		_

152/608

Construction Project	Notes
(1) B1851 - Nature Coast Biological Station	Completed
(2) Manure Management System	Completed
(3) VetMed Parking	not a major project, but is listed as a major in Facilities Data
(4) 0092 - Physics Building Re-Roof Phase 1	Peco Funding, cash is drawn on a monthly basis
(5) Building 3381 - Human Resource Service Center Renovation	Peco Funding, cash is drawn on a monthly basis
(6) IFAS Bee Unit Facility	Post Occupancy
(7) Katie Seashole Pressly Softball Stadium Renovation	Funded from Series 2018 bond of \$50M; UAA long term investment gains; capital gifts
(8) Florida Ballpark Baseball Stadium	Funded from Series 2018 bond of \$50M; UAA operating funds; capital gifts
(9) Football Training Complex	Design fees and Preconstruction expense approved expected total budget \$85M+\$5M for maintenance facility; funded from capital gifts
(10)	Series 2019-B Tax-Exempt Bond proceeds (issued April 2019). Excludes purchased land of \$3,650,000. Remaining funds from UFJP clinical services.
UFJPI - Wildlight Ambulatory Medical Services Building	The total project \$30 million includes \$6.8 million of equipment and furnishings
(11) FCPA Health The Oaks - Clinics and Audiology	The FCPA closed on a Health Facilities Authority Bond March 27, 2019. \$30 million in proceeds less to date expenditures is available cash.
(12) Guest House	From BECK Budget Report, Shands Board resolution dated 9/26/18 authorized spending up to \$56 million
(13) The Oaks Mall Surgery and Radiology	Construction Documents, Shands Board resolution dated 9/26/18 authorized spending up to \$34 million
(14) Fixel Movement Disorders Clinic Building (Williston Road)	Project expected to be fully funded by philanthropy but currently funded through internal cash resources, Shands Board resolution dated 4/25/18
(21) The more more placed and panding (Timiston hour)	authorized spending up to \$9 million
(15) IT Infrastructure Renovation (multiple floors - UF Health Shands	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget, Shands Board approved on 6/27/18 as part of FY 19 Capital
Hospital)	Budget
(16) 24/25 Renovation (Second Floor - UF Health Shands Hospital ICUs	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget, Shands Board approved on 6/28/17 as part of FY 18 Capital
(· · / · · · · · · · · · · · · · · · ·	Budget
(17) Pediatric PACU Renovation	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget. Central Sterile Processing Reconfiguration (Project 2018-153
	and 2019-211) was completed in Q3. Shands Board approved \$4.8 million on 6/28/17 as part of FY 18 Capital Budget and \$3.1 million on 6/27/18 as
	part of FY19 Capital Budget - total of \$7.9 million approved.
(18) Surgery GI Suite (Former Cath Lab)	New project added for Q3 reporting; project 2018-371 Q2 spend was included in Peds PACU Renovation amount in previous report. Shands Board
	approved on 6/27/18 as part of FY 19 Capital Budget.
(19) Labor & Delivery Room (UF Health Shands Hospital)	Project primarily completed - project will close under budget. Shands Board approved \$5.2 million on 6/27/18 as part of the FY19 Capital Budget;
	balance of project (\$0.4 million) approved from Routine Capital in the FY19 Capital Budget.
(20) Legends Building Renovation (Florida Recovery Center)	Project primarily completed - project will close under budget. Shands Board approved on 6/28/17 as part of FY 18 Capital Budget.
(21) Peds Infusion Center (UF Health Shands Hospital)	Project primarily completed - project will close under budget. Shands Board approved \$1.2 million on 6/28/17 as part of FY 18 Capital Budget; balance
	of project supported by Children's Miracle Network philanthropy of \$2.7 million.
(22) Convert Unit 54/55 (Fifth floor of UF Health Shands Hospital) to Long-	Project completed Fall 2018 - project closed under budget. Q3 Expenditures includes a retainage credit of \$72K, reducing the Total Expenditures
Term Acute Care Hospital (location of JV with Select)	amount previously reported. Shands Board approved on 6/28/17 as part of FY 18 Capital Budget.
(23) Or Renovations	Project added for Q4 reporting based Q4 activity. Shands Board approved on 6/27/18 as part of FY 19 Capital Budget.

UF Enterprise

Notable Non-GAAP Assets & Liabilities (in thousands)

As of September 30, 2019

		niversity of Florida		iversity of Florida oundation	1	niversity Athletic sociation	S	ner Direct support anizations		ida Clinical actice Plan	al Other Practice Hospital and Jac		Shands cksonville ealthCare		Total UF Enterprise 9/30/19			\$	S Variance	% Variance			
Cash and Investments																							
Cash in Bank	\$	11,820	\$	11,686	\$	10,502	\$	40,995	\$	72,311	\$	42,862	\$	22,350	\$	30,847	\$	243,373	\$	227,507	\$	15,866	6.97%
State Appropriation		219,921		-		-		-		-		-		-		-		219,921		216,254		3,667	1.70%
Tuition and Technology Fees (Note 1)		150,129		-		-		-		-		-		-		-		150,129		129,944		20,185	15.53%
Research Restricted		522,689		-		-		-		-		-		-		-		522,689		500,684		22,005	4.39%
Business Activities		356,498		-		-		-		-		-		-		-		356,498		370,363		(13,865)	-3.74%
Donor Restricted		199,114		-		-		-		-		-		-		-		199,114		197,223		1,891	0.96%
Student Financial Aid		51,575		-		-		-		-		-		-		-		51,575		52,840		(1,265)	-2.39%
Construction (Note 2)		192,014		-		-		-		-		-		-		-		192,014		136,598		55,416	40.57%
Other		132,370		-		-		-		-		-		-		-		132,370		125,265		7,105	5.67%
Held on Behalf of Component Units (Note 3)		227,574		-		-		-		-		-		-		-		227,574		176,588		50,986	28.87%
Endowment		-		1,838,943		-		-		-		-		-		-		1,838,943		1,766,879		72,064	4.08%
Component Unit Short-Term Inv		-		-		73,171		38,668		26,315		239,864		30,277		24,248		432,543		480,235		(47,692)	-9.93%
Component Unit Long-Term Inv				116,703		51,236		492		8,844				636,033		9,849		823,157		780,384		42,773	5.48%
Total Cash and Investments	\$	2,063,704	\$	1,967,332	\$	134,909	\$	80,155	\$	107,470	\$	282,726	\$	688,660	\$	64,944	\$	5,389,900	\$	5,160,764	\$	229,136	4.44%
Accounts Receivable																							
Contracts and Grants Receivable	\$	63,199	\$	_	\$	_	\$	_	\$	_	\$	_	\$	_	\$	_	\$	63,199	\$	56,566	\$	6,633	11.73%
Donor Receivables (Note 4)	Ψ	-	Ψ	78,682	Ψ	_	Ψ	_	Ψ	_	Ψ	_	Ψ	_	Ψ	_	Ψ	78,682	Ψ	56,625	Ψ	22,057	38.95%
Patient Receivables		_		70,002		_		_		44,231		13,879		243,733		120,699		422,542		399,712		22,830	5.71%
Other Accounts Receivable		1,503		4.207		22.105		6.168		1.975		24,181		240,700		-		60,139		50.978		9.161	17.97%
Total Accounts Receivable	\$	64,702	\$	82,889	\$	22,105	\$	6,168	\$	46,206	\$	38,060	\$	243,733	\$	120,699	\$	624,562	\$	563,881	\$	60,681	10.76%
Total / toodante reddivable	Ť	01,102	Ť	02,000	Ť	22,100	Ť	0,100	Ť	40,200	Ť	30,000	Ť	240,100	Ť	120,000	Ť	02 1,002	Ť	000,001	_	00,001	10.1070
Buildings and Equipment	\$	1,663,158	\$	62,034	\$	188,439	\$	13,609	\$	88,834	\$	28,274	\$	1,083,408	\$	244,989	\$	3,372,745	\$	3,397,644	\$	(24,899)	-0.73%
Accounts Payable	\$	34,396	\$	782	\$	6,559	\$	15,907	\$	3,355	\$	8,104	\$	198,468	\$	37,637	\$	305,208	\$	295,830	\$	9,378	3.17%
Due To Component Units (Note 5)	\$	227,574	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	227,574	\$	176,589	\$	50,985	28.87%
Bonds and Loans	\$	162,324	\$	911	\$	126,025	\$	-	\$	89,571	\$	11,795	\$	883,476	\$	234,987	\$	1,509,089	\$	1,447,225	\$	61,864	4.27%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

UF Enterprise Notable Non-GAAP Receipts & Disbursements (in thousands) For the Three Months Ended September 30, 2019

		ersity of orida	F	versity of lorida undation	A	niversity Athletic sociation	S	ner Direct Support anizations		ida Clinical		er Practice Plans	Shands Teaching Shands Hospital and Jacksonville Clinics HealthCare		ksonville	Er	otal UF nterprise Q1 FY20	Total UF Enterprise Q1 FY19		e \$ Varia		% Variance	
Cash Receipts																							
Tuition and Fees	\$	156,631	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	156,631	\$	149,757	\$	6,874	4.59%
State Appropriations		198,836		-		1,704		1,436		-		-		2,350		-		204,326		202,094		2,232	1.10%
Contracts and Grants		173,943		-		-		-		-		-		-		-		173,943		164,745		9,198	5.58%
Federal and State Financial Aid (Note 6)		113,058		-		-		-		-		-		-		-		113,058		101,002		12,056	11.94%
Patient Service Revenue		-		-		-		-		123,485		72,489		413,266		156,172		765,412		710,463		54,949	7.73%
Contributions/Donations (Note 7)		-		32,538		-		6,125		-		-		3,862		-		42,525		28,612		13,913	48.63%
Investment Income		13,140		284		732		430		737		3,624		4,031		255		23,233		29,508		(6,275)	-21.27%
Licensing and Royalties		-		-		4,921		15,751		-		-		-		-		20,672		23,425		(2,753)	-11.75%
Sales of Goods & Services (Note 8)		57,770		-		9,116		58,138		-		1,318		-		-		126,342		112,851		13,491	11.95%
Other Cash Receipts		2,732				-		1,058		<u> </u>		-		12,439		4,642		20,871		18,420		2,451	13.31%
Total Cash Receipts	\$	716,110	\$	32,822	\$	16,473	\$	82,938	\$	124,222	\$	77,431	\$	435,948	<u>\$</u>	161,069	\$	1,647,013	\$	1,540,877	\$	106,136	6.89%
Transfers																							
From Component Units																							
Hospitals and Practice Plan	\$	177,235	\$	-	\$		\$	-	\$	32,392	\$	25,352	\$	-	\$	-	\$	234,979	\$	219,748	\$	15,231	6.93%
UF Foundation (Donor Restricted)		29,319		-		-		-		-		-		-		-		29,319		27,381		1,938	7.08%
Other		12,108		-		4,784		-		-		-		-		-		16,892		15,491		1,401	9.04%
To University/Component Units		<u> </u>		(29,319)		(105)		(16,787)		(116,504)		(48,872)		(49,500)		(20,103)		(281,190)		(262,620)		(18,570)	7.07%
Net Transfers	\$	218,662	\$	(29,319)	\$	4,679	\$	(16,787)	\$	(84,112)	\$	(23,520)	\$	(49,500)	\$	(20,103)	\$	-	\$	-	\$	-	0.00%
Total Revenues Net of Transfers	\$	934,772	\$	3,503	\$	21,152	\$	66,151	\$	40,110	\$	53,911	\$	386,448	\$	140,966	\$	1,647,013	\$	1,540,877	\$	106,136	6.89%
Ownership Supreme Blahous arrants																							
Operating Expense Disbursements																							
Employee Compensation and Benefits	•	400 404	•		•		•		•		•		Φ.		•		•	400 404		400.075	•	(044)	0.000/
Instruction	\$	122,134	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	122,134	\$	122,375	\$	(241)	-0.20%
Research		108,013		-		-		-		-		- 07.070		400.000		-		108,013		104,061		3,952	3.80%
Patient Services and Other Public Services		140,008 54,854		-		-		-		-		27,679		188,098		94,646		450,431		436,890		13,541 2,902	3.10% 5.59%
Support Other		44,432		4,245		14,043		961		-		-		-		-		54,854 63,681		51,952 60,448		•	
Total Employee Comp & Benefits	\$	469,441	\$	4,245	\$	14,043	\$	961	\$		\$	27,679	\$	188,098	\$	94,646	\$	799,113	•	775,726	\$	3,233 23,387	5.35% 3.01%
Total Employee Comp & Bellents	Φ	409,441	φ	4,245	Φ	14,043	Φ	901	φ	-	φ	27,079	φ	100,090	φ	94,040	φ	799,113	φ	775,720	φ	23,367	3.01%
Other Operating Expense Disbursements	_																						
Instruction	\$	8,590	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	8,590	\$	9,066	\$	(476)	-5.25%
Research		58,164		•		•		•		-		-		-		-		58,164		58,103		61	0.10%
Patient Services and Other Public Services		24,224		-		-		-		35,343		16,648		163,203		97,901		337,319		313,873		23,446	7.47%
Support		27,816		•		•		•		•		-		-		-		27,816		35,378		(7,562)	-21.37%
Scholarships and Student Support		79,089		4 700		-		-		-		-		-		-		79,089		75,090		3,999	5.33%
Other		47,457		4,706	_	27,920		55,095	_		_	-	_	-				135,178	_	121,629		13,549	11.14%
Total Other Operating Expense Disbursements	\$	245,340	\$	4,706	\$	27,920	\$	55,095	\$	35,343	\$	16,648	\$	163,203	\$	97,901	\$	646,156	\$	613,139	\$	33,017	5.38%
Total Operating Expense Disbursements	\$	714,781	\$	8,951	\$	41,963	\$	56,056	\$	35,343	\$	44,327	\$	351,301	\$	192,547	\$	1,445,269	\$	1,388,865	\$	56,404	4.06%
Total Cash Receipts and Transfers Less																							
Operating Expense Disbursements	\$	219,991	\$	(5,448)	\$	(20,811)	\$	10,095	\$	4,767	\$	9,584	\$	35,147	\$	(51,581)	\$	201,744	\$	152,012		49,732	32.72%
Other Receipts & Disbursements																							
Equipment and Building Expenses (Note 9)	\$	(52,484)	\$	631	\$	(4,399)	s	(779)	\$	(6,000)	\$	(6,112)	\$	(25,858)	\$	(3,355)	\$	(98,356)	\$	(82,585)	\$	(15,771)	19.10%
Equipment and Banding Expenses (Note 5)	ų.	(02,404)	Ψ	001	<u> </u>	(4,000)	Ů	(110)	Ÿ	(0,000)	Ů	(0,112)	ų.	(20,000)		(0,000)	Ψ	(55,555)		(02,000)	ų.	(10,771)	10.1070
Debt Proceeds/Repayment (Note 10)	\$	(2,299)	\$	(1,104)	\$	(233)	\$	-	\$	(355)	\$	3,789	\$	(1,866)	\$	(8,761)	\$	(10,829)	\$	47,220	\$	(58,049)	-122.93%
Increase (Decrease) in Fair Value of Investments (Note 11)	\$	3,977	\$	19,320	\$	-	\$	275	\$	(924)	\$	-	\$	766	\$	-	\$	23,414	\$	58,323	\$	(34,909)	-59.85%
Change in Receivables and Payables	\$	(59,865)	\$	7,524	\$	(418)	\$	1,690	\$	(3,046)	\$	950	\$	(4,628)	\$	(4,846)	\$	(62,639)	\$	(55,722)	\$	(6,917)	12.41%

UF Enterprise

Note #	Line Description	Explanation
1	Tuition and Technology Fees - Cash and Investments	Increase is due to additional funds generated from tuition in Fall 2019, particularly due to an increase in the number of out-of-state students paying higher tuition.
2	Construction - Cash and Investments	Increase is due to unspent debt proceeds received by the University for the construction of a new parking garage (\$10M), funds for the construction of the Herbert Wertheim Laboratory for Engineering Excellence (\$15M) and Vet Med Energy Plant (\$15M), and appropriated funds being used for various other minor construction projects across campus (\$12M)
3	Held on Behalf of Component Units - Cash and Investments	Increase is due to additional deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M).
4	Donor Receivables	Increase is attributable to new pledges at UF Foundation, including two \$10M pledges made subsequent to Q1 FY19.
5	Due to Component Units	Increase is due to additional deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M).
6	Federal and State Financial Aid	Increase is due to additional funding at the University for Bright Futures, Florida Incentive Scholarships, and Pell Grants due to more students qualifying for these scholarships.
7	Contributions/Donations	Increase is due to more giving activity at the UF Foundation in comparison to Q1 FY19, particularly a \$6M gift received in Q1 FY20.
8	Sales of Goods & Services	Increase is due to additional revenues generated by the University's auxiliary, business-type activities, as well as additional revenues from ticket sales at the UAA and premiums collected at GatorCare.
9	Equipment and Building Expenses	Increase is primarily due to higher expenses to complete construction projects at the University for the new parking Garage, the Herbert Wertheim College of Engineering, and the Vet Med Energy Plant, as well as construction costs to complete clinics for the FCPA and UF Jacksonville Physicians.
10	Debt Proceeds/Repayment	Decrease is due to debt proceeds that were received by UAA in Q1 FY19 for construction of the new baseball/softball stadium.
11	Increase (Decrease) in Fair Value of Investments	Decrease is due to weaker market conditions during Q1 FY20, leading to reduced investment earnings, particularly at the UF Foundation (\$29M lower).

University of Florida

Notable Non-GAAP Assets & Liabilities (in thousands)
As of September 30, 2019

	9/30/2019	9/30/2018	\$ Variance	% Variance
Cash and Investments				
Cash in Bank	\$ 11,820	\$ 6,627	\$ 5,193	78.36%
State Appropriation	219,921	216,254	3,667	1.70%
Tuition and Technology Fees (Note 1)	150,129	129,944	20,185	15.53%
Research Restricted	522,689	500,684	22,005	4.39%
Business Activities	356,498	370,363	(13,865)	-3.74%
Donor Restricted	199,114	197,223	1,891	0.96%
Student Financial Aid	51,575	52,840	(1,265)	-2.39%
Construction (Note 2)	192,014	136,598	55,416	40.57%
Other	132,370	125,265	7,105	5.67%
Held on Behalf of Component Units (Note 3)	227,574	176,588	50,986	28.87%
Total Cash and Investments	\$ 2,063,704	\$ 1,912,386	\$ 151,318	7.91%
Accounts Receivable				
Contracts and Grants Receivable	\$ 63,199	\$ 56,566	\$ 6,633	11.73%
Other Accounts Receivable	1,503	1,587	(84)	-5.29%
Total Accounts Receivable	\$ 64,702	\$ 58,153	\$ 6,549	11.26%
Buildings and Equipment	\$ 1,663,158	\$ 1,727,238	\$ (64,080)	-3.71%
Accounts Payable	\$ 34,396	\$ 30,443	\$ 3,953	12.98%
Due To Component Units (Note 4)	\$ 227,574	\$ 176,589	\$ 50,985	28.87%
Bonds and Loans (Note 5)	\$ 162,324	\$ 143,119	\$ 19,205	13.42%

<u>Note</u>: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

University of Florida

Notable Non-GAAP Receipts & Disbursements (in thousands)
For the Three Months Ended September 30, 2019

		Q1 FY20	(Q1 FY19	\$ '	Variance	% Variance
Cash Receipts							
Tuition and Fees	\$	156,631	\$	149,757	\$	6,874	4.59%
State Appropriations		198,836		196,300		2,536	1.29%
Contracts and Grants		173,943		164,740		9,203	5.59%
Federal and State Financial Aid (Note 6)		113,058		101,002		12,056	11.94%
Investment Income		13,140		9,095		4,045	44.47%
Sales of Goods & Services		57,770		50,651		7,119	14.06%
Other Cash Receipts		2,732		1,653		1,079	65.28%
Total Cash Receipts	\$	716,110	\$	673,198	\$	42,912	6.37%
Transfers							
From Component Units							
Hospitals and Practice Plan	\$	177,235	\$	171,727	\$	5,508	3.21%
UF Foundation (Donor Restricted)		29,319		27,381		1,938	7.08%
Other		12,108		13,655		(1,547)	-11.33%
Net Transfers	\$	218,662	\$	212,763	\$	5,899	2.77%
Total Cash Receipts Net of Transfers		934,772	\$	885,961	\$	48,811	5.51%
Total Cash Receipts Net Of Hallsters		334,772	Ψ	000,901	Ψ	40,011	3.3176
Operating Expense Disbursements							
Employee Compensation and Benefits							
Instruction	\$	122,134	\$	122,375	\$	(241)	-0.20%
Research		108,013		104,061		3,952	3.80%
Patient Services and Other Public Services		140,008		134,761		5,247	3.89%
Support		54,854		51,952		2,902	5.59%
Other		44,432		42,741		1,691	3.96%
Total Employee Comp & Benefits	\$	469,441	\$	455,890	\$	13,551	2.97%
Other Operating Expense Dishursements							
Other Operating Expense Disbursements Instruction	c	8,590	\$	9,066	¢	(476)	-5.25%
Research	\$	58,164	Φ	58,103	\$	(470)	0.10%
Patient Services and Other Public Services		24,224		28,756		(4,532)	-15.76%
Support		27,816		35,378		(7,562)	-21.37%
Scholarships and Student Support		79,089		75,090		3,999	5.33%
Other		47,457		43,271		4,186	9.67%
Total Other Operating Expense Disbursements	\$	245,340	\$	249,664	\$	(4,324)	-1.73%
						, , ,	
	\$	714,781	\$	705,554	\$	9,227	1.31%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	219,991	\$	180,407	\$	39,584	21.94%
Other Receipts & Disbursements	_	/== .= ·		(45 = 45)	_	()	
Equipment and Building Expenses (Note 7)	\$	(52,484)	\$	(42,512)	\$	(9,972)	23.46%
Debt Proceeds/Repayment	\$	(2,299)	\$	(1,759)	\$	(540)	30.70%
Increase (Decrease) in Fair Value Investments	\$	3,977	\$	10,357	\$	(6,380)	-61.60%
Change in Receivables and Payables (Note 8)	\$	(59,865)	\$	(41,578)	\$	(18,287)	43.98%
Not Cash for the Three Months Ended Sept. 20, 2010	•	100 320	•	10/ 915	•	4 405	4 200/
Net Cash for the Three Months Ended Sept. 30, 2019		109,320	\$	104,915	\$	4,405	4.20%

University of Florida

Note #	Line Description	Explanation
1	Tuition and Technology Fees - Cash and Investments	Increase is due to additional funds generated from tuition in Fall 2019, particularly due to an increase in the number of out-of-state students paying higher tuition.
2	Construction - Cash and Investments	Increase is due to unspent debt proceeds received for the construction of a new parking garage (\$10M), funds for the construction of the Herbert Wertheim Laboratory for Engineering Excellence (\$15M) and Vet Med Energy Plant (\$15M), and appropriated funds being used for various other minor construction projects across campus (\$12M)
3	Held on Behalf of Component Units - Cash and Investments	Increase is due to additional deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M).
4	Due to Component Units	Increase is due to additional deposits made by UF Research Foundation into the University's Component Unit fund during FY19 (\$50M).
5	Bonds and Loans	Increase is due to the new debt from the issuance of the Transportation and Parking bond (\$31M), offset by continued debt repayment on existing loans and notes.
6	Federal and State Financial Aid	Increase is due to additional funding for Bright Futures, Florida Incentive Scholarships, and Pell Grants due to more students qualifying for these scholarships.
7	Equipment and Building Expenses	Increase is due to higher expenses toward construction of the new parking garage, the Herbert Wertheim Laboratory for Engineering Excellence, and the Vet Med Energy Plant in FY20.
8	Change in Receivables and Payables	The increase is due to lower outstanding amounts on payments made to vendors for goods and services.

University of Florida Foundation

Notable Non-GAAP Assets & Liabilities (in thousands)
As of September 30, 2019

	9/30/2019	9/30/2018		\$ Variance	% Variance
Cash and Investments					
Cash in Bank	\$ 11,686	\$	6,863	\$ 4,823	70.28%
Endowment	1,838,943		1,766,879	72,064	4.08%
Component Unit Long-Term Inv (Note 1)	 116,703		89,561	 27,142	30.31%
Total Cash and Investments	\$ 1,967,332	\$	1,863,303	\$ 104,029	5.58%
Accounts Receivable					
Donor Receivables (Note 2)	\$ 78,682	\$	56,625	\$ 22,057	38.95%
Other Accounts Receivable	 4,207		3,304	 903	27.33%
Total Accounts Receivable	\$ 82,889	\$	59,929	\$ 22,960	38.31%
Buildings and Equipment	\$ 62,034	\$	61,669	\$ 365	0.59%
Accounts Payable	\$ 782	\$	261	\$ 521	199.62%
Bonds and Loans	\$ 911	\$	2,029	\$ (1,118)	-55.10%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

University of Florida Foundation
Notable Non-GAAP Receipts & Disbursements (in thousands) For the Three Months Ended September 30, 2019

	Q1 FY20		C	Q1 FY19		Variance	% Variance
Cash Receipts							
Contributions/Donations (Note 3)	\$	32,538	\$	21,622	\$	10,916	50.49%
Investment Income		284		196		88	44.90%
Total Cash Receipts	\$	32,822	\$	21,818	\$	11,004	50.44%
Transfers							
From Component Units							
Other	\$	-	\$	-	\$	-	0.00%
To University/Component Units		(29,319)		(27,381)		(1,938)	7.08%
Net Transfers	\$	(29,319)	\$	(27,381)	\$	(1,938)	7.08%
Total Cash Receipts Net of Transfers	\$	3,503	\$	(5,563)	\$	9,066	-162.97%
Operating Expense Disbursements							
Employee Compensation and Benefits							
Other	\$	4,245	\$	4,218	\$	27	0.64%
Total Employee Comp & Benefits	\$	4,245	\$	4,218	\$	27	0.64%
Total Employee Comp & Berleins	Ψ	4,243	Ψ	4,210	Ψ	ZI	0.04 /0
Other Operating Expense Disbursements							
Scholarships and Student Support	\$	-	\$	-	\$	-	0.00%
Other		4,706		3,780		926	24.50%
Total Other Operating Expense Disbursements	\$	4,706	\$	3,780	\$	926	24.50%
Total Operating Expense Disbursements	\$	8,951	\$	7,998	\$	953	11.92%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	(5,448)	\$	(13,561)	\$	8,113	-59.83%
Other Receipts & Disbursements							
Equipment and Building Expenses	¢	631	\$	(387)	\$	1,018	-263.05%
Equipment and Building Expenses	\$	031	Ф	(307)	φ	1,010	-203.03%
Debt Proceeds/Repayment	\$	(1,104)	\$	(1,104)	\$	-	0.00%
Increase (Decrease) in Fair Value of Investments (Note 4)	\$	19,320	\$	48,603	\$	(29,283)	-60.25%
Change in Receivables and Payables	\$	7,524	\$	2,911	\$	4,613	158.47%
Net Cash for the Three Months Ended Sept. 30, 2019	\$	20,923	\$	36,462	\$	(15,539)	-42.62%
The same of the sa			<u> </u>	,		(10,000)	12.02 /0

University of Florida Foundation

Note #	Line Description	Explanation
1	Component Unit Long-Term Inv	Increase is primarily due to new trust gifts received in Q2 FY19, as well as an increase in the amount of UFF investments held by the University.
2	Donor Receivables	Increase is attributable to new pledges, net of payoffs, including two \$10M pledges made subsequent to Q1 FY19.
3	Contributions/Donations	Increase is due to more giving activity over the prior year, particularly a \$6M gift received in Q1 2020.
4	Increase (Decrease) in Fair Value of Investments	Decrease is driven by less favorable market conditions during Q1 FY20 as compared to Q1 FY19 leading to overall lower investment returns.

Notable Non-GAAP Assets & Liabilities (in thousands)
As of September 30, 2019

	9	/30/2019	9/30/2018		\$ Variance	% Variance
Cash and Investments						
Cash in Bank	\$	10,502	\$	11,265	\$ (763)	-6.77%
Component Unit Short-Term Inv (Note 1)		73,171		91,030	(17,859)	-19.62%
Component Unit Long-Term Inv		51,236		50,387	849	1.68%
Total Cash and Investments	\$	134,909	\$	152,682	\$ (17,773)	-11.64%
Accounts Receivable						
Other Accounts Receivable	\$	22,105	\$	15,724	\$ 6,381	40.58%
Total Accounts Receivable	\$	22,105	\$	15,724	\$ 6,381	40.58%
Buildings and Equipment	\$	188,439	\$	181,256	\$ 7,183	3.96%
Accounts Payable	\$	6,559	\$	10,097	\$ (3,538)	-35.04%
		,			• • •	
Bonds and Loans	\$	126,025	\$	131,275	\$ (5,250)	-4.00%
				•		

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Notable Non-GAAP Receipts & Disbursements (in thousands)
For the Three Months Ended September 30, 2019

	Ω1	FY20	Ω1	FY19	¢	Variance	% Variance
Cash Receipts		1120		1113		Variance	70 Variance
State Appropriations	\$	1,704	\$	1,547	\$	157	10.15%
Contributions/Donations		-				-	0.00%
Investment Income		732		1,987		(1,255)	-63.16%
Licensing and Royalties		4,921		7,442		(2,521)	-33.88%
Sales of Goods & Services		9,116		6,686		2,430	36.34%
Total Cash Receipts	\$	16,473	\$	17,662	\$	(1,189)	-6.73%
Transfers							
From Component Units							
UF Foundation (Donor Restricted)	\$	-	\$	-	\$	-	0.00%
Other		4,784		1,836		2,948	160.57%
To University/Component Units		(105)		(265)		160	-60.38%
Net Transfers	\$	4,679	\$	1,571	\$	3,108	197.84%
Total Cash Receipts Net of Transfers	\$	21,152	\$	19,233	\$	1,919	9.98%
Operating Expense Disbursements							
Employee Compensation and Benefits							
Other	\$	14,043	\$	12,658	\$	1,385	10.94%
Total Employee Comp & Benefits	\$	14,043	\$	12,658	\$	1,385	10.94%
Other Operating Expense Disbursements							
Scholarships and Student Support	\$	-	\$	-	\$	-	0.00%
Other		27,920		19,391		8,529	43.98%
Total Other Operating Expense Disbursements	\$	27,920	\$	19,391	\$	8,529	43.98%
Total Operating Expense Disbursements		41,963	\$	32,049	\$	9,914	30.93%
		,,				- 7,5	
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	<u> \$ </u>	(20,811)	\$	(12,816)	\$	(7,995)	62.38%
Other Receipts & Disbursements							
Equipment and Building Expenses	\$	(4,399)	\$	(4,897)	\$	498	-10.17%
Debt Proceeds/Repayment (Note 2)	\$	(233)	\$	49,707	\$	(49,940)	-100.47%
Change in Receivables and Payables	\$	(418)	\$	_	\$	(418)	0.00%
Net Cash for the Three Months Ended Sept. 30, 2019	\$	(25,861)	\$	31,994	\$	(57,855)	-180.83%

Note #	Line Description	Explanation
1	Component Unit Short-Term Inv	Decrease is primarily due to the use of proceeds from the bond issued in Q1 FY19 to cover construction expenditures on the new baseball/softball stadium through the end of FY19 and into FY20.
2	Debt Proceeds/Repayment	Decrease is due to debt proceeds in Q1 FY19 on the bond issued for construction of the new baseball/softball stadium (\$55M).

Other Direct Support Organizations

Notable Non-GAAP Assets & Liabilities (in thousands)
As of September 30, 2019

	9/	9/30/2019		9/30/2018		Variance	% Variance
Cash and Investments			'				
Cash in Bank	\$	40,995	\$	33,237	\$	7,758	23.34%
Component Unit Short-Term Inv		38,668		31,050		7,618	24.53%
Component Unit Long-Term Inv		492		489	_	3	0.61%
Total Cash and Investments (Note 1)	\$	80,155	\$	64,776	\$	15,379	23.74%
Accounts Receivable							
Other Accounts Receivable	\$	6,168	\$	5,151	\$	1,017	19.74%
Total Accounts Receivable	\$	6,168	\$	5,151	\$	1,017	19.74%
Buildings and Equipment	\$	13,609	\$	13,008	\$	601	4.62%
Accounts Payable (Note 2)	\$	15,907	\$	5,793	\$	10,114	174.59%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Other Direct Support Organizations
Notable Non-GAAP Receipts & Disbursements (in thousands)
For the Three Months Ended September 30, 2019

		Q1 FY20		Q1 FY19	\$	Variance	% Variance
Cash Receipts		<u> </u>					70 101101100
State Appropriations	\$	1,436	\$	2,484	\$	(1,048)	-42.19%
Contracts and Grants		-		5		(5)	-100.00%
Contributions/Donations		6,125		5,308		817	15.39%
Investment Income		430		234		196	83.76%
Licensing and Royalties		15,751		15,983		(232)	-1.45%
Sales of Goods & Services		58,138		54,782		3,356	6.13%
Other Cash Receipts		1,058		1,238		(180)	-14.54%
Total Cash Receipts	\$	82,938	\$	80,034	\$	2,904	3.63%
Transfers							
From Component Units	•		_		•		2 222/
UF Foundation (Donor Restricted)	\$	- (40.707)	\$	- (4.5.000)	\$	- (4.504)	0.00%
To University/Component Units		(16,787)	_	(15,226)	_	(1,561)	10.25%
Net Transfers	\$	(16,787)	\$	(15,226)	\$	(1,561)	10.25%
Total Cash Receipts Net of Transfers	\$	66,151	\$	64,808	\$	1,343	2.07%
Operating Expense Disbursements							
Employee Compensation and Benefits							
Other	\$	961	\$	831	\$	130	15.64%
Total Employee Comp & Benefits	\$	961	\$	831	\$	130	15.64%
Other Operating Expense Disbursements							
Other	\$	55,095	\$	55,187	\$	(92)	-0.17%
Total Other Operating Expense Disbursements	\$	55,095	\$	55,187	\$	(92)	-0.17%
Total Operating Expense Disbursements	\$	56,056	\$	56,018	\$	38	0.07%
Total Operating Expense Disbursements	<u>Ψ</u>	30,030	<u> </u>	30,010	<u> </u>		0.07 /8
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	10,095	\$	8,790	\$	1,305	14.85%
Other Receipts & Disbursements							
·	¢	(770)	¢		¢	(770)	0.00%
Equipment and Building Expenses	\$	(779)	\$	-	\$	(779)	0.00%
Increase (Decrease) in Fair Value of Investments	\$	275	\$	(238)	\$	513	-215.55%
Change in Receivables and Payables	\$	1,690	\$	(7,234)	\$	8,924	-123.36%
Net Cash for the Three Months Ended Sept. 30, 2019	\$	11,281	\$	1,318	\$	9,963	755.92%

Other Direct Support Organizations

Note #	Line Description	Explanation
1	Total Cash and Investments	The increase in total cash and investments is primarily due to undistributed royalties from the Florida Foundation Seed Producers at the end of Q1 FY20. Also contributing to this increase are additional funds generated from GatorCare operations in Q1 FY20.
2	Accounts Payable	The increase is primarily due to undistributed royalties from the Florida Foundation Seed Producers payable to UF and inventors at the end of the Q1 FY20.

Notable Non-GAAP Assets & Liabilities (in thousands)
As of September 30, 2019

	9/30/2019		9/30/2018		Variance	% Variance
Cash and Investments						
Cash in Bank (Note 1)	\$ 72,311	\$	58,388	\$	13,923	23.85%
Component Unit Short-Term Inv	26,315		24,733		1,582	6.40%
Component Unit Long-Term Inv	 8,844		10,087		(1,243)	-12.32%
Total Cash and Investments	\$ 107,470	\$	93,208	\$	14,262	15.30%
Accounts Receivable						
Patient Receivables	\$ 44,231	\$	41,761	\$	2,470	5.91%
Other Accounts Receivable	 1,975		639		1,336	209.08%
Total Accounts Receivable	\$ 46,206	\$	42,400	\$	3,806	8.98%
Buildings and Equipment (Note 2)	\$ 88,834	\$	73,145	\$	15,689	21.45%
Accounts Payable	\$ 3,355	\$	5,822	\$	(2,467)	-42.37%
Bonds and Loans (Note 3)	\$ 89,571	\$	61,315	\$	28,256	46.08%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Notable Non-GAAP Receipts & Disbursements (in thousands) For the Three Months Ended September 30, 2019

	(Q1 FY20		Q1 FY19	\$ Variance		% Variance
Cash Receipts							
Patient Service Revenue	\$	123,485	\$	118,059	\$	5,426	4.60%
Investment Income		737		686		51	7.43%
Total Cash Receipts	\$	124,222	\$	118,745	\$	5,477	4.61%
Transfers							
From Component Units							
Hospitals and Practice Plan	\$	32,392	\$	30,435	\$	1,957	6.43%
To University/Component Units		(116,504)		(110,239)		(6,265)	5.68%
Net Transfers	\$	(84,112)	\$	(79,804)	\$	(4,308)	5.40%
Total Cash Receipts Net of Transfers	\$	40,110	\$	38,941	\$	1,169	3.00%
Operating Expense Disbursements							
Other Operating Expense Disbursements							
Patient Services and Other Public Services		35,343		31,893		3,450	10.82%
Total Other Operating Expense Disbursements	\$	35,343	\$	31,893	\$	3,450	10.82%
Total Operating Expense Disbursements	\$	35,343	\$	31,893	\$	3,450	10.82%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	4,767	\$	7,048	\$	(2,281)	-32.36%
Other Receipts & Disbursements							
Equipment and Building Expenses	\$	(6,000)	\$	(3,591)	\$	(2,409)	67.08%
Debt Proceeds/Repayment	\$	(355)	\$	3,724	\$	(4,079)	-109.53%
	_		_		_		
Increase (Decrease) in Fair Value of Investments	\$	(924)	\$	(821)	\$	(103)	12.55%
Observe in Descinables and Develop	Φ.	(0.040)	Φ.	(000)	Φ.	(0.040)	000 000/
Change in Receivables and Payables	\$	(3,046)	\$	(830)	\$	(2,216)	266.99%
Net Cash for the Three Months Ended Sept. 30, 2019	\$	(5,558)	\$	5,530	\$	(11,088)	-200.51%
Het Oash for the Three Months Ended Sept. 30, 2019	Ψ	(3,330)	Ψ	3,330	Ψ	(11,000)	-200.31/0

Note #	Line Description	Explanation
1	Cash in Bank	Increase is due to unspent proceeds from the debt that was issued for the construction of a new medical facility at the Oaks Mall.
2	Buildings and Equipment	Increase is due to continued construction on new medical facility at the Oaks Mall through the end of FY19 and into FY20.
3	Bonds and Loans	Increase is due to the debt issued for the new medical facility at the Oaks Mall (\$30M).

Other Practice Plans

Notable Non-GAAP Assets & Liabilities (in thousands) As of September 30, 2019

	9	/30/2019	9/30/2018		\$ Variance		% Variance
Cash and Investments							
Cash in Bank (Note 1)	\$	42,862	\$	55,019	\$	(12,157)	-22.10%
Component Unit Short-Term Inv (Note 2)		239,864		214,710		25,154	11.72%
Total Cash and Investments	\$	282,726	\$	269,729	\$	12,997	4.82%
Accounts Receivable							
Patient Receivables	\$	13,879	\$	15,681	\$	(1,802)	-11.49%
Other Accounts Receivable		24,181		24,573		(392)	-1.60%
Total Accounts Receivable	\$	38,060	\$	40,254	\$	(2,194)	-5.45%
Buildings and Equipment	\$	28,274	\$	19,920	\$	8,354	41.94%
Accounts Payable	\$	8,104	\$	3,908	\$	4,196	107.37%
Bonds and Loans	\$	11,795	\$	3,655	\$	8,140	222.71%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Other Practice Plans

Notable Non-GAAP Receipts & Disbursements (in thousands) For the Three Months Ended September 30, 2019

	C	1 FY20	(Q1 FY19	\$ '	Variance	% Variance
Cash Receipts							
Patient Service Revenue	\$	72,489	\$	65,242	\$	7,247	11.11%
Investment Income		3,624		5,823		(2,199)	-37.76%
Sales of Goods & Services		1,318		732		586	80.05%
Total Cash Receipts	\$	77,431	\$	71,797	\$	5,634	7.85%
Transfers							
From Component Units							
Hospitals and Practice Plan	\$	25,352	\$	17,586	\$	7,766	44.16%
To University/Component Units		(48,872)		(44,372)		(4,500)	10.14%
Net Transfers	\$	(23,520)	\$	(26,786)	\$	3,266	-12.19%
Total Cash Receipts Net of Transfers	\$	53,911	\$	45,011	\$	8,900	19.77%
Operating Expense Disbursements							
Employee Compensation and Benefits							
Patient Services and Other Public Services	\$	27,679	\$	24,832	\$	2,847	11.47%
Total Employee Comp & Benefits	\$	27,679	\$	24,832	\$	2,847	11.47%
Other Operating Expense Disbursements							
Patient Services and Other Public Services	\$	16,648	\$	15,189	\$	1,459	9.61%
Total Other Operating Expense Disbursements	\$	16,648	\$	15,189	\$	1,459	9.61%
Total Operating Expense Disbursements	\$	44,327	\$	40,021	\$	4,306	10.76%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	9,584	\$	4,990	\$	4,594	92.06%
Other Receipts & Disbursements							
Equipment and Building Expenses	\$	(6,112)	\$	(1,447)	\$	(4,665)	322.39%
Debt Proceeds/Repayment	\$	3,789	\$	-	\$	3,789	0.00%
Change in Receivables and Payables	\$	950	\$	(621)	\$	1,571	-252.98%
Change in reconvasion and rayasion	Ψ		Ψ 	(021)	Ψ 	1,011	202.0070
Net Cash for the Three Months Ended Sept. 30, 2019	\$	8,211	\$	2,922	\$	5,289	181.01%

Note #	Line Description	Explanation
1	Cash in Bank	Decrease is due to the conversion of excess cash into the State's Special Purpose Investment Account (SPIA) by UF Jacksonville Physicians.
2	Component Unit Short-Term Inv	Increase is due to the conversion of excess cash into the State's Special Purpose Investment Account (SPIA) by UF Jacksonville Physicians, as well as reinvestment of investment earnings generated by UF Self-Insurance Program during FY19.

Shands Teaching Hospital and Clinics

Notable Non-GAAP Assets & Liabilities (in thousands)
As of September 30, 2019

	 9/30/2019		9/30/2018	\$ Variance		% Variance
Cash and Investments		'				
Cash in Bank	\$ 22,350	\$	19,244	\$	3,106	16.14%
Component Unit Short-Term Inv (Note 1)	30,277		93,083		(62,806)	-67.47%
Component Unit Long-Term Inv	636,033		620,660		15,373	2.48%
Total Cash and Investments	\$ 688,660	\$	732,987	\$	(44,327)	-6.05%
Accounts Receivable						
Patient Receivables (Note 2)	\$ 243,733	\$	220,564	\$	23,169	10.50%
Total Accounts Receivable	\$ 243,733	\$	220,564	\$	23,169	10.50%
Buildings and Equipment	\$ 1,083,408	\$	1,060,741	\$	22,667	2.14%
Accounts Payable	\$ 198,468	\$	194,665	\$	3,803	1.95%
Bonds and Loans	\$ 883,476	\$	862,518	\$	20,958	2.43%

<u>Note</u>: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Shands Teaching Hospital and Clinics Notable Non-GAAP Receipts & Disbursements (in thousands)

For the Three Months Ended September 30, 2019

	Q1 FY20		Q1 FY19		\$ Variance		% Variance
Cash Receipts							
State Appropriations	\$	2,350	\$	1,763	\$	587	33.30%
Patient Service Revenue (Note 3)		413,266		375,451		37,815	10.07%
Contributions/Donations		3,862		1,682		2,180	129.61%
Investment Income		4,031		11,259		(7,228)	-64.20%
Other Cash Receipts		12,439		10,980		1,459	13.29%
Total Cash Receipts	\$	435,948	\$	401,135	\$	34,813	8.68%
Transfers							
To University/Component Units	\$	(49,500)	\$	(49,201)	\$	(299)	0.61%
Net Transfers	\$	(49,500)	\$	(49,201)	\$	(299)	0.61%
Total Cash Receipts Net of Transfers	\$	386,448	\$	351,934	\$	34,514	9.81%
Operating Expense Disbursements							
Employee Compensation and Benefits							
Patient Services and Other Public Services	\$	188,098	\$	183,418	\$	4,680	2.55%
Total Employee Comp & Benefits	\$	188,098	\$	183,418	\$	4,680	2.55%
Other Operating Expense Disbursements							
Patient Services and Other Public Services	\$	163,203	\$	141,814	\$	21,389	15.08%
Total Other Operating Expense Disbursements (Note 4)	\$	163,203	\$	141,814	\$	21,389	15.08%
Total Operating Expense Disbursements	\$	351,301	\$	325,232	\$	26,069	8.02%
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	35,147	\$	26,702	\$	8,445	31.63%
Other Receipts & Disbursements							
Equipment and Building Expenses	\$	(25,858)	\$	(24,369)	\$	(1,489)	6.11%
Debt Proceeds/Repayment	\$	(1,866)	\$	(2,159)	\$	293	-13.57%
		, ,		, i			
Increase (Decrease) in Fair Value of Investments	\$	766	\$	422	\$	344	81.52%
Change in Receivables and Payables	\$	(4,628)	\$	(3,317)	\$	(1,311)	39.52%
Net Cash for the Three Months Ended Sept. 30, 2019	\$	3,561	\$	(2,721)	\$	6,282	-230.87%

Shands Teaching Hospital and Clinics

Note #	Line Description	Explanation
1	Component Unit Short-Term Inv	Decrease is due to converting short-term investments into long-term investments, as well as the liquidation of short-term investments to fund operating cash flow needs subsequent to Q1 FY19.
2	Patient Receivables	Increase due to increasing patient volumes. Total admissions, especially surgical, outpatient, and ER visits, are all up from the prior year.
3	Patient Service Revenue	Increase is due to the timing of receipt of State funding for Low Income Pool and Graduate Medical Education programs, which is typically received before the end of the fiscal year but was delayed into Q1 FY20 for the most recent payment.
4	Other Operating Expense disbursements	Increase in operating expense disbursements is due to growth in patient volumes requiring additional supplies to provide these services, as well as the timing of payment cycles to vendors.

Shands Jacksonville HealthCare

Notable Non-GAAP Assets & Liabilities (in thousands)
As of September 30, 2019

	 9/30/2019	9/30/2018		\$ Variance		% Variance
Cash and Investments						
Cash in Bank	\$ 30,847	\$	36,864	\$	(6,017)	-16.32%
Component Unit Short-Term Inv	24,248		25,629		(1,381)	-5.39%
Component Unit Long-Term Inv	 9,849		9,200		649	7.05%
Total Cash and Investments	\$ 64,944	\$	71,693	\$	(6,749)	-9.41%
Accounts Receivable						
Patient Receivables	\$ 120,699	\$	121,706	\$	(1,007)	-0.83%
Total Accounts Receivable	\$ 120,699	\$	121,706	\$	(1,007)	-0.83%
Buildings and Equipment	\$ 244,989	\$	260,667	\$	(15,678)	-6.01%
Accounts Payable	\$ 37,637	\$	44,841	\$	(7,204)	-16.07%
Bonds and Loans	\$ 234,987	\$	243,314	\$	(8,327)	-3.42%

Note: Excludes items related to Other Post-employment Benefits Liability, Pension Liability, and Compensated Absences Liability

Shands Jacksonville HealthCare

Notable Non-GAAP Receipts & Disbursements (in thousands) For the Three Months Ended September 30, 2019

	Q1 FY20 Q1 FY19		Q1 FY19	\$\	/ariance	% Variance
Cash Receipts						
Patient Service Revenue	\$ 156,172	\$	151,711	\$	4,461	2.94%
Contributions/Donations	-		-		-	0.00%
Investment Income	255		228		27	11.84%
Other Cash Receipts	4,642		4,549		93	2.04%
Total Cash Receipts	\$ 161,069	\$	156,488	\$	4,581	2.93%
Transfers						
To University/Component Units	\$ (20,103)	\$	(15,936)	\$	(4,167)	26.15%
Net Transfers	\$ (20,103)	\$	(15,936)	\$	(4,167)	26.15%
Total Cash Receipts Net of Transfers	\$ 140,966	\$	140,552	\$	414	0.29%
Operating Expense Disbursements						
Employee Compensation and Benefits						
Patient Services and Other Public Services	\$ 94,646	\$	93,879	\$	767	0.82%
Total Employee Comp & Benefits	\$ 94,646	\$	93,879	\$	767	0.82%
Other Operating Expense Disbursements						
Patient Services and Other Public Services	\$ 97,901	\$	96,221	\$	1,680	1.75%
Total Other Operating Expense Disbursements	\$ 97,901	\$	96,221	\$	1,680	1.75%
Total Operating Expense Disbursements	\$ 192,547	\$	190,100	\$	2,447	1.29%
Total Cash Receipts and Transfers Less						
Operating Expense Disbursements	\$ (51,581)	\$	(49,548)	\$	(2,033)	4.10%
Other Receipts & Disbursements						
Equipment and Building Expenses	\$ (3,355)	\$	(5,382)	\$	2,027	-37.66%
Debt Proceeds/Repayment	\$ (8,761)	\$	(1,189)	\$	(7,572)	636.84%
Change in Receivables and Payables	\$ (4,846)	\$	(5,053)	\$	207	-4.10%
Net Cash for the Three Months Ended Sept. 30, 2019	\$ (68,543)	\$	(61,172)	\$	(7,371)	12.05%

University of Florida Enterprise - Cash and Investments Reconciliation As of September 30, 2019

(amounts expressed in thousands)

		Generally Available	Conditional Availability	Not Available
	Total			
Cash Balance as of 6/30/2019	\$ 5,336,566	\$ 1,298,415	\$ 1,095,217	\$ 2,942,934
Net Cash for the Three Months Ended September 30, 2019	53,334	8,664	26,739	17,931
Cash Balance as of 9/30/2019	\$ 5,389,900	\$ 1,307,079	\$ 1,121,956	\$ 2,960,865

University of Florida Enterprise Cash Balances by Restriction as of September 30, 2019

		Generally Available	Conditional Availability	Not Available
	Total		•	
University of Florida	\$ 2,063,704	\$ 407,661	\$ 781,633	\$ 874,410
University of Florida Foundation	1,967,332	17,472	61,601	1,888,259
University Athletic Association	134,909	53,644	-	81,265
Other Direct Support Organizations				
Florida Foundation Seed Producers	16,344	-	16,344	-
Citrus Research & Development Foundation	3,448	3,448	•	-
Gator Boosters	2,725	2,233	•	492
University of Florida Development Corporation	2,503	2,503	-	-
Gatorcare Health Management Corporation	53,844	53,844	•	-
University of Florida Research Foundation	1,291	•	1,291	-
Florida Clinical Practice Plan	107,470	10,296	28,303	68,871
Other Practice Plans				
Faculty Associates	16,831	16,831	•	-
College of Pharmacy Practice Plan	101	101	•	-
UF Jacksonville Physicians	38,860	38,860	•	-
Veterinary Medicine Faculty Association	4,003	4,003	•	-
UF Self-Insurance / HealthCare Education Insurance	222,931	•	222,931	-
Shands Gainesville	688,660	641,092	•	47,568
Shands Jacksonville	64,944	55,091	9,853	-
Total UF Enterprise	\$ 5,389,900	\$ 1,307,079	\$ 1,121,956	\$ 2,960,865

180/608

UF EnterpriseNotes to Cash Balances by Restriction For the Three Months Ended September 30, 2019

Line Description	nount (in ousands)	Explanation
University of Florida	\$ 2,063,704	
Generally Available	\$ 407,661	Consists of State appropriations (\$189M), unrestricted student tuition and fees (\$130M), monies in the University's Strategic fund (\$35M), overhead charged to campus to fund support units (\$42M), and institutional investments (\$11M)
Conditional Availability	\$ 781,633	Consists primarily of amounts restricted for research from indirect cost recovery (\$308M), residual amounts on Contracts and Grants (\$78M), allocations of royalties from UF Research Foundation restricted for research and sponsored training (\$42M), and the UF strategic fund for research (\$19M); amounts in various auxiliary funds (\$199M); amounts held for infrastructure projects (\$48M); State appropriations for recruitment, program enhancement, and online programs (\$30M); and student fees for UF Online (\$8M).
Not Available	\$ 874,410	Consists primarily of construction monies, particularly for the Herbert Wertheim Laboratory (\$32M), the Vet Med Energy Service Contract (\$16M), the new parking garage fund (\$10M), and numerous other construction projects (\$86M); monies transferred from component units, including donor restricted amounts from UF Foundation (\$199M) and Practice Plans (\$32M) to pay clinical faculty salaries; investments held on behalf of component units (\$228M); financial aid (\$63M) and other amounts related to Contracts and Grants (\$76M); and student fees restricted for instructional technology (\$12M).
UF Foundation	\$ 1,967,332	
Generally Available	\$ 17,472	Consists of amounts on hand to fund general administration and operations of the UF Foundation.
Conditional Availability	\$ 61,601	Consists of temporarily restricted earnings on UF Foundation investments and non- endowed monies that have not yet been transferred to the University.
Not Available	\$ 1,888,259	Consists of permanently restricted endowed funds held by the UF Foundation as well as split-interest agreements intended to benefit endowment funds.

UF Enterprise

	Notes to	Cash Balances by Restriction
	For the Three	Months Ended September 30, 2019
University Athletic Association	\$ 134,909	
Generally Available	\$ 53,644	Consists of amounts on hand to fund general administration and operations of the University Athletic Association.
Not Available	\$ 81,265	Consists primarily of amounts on hand to fund construction projects (\$31M) and to satisfy debt covenants (\$32M). Also included are certain donor restricted funds (\$16M) and amounts on hand to satisfy contracts payable (\$2M).
Florida Foundation Seed Producers	\$ 16,344	
Conditional Availability	\$ 16,344	Consists of amounts on hand from sale of seeds that are restricted to IFAS departments for research.
Citrus Research and Development Foundation	\$ 3,448	
Generally Available	\$ 3,448	Consists of amounts on hand from State appropriations to Citrus Research and Development Foundation to carry out its mission of researching diseases impacting citrus crops.
Gator Boosters	\$ 2,725	
Generally Available	\$ 2,233	Consists of amounts on hand to fund general administration and operations of Gator Boosters.
Not Available	\$ 492	Consists of amounts on hand from donor contributions and restricted for various purposes as specified by the donors.
UF Development Corporation	\$ 2,503	
Generally Available	\$ 2,503	Consists of amounts on hand to fund general administration and operations of UF Development Corporation.
GatorCare Health Management Corporation	\$ 53,844	
Generally Available	\$ 53,844	Consists primarily of amounts held in reserve to fund current and future claims payable on behalf of participating organizations. Also includes amounts on hand to fund general administration and operations.
UF Research Foundation	\$ 1,291	

		IIE Enterprise
	Natas ta	UF Enterprise
		Cash Balances by Restriction Months Ended September 30, 2019
Conditional Availability	\$	Consists of amounts generated by the various intellectual properties related to the University and restricted for distribution to inventors, programs, and UF colleges and departments.
Florida Clinical Practice Association	\$ 107,470	
Generally Available	\$ 10,296	Consists of amounts on hand to fund general administration and operations of the Florida Clinical Practice Association.
Conditionally Available	\$ 28,303	Consists of the Florida Clinical Practice Association investment portfolio held in reserve for contingencies or strategic initiatives.
Not Available	\$ 68,871	Consists of amounts set aside and restricted for payroll funding (\$17M), employment contracts (\$15M), construction projects (\$20M), and to satisfy debt covenants (\$10M), as well as FCPA's joint venture investment in UF Health South Central (\$7M).
Faculty Associates	\$ 16,831	
Generally Available	\$ 16,831	Consists of amounts on hand to fund general administration and operations of Faculty Associates.
College of Pharmacy Practice Plan	\$ 101	
Generally Available	\$ 101	Consists of amounts on hand to fund general administration and operations of the College of Pharmacy Practice Plan.
UF Jacksonville Physicians	\$ 38,860	
Generally Available	\$ 38,860	Consists of amounts on hand to fund general administration and operations of UF Jacksonville Physicians.
Veterinary Medicine Faculty Association	\$ 4,003	
Generally Available	\$ 4,003	Consists of amounts on hand to fund general administration and operations of the Veterinary Medicine Faculty Association.
UF Self-Insurance / HealthCare	\$ 222,931	
Education Insurance	 ,	

			UF Enterprise Cash Balances by Restriction
	1	For the Three	Months Ended September 30, 2019
Conditional Availability	\$	222,931	Consists of amounts held by UF Self-Insurance and HealthCare Education Insurance programs in reserve for the payment of claims, losses, and loss adjustment expenses.
Shands Gainesville	\$	688,660	
Generally Available	\$	641,092	Consists primarily of amounts designated by the Shands Teaching Hospital Board of Directors for specific purposes, such as to support capital projects and other health programs (\$588M). Also includes amounts on hand to fund general administration and operations (\$53M).
Not Available	\$	47,568	Consists of amounts reserved to satisfy debt covenants.
Shands Jacksonville	\$	64,944	
Generally Available	\$	55,091	Consists primarily of amounts on hand to fund general administration and operations of Shands Jacksonville (\$35M) as well as amounts internally designated by the Shands Jacksonville Board of Directors for clinical support, education, research, and other health programs (\$20M).
Conditionally Available	\$	9,853	Consists of amounts on hand to satisfy debt covenants (\$10M).

184/608 5

University of Florida Enterprise - Analysis of Cash Receipts and Disbursements For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

		Generally Available	Conditional Availability	Not Available
	Total			
Cash Receipts				
Tuition and Fees	\$ 156,631	\$ 59,638	\$ 48,386	\$ 48,607
State Appropriations	204,326	171,385	11,645	21,296
Contracts and Grants	173,943	-	30,498	143,445
Federal and State Financial Aid	113,058	-	-	113,058
Patient Service Revenue	765,412	765,412	-	-
Contributions/Donations	42,525	9,992	18,299	14,234
Investment Income	23,233	18,813	3,681	739
Licensing and Royalties	20,672	4,921	15,751	-
Sales of Goods & Services	126,342	69,028	34,968	22,346
Other Cash Receipts	20,871	17,117	1,642	2,112
Total Cash Receipts	\$ 1,647,013	\$ 1,116,306	\$ 164,870	\$ 365,837
Transfers				
From Component Units				
Hospitals and Practice Plan	\$ 234,979	\$ 57,744	\$ 1,286	\$ 175,949
UF Foundation (Donor Restricted)	29,319	J7,744	Ψ 1,200	29,319
Other	16,892	3,541	- 11,270	2,081
To University/Component Units	(281,190)	(155,950)	(67,904)	(57,336)
Net Transfers	\$ -	\$ (94,665)	\$ (55,348)	\$ 150,013
Net Hallsleis	-	(34,003)	(33,340)	Ψ 130,013
Total Cash Receipts Net of Transfers	\$ 1,647,013	\$ 1,021,641	\$ 109,522	\$ 515,850
Operating Expense Disbursements				
Employee Compensation and Benefits	\$ 799,113	\$ 516,423	\$ 49,254	\$ 233,436
Employee compensation and Boneille	Ψ 733,110	Ψ 310,425	Ψ 45,254	ψ 255,450
Operating Expense disbursements	\$ 646,156	\$ 439,693	\$ 43,278	\$ 163,185
	·			
Total Operating Expense disbursements	\$ 1,445,269	\$ 956,116	\$ 92,532	\$ 396,621
Total Cash receipts and transfers less	004.744		40,000	440,000
Operating expense disbursements	\$ 201,744	\$ 65,525	\$ 16,990	\$ 119,229
Other Receipts & Disbursements				
Equipment and Building Expenses	\$ (98,356)	\$ (52,667)	\$ (3,599)	\$ (42,090)
Equipment and Building Expenses	\$ (96,330)	\$ (32,007)	\$ (3,399)	\$ (42,090)
Debt Proceeds/Repayment	\$ (10,829)	\$ (7,662)	\$ (1,126)	\$ (2,041)
Increase (Decrease) in Fair Value of Investments	\$ 23,414	\$ 16,240	\$ 44	\$ 7,130
Change in Receivables and Payables	\$ (62,639)	\$ (12,772)	\$ 14,430	\$ (64,297)
		•	A	
Net Cash for the Three Months Ended September 30, 2019	\$ 53,334	\$ 8,664	\$ 26,739	\$ 17,931

University of Florida - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	Ger	erally Availa	able		Conditional Availability					Not Available							
Total	State Appropriation	Tuition	Other	State Appropriation	Tuition	Research Restricted	Business Activities	Construction	Other	Tech Fees	Research Restricted	Business Activities	Donor Restricted	Student Financials	Construction	Other	Held on Behalf of Component Units
\$ 1,954,384		\$ 99,054	\$ 58,275		\$ 4,802	\$ 445,291	\$ 223,549	\$ 47,704	\$ -	\$ 10,231	\$ 60,391	\$ 94,052	\$ 198,001	\$ 50,601	\$ 143,024	\$ 101,492	\$ 221,823
109,320	21,953	31,034	30,216	2,031	2,751	1,388	24,962	190	-	2,257	15,619	13,935	1,113	12,637	1,096	(57,613)) 5,751
\$ 2,063,704	\$ 189,082	\$ 130,088	\$ 88,491	\$ 30,996	\$ 7,553	\$ 446,679	\$ 248,511	\$ 47,894	\$ -	\$ 12,488	\$ 76,010	\$ 107,987	\$ 199,114	\$ 63,238	\$ 144,120	\$ 43,879	\$ 227,574

University of Florida - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

		Gen	erally Availal	ole	Conditional Availability						Not Available							
	Total	State Appropriation	Tuition	Other	State Appropriation	Tuition	Research Restricted	Business Activities	Construction	Other	Tech Fees	Research Restricted	Business Activities	Donor Restricted	Student Financials	Construction	Other	Held on Behalf of Component Units
Cash Receipts																		
Tuition and Fees	156,631	-	58,538	1,100	-	4,007	-	44,379	-	-	3,431	-	6,881	-	29,266	-	9,029	-
State Appropriations	198,836	167,599	-	-	9,661	-	-	280	-	-	-	-	2,528	-	-	18,768	-	-
Contracts and Grants	173,943	-	-	-	-	-	30,475	23	-	-	-	142,669	286	-	490	-	-	-
Federal and State Financial Aid	113,058	-	-	-	-	-	-	-	-	-	-	-	-	-	113,058	-	-	-
Investment Income	13,140	-	-	12,451	-	-	-	4	190	-	-	5	-	-	284	206	-	-
Sales of Goods & Services	57,770	-	-	552	-	-	-	33,577	-	-	-	-	23,641	-	-	-	-	-
Other Cash Receipts	2,732	-	19	12	-	-	(2)	591	-	-	-	-	(2,804)	-	3,245	1,671	-	-
Total Cash Receipts	716,110	167,599	58,557	14,115	9,661	4,007	30,473	78,854	190	-	3,431	142,674	30,532	-	146,343	20,645	9,029	-
Transfers From Component Units Hospitals and Practice Plan UF Foundation (Donor Restricted) Other	177,235 29,319 12,108					-	- - 11,270	1,286 - -	-			- 1,331 -	175,949 - 248	- 25,371 -	- 2,617 590			
Within the University	-	(27,301)	93,825	9,940	(1,003)	-	(9,115)	(9,010)	-	-	-	5	(4,916)	(5,098)	(68,385)	21,637	(579)	-
Net Transfers	218,662	(27,301)	93,825	9,940	(1,003)	-	2,155	(7,724)	-	-	-	1,336	171,281	20,273	(65,178)	21,637	(579)	-
Total Cash Receipts Net of Transfers	934,772	140,298	152,382	24,055	8,658	4,007	32,628	71,130	190	-	3,431	144,010	201,813	20,273	81,165	42,282	8,450	-
Operating Expense Disbursements Employee Compensation and Benefits Operating Expense disbursements	469,441 245,340	60,789 44,861	121,347	4,786 4,823	5,594 859	1,256	18,040 10,990	24,193 22,272	-	-	618 488	66,463 56,418	161,244 19,370	11,213 7,245	- 68,338	-	(6,102) 9,676	-
Total Operating Expense disbursements	714,781	105,650	121,347	9,609	6,453	1,256	29,030	46,465	-		1,106	122,881	180,614	18,458	68,338	-	3,574	_
Total Operating Expense dispulsements	7 14,701	105,650	121,347	9,609	6,453	1,230	29,030	46,465	-	-	1,106	122,001	100,614	10,450	60,330	-	3,574	-
Total Cash receipts and transfers less Operating expense disbursements	219,991	34,648	31,035	14,446	2,205	2,751	3,598	24,665	190	-	2,325	21,129	21,199	1,815	12,827	42,282	4,876	-
Other Receipts & Disbursements Equipment and Building Expenses	(52,484)	(9,282)	-	(273)	(114)	-	(2,221)	(848)	-	-	(62)	(2,326)	(1,825)	(407)	-	(34,629)	(497)	-
Debt Proceeds/Repayment	(2,299)	(69)	-	(178)	-	-	-	(11)	-	-	-	(19)	(7)	33	-	(1,883)	(165)	-
Increase (Decrease) in Fair Value of Investments	3,977	-	-	16,127	-	-	-	-	-	-	-	-	-	-	-	-	(12,150)	-
Change in Receivables and Payables	(59,865)	(3,344)	(1)	94	(60)	-	11	1,156	-	-	(6)	(3,165)	(5,432)	(328)	(190)	(4,674)	(49,677)	5,751
Net Cash for the Three Months Ended September 30, 2019	109,320	21,953	31,034	30,216	2,031	2,751	1,388	24,962	190	-	2,257	15,619	13,935	1,113	12,637	1,096	(57,613)	5,751

University of Florida Foundation - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	Generally Available	Conditional Availability	Not Available
Total	Board Designated	Donor Related	Donor Related
\$ 1,946,409	\$ 10,423	\$ 66,258	\$ 1,869,728
20,923	7,049	(4,657)	18,531
\$ 1,967,332	\$ 17,472	\$ 61,601	\$ 1,888,259

University of Florida Foundation - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

			Generally Available	Conditional Availability	Not Available
		Total	Board Designated	Donor Related	Donor Related
Cash Receipts					
Contributions/Donations	\$	32,538	\$ 5	\$ 18,299	\$ 14,234
Investment Income		284	14	26	244
Total Cash Receipts	\$	32,822	\$ 19	\$ 18,325	\$ 14,478
Transfers					
From Component Units					
Hospitals and Practice Plan	\$	_	\$ -	\$ -	\$ -
UF Foundation (Donor Restricted)	\$	_	\$ -	\$ -	\$ -
Other	\$	_	\$ -	\$ -	\$ -
To University/Component Units	,	(29,319)	7,218	(36,537)	_
Net Transfers	\$	(29,319)		\$ (36,537)	\$ -
		, , ,			
Total Cash Receipts Net of Transfers	\$	3,503	\$ 7,237	\$ (18,212)	\$ 14,478
Operating Expense Disbursements					
Employee Compensation and Benefits	\$	4,245	\$ 4,245	\$ -	\$ -
Operating Expense disbursements	\$	4,706	\$ 2,903	\$ 153	\$ 1,650
Total Operating Expense disbursements	\$	8,951	\$ 7,148	\$ 153	\$ 1,650
Total Cash receipts and transfers less					
Operating expense disbursements	\$	(5,448)	\$ 89	\$ (18,365)	\$ 12,828
Other Receipts & Disbursements					
Equipment and Building Expenses	\$	631	\$ (137)	\$ 363	\$ 405
Debt Proceeds/Repayment	\$	(1,104)	\$ (100)	\$ (1,004)	-
	_	40.000	Φ.		
Increase (Decrease) in Fair Value of Investments	\$	19,320	\$ -	\$ 43	\$ 19,277
Change in Receivables and Payables	\$	7,524	\$ 7,197	\$ 14,306	\$ (13,979)
Change in Necelvanies and Fayables	φ	7,524	Ψ 7,197	Ψ 14,306	(13,979)
Net Cash for the Three Months Ended September 30, 2019	\$	20,923	\$ 7,049	\$ (4,657)	\$ 18,531
	Ţ	,5	, ,,,,,,	, (1,001)	,

University Athletic Association - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash	Ralanco	as of	6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	Generally	Conditional	Not Available							
	Available	Availability								
Total	General Admin & Operations	State Appropriation	Donor Restricted	Debt Covenants	Construction	Contracts Payable				
\$ 160,770	\$ 79,746	\$ -	\$ 8,272	\$ 31,506	\$ 37,712	\$ 3,534				
(25,861)	(26,102)	-	8,309	-	(6,773)	(1,295)				
\$ 134,909	\$ 53,644	\$ -	\$ 16,581	\$ 31,506	\$ 30,939	\$ 2,239				

University Athletic Association - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

		Generally Available	Conditional Availability	Not Available				
	Total	General Admin & Operations	State Appropriation	Donor Restricted	Debt Covenants	Construction	Contracts Payable	
Cash Receipts								
State Appropriations	\$ 1,704	\$ -	\$ 1,704	\$ -	\$ -	\$ -	\$ -	
Contribution/Donations	-	-	-	-	-	-	-	
Investment Income	732	732	-	-	-	-	-	
Licensing and Royalties	4,921	4,921	=	-	-	-	-	
Sales of Goods & Services	9,116	10,411	=	-	-	-	(1,295)	
Total Cash Receipts	\$ 16,473	\$ 16,064	\$ 1,704	-	\$ -	\$ -	\$ (1,295)	
Transfers								
From Component Units								
UF Foundation (Donor Restricted)	_	s -	\$ -	\$ -	\$ -	\$ -	\$ -	
Other	4,784	3,541	<u>-</u>	1,243	_	<u>-</u>	-	
To University/Component Units	(105)		_	.,2.0	_	_	-	
Net Transfers	\$ 4,679	,	\$ -	\$ 1,243	s -	\$ -	\$ -	
Not manorate	4,0.0	5,100	•	,,,,,,,	•	•	•	
Total Cash Receipts Net of Transfers	\$ 21,152	\$ 19,500	\$ 1,704	\$ 1,243	-	\$ -	\$ (1,295)	
Operating Expense Disbursements								
Employee Compensation and Benefits	14,043	\$ 14,043	¢	\$ -	\$ -	\$ -	\$ -	
Employee Compensation and Benefits	14,043	φ 14,043	5	φ -		-	5	
Operating Expense disbursements	27,920	\$ 26,216	\$ 1,704	\$ -	\$ -	\$ -	\$ -	
Total Operating Expense disbursements	\$ 41,963	\$ 40,259	\$ 1,704	\$ -	\$ -	\$ -	\$ -	
rotal operating Expense diseases.	11,000	¥ 10,200	.,	· ·	<u> </u>	*	· ·	
Total Cash receipts and transfers less								
Operating expense disbursements	\$ (20,811)	\$ (20,759)	\$ -	\$ 1,243	\$ -	\$ -	\$ (1,295)	
Other Bessints & Bishumasmants								
Other Receipts & Disbursements	r (4.200)	¢ (4.000)	Φ.	¢ 7,000	•	ф (C 772)	Φ.	
Equipment and Building Expenses	\$ (4,399)	\$ (4,692)	-	\$ 7,066	-	\$ (6,773)		
Debt Proceeds/Repayment	\$ (233)	\$ (233)	\$ -	\$ -	\$ -	\$ -	\$ -	
Change in Receivables and Payables	\$ (418)	\$ (418)	\$	\$ -	-	\$ -	\$ -	
Change in receivables and rayables				-	Ψ -			
Net Cash for the Three Months Ended September 30, 2019	\$ (25,861)	\$ (26,102)	\$ -	\$ 8,309	\$ -	\$ (6,773)	\$ (1,295)	

Generally

Conditional

Other Direct Support Organizations - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	Generally	Available	Conditional Availability	Not Available
Total	State Appropriations	General Admin & Operations	Research Restricted	Donor Restricted
\$ 68,874	\$ 4,044	\$ 50,044	\$ 14,297	\$ 489
11,281	(596)	8,536	3,338	3
\$ 80,155	\$ 3,448	\$ 58,580	\$ 17,635	\$ 492

Other Direct Support Organizations - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

				Generally	Α١	vailable	Conditional Availability	١	Not Available
		Total	Sta	ate Appropriations	G	General Admin & Operations	Research Restricted	[Donor Restricted
Cash Receipts									
State Appropriations	\$	1,436	\$	1,436	\$	-	\$ -	\$	-
Contracts and Grants		-		-		-	-		-
Contributions/Donations		6,125		520		5,605	-		-
Investment Income		430		31		332	67		-
Licensing and Royalties		15,751		_		-	15,751		=
Sales of Goods & Services		58,138		_		58,065	73		-
Other Cash Receipts		1,058		_		5	1,053		-
Total Cash Receipts	\$	82,938	\$	1,987	\$	64,007	\$ 16,944	\$	-
Transfers									
From Component Units									
Hospitals and Practice Plan	\$	-	\$	-	\$	-	\$ -	\$	-
UF Foundation (Donor Restricted)		-		-			-		-
Other		-		-		-	-		-
To University/Component Units		(16,787)		-		(5,312)	(11,475)		=
Net Transfers	\$	(16,787)	\$	-	\$	(5,312)	\$ (11,475)	\$	-
Total Cash Receipts Net of Transfers	\$	66,151	\$	1,987	\$	58,695	\$ 5,469	\$	-
Operating Expense Disbursements									
Employee Compensation and Benefits	\$	961	\$	112	\$	678	\$ 171	\$	-
Operating Expense disbursements	\$	55,095	\$	2,750	\$	49,777	\$ 2,568	\$	-
	_	55,000	*	_,,	•	,	_,000	•	
Total Operating Expense disbursements	\$	56,056	\$	2,862	\$	50,455	\$ 2,739	\$	-
Total Cash receipts and transfers less		40.005		(075)		0.040		l .	
Operating expense disbursements	\$	10,095	\$	(875)	\$	8,240	\$ 2,730	\$	-
Other Receipts & Disbursements									
Equipment and Building Expenses	\$	(779)	\$	_	\$	_	\$ (779)	\$	-
	•	(1.1)	_				()	Ť	
Increase (Decrease) in Fair Value of Investments	\$	275	\$	-	\$	271	\$ 1	\$	3
Change in Receivables and Payables	\$	1,690	\$	279	\$	25	\$ 1,386	\$	-
Net Oach for the Three Months 5 1 10 11 1 20 2020	_	44.001	_	(20.0)	_	0.500		Ļ	
Net Cash for the Three Months Ended September 30, 2019	\$	11,281	\$	(596)	\$	8,536	\$ 3,338	\$	3

Florida Clinical Practice Plan - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	Generally Available	Conditional Availability		Not Available									
				Investment in UF									
	General Admin &			Health South			Employment						
Total	Operations	Other	Debt Covenant	Central, LLC	Construction	Medicaid	Contracts	Payroll Funding					
\$ 113,028	\$ 13,298	\$ 27,817	\$ 10,000	\$ 6,855	\$ 23,058	\$ -	\$ 15,000	\$ 17,000					
(5,558)	(3,002)	486	-	-	(3,042)	-	-	-					
\$ 107,470	\$ 10,296	\$ 28,303	\$ 10,000	\$ 6,855	\$ 20,016	\$ -	\$ 15,000	\$ 17,000					

Florida Clinical Practice Plan - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

			Generally	Conditional	Not Available						
			Available	Availability		I Investment in UF	1	T	1	1	
			General Admin &			Health South			Employment		
	Tot	tal	Operations	Other	Debt Covenant	Central, LLC	Construction	Medicaid	Contracts	Payroll Funding	
Cash Receipts											
Patient Service Revenue	\$	123,485									
Investment Income		737	737								
Total Cash Receipts	\$	124,222	\$ 124,222	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	
Tourselow											
Transfers From Component Units											
Hospitals and Practice Plan	s	32,392	\$ 32,392								
To University/Component Units	Ψ	(116,504)	(116,504)								
Net Transfers	\$	(84,112)		\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	
Total Cash Receipts Net of Transfers	\$	40,110	\$ 40,110	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	
Operating Expense Disbursements	•		•	•	•	•	•	•	•	•	
Employee Compensation and Benefits	\$	-	5 -	\$ -	\$ -	-	\$ -	\$ -	\$ -	\$ -	
Operating Expense disbursements	s	35,343	\$ 35,343	\$ -	\$ -	s -	s -	\$ -	- \$	\$ -	
	*	,	*	*	•	Ť	Ť	,	*	*	
Total Operating Expense disbursements	\$	35,343	\$ 35,343	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	
Total Cash receipts and transfers less								_			
Operating expense disbursements	\$	4,767	\$ 4,767	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	
Other Receipts & Disbursements											
Equipment and Building Expenses	¢	(6,000)	\$ (2,958)				\$ (3,042)				
Equipment and Building Expenses	Ψ	(0,000)	ψ (2,000)				ψ (0,042)				
Debt Proceeds/Repayment	\$	(355)	\$ (355)								
•		` '									
Increase (Decrease) in Fair Value of Investments	\$	(924)	\$ (924)								
Observation Breakfully and Breakfully		(0.040)	0.500								
Change in Receivables and Payables	\$	(3,046)	\$ (3,532)	\$ 486							
Net Cash for the Three Months Ended September 30, 2019	\$	(5,558)	\$ (3,002)	\$ 486	\$ -	\$ -	\$ (3,042)	\$ -	s -	\$ -	
not out not the fines months Linded Deptember 30, 2013	Ψ	(5,550)	(3,002)	₩ 400	*	•	(3,042)	•	Ψ -	Ψ	

Other Practice Plans - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	Generally Available	Conditional Availability			
Total	General Admin & Operations	Self-Insurance Restricted			
\$ 274,515	\$ 50,671	\$	223,844		
8,211	9,124		(913)		
\$ 282,726	\$ 59,795	\$	222,931		

Other Practice Plans - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

Cash Receipts Patient Service Revenue Contributions/Donations Investment Income Sales of Goods & Services Total Cash Receipts
Transfers From Component Units Hospitals and Practice Plan To University/Component Units Net Transfers
Total Cash Receipts Net of Transfers
Operating Expense Disbursements Employee Compensation and Benefits
Operating Expense disbursements
Total Operating Expense disbursements
Total Cash receipts and transfers less Operating expense disbursements
Other Receipts & Disbursements Equipment and Building Expenses
Debt Proceeds/Repayment
Change in Receivables and Payables

Net Cash for the Three Months Ended September 30, 2019

		Generally Available		Conditional Availability
Total		General Admin & Operations		Self-Insurance Restricted
\$ 72,489	\$	72,489	\$	-
3,624 1,318	3	230		3,394 1,318
\$ 77,43	_	72,719	\$	4,712
\$ 25,35	2 \$	25,352	\$	
(48,872		(48,108)	Ψ	(764)
\$ (23,520			\$	(764) (764)
		, , ,		` .
\$ 53,91	\$	49,963	\$	3,948
\$ 27,679	9 \$	27,679	\$	-
\$ 16,64			\$	4,732
\$ 44,32	7 \$	39,595	\$	4,732
\$ 9,58	ı \$	10,368	\$	(784)
\$ (6,112		,	\$	-
\$ 3,789	\$	3,789	\$	-
\$ 950	\$	1,079	\$	(129)
\$ 8,21	\$	9,124	\$	(913)

Shands Teaching Hospital and Clinics - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	Generally	Available	Not Available					
Total	General Admin & Operations	Board Designated	Debt Reserves	Construction				
\$ 685,099	\$ 59,815	\$ 585,119	\$ 40,165	\$ -				
3,561	(7,188)			-				
\$ 688,660	\$ 52,627	\$ 588,465	\$ 47,568	\$ -				

Shands Teaching Hospital and Clinics - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

			Generally Available			vailable	Not Available		
		Total	G	eneral Admin & Operations	Во	oard Designated	Debt Reserves	Construction	
Cash Receipts State Appropriations	\$	2,350	\$	2,350			\$	\$ -	
Patient Service Revenue	Ψ	413,266	Ψ	413,266			φ -	ψ - -	
Contributions/Donations		3,862		3,862			-	-	
Investment Income		4,031		685		3,346	-	-	
Other Cash Receipts		12,439	_	12,439		0.040	-	-	
Total Cash Receipts	\$	435,948	\$	432,602	\$	3,346	\$ -	\$ -	
Transfers									
To University/Component Units	\$	(49,500)	\$	(49,500)	\$	_	\$ -	\$ -	
Net Transfers	\$	(49,500)		(49,500)		-	\$ -	\$ -	
Total Cash Receipts Net of Transfers	\$	386,448	\$	383,102	\$	3,346	\$ -	\$ -	
Operating Expense Disbursements									
Employee Compensation and Benefits	\$	188,098	\$	188,098	\$	-	\$ -	\$ -	
Operating Expense disbursements	\$	163,203	\$	163,203	\$	-	\$ -	\$ -	
Total Operating Expense Disbursements	\$	351,301	\$	351,301	\$	-	\$ -	\$ -	
Total Cash receipts and transfers less Operating expense disbursements	\$	35,147	\$	31,801	\$	3,346	\$ -	\$ -	
Choramid aybanca manancamania		23,111	Ť	0.,001	<u> </u>	3,010	¥	T	
Other Receipts & Disbursements									
Equipment and Building Expenses	\$	(25,858)	\$	(25,858)	\$	-	\$ -	\$ -	
Debt Proceeds/Repayment	\$	(1,866)	\$	(1,866)	\$	_	\$ -	\$ -	
· · · · · · · · · · · · · · · ·	Ť	(1,222)	•	(1,222)	T		•	*	
Increase (Decrease) in Fair Value of Investments	\$	766	\$	766	\$	-	\$ -	\$ -	
Change in Receivables and Payables	\$	(4,628)	\$	(12,031)	\$	-	\$ 7,403	\$ -	
Net Cash for the Three Months Ended September 30, 2019	\$	3,561	\$	(7,188)	\$	3,346	\$ 7,403	\$ -	

Shands Jacksonville HealthCare - Cash and Investments Reconciliation

As of September 30, 2019

(amounts expressed in thousands)

Cash Balance as of 6/30/2019

Net Cash for the Three Months Ended September 30, 2019

Cash Balance as of 9/30/2019

	G	enerally Availab	Conditional Availability			
Total	General Admin & Operations	Board Designated	Capital Expenditures	Construction	Debt	
\$ 133,487	\$ 101,297	\$ 19,500	\$ -	\$ 2,730	\$ 9,960	
(68,543)	(65,706)	-	•	(2,726)	(111)	
\$ 64,944	\$ 35,591	\$ 19,500	\$ -	\$ 4	\$ 9,849	

200/608 21

Shands Jacksonville HealthCare - Analysis of Cash Receipts and Disbursements

For the Three Months Ended September 30, 2019

(amounts expressed in thousands)

		G	enerally Availab	le	Conditional Availability		
	Total	General Admin & Operations	Board Designated	Capital Expenditures	Construction	Debt	
Cash Receipts Patient Service Revenue Contributions/Donations	\$ 156,172	\$ 156,172	\$ -	\$ -	\$ -	\$ -	
Investment Income Other Cash Receipts	255 4,642	255 4,642	-	-	-	-	
Total Cash Receipts	\$ 161,069		\$ -	\$ -	\$ -	\$ -	
Transfers To University/Component Units	\$ (20,103)	\$ (20,103)	\$ -	\$ -	\$ -	\$ -	
Net Transfers	\$ (20,103)			\$ -	\$ -	\$ -	
Total Cash Receipts Net of Transfers	\$ 140,966	\$ 140,966	\$ -	\$ -	\$ -	\$ -	
Operating Expense Disbursements Employee Compensation and Benefits	\$ 94,646	\$ 94,646	\$ -	\$ -	\$ -	\$ -	
Operating Expense disbursements	\$ 97,901	\$ 97,901	-	\$ -	\$ -	-	
Total Operating Expense disbursements	\$ 192,547	\$ 192,547	\$ -	\$ -	\$ -	\$ -	
Total Cash receipts and transfers less Operating expense disbursements	\$ (51,581)	\$ (51,581)	\$ -	\$ -	\$ -	\$ -	
Other Receipts & Disbursements Equipment and Building Expenses	\$ (3,355)	\$ (3,355)	\$ -	\$ -	\$ -	\$ -	
Debt Proceeds/Repayment	\$ (8,761)	\$ (8,650)	\$ -	\$ -	\$ -	\$ (111)	
Change in Receivables and Payables	\$ (4,846)	\$ (2,120)	\$ -	\$ -	\$ (2,726)	\$ -	
Net Cash for the Three Months Ended September 30, 2019	\$ (68,543)	\$ (65,706)	\$ -	\$ -	\$ (2,726)	\$ (111)	

201/608 22

University of Florida

Budget to Actual (in thousands)

	Actual		Budget	\$	Variance	% Variance
Cash Receipts						
Tuition and Fees	\$ 156,631	\$	150,394	\$	6,237	4.15%
State Appropriations (Note 1)	198,836		179,627		19,209	10.69%
Contracts and Grants	173,943		172,403		1,540	0.89%
Federal and State Financial Aid (Note 2)	113,058		98,880		14,178	14.34%
Investment Income	13,140		21,284		(8,144)	-38.26%
Sales of Goods & Services	57,770		50,360		7,410	14.71%
Other Cash Receipts	2,732		2,696		36	1.34%
Total Cash Receipts	\$ 716,110	\$	675,644	\$	40,466	5.99%
Transfers						
From Component Units						
Hospitals and Practice Plan	\$ 177,235	\$	163,029	\$	14,206	8.71%
UF Foundation (Donor Restricted)	29,319		23,400		5,919	25.29%
Other	 12,108		19,910		(7,802)	-39.19%
Net Transfers	\$ 218,662	\$	206,339	\$	12,323	5.97%
Total Cash Receipts Net of Transfers	\$ 934,772	\$	881,983	\$	52,789	5.99%
Operating Expense Disbursements						
Employee Comp & Benefits	\$ 469,441	\$	458,375	\$	11,066	2.41%
Other Operating Expense Disbursements	\$ 245,340	•	249,591	•	(4,251)	-1.70%
Total Operating Expense Disbursements	\$ 714,781	\$	707,966	\$	6,815	0.96%
Total Cash Receipts and Transfers Less						
Operating Expense Disbursements	\$ 219,991	\$	174,017	\$	45,974	26.42%

University of Florida Foundation

Budget to Actual (in thousands)

	Actual	Budget	\$ Variance	% Variance
Cash Receipts				
Contributions/Donations	\$ 32,538	\$ 23,391	\$ 9,147	39.10%
Investment Income	284	24,367	(24,083)	-98.83%
Other Cash Receipts	-			0.00%
Total Cash Receipts	\$ 32,822	\$ 47,758	\$ (14,936)	-31.27%
Transfers				
To University/Component Units	(29,319)	(33,695)	4,376	-12.99%
Net Transfers	\$ (29,319)	\$ (33,695)	\$ 4,376	-12.99%
Total Cash Receipts Net of Transfers	\$ 3,503	\$ 14,063	\$ (10,560)	-75.09%
Operating Expense Disbursements				
Employee Comp & Benefits	\$ 4,245	\$ 3,631	\$ 614	16.91%
Other Operating Expense Disbursements	 4,706	8,458	(3,752)	-44.36%
Total Operating Expense Disbursements	\$ 8,951	\$ 12,089	\$ (3,138)	-25.96%
Total Cash Receipts and Transfers Less				
Operating Expense Disbursements	\$ (5,448)	\$ 1,974	\$ (7,422)	-375.99%

University Athletic Association

Budget to Actual (in thousands)

	A	ctual	В	udget	\$ Variance		% Variance
Cash Receipts							
State Appropriations	\$	1,704	\$	1,248	\$	456	36.51%
Contributions/Donations		-				-	0.00%
Investment Income		732		163		570	350.46%
Licensing and Royalties (Note 3)		4,921		15,911		(10,990)	-69.07%
Sales of Goods & Services		9,116		8,776		340	3.87%
Other Cash Receipts		<u>-</u>		110		(110)	-100.00%
Total Cash Receipts	\$	16,473	\$	26,208	\$	(9,735)	-37.15%
Transfers							
From Component Units							
UF Foundation (Donor Restricted)	\$	-	\$	-	\$	-	0.00%
Other		4,784		8,193		(3,409)	-41.61%
To University/Component Units		(105)				(105)	0.00%
Net Transfers	\$	4,679	\$	8,193	\$	(3,514)	-42.89%
Total Cash Receipts Net of Transfers	\$	21,152	\$	34,402	\$	(13,250)	-38.51%
Operating Expense Disbursements							
Employee Comp & Benefits	\$	14,043	\$	15,579	\$	(1,536)	-9.86%
Other Operating Expense Disbursements (Note 4)	Ψ	27,920	Ψ	16,225	Ψ	11,695	72.08%
Total Operating Expense Disbursements	\$	41,963	\$	31,804	\$	10,159	31.94%
		,		,		,	
Total Cash Receipts and Transfers Less							
Operating Expense Disbursements	\$	(20,811)	\$	2,597	\$	(23,408)	-901.28%

Other Direct Support Organizations

Budget to Actual (in thousands)

	Actual	Budget	\$ Variance	% Variance
Cash Receipts			_	
State Appropriations	\$ 1,436	\$ 2,000	\$ (564)	-28.20%
Contracts and Grants	-	-	-	0.00%
Contributions/Donations	6,125	4,110	2,015	49.03%
Investment Income	430	103	328	319.51%
Licensing and Royalties	15,751	15,375	376	2.45%
Sales of Goods & Services (Note 5)	58,138	1,294	56,844	4393.61%
Other Cash Receipts	 1,058	 5	1,053	22775.68%
Total Cash Receipts	\$ 82,938	\$ 22,886	\$ 60,052	262.40%
Transfers				
From Component Units				
UF Foundation (Donor Restricted)			-	0.00%
To University/Component Units	\$ (16,787)	\$ (19,645)	\$ 2,858	-14.55%
Net Transfers	\$ (16,787)	\$ (19,645)	\$ 2,858	-14.55%
Total Cash Receipts Net of Transfers	\$ 66,151	\$ 3,241	\$ 62,910	1940.80%
Operating Expense Disbursements				
Employee Comp & Benefits	\$ 961	\$ 1,088	\$ (127)	-11.65%
Other Operating Expense Disbursements (Note 6)	\$ 55,095	\$ 7,162	\$ 47,933	669.24%
Total Operating Expense Disbursements	\$ 56,056	\$ 8,250	\$ 47,806	579.46%
Total Cash Receipts and Transfers Less				
Operating Expense Disbursements	\$ 10,095	\$ (5,009)	\$ 15,104	-301.55%

Florida Clinical Practice Plan

Budget to Actual (in thousands)

	Actual	Budget	\$ Variance	% Variance
Cash Receipts			_	
Patient Service Revenue	\$ 123,485	\$ 131,519	\$ (8,034)	-6.11%
Investment Income	737	430	307	71.24%
Other Cash Receipts	-		-	0.00%
Total Cash Receipts	\$ 124,222	\$ 131,949	\$ (7,727)	-5.86%
Transfers				
From Component Units				
Hospitals and Practice Plan	\$ 32,392	\$ 42,000	\$ (9,608)	-22.88%
To University/Component Units	 (116,504)	 (117,895)	1,391	-1.18%
Net Transfers	\$ (84,112)	\$ (75,895)	\$ (8,217)	10.83%
Total Cash Receipts Net of Transfers	\$ 40,110	\$ 56,054	\$ (15,944)	-28.44%
Operating Expense Disbursements				
Employee Comp & Benefits	\$ -		\$ -	0.00%
Other Operating Expense Disbursements	35,343	41,041	(5,698)	-13.88%
Total Operating Expense Disbursements	\$ 35,343	\$ 41,041	\$ (5,698)	-13.88%
Total Cash Receipts and Transfers Less				
Operating Expense Disbursements	\$ 4,767	\$ 15,013	\$ (10,246)	-68.25%

Other Practice Plans

Budget to Actual (in thousands) For the Three Months Ended September 30, 2019

	Actual	Budget	\$ \	/ariance	% Variance
Cash Receipts					
Patient Service Revenue	\$ 72,489	\$ 78,637	\$	(6,148)	-7.82%
Contributions/Donations	-	-		-	0.00%
Investment Income	3,624	6,140		(2,516)	-40.97%
Sales of Goods & Services	 1,318	 781		537	68.69%
Total Cash Receipts	\$ 77,431	\$ 85,558	\$	(8,127)	-9.50%
Transfers					
From Component Units					
Hospitals and Practice Plan (Note 7)	\$ 25,352	\$ 11,076	\$	14,276	128.89%
To University/Component Units	 (48,872)	(45,086)		(3,786)	8.40%
Net Transfers	\$ (23,520)	\$ (34,010)	\$	10,490	-30.84%
Total Cash Receipts Net of Transfers	\$ 53,911	\$ 51,548	\$	2,363	4.58%
Operating Expense Disbursements					
Employee Comp & Benefits	\$ 27,679	\$ 32,735	\$	(5,056)	-15.44%
Other Operating Expense Disbursements	\$ 16,648	\$ 16,381	\$	268	1.63%
Total Operating Expense Disbursements	\$ 44,327	\$ 49,115	\$	(4,788)	-9.75%
Total Cash Receipts and Transfers Less					
Operating Expense Disbursements	\$ 9,584	\$ 2,433	\$	7,151	293.88%

Shands Teaching Hospital and Clinics

Budget to Actual (in thousands)

	Actual	Budget	 S Variance	% Variance
Cash Receipts			_	
State Appropriations	\$ 2,350	\$ 1,763	\$ 587	33.30%
Patient Service Revenue	413,266	414,850	(1,584)	-0.38%
Contributions/Donations	3,862	865	2,997	346.47%
Investment Income	4,031	3,254	777	23.88%
Other Cash Receipts	12,439	8,064	4,375	54.25%
Total Cash Receipts	\$ 435,948	\$ 428,796	\$ 7,152	1.67%
Transfers				
To University/Component Units	\$ (49,500)	\$ (47,413)	\$ (2,087)	4.40%
Net Transfers	\$ (49,500)	\$ (47,413)	\$ (2,087)	4.40%
	 	,		
Total Cash Receipts Net of Transfers	\$ 386,448	\$ 381,383	\$ 5,065	1.33%
Operating Expense Disbursements				
Employee Comp & Benefits	\$ 188,098	\$ 183,068	\$ 5,030	2.75%
Other Operating Expense Disbursements	163,203	154,908	 8,295	5.35%
Total Operating Expense Disbursements	\$ 351,301	\$ 337,976	\$ 13,325	3.94%
Total Cash Receipts and Transfers Less				
Operating Expense Disbursements	\$ 35,147	\$ 43,407	\$ (8,260)	-19.03%

Shands Jacksonville HealthCare

Budget to Actual (in thousands)

	Actual		Budget	 Variance	% Variance
Cash Receipts					
Patient Service Revenue	\$ 156,172	\$	165,698	\$ (9,526)	-5.75%
Contributions/Donations	-			-	0.00%
Investment Income	255		254	1	0.24%
Other Cash Receipts	 4,642		2,469	2,173	88.01%
Total Cash Receipts	\$ 161,069	\$	168,421	\$ (7,352)	-4.37%
		'			
Transfers					
To University/Component Units	\$ (20,103)	\$	(19,526)	\$ (578)	2.96%
Net Transfers	\$ (20,103)	\$	(19,526)	\$ (578)	2.96%
Total Cash Receipts Net of Transfers	\$ 140,966	\$	148,896	\$ (7,930)	-5.33%
Operating Expense Disbursements					
Employee Comp & Benefits	\$ 94,646	\$	94,830	\$ (184)	-0.19%
Other Operating Expense Disbursements	97,901		96,270	1,631	1.69%
Total Operating Expense Disbursements	\$ 192,547	\$	191,100	\$ 1,447	0.76%
Total Cash Receipts and Transfers Less					
Operating Expense Disbursements	\$ (51,581)	\$	(42,204)	\$ (9,377)	22.22%

University of Florida

Budget to Actual Notes

Note #	Line Description	Explanation
1	UF - State Appropriations	Actuals include fixed capital allocations
2	UF - Fed/State Financial Aid	Additional funding for Bright Futures, Florida Incentive Scholarships, and Pell Grants due to more students qualifying for these scholarships
3	UAA - Licensing and Royalties	Increased revenues occur later in the year
4	UAA - Other Operating Expenses	Payments to certain suppliers were made earlier in FY20 than they were in the prior year
5	Other DSOs - Sales of Goods/Services	Employer contributions reported by GatorCare are not included in their budget
6	Other DSOs - Other Operating Expenses	Claim expenses reported by GatorCare are not included in their budget
7	Other Practice Plans - Transfers In	Repayment of short-term loan between UF Jax Physicians and Shands Jax

				Total							
18979	Construction Project		_	•					Accellable Cook	Data and COT	
1.781.000 1.78	017010	•				•	•	•		-	No
March											
March Marc			,,	, -,	,		- , -	,,-	. ,		(1)
1987/24 0064; Wymer lests Union Auditorum Ricroratem 2,186,474 1,256,072 42,122 2,871,284 340,289 2,092,474 344,280 CIP											(±)
MRINGS 0799- Stroton - Green - Experimental tau Office Remodel 2,90,558 1,94,777 1,1441 1,96,178 2,38,737 2,18,556 238,737 1,0461 1,96,178 2,38,737 1,0461 1,96,178 2,38,737 1,0461 1,96,178 2,38,737 1,0461 1,96,178 2,38,737 1,0461 1,96,178 2,38,737 1,0461 1,96,178		=							,		
## Westernary Medician and PWC Sulfage 218 Recovation 2,307,333 7,127 0 71,027 2,356,068 150,000 73,577 636 70,000 73,577 636 70,000 73,000									,		
MPMS070 OSS - MacKinghi - LS-136 ACS Equipment Upgrades 2,025,423 0 17,960 20,0748 197,169 80,000 Analysy MPMS077 OSS - MacKinghi - LS-136 ACS Equipment Upgrades 2,258,867 1,712,468 1,712,474 1,774 1,							,				
MOMEDICATION 1978 STURION Gener - Second Floor Remodel 2,286,287 171,1486 446,566 2,186,1347 13,1053 12,286,387 130,583 12,286,387 130,587 130					17,960						
1700021 Norman Infile Reubilitation and College of Education Center Addition 34,146,444 21,77,131 6,991,151 28,163,289 29,325,004 1,389,221 PECC 1,390,207	MP04627	- ' ' ' ' ' '		1,721,498						-	
1700021 Norman Infile Reubilitation and College of Education Center Addition 34,146,444 21,77,131 6,991,151 28,163,289 29,325,004 1,389,221 PECC 1,390,207	JF000212	Newell Hall Renovation	18,821,119	18,564,944	13,783	18,578,727	242,393	18,836,954	258,228	Auxiliary, Investment Earnings	
197000022 19700022 19700022	JF000221	Norman Hall Rehabilitation and College of Education Center Addition	34,146,444	21,172,131		28,163,283	5,983,162	29,532,504	1,369,221	PECO	
	JF000357	Institute on Aging/Clinical Translational Research Building	45,657,396	44,738,023	0	44,738,023	919,373	45,302,074	564,051	C&G, CTRB Bond	
	JF000368	Reitz Union Expansion and Renovation	77,171,773	77,126,326	21,233	77,147,559	24,214	78,064,229	916,670	CITF, Reitz Union Expansion Bond	
URODADE Lacy Rabor Plant - Steam Boller Addition 9,931,344 8,788,367 0 8,788,367 1,195,053 750,000 1,195,053 750,000 1,195,053 750,000 1,195,053	JF000392	Stephan O'Connell Center Renovation and Addition	69,776,866	69,693,228	7,300	69,700,528	76,339	69,701,178	650	Auxiliary, Investment Earnings	
Herbest Wetherhein Laboratory for Engineering Excellence 7,31,55,12 32,65,1348 32,66,1348 32,60,318 31,60,3388 7,565,128 34,069,014 PCCO, Private Densitors 1,000,000	JF000394	Middle and High School Expansion for PK Yonge	26,094,155	1,260,307	71,198	1,331,505	24,762,650	1,711,692	380,187	PECO	
URIO0063 CRC Addition and Renovation 10.379.926 10.000.938 32.556 10.005.486 344.440 10.729.925 693.440 CITF URIO00607 URIO00607 URIO00608 Parking Grage XV URIO00608 Parking Grage XV URIO00609 Parking Grage XV URIO00609 Parking Grage XV URIO00619 URi	JF000402	Lacy Rabon Plant - Steam Boiler Addition	9,933,434	8,738,367	0	8,738,367	1,195,067	9,937,919	1,199,553	PECO	
Information HUB, Phase II	JF000461	Herbert Wertheim Laboratory for Engineering Excellence	71,316,512	32,661,548		37,626,113					
18-00068	JF000603	CRC Addition and Renovation	10,379,926	10,002,930	32,556	10,035,486	344,440	10,729,926	694,440	CITF	
		·							,		
		9 9		, ,					,	•	
MFAORECONDEST MFAS Bee Unit Facility 1,000 1,0					,						
UR1000621 UF Veterinary Hospital and CVM Espansion	JF000619	IBC and IHLC New Facility									
VeMode Plant ESCO VeMode Plant Stullities infrastructure 10,744,37 5,366,620 25,0489 5,671,059 5,6		•			,		,		,		(2)
December											
IFMODRE2 IFMODRE2 Application 1.00											
		=:									
DECENSION Chemistry/Chemical Biology Building 25,500,000 0 0 05,576,762 0 05,576,762 0 05,576,762 0 05,576,762 0 0 05,000 0 0 0 0 0 0 0 0 0		, 9							,		(3)
UF-200 University Public Safety Building		· -								_	
FAM FAM Special Collections Bullding 8,000,000 35,168 0 85,168 7,964,832 126,300 91,132 Investment Farnings UF-620 Data Science and Information Technology Building 135,003,000 30,306 0 30,306 134,972,694 380,000 50,000 146,0					-						(4)
UF-626 New University House 73,726 0 64,045 64,045 9,681 73,726 9,681 Private Donations UF-632 Data Science and information Technology Building 13,003,000 30,000 0 0 0 26,000,000 50,000 50,000 10				-	-	-				_	
UF-632										_	
UF-638		•	•		,				,		
UF-639 UF Architecture Bidg 0286 Exterior Envelope Repair 5,000,000 0 108 108 4,999,822 30,000 299,822 EAG UF-640 IFAS Blueberry Research Facility Upgrades 4,294,450 0 0 0 42,44,450 450,000 329,797 Auxiliary UF-641 SW Campus Transportation Improvements 9,200,000 0 227 227 9,199,773 792,000 791,773 Auxiliary UF-642 SW Campus Transportation Improvements 9,200,000 112 125 237 6,399,763 98,850 398,263 Auxiliary UF-644 Reitz Union Lawn - Inner Road Thermal Infrastructure Improvements 30,000,000 112 115 239 6,399,763 98,850 398,263 Auxiliary UF-644 Reitz Union Lawn - Inner Road Thermal Infrastructure Improvements 30,000,000 14,864,061 10 1,8864,061 135,399 15,000,000 135,539 UAA Auxiliary UAA-43 Katie Seabcell Pressly Softball Stadium Renovation 65,000,000 14,861,969 3,969,333 18,591,002 46,408,698 65,000,000 46,08,698 UAA-41 Florida Ballpark Baseball Stadium 65,000,000 14,437,494 48 14,37,797 3,082,203 45,200,000 3,082,203 UAA UF-91 - Wildlight Ambulatory Medical Services Building 30,113,901 5,739,957 5,565,578 11,305,535 18,808,366 30,000,000 18,694,465 UFPI - Wildlight Ambulatory Medical Services Building 30,113,901 5,739,957 5,565,578 11,305,535 18,808,366 30,000,000 18,694,465 UFPI - Wildlight Ambulatory Medical Services Building 33,113,901 5,739,957 5,565,578 11,305,535 18,808,366 30,000,000 18,694,465 UFPI - Wildlight Ambulatory Medical Services Building 33,955,374 817,976 1,884,396 2,702,372 31,253,002 33,955,374 817,950 24,855,675 65,592,833 31,553,002 33,955,374 31,253,002 UF Health Shands Gainesville Tiel Movement Disorders Clinic Building (Williston Road) 9,000,000 1,556,434 1,545,368 17 (Free Mount of Mountain Composition (Multiple floors - UF Health Shands Hospital) 24,600,000 1,556,434 1,545,368 17 (Free Mountain Composition (Multiple floors - UF Health Shands Hospital) 17,500,000 1,4864,661 1,350,000 1,4864,661 13,350,000 1,4864,661 13,350,000 1,4864,661 13,350,000 1,4864,661 13,350,000 1,4864,661 13,350,000 1,4864,661 13,350,000 1,4864,661 13,350,000 1,4864,661 1,4864,661 1,4864,661 1,4864,661 1,4864									,		
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	2017-191	Peds Infusion Center (UF Health Shands Hospital)	3,914,639	3,421,512	264,848	3,686,360	228,279	3,914,639	228,279	UF Health Shands Gainesville	(18)
	2018-369	OR Renovations (4)	23,400,000	18,293	1,241,883	1,260,176	22,139,824	23,400,000	22,139,824	UF Health Shands Gainesville	(19)
Totals 1.188.592.438 540.834.894 55.087.798 595.922.693 592.669.746 893.932.969 298.010.277		Takal	ls 1,188,592,438	540.834.894	55,087,798	595,922,693	592,669,746	893,932,969	298,010,277		

	Construction Project	Notes
(1)	0092 - Physics Building Re-Roof Phase 1	Peco Funding, cash is drawn on a monthly basis
(2)	IFAS Bee Unit Facility	Post Occupancy
(3)	IT Move to Ayers Building	Post Occupancy
(4)	Chemistry/Chemical Biology Building	Post Occupancy
(5)	Katie Seashole Pressly Softball Stadium Renovation	Funded from Series 2018 bond of \$50M; UAA long term investment gains; capital gifts
(6)	Florida Ballpark Baseball Stadium	Funded from Series 2018 bond of \$50M; UAA operating funds; capital gifts
(7)	Football Training Complex	Design fees and Preconstruction expense approved expected total budget \$85M+\$5M for maintenance facility; funded from capital gifts
(8)		Series 2019-B Tax-Exempt Notes proceeds (issued April 2019). Excludes purchase of land of \$3,650,000. Remaining funds from UFJP clinical services. The total
	UFJPI - Wildlight Ambulatory Medical Services Building	project \$30 million includes \$6.8 million of equipment and furnishings
(9)	FCPA Health The Oaks - Clinics and Audiology	The FCPA closed on a Health Facilities Authority Bond March 27, 2019. \$30 million in proceeds less to date expenditures is available cash.
(10)	Guest House	From BECK Budget Report; Shands Board resolution dated 9/26/18 authorized spending up to \$56 million
(11)	The Oaks Mall Surgery and Radiology	Construction Documents; Shands Board resolution dated 9/26/18 authorized spending up to \$34 million
(12)	Fixel Movement Disorders Clinic Building (Williston Road)	Project expected to be fully funded by philanthropy but currently funded through internal cash resources; Shands Board approved on 4/25/18 authorized spending up to \$9 million
(13)	IT Infrastructure Renovation (multiple floors - UF Health Shands Hospital)	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget; Shands Board approved \$20.0M on 6/27/18 as part of FY 19 Capital Budget and \$4.6M on 6/26/19 as part of the FY20 Capital Budget - total of \$24.6M.
(14)	24/25 Renovation (Second Floor - UF Health Shands Hospital ICUs	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget. Unit opened operations on 8/31/19, additional costs incurred as punch list items are completed. Shands Board approved on 6/28/17 as part of FY 18 Capital Budget.
(15)	Pediatric PACU Renovation	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget. Certain phases of this project have been completed. Shands Board approved \$4.8M on 6/28/17 as part of FY 18 Capital Budget, \$3.1M on 6/27/18 as part of FY19 Capital Budget, and \$9.6 million on 6/26/19 - total of \$17.5M approved.
(16)	Endoscopy/Surgery GI Suite (Former Cath Lab)	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget. Project 2018-371 Q2 spend was included in Peds PACU Renovation in Q2 2019 reporting. Shands Board approved \$7.6M on 6/27/18 as part of FY 19 Capital Budget and \$4.0M on 6/26/19 as part of the FY20 Capital Budget - total of \$11.6M.
(17)	Labor & Delivery Room (UF Health Shands Hospital)	Multi-year Project with certain phases completed. Antepartum/Watchchild under construction until Jan 2020. Shands Board approved \$5.2M on 6/27/18 as part of the FY19 Capital Budget; balance of project (\$0.4M) approved from Routine Capital in the FY19 Capital Budget.
(18)	Peds Infusion Center (UF Health Shands Hospital)	Project primarily completed. Shands Board approved \$1.2M on 6/28/17 as part of FY 18 Capital Budget; balance of project supported by Children's Miracle Network philanthropy of \$2.7M.
(19)	OR Renovations	Multi-year Project; Phases funded as part of Board approval of annual Capital Budget. Shands Board approved \$20.0M on 6/27/18 as part of FY 19 Capital Budget and \$4.6M on 6/26/19 as part of the FY20 Capital Budget - total of \$24.6M.

212/608 2



COMMITTEE ON GOVERNANCE, GOVERNMENT RELATIONS AND INTERNAL AFFAIRS AGENDA

Thursday, December 5, 2019

Beginning at ~3:30 p.m.

President's Room 215B, Emerson Alumni Hall
University of Florida, Gainesville, FL

Rahul Patel (Chair), James W. Heavener, Morteza Hosseini, Thomas G. Kuntz, Jason J. Rosenberg,

Committee Members:

6.0

Anita G. Zucker Call to Order and Welcome......Rahul Patel, Chair 1.0 2.0 Verification of QuorumVice President Liaison 3.0 Action Items Rahul Patel. Chair GGRIA1 Governance Standards Amendments......Rahul Patel, Chair a. Research Activities and Oversight Protocols GGRIA3 Naming Policy......Rahul Patel, Chair 4.0 Discussion ItemsRahul Patel, Chair 4.1 Enterprise Cash Update Rahul Patel, Chair, Thomas Kuntz, Trustee Committee Chair FSPPM, Alan West, University Controller 4.2 Outside Activities-Conflicts of Interest Amy Hass, General Counsel 4.3 Legislative Update Mark Kaplan, Vice President for Government and Community Relations New BusinessRahul Patel, Chair 5.0

Adjourn Rahul Patel, Chair



COMMITTEE ON GOVERNANCE, GOVERNMENT RELATIONS AND INTERNAL AFFAIRS ACTION ITEM GGRIA1 December 5, 2019

SUBJECT: Adoption of UF Research Activities and Oversight Protocols

BACKGROUND INFORMATION

To help ensure that the University of Florida Board of Trustees ("Board" or "BOT") has appropriate visibility into the strategic mission of the University's research endeavor and exercises its fiduciary oversight responsibilities in connection therewith, the BOT has established Research Activities and Oversight Protocols to be incorporated into the UF Governance Standards.

PROPOSED COMMITTEE ACTION

The Committee on Governance, Government Relations and Internal Affairs is asked to recommend for approval by the BOT the adoption of these protocols.

ADDITIONAL COMMITTEE CONSIDERATIONS

Nono

NOTIC	
Supporting Documentation Include	ed: See attached
Submitted by: Amy Hass, Vice Pres	sident and General Counsel
Approved by the University of Flo	rida Board of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary

UF Research Activities and Oversight Protocols

Draft Version - November 19, 2019

Background

To help ensure that the University of Florida Board of Trustees ("Board" or "BOT") has appropriate visibility into the strategic mission of the University's research endeavor and exercises its fiduciary oversight responsibilities in connection therewith, the BOT has established the following protocols.

UF Research Activities and Oversight Protocols

- 1. Prior to January 1 of each year, the BOT Chair shall designate a member of the BOT to serve as the Chair's designee (the "BOT Representative") for purposes of these Protocols.
- 2. Twice a year, prior to a regularly scheduled BOT meeting, the Vice President for Research ("VPR") will meet with the BOT Representative to review the following in connection with the University's research endeavor:
 - a. Current portfolio of sponsored research;
 - b. Any classified research;
 - c. Current audits (if any) by any federal agencies, state agencies or other sponsors with respect to research awards;
 - d. Current investigations (if any) by any federal agencies, state agencies or other sponsors with respect to research awards;
 - e. Strategic research plans for the next 3-5 years; and
 - f. Any other material developments.
- 3. In addition to the semi-annual meetings described above, the VPR will ensure that the BOT Representative is apprised of any significant research-related issues that may be reasonably determined to be of material impact to the University research endeavor or that may result in significant media attention.
- 4. The BOT Representative or the VPR shall provide a summary report to the BOT through the Committee on Governance, Government Relations and Internal Affairs at least annually on the state of the University's research endeavor, and as needed on any matter above that in the judgment of the BOT Representative should come to the attention of the BOT.



COMMITTEE ON GOVERNANCE, GOVERNMENT RELATIONS AND INTERNAL AFFAIRS ACTION ITEM GGRIA2 December 5, 2019

SUBJECT: Direct Support Organization-Board Appointments

BACKGROUND INFORMATION

Pursuant to University of Florida Governance Enhancements adopted by the University of Florida Board of Trustees on December 7, 2018, all appointments of Directors to University Direct Support Organizations must be approved by the University of Florida Board of Trustees.

The Direct Support Organizations listed below have requested the following individuals be approved to their board:

Cattlemen Enhancement Board (CEB):

Mack Glass Ab Townsend

Citrus Research Development Foundation (CRDF):

Ron Mahan Joshua Snively Aaron Himrod

Florida 4-H Foundation:

Terry Stout
Col. Wendell Taylor
Com. Gary Clark
Andrew Bowers
Com. Betsy Barfield

Southwest Florida Research and Education Foundation (SWFRE) Board:

Jason Shiveler Todd McClure Danny Sutton

PROPOSED COMMITTEE ACTION

The Committee on Governance, Government Relations and Internal Affairs is asked to approve the individuals listed above and in the board materials for recommendation to the Board of Trustees for approval on the Consent Agenda 16/608

ADDITIONAL COMMITTEE CONSIDERATIONS

None			
Supporting Documentation Included: Se	ee attached <u>biographies</u> .		
Submitted by: W. Kent Fuchs, President	.		
Approved by the University of Florida Board of Trustees, December 6, 2019			
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary		

DSO: CATTLE ENHANCEMENT BOARD

2 RECOMMENDATIONS



Institute of Food and Agricultural Sciences (IFAS)
Office of the Senior Vice President
Agriculture and Natural Resources

PO Box 110180 Gainesville FL 32611-0180 (352) 392-1971 (352) 392-6932 Fax

To: The University of Florida Board of Trustees

From: Jack Payne, Senior Vice President for Agriculture and Natural Resources

Date: October 14, 2019

Re: Consideration of UFBOT Appointees to the Cattle Enhancement Board, a Direct Support Organization of the University of Florida

I have received recommendations from the Cattle Enhancement Board to make the following appointments to the board:

(1) Mr. Mack Glass of Marianna, to replace Don Quincey, who has resigned from the CEB effective September 2019:



Timber, cattle and satsumas are all grown at his Cherokee Ranch in Jackson County. Mr. Glass was recognized as an agricultural innovator and specialty crop farmer of the year for restarting the satsuma industry in the Florida Panhandle, after the crop had faded after freezes in the 1920's. Mr. Glass serves on several boards, including co-chair of the legislative committee for the Florida Cattlemen's Association, advisory boards for UF/IFAS Jackson County Extension and UF/IFAS North Florida Research and Education Center, Jackson

County Farm Bureau, the Florida Farm Bureau's Beef Advisory Committee, and the Jackson County Cattlemen's Association.

(2) Mr. **Ab Townsend** of Pinetta, Florida, to replace Mike Tomkow, who requested not to be reappointed to his position:



Mr. Townsend and his family own and operate the Townsend Livestock Market in Madison, Florida. According to a 2019 study from UF/IFAS, beef cattle ranches were responsible for about \$1.36 billion in revenues and 14,720 jobs in 2017 in Florida.

DSO: **CITRUS** RESEARCH DEVELOPMENT **FOUNDATION**

3 RECOMMENDATIONS



Institute of Food and Agricultural Sciences (IFAS)
Office of the Senior Vice President
Agriculture and Natural Resources

PO Box 110180 Gainesville FL 32611-0180 (352) 392-1971 (352) 392-6932 Fax

To: University of Florida Board of Trustees

From: Jack Payne, Senior Vice President for Agriculture and Natural Resources

Date: October 31, 2019

I am submitting three individuals to be considered for appointment by the University of Florida Board of Trustees to the Citrus Research Development Foundation, a direct support organization of UF. The mission of CRDF is to "advance disease and production research and product development activities to ensure the survival and competitiveness of Florida's citrus growers through innovation." The CRDF Board of Directors represent industry, academia, and government. According to the CRDF bylaws, 5 of the members are recommended by Florida Citrus Mutual; 5 are recommended by the Florida Department of Citrus; 2 are recommended by the University of Florida; and 1 is recommended by the Florida Department of Agriculture and Consumer Services.

(1) Mr. Ron Mahan, who was recommended by Florida Citrus Mutual to replace Charlie Lykes' unexpired term through December 2019, followed by a three year term.



Ron Mahan is vice president and chief financial officer of Tamiami Citrus LLC, a citrus production company with groves in Collier, Desoto, Hardee, and Highlands counties, owned by affiliates of Collier Enterprises. He joined Collier's Agribusiness Group in 1988, serving in various roles for the company's operations based in Immokalee. Mahan played key roles in Collier's acquisition and operation of Orange-Co, which was subsequently sold to Alico in 2014. Mr. Mahan is president and a board member of Gulf Citrus Growers Association, chairman of the Citrus Crop Estimates Advisory Committee to the USDA, and a

member of the Processed Orange Advisory Board to the New Varieties Development and Management Corporation. He is a University of Florida graduate with B.S. and M.S. degrees in food and resource economics.

(2) Mr. Joshua (Josh) Snively, who was recommended by the Florida Department of Citrus, to replace Jerry Newlin's unexpired term through December 2019, followed by a three year term.



Josh Snively currently serves as president of Florida Chemical Company, LLC, a wholly-owned subsidiary of Archer Daniels Midland (ADM), and president of ADM's Global Citrus platform. Florida Chemical Company is located in Winter Haven, Florida and is a leading manufacturer and supplier of citrus oils to flavor, fragrance and industrial clients around the world. He and his family have been involved in the Florida citrus industry for four generations.

(3) Mr. Aaron Himrod, who was recommended by the Florida Department of Citrus, to replace Ricke Kress's seat for a three year term. Mr. Bobby Barben was filling this seat for the remainder of Mr. Kress's previous term.



Aaron Himrod is vice president of Himrod's Citrus Nursery in Bowling Green, Florida, and is a third generation Florida citrus grower. Himrod is president and a board member of the Highlands County Citrus Growers Association, and a member of the Processed Orange Advisory Board to the New Varieties Development and Management Corporation. He is a graduate of the UF/IFAS Wedgworth Leadership Program Class X.

DSO: FLORIDA 4-H CLUB FOUNDATION

7 RECOMMENDATIONS





Terry Stout (Friend) - Destin, FL

Lockhardt-Meed - Retired

Terry is a 4-H alumnus of Okaloosa County. He was in the US military for about 24 years and then became a defense contractor. Terry has dedicated a large portion of his life to 4-H and his two daughters have been a part of since they were 4-H age. He and his daughters were part of the horse club. While his daughters were in 4-H, he became project leader and a chaperone for the club. He helped form the Okaloosa County Horse Advisory Committee and the County 4-H Foundation and he currently serves as the President of the Okaloosa County 4-H Advisory Committee and is the 4-H liaison to the Okaloosa Overall Extension Advisory Committee.

UF Affiliations: None Awards - 4-H Hall of Fame; 2015

Nominated by: Heather Kent and Todd Bundy



Col. Wendell H. Taylor (BSA '64) - LaBelle, FL

US AirForce - Retired Colonel

Taylor served for 28 years in the United States Air Force where he reached the rank of Colonel. He was an aviator and then specialized in International Negotiations and Relations. Throughout Taylor's professional career, he was a strong advocate for youth and he continues to support youth programming in Jackson County. While serving as County Administrator he encouraged County Commissioners to fund budget requests that would support the Jackson County 4-H program, and is a willing volunteer for 4-H public speaking events and leadership programs.

UF Affiliations: Past - Chipola Gator Club Awards - 4-H

Hall of Fame

Nominated by: Heather Kent

Researcher: Katie Garcia Property of University of Florida Foundation, Inc. Date: 8/15/2019
Requestor: Mendy Allen Confidential Record Page 1 of 5

Name: 4-H Board Nominations





Gary F Clark (Friend) - Chipley, FL

Florida Public Service Commission - Commissioner

Gary F. Clark was reappointed to the Florida Public Service Commission by Governor Rick Scott for a term ending on January 1, 2023. He was first appointed to the Commission in 2017. Prior to his appointment to the PSC, Commissioner Clark served as the Deputy Secretary of Land and Recreation for the Florida Department of Environmental Protection. During this time, he oversaw 174 state parks and trails, as well as the Division of State Lands. In this role, he served as the chair of the Florida Acquisition and Restoration Council and as chairman of the governing board of the Florida Communities Trust. Earlier in his career, Commissioner Clark spent the majority of time at West Florida Electric, where he served as the Vice President of Member Services. During his tenure, he worked in many areas of the company and led the company's diversification efforts. He also served for many years as a member of the Association of Energy Engineers where he earned the distinction as Certified Energy Manager.

UF Affiliations: None Awards - None

Nominated by: Heather Kent

Page 2 of 5



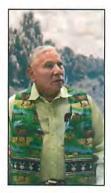


Wendy M. Smith (BSA '92) - Hastings, FL (She would like to be considered for the future)

Smith & Johns - Owner

Wendy Smith serves as the District 3 representative on the Florida Farm Bureau State Women's Leadership Committee. The Smiths own and operate Smith and John's, Inc. where they raise Brangus cattle and grow perennial peanut hay and St. Augustine turf grass. The Smith's also own a small herd of Cracker cattle. She also serves as a member of the Florida Agricultural Museum Board of Trustees and is active in her county Farm Bureau Women's Program.

UF Affiliations: None Awards - None
Nominated by: Michael Kenney



Andrew Bowers Jr (Friend) - Hollywood, FL

Seminole Tribe of Florida - Brighton Councilman

Bowers was sworn in as Brighton's Councilman in June 2017; a member of the Tribal Council and Board of the Seminole Tribe.

UF Affiliations: Past - None Awards - None
Nominated by: Michael Kenney

No. of the last of

Date: 8/15/2019





Betsy Barfield (Friend) - Jefferson County, FL

Jefferson County - Commisioner

Betsy serves as a Comissioner in Jefferson County. She also has a photography business. She is a lifelong resident of Jefferson County and spends her free time rising bicycles.

UF Affiliations: None Awards - None

Nominated by: Morris Steen

Researcher: Katie Garcia Property of University of Florida Foundation, Inc.

Date: 8/15/2019
Requestor: Mendy Allen

Confidential Record

Page 4 of 5

Name: 4-H Board Nominations

UF GO GREATER





Name: 4-H Board Nominations

Mario Bailey (Friend) - Ft. Lauderdale, FL

Becker - Senior Government Relations Consultant

Mario Bailey concentrates on government relations and lobbying in South Florida and Tallahassee. Mr. Bailey works closely with clients from various sectors including business, municipal government and education to advocate for their issues before various government agencies and the State Legislature. He assists in advancing his clients' political and legislative interests and monitoring legislative and regulatory issues that impact their various industries.

UF Affiliations: None Awards - None

Nominated by: Whitney Ellis

Researcher: Katie Garcia Property of University of Florida Foundation, Inc. Date: 8/15/2019 Requestor: Mendy Allen Confidential Record Page 5 of 5 UF GO GREATER



COMMITTEE ON GOVERNANCE, GOVERNMENT RELATIONS AND INTERNAL AFFAIRS ACTION ITEM GGRIA3 December 5, 2019

SUBJECT: Adoption of UF Naming Policy

BACKGROUND INFORMATION

The University of Florida recognizes the importance of naming opportunities for its buildings, facilities, outdoor and interior spaces, colleges, schools, centers, institutes, and other academic units and programs. The University of Florida is committed to upholding its core values of collaboration, broad diversity, the pursuit of excellence, and freedom of expression, thought, and dialogue. In building partnerships that help to create a better future for the state of Florida, the nation, and the world, the University seeks to maintain academic integrity and independent administration in all of its endeavors. While protecting the values and integrity of the institution, the University also seeks to protect the fundraising process and its relationships with donors and other supporters of the University. Accordingly, the University has developed an enterprise-wide naming policy to ensure appropriate process and evaluation of naming opportunities.

PROPOSED COMMITTEE ACTION

The Committee on Governance, Government Relations and Internal Affairs is asked to recommend for approval by the BOT the adoption of the UF Naming Policy

ADDITIONAL COMMITTEE CONSIDERATIONS

ADDITION	AL COMMITTEE CONSIDERATIONS
None	
Supporting documentation included	: See attached <u>UF Namings and Memorials Policy</u> .
Submitted by: Amy Hass, Vice President	dent and General Counsel
Approved by the University of Flori	da Board of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary

UNIVERSITY OF FLORIDA POLICY Namings and Memorials

1. Definitions

"Academic Unit" means any college, school, center, institute, department, or other academic, research, or administrative program unit.

"Facility" means any building, structure of permanence such as a stadium or tunnel, a major structural component of a building or any such structure such as a wing, floor, or central atrium, or a space within a building that is significant because of its size relative to the whole building or because it serves a significant academic, athletic, administrative, or public function.

"Outdoor Area" means any outdoor area and wholly outdoor structures such as a landscaped area, field, water body, bridge, patio, deck, or road.

"Program" means any academic, research, or service program.

"Site" means any whole campus or any educational, research, recreational, athletic, service, or extension site, including sites subject to Board of Governors Regulation 8.009.

"Space" means any non-structural space within a Facility such as an office, conference room, or non-structural common area which does not otherwise fall within the definition of Facility above.

"University" means the University of Florida and all affiliated entities.

2. General Guidelines and Definitions for Naming Opportunities and Memorials

The University of Florida recognizes the importance of naming opportunities for its buildings, facilities, outdoor and interior spaces, colleges, schools, centers, institutes, and other academic units and programs. The University of Florida is committed to upholding its core values of collaboration, broad diversity, the pursuit of excellence, and freedom of expression, thought, and dialogue. In building partnerships that help to create a better future for the state of Florida, the nation, and the world, the University seeks to maintain academic integrity and independent administration in all of its endeavors. While protecting the values and integrity of the institution, the University also seeks to protect the fundraising process and its relationships with donors and other supporters of the University.

Entity Namings

An Entity Naming is a Gift Naming for any corporation or other organization.

Gift Namings

A Gift Naming is any naming for a donor or, at the request of a donor, for a third party, in connection with a gift. Naming opportunities may be appropriate to recognize a donor whose financial contributions have supported the institution in meaningful ways. Gift Naming opportunities may also arise at the request of a donor who, in connection with a gift, wishes to honor or memorialize someone significant to the donor.

Honorary Namings

An Honorary Naming is a naming to honor or memorialize an individual's significant contributions (financial or otherwise, but not in connection with a specific gift) to the University, the State of Florida, or society in general.

Entity Namings, Gift Namings and Honorary Namings are referred to collectively as Namings.

Memorial

A Memorial is a plaque or other physical device used to recognize or memorialize a person or entity or to name a physical space. Memorials may be associated with Gift Namings or Honorary Namings. The wording for any Memorial shall be reviewed by the Foundation Namings and Memorials Advisory Council prior to submission to the President for approval.

Governing Laws and Regulations

Namings and Memorials approved under this policy shall also be subject to all Florida Board of Governors (BOG) and University of Florida Board of Trustees (BOT) <u>Governance Standards</u>, policies, regulations, and procedures, and to all state laws and regulations.

General Policy Statement

No Naming or Memorial shall be permitted that would detract from the University's values, dignity, integrity, or reputation, lead to an actual or perceived conflict of interest, or imply in any way a political, ideological, commercial, or religious endorsement, nor shall any Naming or Memorial, including quotations, be permitted that could violate any state or federal law, rule, regulation, or constitutional provision.

3. BOT Namings

A BOT Naming is a Naming of any Academic Unit, Outdoor Area, Site, or University Facility, (all as defined above), including but not limited to those for academic, administrative, athletic, or residential purposes. Any BOT Naming will require BOT

approval with the recommendation of the University President under the BOT Governance Standards and in accordance with BOT policies, regulations, and procedures. BOT Namings also include, in the definition of Facility, a Space that is significant because of its size relative to the whole building or because it serves a significant academic, athletic, administrative, or public function. BOT Namings also include any Naming, regardless of type, that is determined after conferring with the BOT Chair to be of high visibility or reasonably considered to be material to UF, a DSO or any affiliate entity.

4. Non-BOT Namings

A Non-BOT Naming is a Naming of any Space within a Facility (except those interior spaces that do require BOT approval as set forth in Paragraph 3 above) or any University Program (all as defined above), including but not limited to those for academic, administrative, athletic, or residential purposes. A Non-BOT Naming does not require BOT approval, unless the BOT Chair requires full BOT participation. Non-BOT Namings will be approved by the President and the BOT Chair, with notice to the BOT Vice Chair. handled in accordance with policies and procedures established by the President, including delegation of approval authority.

5. Corporate or Organization Namings ("Entity Namings")

Donors who are corporations or organizations may be recognized by a Gift Naming. Entity Namings require more consideration and review than Gift Namings for individuals, to avoid actual or apparent endorsements or conflicts. Generally major academic units will not be named after a for-profit corporation or organization and Entity Namings for buildings will usually be for a fixed term of years. Rarely will any Entity Naming be approved when the entity is not the donor or otherwise a supporter of the University.

Entity Namings of a Site, Facility, Outdoor Area, or Space require a written agreement including a stated term of years not to exceed twenty.

Considerations for Entity Namings will be evaluated in terms of whether and to what extent the applicable entity's value structures are compatible with that of the University and whether the entity and the University are pursuing a co-mission. If an applicable entity's name is changed following approval of an Entity Naming, the name referenced by the University may be changed following approvals by the President and BOT Chair, with in consultation with the BOT Chair and notice to the BOT Vice Chair.

Logos

Entity logos may be allowed for a fixed term of years on external signage on a case-by-case basis and such use requires specific approval by BOT for BOT Namings and specific

approval by the President for Non-BOT Namings. All such approvals may be subject to unit restrictions on logo usage as well.

6. Process

Foundation Namings and Memorials Advisory Council

A Foundation Namings and Memorials Advisory Council ("Council") will be established by the Foundation and will represent academic, business, alumni, and development viewpoints across the UF community. The Council is advisory only and will review Namings and Memorials before they are submitted to the President for approval, together with the Council's recommendation. Composition and operations of the Council are detailed in the Guidelines, as set forth in Exhibit A.

Process

The following steps shall be followed, in order:

- Before any Naming conversation initiated by the University occurs with a donor or honoree, approval by the appropriate Vice President, Dean, or Director shall be obtained
- The Naming will be presented to the Executive Vice President of the UF Foundation for consideration
- The Foundation will follow its normal vetting process for the Naming
- The Executive Vice President of the UF Foundation will consult with the President and the BOT Chair and Vice Chair
- The request will then be submitted to the Council
- The Council will review and, if recommended by the Council, submit the request to the President and BOT Chair for approval, with notice to the BOT Vice Chair
- Appropriate discussions may be held with the donor or honoree
- For BOT Namings, the request shall then be submitted to BOT for approval

Council Review

The Foundation Namings and Memorials Advisory Council shall review the following:

- All BOT Namings
- All Non-BOT Namings except those for which the President has delegated approval authority to the Executive Vice President of the Foundation (which shall be reported to the Council by the Council Chair)
- All Honorary Namings
- All proposed Memorials, whether in connection with a Naming or otherwise, including the requested wording (including quotations), size, material, design, method of attachment, and identified source of funds for maintenance.
 Approval of Memorials is at the discretion of the University. The Council may, if it determines to do so, approve standard wording and plaques in advance.

4

Documentation

In order to document the donor's acknowledgment of the provisions and requirements of this policy and all other BOT, University, and Foundation requirements applicable to Namings, all gifts involving a Naming must have written documentation to evidence the terms and conditions for the Naming. This documentation may be in the form of a gift agreement or other writing, as determined by the Foundation.

7. Board Members; Board and University Employees

The restrictions in this section continue to apply until one year after the individual no longer has such status, unless expressly waived by BOT for a particular Naming. All other procedures set forth in this policy will be followed.

Honorary Namings

Honorary Namings (i.e. not associated with any gift) are not allowed for any active BOG board member or employee or University trustee or any active University employee or student.

Gift Namings

Gift Namings, when the individual whose name will be used is an active BOG board member or employee or University trustee or any active University employee or student may be approved on the following conditions:

- The gift with which the Naming is associated is based on a Naming opportunity and amount previously approved by the Foundation Executive Vice President
- The same Naming opportunity would be available to others
- The BOG or University affiliated person has not had an advantage because of early access to the list of Naming opportunities
- The Naming does not present a conflict or the appearance of a conflict for any reason

8. Naming Requirements

Minimum gift levels and other requirements and guidelines for Namings and Memorials, consistent with this policy, shall be established by the Foundation, subject to approvals by the President and BOT Chair, with notice to the BOT Vice Chair and in consultation with the BOT Chair and Vice Chair and the Foundation Namings and Memorials Advisory Council. These Guidelines are outlined in Exhibit A.

9. Removal of Name by University

A Naming may be removed if a donor fails to fulfill the gift commitment (including with respect to the timing of gift payments) made in connection with the Naming or, in extraordinary circumstances, where continued use of the name would be damaging to the reputation of the University or otherwise significantly detrimental. The decision to remove a Naming may be made bywith approvals of the President and BOT Chair, with notice to the BOT in consultation with the BOT Chair and Vice Chair.

10. Change of Name at Donor or Honoree Request

Donor- or Honoree- requested changes to a Naming, due to such things as a change in name or status, will be considered on a case-by-case basis and the decision will be made with approvals of by the President and BOT Chair, with notice to the BOT in consultation with the BOT Chair and Vice Chair.

11. Effect on Existing Namings

Nothing contained in this policy shall be construed or applied in such a way as to limit or terminate a Naming that exists or has been approved prior to the Effective Date of this policy, except that the provisions in paragraphs 9 and 10 regarding change or removal of a name will apply to existing Namings and those approved after the effective date of this policy.

Exhibit A Guidelines

Duration

- Naming of a Facility, Outdoor Area, Site, or Space is presumed to be effective for fifty years or the useful life of the named area, whichever is less, other than an Entity Naming for a building which generally will be twenty years
- Exceptions may be approved by BOT for BOT Namings and by the President for Non-BOT Namings
- At the end of such time, the name will be removed, without the need for further approval or action
- Thereafter, the donor or honoree shall be recognized in an appropriate alternative manner
- If it is in the best interests of UF to repurpose or replace a named Facility,
 Outdoor Area, Site, or Space before the useful life or fifty years, BOT for BOT
 Namings and the President and BOT Chair, with notice to the BOT Vice Chair, for
 Non-BOT Namings_shall have the authority to remove the name and replace it
 with appropriate alternative recognition

Usage

- Official University publications and forms shall use the full approved name
- For administrative convenience and ease of communication in other circumstances a shorter version may be used

Foundation Namings and Memorials Advisory Council

The standing members are:

- President or designee
- Executive Vice President of the Foundation (Chair)
- Senior Vice President and Chief Academic Officer or designee
- Senior Vice President and Chief Operating Officer or designee
- Chair of the Deans and Directors Development Council
- Senior Associate Vice President/CDO of the Foundation
- Senior Associate Vice President/COO of the Foundation
- Vice presidents or other university representatives appropriate to the naming in an advisory capacity

Regular meetings will be scheduled quarterly and more often as needed.

Due Diligence

- The Foundation will obtain background reports on all individuals and entities before a Naming will be approved by the Executive Vice President of the Foundation
- The type of report will be based on the visibility and permanence of the Naming

Minimum Gift Requirements

- New Facilities generally require a gift of 20-50% of the private support for the
 Facility but not less than 15% of the total cost of the Facility, with a current gift
 of cash or cash-equivalent equal to at least such minimum threshold, payable
 over no more than five years with at least 20% of such minimum threshold paid
 in the first year (in any event prior to the name included on such Facility) and
 with the balance of the minimum threshold generally payable on a prorata basis
 for the remainder of the five years
- Existing Facilities generally require a gift of 20-50% of the replacement value of
 the Facility, with a current gift of cash or cash-equivalent equal to at least such
 minimum threshold payable over no more than five years with at least 20% of
 such minimum threshold paid in the first year (in any event prior to the Naming
 being on such Facility) and with the balance of the minimum threshold generally
 payable on a prorata basis for the remainder of the five years; a deferred gift
 may be made for the Naming of an existing Facility in appropriate circumstances
- Academic Unit or Program Namings may require a current endowed cash or cash-equivalent gift unrestricted to the unit or program or an appropriate deferred gift
- Specific Naming amounts for Facilities, Outdoor Areas, Programs, Academic
 Units, Sites, and Spaces will be determined annually by the Foundation with the
 college or unit, subject to approvals by the President and BOT Chair, with notice
 to the BOT in consultation with the BOT Chair and
- Namings may be considered for non-cash gifts in appropriate circumstances; the gift must be accompanied by an appraisal and the Foundation reserves the right to obtain an additional appraisal
- Transformational projects and programs often involve complex gift structures and multiple sources of funding. These guidelines are intentionally flexible and may be adapted in order to accommodate more complicated gift and funding mechanisms to accomplish important University goals.

General Exceptions

• Exceptions to any of these Guidelines can be made with full BOT approval.



UF NAMING & MEMORIALS POLICY

UF NAMING & MEMORIALS POLICY – OBJECTIVE

- At the request of the BOT in the spring of 2019, a preliminary Naming Protocols document was submitted to the Governance Committee in May and discussed at the June BOT meeting.
- At the direction of the BOT and using national peer data, staff drafted and vetted a Naming Policy that supports the values of the Institution while at the same time honors our most generous donors.

UF NAMING & MEMORIALS POLICY – GROWING NATIONAL CONVERSATION

- University of Alabama Hugh Culverhouse
- Brown University Warren Kanders
- Harvard, MIT, Stanford Jeffrey Epstein
- The Chronicle of Higher Education

UF NAMING & MEMORIALS POLICY- STAKEHOLDER REVIEW PROCESS

In partnership with UF General Counsel

- Board of Trustees
- President & Provost
- President's Cabinet
- Deans and Directors & Deans/Directors Development
 Council
- UF Foundation Executive Board
- Campaign Leadership Council
- UF Alumni Association Executive Board
- Advancement Sr. Advisory Council & Senior Development Team

UF NAMING & MEMORIALS POLICY – SAMPLE NAMING POLICY REVIEWS

TOP 5 PUBLIC INSTITUTIONS	TOP NAMING POLICIES
UCLA	CORNELL
BERKLEY	INDIANA
VIRGINIA	PENN STATE
MICHIGAN	WASHINGTON
UNC	

UF NAMING & MEMORIALS POLICY- FINDINGS/CONSIDERATIONS

Institutional	Advisory	Naming	Corporate
Value Statement	Body	Duration	Namings
Timing of discussions with donors	Honorary	Removal	Documentation
	Namings	of Name	& Due Diligence
Employee Namings	Memorial & Plaque Approvals	Facility Cost /Private Support /Naming Amount	Processes

UF NAMING & MEMORIALS POLICY- VETTING PROCESS

NO CHANGES FROM ORIGINAL DRAFT

UF NAMING & MEMORIALS POLICY- DEFINITIONS AND APPROVAL LEVELS

Naming Subject	Definition	Approval Level
Facility	Any building, structure of permanence such as a stadium or tunnel, a major structural component of a building or any such structure such as a wing, floor, or central atrium, or a space within a building that is significant because of its size relative to the whole building or because it serves a significant academic, athletic, administrative, or public function.	вот
Outdoor Area	Any outdoor area and wholly outdoor structures such as a landscaped area, field, water body, bridge, patio, deck, or road.	вот
Program	Any academic, research, or service program. 246/608	<pre>>\$1M President</pre>

UF NAMING & MEMORIALS POLICY- DEFINITIONS AND APPROVAL LEVELS

Naming Subject	Definition	Approval Level
Academic Unit	Any college, school, center, institute, department, or other academic research, or administrative program unit.	ВОТ
Site	Any whole campus or any educational, research, recreational, athletic, service, or extension site, including sites subject to Board of Governors Regulation 8.009.	вот
Space	Any non-structural space within a Facility such as an office, conference room, or non-structural common area which does not otherwise fall within the definition of Facility above.	<pre>>\$1M President in consultation with the BOT Chair & Vice Chair</pre>
	247/608	<\$1M President

UF NAMING & MEMORIALS POLICY- NAMING GUIDELINES

Minimum Gift Requirements - New Facilities

- New Facilities generally require a gift of 20-50% of the private support for the Facility but not less than 15% of the total cost of the Facility
- Current gift of cash or cash equivalent equal to at least such minimum threshold, payable over no more than 5 years
- At least 20% of such minimum threshold paid in the first year (in any event prior to the Naming being on such Facility)
- Balance of the minimum threshold generally payable on a prorata basis for the remainder of the 5 years
 248/608
 11

UF NAMING & MEMORIALS POLICY- GENERAL DRAFT NAMING GUIDELINES

<u>Minimum Gift Requirements - Existing</u> <u>Facilities</u>

- Existing Facilities generally require a gift of 20-50% of the replacement value of the Facility
- Current gift of cash or cash equivalent equal to at least such minimum threshold, payable over no more than 5 years
- At least 20% of such minimum threshold paid in the first year (in any event prior to the Naming being on such Facility)
- lacktriangleright Balance of the minimum threshold generally payable on a prorata basis $m{f_{49}/\!r_{008}}$ the remainder of the 5 12 years

UF NAMING & MEMORIALS POLICY- GENERAL DRAFT NAMING GUIDELINES

Minimum Gift Requirements - Other

- Academic Unit or Program may require a current endowed cash or cash equivalent gift unrestricted to the Unit or Program or an appropriate deferred gift
- Specific Naming amounts for Facilities, Outdoor Areas, Programs, Academic Units, Sites, and Spaces will be determined annually by the Foundation with the College or Unit, subject to approval by the President in consultation with the BOT Chair and Vice Chair

250/608 13

UF NAMING & MEMORIALS POLICY- GENERAL DRAFT NAMING GUIDELINES

Process

Thorough process prior to recommending any name for approval as outlined in the policy.

Removal of Name

A Naming may be removed if a donor fails to fulfill the gift commitment (including with respect to the timing of gift payments) made in connection with the Naming or, in extraordinary circumstances, where continued use of the name would be damaging to the reputation of the University or otherwise significantly detrimental.

The decision to remove a Naming may be made by the President in consultation with the BOT Chair and Vice Chair.

UF NAMING & MEMORIALS POLICY- VETTING PROCESS

CHANGES FROM ORIGINAL DRAFT

252/608 15

UF NAMING & MEMORIALS POLICY- AREAS STREGTHENED

- Strengthened University Value Statement
 - Core values of collaboration, broad diversity, the pursuit of excellence and freedom of expression, thought and dialogue.
 - Maintain academic integrity and independent administration
- ullet Increased Corporate namings duration from 10 years to 20 years
 - Lengthened the term to ensure positive relationship with donors and supporters of the University.

253/608 16

UF NAMING & MEMORIALS POLICY- AREAS STREGTHENED

- Expanded the approval process to ensure initial approvals are in place prior to naming conversations being initiated by the university
- Clearly indicated that the policy applies to namings that are not already existing *except with regard to provisions on change or removal of a name

254/608

UF NAMING & MEMORIALS POLICY

REQUEST FOR APPROVAL

255/608 18



COMMITTEE ON GOVERNANCE, GOVERNMENT RELATIONS AND INTERNAL AFFAIRS ACTION ITEM GGRIA4 December 5, 2019

SUBJECT: Approval of Submission of Board Self Evaluation to SACS

BACKGROUND INFORMATION

The Southern Association of Colleges and Schools ("SACS"), which is the accrediting body for the University of Florida, requires a Board Self Evaluation to be completed and submitted to SACS prior to the University of Florida's accreditation deadline of March 2020. The Board of Trustees completed its self evaluation on October 31, 2019 and the University will timely submit the results of this evaluation to SACS in December 2019, pending approval of this submission.

PROPOSED COMMITTEE ACTION

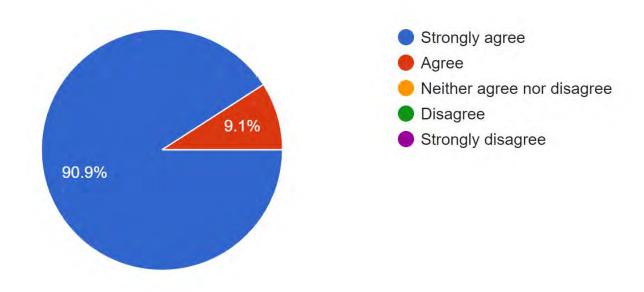
The Committee on Governance, Government Relations and Internal Affairs is asked to recommend for approval by the BOT the submission of its Self Evaluation to SACS in order to complete the accreditation requirement of the BOT.

ADDITIONAL COMMITTEE CONSIDERATIONS

None	
Supporting Documentation Include	led: See attached
Submitted by: Amy Hass, Vice Pre	esident and General Counsel
Approved by the University of Flo	orida Board of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary

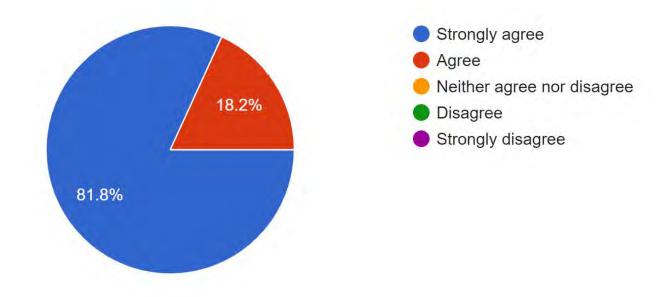
ORGANIZATIONAL AWARENESS

1. The Board is knowledgeable about the University's missions and goals.



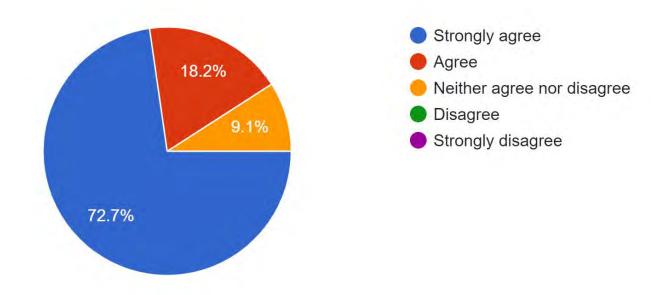
ORGANIZATIONAL AWARENESS

2. The University has a three- to five-year strategic plan or a set of clear long-range goals and priorities.



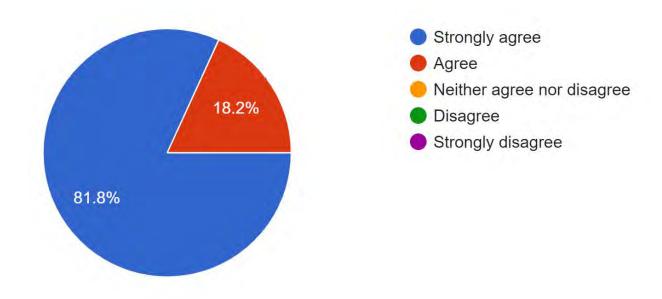
ORGANIZATIONAL AWARENESS

3. The Board's meeting agenda clearly reflects our strategic plan or priorities.



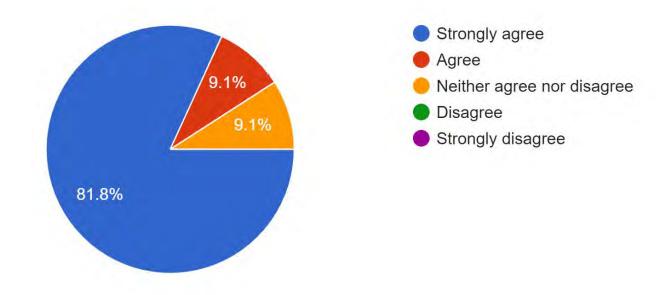
ORGANIZATIONAL AWARENESS

4. The University's strategic plan or priorities are appropriate to position the University for success.



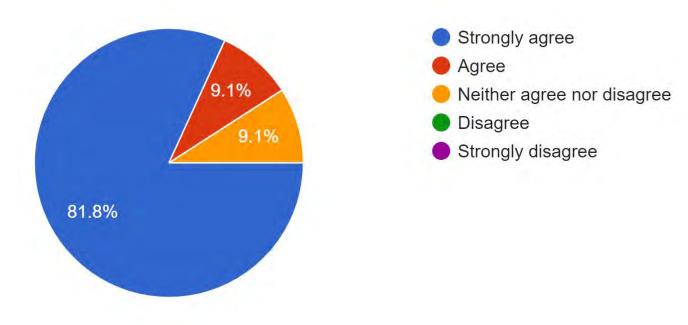
ORGANIZATIONAL AWARENESS

5. The Board serves as an effective strategic partner to the administration by providing so...ort and by making connections.



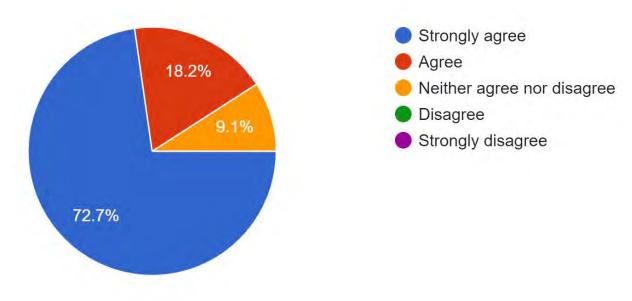
ORGANIZATIONAL AWARENESS

6. The Board works to safeguard institutional autonomy.



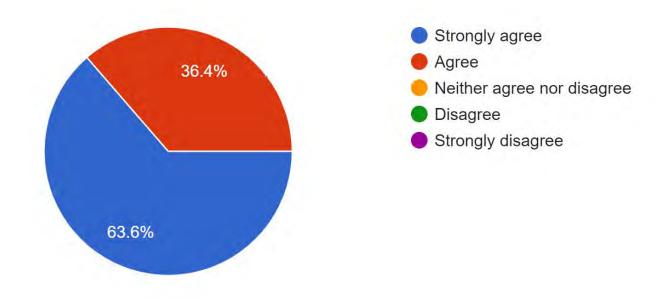
ORGANIZATIONAL AWARENESS

7. The Board and University administration well understand and operate within their respective roles and responsibilities.



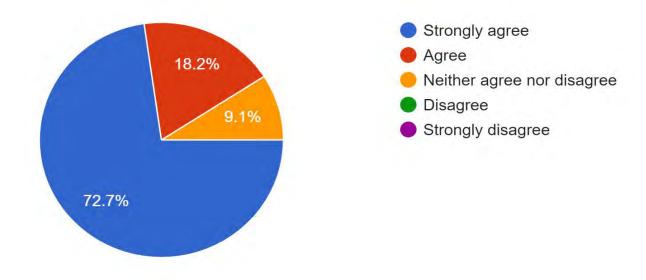
ORGANIZATIONAL AWARENESS

8. The Board ensures the University's accomplishments and challenges are communicated to stakeholders.



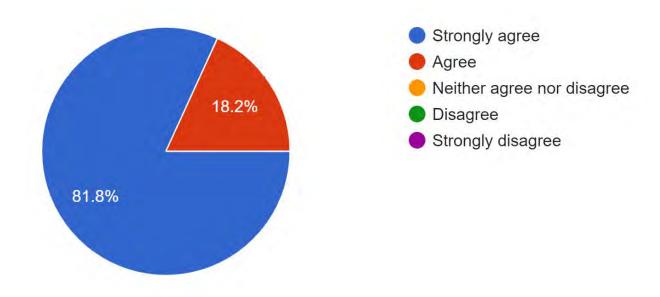
BOARD

1. Board members' responsibilities are clear.



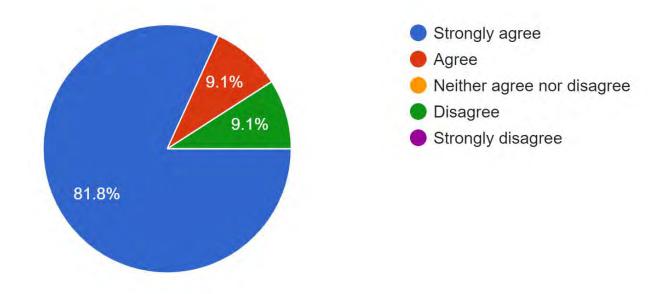
BOARD

2. Board members are supportive of the processes of the Committees they serve and of the Board as a whole.



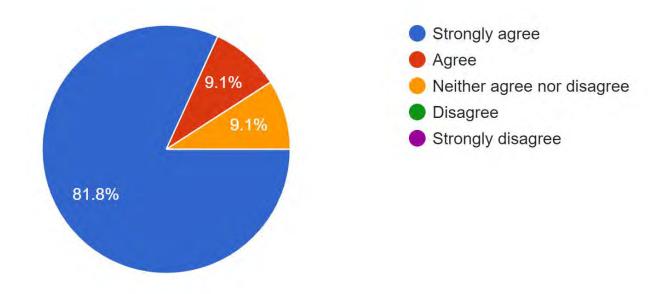
BOARD

3. Board members make decisions after thorough discussion and exploration of many perspectives.



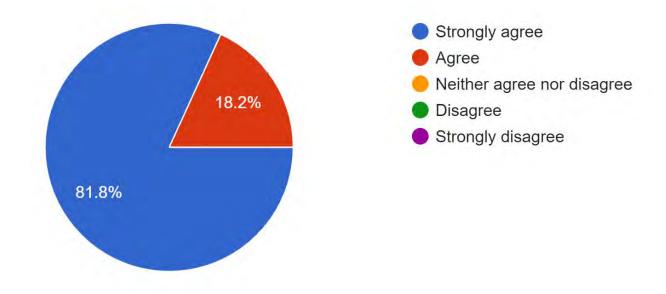
BOARD

4. Board members come to each meeting prepared and ready to debate issues fully and openly.



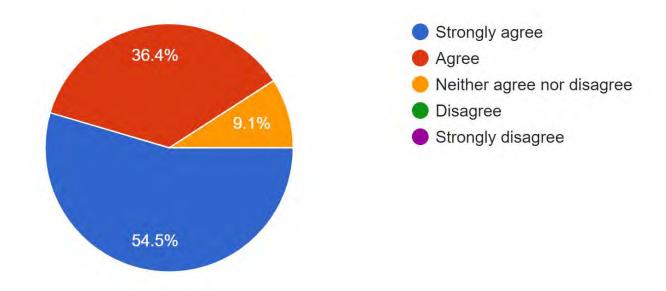
BOARD

5. New Board members receive an orientation to the Board and organization.



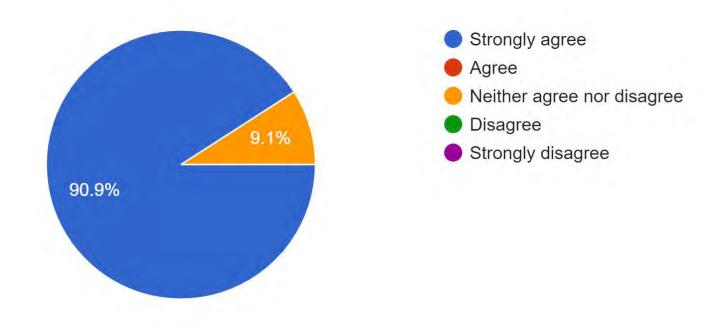
BOARD

6. Board members receive appropriate training to allow them to discharge their responsibilities.



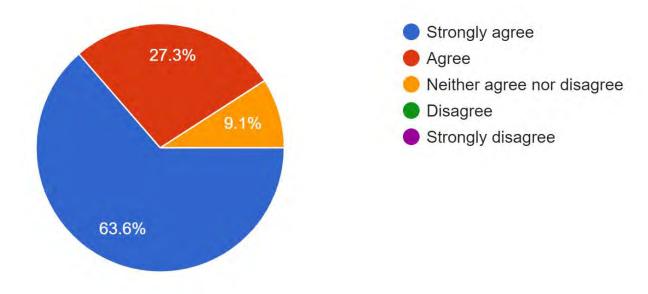
BOARD

7. The Board adheres to a code of ethics.



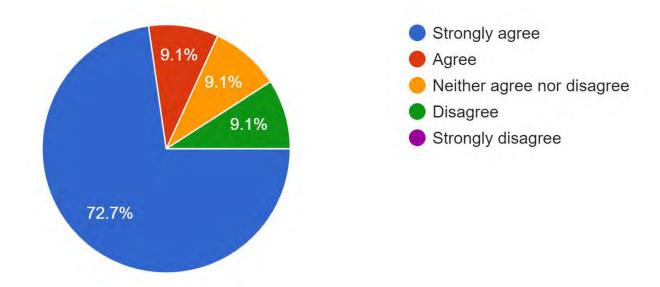
BOARD

8. There is open two-way communication between the Board and administration.



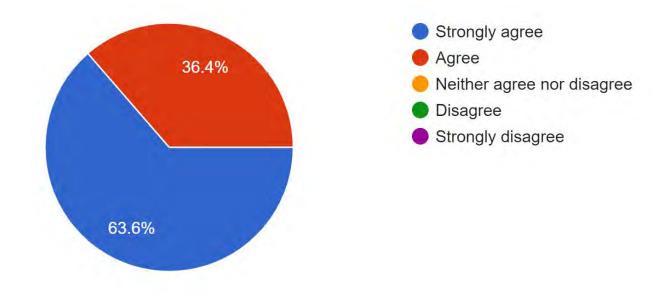
BOARD

9. The Board interacts regularly with major constituencies of the University.



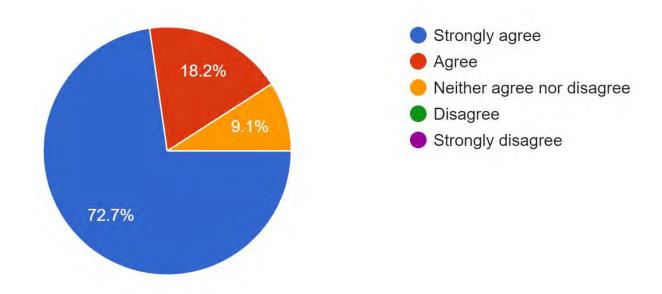
OVERSIGHT

1. Board members have a clear understanding of their legal and fiduciary responsibilities an...t the proper level of oversight.



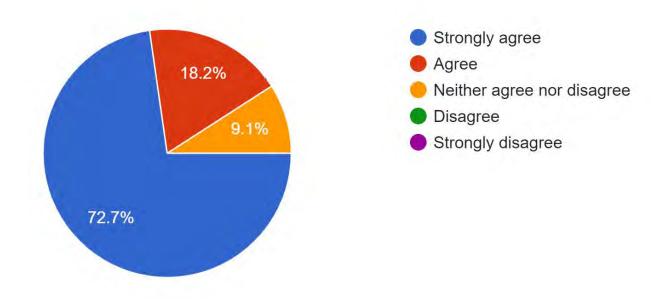
OVERSIGHT

2. The Board appropriately monitors and addresses conflicts of interest.



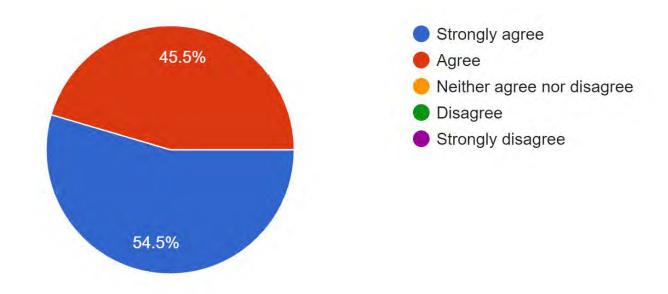
OVERSIGHT

3. The Board effectively participates in strategic planning, monitors financial, educational...es achievement of those goals.



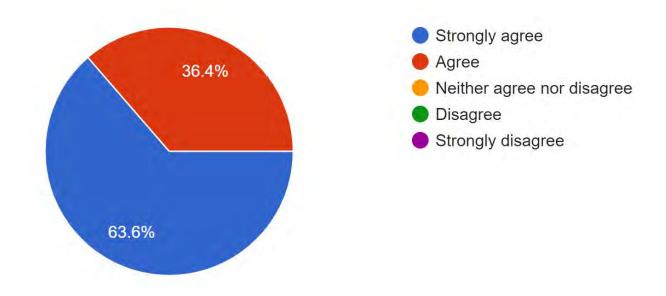
OVERSIGHT

4. The Board effectively ensures that institutional policies and processes are current, in ...ance and properly implemented.



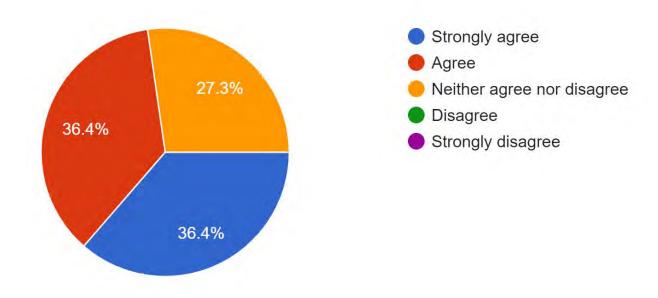
OVERSIGHT

5. The Board evaluates the performance of the President of the University and has an appropriate mechanism for feedback.



OVERSIGHT

6. The Board has an appropriate focus on management succession for the University administration.



Board of Governors Pillars of Excellence 2020-2021 Proposed Allocation

		LBR Components						
			Preeminence /					
	Total 2020-21		National	Universities of				
	LBR	PBF Estimate	Ranking	Distinction				
FAMU	\$9,700,000 =	\$5,200,000 +	+	\$4,500,000				
FAU	\$16,700,000 =	\$7,700,000 +	+	\$9,000,000				
FGCU	\$12,100,000 =	\$4,100,000 +	+	\$8,000,000				
FIU	\$21,500,000 =	\$11,500,000 +	+	\$10,000,000				
FSU	\$43,400,000 =	\$15,900,000 +	\$27,500,000					
FL Poly	\$2,000,000 =	+	+	\$2,000,000				
NCF	\$2,200,000 =	\$1,500,000 +	+	\$700,000				
UCF	\$25,800,000 =	\$13,800,000 +	+	\$12,000,000				
UF	\$55,300,000 =	\$17,800,000 +	\$37,500,000					
UNF	\$16,700,000 =	\$4,700,000 +	+	\$12,000,000				
USF	\$33,800,000 =	\$13,800,000 +	\$20,000,000					
UWF	\$12,800,000 =	\$4,000,000 +		\$8,800,000				
Total	\$252,000,000 =	\$100,000,000 +	\$85,000,000 +	\$67,000,000				

Initiative Title

FAMU	Improving 21st Century Health & Wellness
FAU	Applied A.I. & Big Data Analytics
FGCU	The Water School
FIU	Environmental Resilience
FSU	National Ranking Enhancement / Talent Pipeline
FL Poly	Engineering
NCF	Arts & Sciences for FL's Future
UCF	Engineering & Computer Science
UF	National Ranking Enhancement
UNF	UNF Medical Nexus
USF	National Ranking Enhancement
UWF	A Cyber Coast for FL's Future
	004/000

PUBLIC EDUCATION CAPITAL OUTLAY (PECO) PROJECTS

3-Year Priority List (FY20-21)

3-Year Projection

(232,900,277)

\$110,155,073

51,869,969

(51,869,969)

\$110,155,073

PECO Funding

includes funding from Non-recurring General Revenue

2020-21 2021-22 2022-23 \$343,055,350 \$331,055,350 \$384,255,350

(224,753,477)

\$106,301,873

50,055,569

(50,055,569)

\$106,301,873

(260,870,957)

\$123,384,393

58,099,409

(58,099,409)

\$123,384,393

PECO Funding (based on 5-year average of appropriations) Less: Allocation to K-20 Remaining Funding to SUS

Plus: Non-Recurring General Revenue

Less: SUS Maintenance, Repair, Renovation & Remodeling (funded from non-recurring General Revenue)

Remaining PECO funds for SUS FCO Projects

3-yr priority list for LBR, pursuant to F.S. 1013.64 (4)

Rev. 10/18/2019

Univ. Name	Project Name	Year First Funded	Total Project Cost	Prior PECO Funding	Non-PECO Funding **	Remaining PECO Need	Eligible? (Y/N)	Comments
FPU	Applied Research Center	FY16-17	\$42,627,802	\$7,000,000	\$22,873,150	\$12,754,652	Υ	
NCF	Multipurpose Facility (bldg. replacement)	n/a	\$50,400,000	\$0	\$0	\$50,400,000	Υ	
FGCU	School of Integrated Watershed and Coastal Studies	FY16-17	\$57,930,000	\$39,553,504	\$2,000,000	\$16,376,496	Υ	
UF	Data Science and Information Technology Building	FY18-19	\$135,000,000	\$75,000,000	\$25,000,000	\$35,000,000	Υ	
UNF	Roy Lassiter Hall Renovations	n/a	\$5,000,000	\$0	\$100,000	\$4,900,000	Υ	
UWF	Building 54, Fire Mitigation (fire safety retrofit)	n/a	\$6,250,000	\$0	\$0	\$6,250,000	Υ	
FSU	Interdisciplinary Research Commercialization Bldg (IRCB)	FY17-18	\$88,000,000	\$20,507,914	\$44,000,000	\$23,492,086	Υ	
UNF	Coggins College of Business Remodel & Renovation	n/a	\$24,000,000	\$0	\$480,000	\$23,520,000	Υ	
UF	Music Building Renovation and Addition	FY17-18	\$38,400,000	\$5,927,338	\$0	\$32,472,662	Υ	\$15,015,000 renov.
FIU	Engineering Building Phase I & II	FY17-18	\$150,000,000	\$30,641,537	\$45,000,000	\$74,358,463	Υ	
FSU	College of Business	FY17-18	\$88,000,000	\$13,500,000	\$44,000,000	\$30,500,000	Υ	
UF	PK Yonge Lab School (secondary school facility)	FY19-20	\$28,000,000	\$11,500,000	\$8,200,000	\$8,300,000	Υ	District, not Univ.
FAU	Jupiter STEM/Life Sciences Bldg.	FY16-17	\$35,027,247	\$23,881,247	\$0	\$11,146,000	Υ	
NCF	Old Caples House & Carriage House Renovation and Remodel	n/a	\$10,300,000	\$0	\$0	\$10,300,000	Υ	No PECO request until 22-23
FAU	AD Henderson Lab School (K-8 replacement facility)	FY19-20	\$35,800,000	\$11,500,000	\$9,300,000	\$15,000,000	Υ	District, not Univ.
FGCU	Health Sciences Building	n/a	\$59,193,144	\$0	\$0	\$59,193,144	Υ	
USF	Renovate System Central Plants (boilers, chillers)	n/a	\$8,063,098	\$0	\$0	\$8,063,098	Υ	
FAMU	Central Plant Improvements (boiler, chiller, etc.)	n/a	\$21,126,000	\$0	\$0	\$21,126,000	Υ	

Total Points *	University 2020- 21 <u>request</u>			
42	12,754,652	12,754,652		
41	6,000,000	6,000,000	17,400,000	С
40	11,877,935	11,877,935	4,498,561	
38	35,000,000	35,000,000		
37	4,900,000	4,900,000		
36	6,250,000	6,250,000		
34	23,492,086	23,492,086		
32	23,520,000	9,880,400	13,639,600	
30	10,000,000			
30	35,500,000		35,500,000	38,858,463
26	17,000,000		17,000,000	10,000,000
24	8,300,000		8,300,000	
24	11,146,000		9,963,712	1,182,288
23	0			1,200,000
21	15,000,000			15,000,000
20	0			43,939,544
16	8,063,098			8,063,098
15	5,141,000			5,141,000

\$110,155,073

\$106,301,873

\$123,384,393

Total \$ Allocated:

^{*} Points awarded per methodology presented the BOG Facility Committee on 10/3/19 [https://www.flbog.edu/wp-content/uploads/October-3-2019-Agenda-Packet-1.pdf] In the event of a tie score between projects, the project with the lowest Remaining PECO Need will be given priority.

^{**} Includes private gifts/donations, funding raising, etc., generally speaking. May also include Carryforward funds, as is the case with Florida Poly and FGCU.



COMMITTEE ON AUDIT AND COMPLIANCE AGENDA

Friday, December 6, 2019 8:30 a.m.

President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Committee Members:

Marsha D. Powers (Chair), David L. Brandon, Michael C. Murphy, Rahul Patel, Robert G. Stern, Ray G. Thomas Call to Order and Welcome......Marsha D. Powers, Chair 1.0 Verification of Quorum......Vice President Liaison 2.0 3.0 June 6, 2019 November 1, 2019 4.0 Action ItemsMarsha D. Powers, Chair University of Florida Performance Based Funding and Preeminent Status Metrics – Data Integrity (Audit Report) and Data Integrity Certification Office of Internal Audit Work Plan – January 1, 2020 - June 30, 2020 AC2 **Annual Report for Compliance Programs** AC3 5.0 Discussion Items.......Marsha D. Powers, Chair 5.1 Update on External Audits.......Marsha D. Powers, Chair 5.2 Strategic Update......Charlie Lane, Senior Vice President and COO 5.3 Compliance Program Update Elizabeth Ruszczyk, Exec. Assoc. VP and CCO 5.4 Audits of Affiliated Organizations....... Office of the Chief Financial Officer 5.5 Audits and Other ReviewsOffice of Internal Audit 5.6 Quarterly Follow-up...........Office of Internal Audit New BusinessMarsha D. Powers, Chair 6.0 AdjournMarsha D. Powers, Chair 7.0



COMMITTEE ON AUDIT AND COMPLIANCE Meeting Minutes June 6, 2019

President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Time Convened: 8:05 a.m. Time Adjourned: 8:25 a.m.

Committee and Board members present were:

Marsha D. Powers (Chair), David L. Brandon, Michael C. Murphy, Rahul Patel, Robert G. Stern, Ray G. Thomas

Others present were:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Jodi Gentry, Vice President For Human Resources; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Mark Kaplan, Vice President for Government and Community Relations and University Secretary; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Senior Vice President for Health Affairs and President of UF Health, David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic Communications and Marketing; Curtis Reynolds, Vice President for Business Affairs; Scott Stricklin, Director of Athletics; other members of the University of Florida community, and other members of the public and the media.

1.0 Verification of Quorum

Senior Vice President Lane verified a quorum with all members present.

2.0 Call to Order

Committee Chair Marsha D. Powers welcomed everyone and called the meeting to order at 8:05 a.m.

3.0 Review and Approval of Minutes

Chair Powers asked for a motion to approve the minutes of the March 28, 2019 Committee meeting, which was made by Trustee Stern, and a second, which was made by Trustee Brandon. Chair Powers then asked for further discussion, and then all in favor of the motion and any opposed, and the motion was approved unanimously.

Chair Powers then asked for a motion to approve the minutes of the May 3, 2019 Committee meeting, which was made by Trustee Stern, and a second, which was made by Trustee Thomas. Chair Powers asked for further discussion, and then all in favor of the motion and any opposed, and the motion was approved unanimously.

The Committee next considered the one Action Item that it had to address.

4.0 Action Item

AC1. Office of Internal Audit Work Plan – June 1, 2019 – December 31, 2019

The Office of Internal Audit establishes its audit coverage with a work plan that identifies the activities and issues they plan to cover. Interim Chief Audit Executive Joe Cannella presented the current work plan reflecting the planned projects for the period from June 1, 2019 to December 31, 2019. He stated the work plan was prepared, based on the previous audit risk assessment with addition of known projects. The objective is to address key functions, activities and units of the university for the purpose of evaluating and improving the effectiveness of its risk management, internal controls and governance processes. The audit work plan will be reviewed semi-annually in response to changing priorities, conditions, or audit resources and is expected to utilize the efforts of the university's evolving enterprise risk management program.

Chair Powers asked for a motion to approve Committee Action Item AC1, which was made by Trustee Powers, and a second, which was made by Trustee Thomas. Chair Powers asked for any further discussion, and then asked for all in favor of the motion and any opposed and the motion was approved unanimously. This is the final action on this matter. Further Board of Trustees action is not required.

The following Discussion/Informational Items were the addressed by the Committee:

5.0 Discussion/Information Items

5.1 Update on External Audits

Chair Powers provided an update on external audit activity that has been conducted by the Auditor General's Office. Chair Powers explained that there are currently three audits under way at this time: the financial statement audit of the university for the FYE June 30, 2019, the Bright Futures audit covering the FYE June 30, 2018 and 2019, and the operational audit of the university. Results for these audits are expected during the first quarter of the next calendar year.

5.2 Compliance Program Update

Executive Associate Vice President Ruszczyk gave a compliance update, which included the status of the required institutional ethics and conflicts of interest training being developed by UF leadership for the trustees, and UFOLIO, a new and improved online outside interests and activities disclosure form.

Executive Associate Vice President Ruszczyk advised that they are midway through development of the training, which is being demonstrated to various user and advisory groups and subject matter experts within the university. Board Chair Husseini expressed his interest in the university taking the lead on this important, required training and the importance of working quickly and collaboratively with the General Counsel's office to develop a policy and set of guidelines. Trustee Patel advised that this policy has been discussed in the Governance Committee and they are working with the General Counsel's office to incorporate into the university's Governance standards, which he expects to be ready in time for the September BOT meeting.

5.3 Audits and Other Reviews

Interim Chief Audit Executive Joe Cannella presented the following internal audit projects that had been issued since the last Committee meeting:

- 1) UFF Financial Monitoring Controls
- 2) Driver and Information Database
- 3) Online Education

The reports and summaries, which had been previously provided to the Committee for detailed review, were briefly discussed and questions were answered.

Interim Chief Audit Executive Cannella also discussed the Executive Expense Review Protocol, which was expanded to include that the Office of Internal Audit will regularly report to the Trustees on the review process, including an evaluation of the procedures and independent testing to verify that controls are working as intended.

5.4 Quarterly Follow-up

Interim Chief Audit Executive Cannella also reported on the follow-up status of comments and action plans from previously issued internal and other audits and indicated that there was nothing of significance to report, nor any follow-up ceased due to departmental inaction.

6.0 New Business

Senior Vice President and Chief Operating Officer Lane gave an update on the Chief Audit Executive search and indicated that the search has produced some fantastic applicants. The search committee is scheduled to meet on June 7, interviews to take place on July 17 and 18, finalists to be named by early August and a decision made in late August.

7.0 Adjourn

There being no further discussion, Committee Chair the meeting was adjourned at 8:25 a.m.



COMMITTEE ON AUDIT AND COMPLIANCE

Meeting Minutes
Telephone Conference
Call November 1, 2019

123 Tigert Hall, University of Florida, Gainesville, FL Time Convened: 2:04 p.m.

Time Adjourned: 2:27 p.m.

Committee and Board members present:

Marsha D. Powers (Committee Chair), David L. Brandon, Rahul Patel, Robert G. Stern, Ray G. Thomas, Anita Zucker, Tom Kuntz

Others present:

Charlie Lane, Senior Vice President and Chief Operating Officer; Mike McKee, Vice President and Chief Financial Officer; Joe Cannella, Interim Chief Audit Executive; Elizabeth Ruszczyk, Executive Associate Vice President and Chief Compliance Officer; and other members of the University community.

1.0 Roll Call

Deb Kinney conducted a roll call of all Committee and Board members.

2.0 Call to Order and Welcome

Committee Chair Powers welcomed everyone in attendance and stated that the purpose of the conference call is to discuss the upcoming Committee's agenda for the December 6, 2019 Board of Trustees' Committee meeting.

3.0 Review Agenda for December 6, 2019 Meeting

Committee Chair Powers introduced the action items on the Agenda and asked Interim Chief Audit Executive Joe Cannella to discuss them further.

Action Items:

AC1 University of Florida Performance Based Funding and Preeminent Status Metrics – Data Integrity (Audit Report) and Data Integrity Certification

Interim Chief Audit Executive Joe Cannella provided a presentation and explanation of the Performance Base Funding and Preeminence - Data Integrity Audit and Data Integrity Certification, which is required by the Board of Governors in support of its Performance

Based Funding Model. He mentioned the audit is being conducted by the Office of Internal Audit and should be ready in the next few weeks.

AC2 Office of Internal Audit Work Plan – January 1, 2020 - June 30, 2020

Interim Chief Audit Executive Joe Cannella explained that the Office of Internal Audit's Annual Report and Strategic Plan Update, which was distributed in late September, includes required disclosures to the Board. During the December meeting he would present a shortened version of the document as well as planned projects for the period from January 1, 2020 to June 30, 2020.

AC3 Annual Report for Compliance Programs

Executive Associate Vice President and Chief Compliance Officer Elizabeth Ruszczyk presented the Executive Summary of the Compliance Program Annual Report. She indicated that a more robust Annual Report will follow, for the period September 2018 through August 2019.

Discussion Items:

Update on External Audits

Committee Chair Powers advised that the Auditor General was conducting three external audits: an audit of Financial Statements for fiscal year ending June 2019, a Bright Futures audit for FY 18-19, and the UF Operational Audit.

Strategic Update

Senior Vice President and Chief Operating Officer Charlie Lane gave an update on the Chief Audit Executive search. He mentioned that we are fortunate Joe Cannella has agreed to continue serving as interim CAE. Additionally, UF has engaged with consultants to facilitate an Enterprise Risk Management program.

Compliance Program Update

Executive Associate Vice President and Chief Compliance Officer Elizabeth Ruszczyk will give an update of the Compliance Office and UFolio Project.

Audits of Affiliated Organizations

Interim Chief Audit Executive Joe Cannella advised that he and CFO Mike McKee discussed audits of affiliated organizations and there was nothing significant to report, but the schedule of audits received would be provided with the materials and will include any audits received.

Audit and Other Reviews

Interim Chief Audit Executive Joe Cannella will review the following audits at the Audit and Compliance Committee meeting in December: myUAA Business Processes, UAA

Sports Health, UFF Endowed and Non-Endowed Restricted Gifts, Research Shield Computing Environment, Performance Based Funding and Preeminence – Data Integrity. He also indicated the following Management Advisory reports would be delivered to management and noted: Reitz Union Fiscal Reconciliation, Revenue Contracting and the Office of Youth Compliance Services.

Quarterly Follow-Up

Interim Chief Audit Executive Joe Cannella advised that the Office of Internal Audit follows up on our audits and the Auditor General's audits. If significant or if follow-up has ceased, he will report more detailed information to the Committee.

4.0 New Business

Committee Chair Powers reviewed items from the recent Board of Governor's meeting, including repeat audit findings, reviewing DSO's audits, and focusing on ERM. She advised that CFO McKee will be preparing responses to the BOG related to their review.

The meeting was adjourned at 2:27 pm.



COMMITTEE ON AUDIT AND COMPLIANCE ACTION ITEM AC1 December 6, 2019

SUBJECT: University of Florida Performance Based Funding and Preeminence – Data Integrity (Audit Report) and Data Integrity Certification

BACKGROUND INFORMATION

Pursuant to Section 1001.92, Florida Statutes, the Board of Governors has implemented a performance based funding model, which is intended to build upon the Board of Governors strategic plans and goals and annual accountability reports. The BOG also implemented the Preeminent State Research Universities Program in 2013 to award universities demonstrating high performance that meet or exceed 11 of the 12 benchmarks established. The integrity of the data provided to the Board of Governors by the universities is critical to these funding models. On June 18, 2019, the Chairman of the Board of Governors instructed each University President to execute a Performance Based Funding Data Integrity Certification to provide assurances that the data submitted to the Board of Governors for performance based funding decision-making is reliable, accurate, and complete. This form is to be approved by the university board of trustees and certified by the Board of Trustees Chair.

The Board of Governors Chair further instructed each university board of trustees to direct its Chief Audit Executive to perform an audit of the university's processes that ensure the completeness, accuracy and timeliness of data submissions to the Board of Governors. The results of this audit are to be accepted by the university board of trustees.

The Office of Internal Audit has performed such an audit and on November 21, 2019 issued audit report No. 19-737-22, Performance Based Funding and Preeminence – Data Integrity. The University President has executed the required Performance Based Funding Data Integrity Certification.

PROPOSED COMMITTEE ACTION

The Committee on Audit and Compliance is asked to accept the University of Florida Performance Based Funding and Preeminence - Data Integrity audit report as presented, and to approve the Performance Based Funding Data Integrity Certification, as executed by the President. The Committee is asked to recommend these items to the Board of Trustees for approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required. Submission to the Board of Governors is required after action by the Board of Trustees and certification by the Board of Trustees Chair.

Supporting Documentation Includ	ed: See attached <u>audit report and certification form.</u>
Submitted by: Joe Cannella III, Inte	erim Chief Audit Executive
Approved by the University of Flo	orida Board of Trustees, December 6, 2019
 Morteza Hosseini, Chair	 W. Kent Fuchs, President and Corporate Secretary

UNIVERSITY OF FLORIDA

OFFICE OF INTERNAL AUDIT

Audit of: University of Florida

Performance Based Funding and Preeminence – Data Integrity

Period of Audit: As of September 30, 2019

Report Issue Date: November 21, 2019

Report Number: UF-19-737-22



Office of the President Office of Internal Audit

903 W. University Avenue PO Box 113025 Gainesville, FL 32611-3025 352-392-1391 352-392-3149 Fax http://oia.ufl.edu

November 21, 2019

MEMORANDUM

TO: UF Board of Trustees Audit and Compliance Committee

FROM: Joe Cannella III

Interim Chief Audit Executive

SUBJECT: Performance Based Funding and Preeminence - Data Integrity audit

We audited the University of Florida's data submission process related to data metrics used for the Board of Governors' performance based funding initiative and preeminent designation status, as of September 30, 2019. The attached report defines the scope of our audit and contains analysis and comments.

Please call me if you have any questions regarding the contents of the audit report.

JC:dh

cc: President Fuchs

Provost and Sr. Vice President Sr. Vice President and COO

Assistant Provost and Director, Institutional Planning and Research

Auditor General

UNIVERSITY OF FLORIDA

PERFORMANCE BASED FUNDING AND PREEMINENCE – DATA INTEGRITY

As of September 30, 2019

TABLE OF CONTENTS

EXECUTIVE SUMMARY	1
AUDIT REPORT	2
Scope and Objectives	2
Background	2
Overall Conclusion	5
Attachment A: Preeminent Research University Funding Metrics	
Attachment B: Board of Governors Performance Based Funding 2019 Metric Definitions	
Attachment C: Performance Based Funding Metric Scores for 2016-2017, 2017-2018, 2018-2 and 2019-2020.	2019
Attachment D: Performance Based Funding March 2020 Data Integrity Certification	
Attachment E: Overview of the University SUDS Submission Data and Process Flows	
MANAGEMENT RESPONSE	14

PERFORMANCE BASED FUNDING AND PREEMINENCE – DATA INTEGRITY

EXECUTIVE SUMMARY

Pursuant to Section 1001.92, Florida Statutes, the Board of Governors (BOG) implemented a performance based funding (PBF) model, which is intended to build upon the BOG's strategic plans and goals and annual accountability reports. This model seeks to further elevate the SUS while acknowledging each university's distinct mission. The BOG also implemented the Preeminent State Research Universities Program in 2013 to award universities demonstrating high performance. The program was designed to promulgate a set of academic and research excellence standards where universities must meet or exceed 11 of the 12 benchmarks to earn the preeminent designation.

The integrity of the data provided by the universities is critical to the BOG decision-making process. Therefore, the BOG developed a Data Integrity Certification Form to provide assurances that the data submitted to the BOG for PBF and preeminence status is reliable, accurate, and complete. This certification form is to be executed by the university president, affirmatively certifying each representation and/or providing an explanation as to why the representation cannot be made as written. The certification is also to be approved by the university Board of Trustees (BOT) and executed by the BOT chair.

On June 18, 2019, the chairman of the BOG instructed each university's BOT to "direct the university chief audit executive to perform, or cause to have performed by an independent audit firm, an audit of the university's processes that ensure the completeness, accuracy and timeliness of data submissions" to the BOG. This audit will provide an objective basis of support for the president and BOT chair to certify the required representations.

The Office of Internal Audit conducted an audit, as of September 30, 2019, of the university's data submission process related to metrics used for the BOG's performance based funding initiative and preeminence status. The primary objective of this audit was to determine the adequacy of university controls in place to promote the completeness, accuracy, and timeliness of these data submissions to the BOG.

Based on the results of our audit procedures, we concluded that controls over the university's data submission process were adequate to promote the completeness, accuracy, and timeliness of submitted data for PBF and preeminence metrics. Our conclusion of "adequate" indicates that controls were in place and functioning as designed.

PERFORMANCE BASED FUNDING AND PREEMINENCE - DATA INTEGRITY



Scope and Objectives

On June 18, 2019, the chairman of the BOG instructed each university board of trustees to "direct the university Chief Audit Executive to perform, or cause to have performed by an independent audit firm, an audit of the university's processes that ensure the completeness, accuracy and timeliness of data submissions" to the BOG.

We have completed an audit, as of September 30, 2019, of the university's data submission process related to data metrics used for the BOG's performance based funding initiative and preeminence status. The primary objective of this audit was to determine the adequacy of university controls in place to promote the completeness, accuracy, and timeliness of these data submissions to the BOG.

Because of the inherent limitation in the application of such controls, errors or irregularities may, nevertheless, occur and not be detected. Also, assurances regarding the adequacy of internal controls cannot be projected to future periods due to the risk that procedures may become inadequate because of changes in conditions or compliance with procedures may deteriorate.

We conducted the audit in accordance with the International Standards for the Professional Practice of Internal Auditing, as promulgated by the Institute of Internal Auditors. The audit fieldwork was conducted from July 11, 2019 through October 22, 2019 in accordance with the 2019 audit work plan, and pursuant to the BOG directive to the University of Florida BOT.

Background

During the 2019 Legislative Session, lawmakers approved Senate Bill 190 to amend Florida Statutes section 1001.706, which states that each university shall conduct an annual audit to verify that the data submitted for Preeminent State Research Universities Program and State University System Performance-Based Incentive complies with the data definitions established by the board and shall submit the audit to the Board of Governors Office of Inspector General as part of the annual certification process required by the Board of Governors.

The Preeminent State Research Universities Program was established in 2013 to award universities demonstrating high performance. It promulgated a set of academic and research excellence standards such as graduation rates, student retention rates, research expenditures, the number of patents awarded, and the size of the endowment. Universities must meet or exceed 11 of the 12 benchmarks to earn the preeminence designation. Attachment A identifies the preeminence metrics and University of Florida's performance, as reported in the 2019 Accountability Plan.

The PBF model was established in 2014 to align the State University System Strategic Plan goals and acknowledge the unique mission of the different institutions. The PBF Model includes ten metrics that evaluate the institutions' performance in a variety of different strategic areas:

- Eight of the ten metrics are common to all institutions. These include metrics on employment after graduation, cost to the student, graduation rates, academic progress, programs of strategic emphasis, and access to the university. In March 2018, metric four was changed from a six-year graduation rate to a four-year graduation rate.
- The ninth metric, chosen by the BOG, focuses on areas of improvement and distinct missions of each university. For the University of Florida, this metric was changed in November 2017 to be Percent of Bachelor's Degrees without Excess Hours.
- The final metric is chosen by each university BOT from the remaining metrics in the University Work
 Plans that are applicable to their mission. The performance score for 2019 was based on the
 national ranking for number of licenses/options executed annually. In 2020, the university has
 elected to change this metric to the six-year Graduation Rate.

Attachment B provides a list of the BOG Performance Based Funding Metric Definitions. Attachment C identifies the University of Florida's final scores for the past three allocation years and the 2019-2020 benchmarks.

The BOG Regulation 3.007, State University System (SUS) Management Information System, states the SUS universities shall provide accurate data to a management information system established and maintained by the BOG Office. The BOG has created a web-based State University Database System (SUDS) Master File Submission Subsystem for the SUS to report their data.

Upon upload of all required files to SUDS, the system will generate a series of edits and standard reports to identify errors or anomalies which may cause the files to be rejected. There is an iterative process to validate that the submissions are free from BOG identified errors. These items are corrected or explained on the source file and uploaded to the system to be checked again. Once that is accomplished, the university is ready to 'officially' submit the data to the BOG for approval. The electronic submission certifies that the file/data represents the position of the university for the term reported.

The BOG developed a Data Integrity Certification process to obtain assurances that the data submitted to the BOG for PBF and preeminence status is reliable, accurate, and complete. This certification form is to be executed by the university president, affirmatively certifying each representation and/or providing an explanation as to why the representation cannot be made as written. The certification form is also to be approved and certified by the BOT chair. This audit is intended to provide an objective basis of support for the president and BOT chair to certify the required representations (see Attachment D).

Organizational Responsibilities

The Office of Institutional Planning and Research (IPR) is responsible for providing university leadership with information that supports institutional planning, policy formation and decision making; serving as a comprehensive source for information about the institution; and administering the reporting of institutional data to state and federal entities.

The IPR consisted of a data administrator, appointed to certify and manage the submission of data, and eight other staff responsible for completing BOG requests as well as requests from other internal or external entities. The IPR estimated they annually receive more than 1,200 data requests, of which at least 40 percent originate from the BOG.

Data owners at the university consist of the core offices responsible for the extraction and compilation of the institutional data that support the BOG submissions and other data requests. Upon creating the submission file from the authoritative system of records, key staff are responsible for reviewing and correcting data in the information systems prior to the submission through SUDS. The following offices/units were responsible for compiling the data files and were included within the scope of this audit:

- Office of Admissions (Admissions): Responsible for the admission records related to freshman, transfer, graduate, online and international students. This data was used for the calculation of average high school GPA (Preeminence Metric 1a) and average SAT score (Preeminence Metric 1b). The admission data also served as the underlying source to derive the key PBF elements for the Enrollments table.
- Office of University Registrar (OUR): Responsible for student records and degree information
 used to create the Student Instruction File (SIF) and Degrees Awarded (SIFD). This data was used
 in the PBF and preeminence metrics involving graduation, retention, academic progress, cost to
 student, and strategic emphasis.
- Office of Undergraduate Affairs (OUA): Responsible for degree audit monitoring and generation of the Hours to Degree (HTD) file. This data was used for determining the cost to the student (PBF Metric 3) and excess hours (PBF Metric 9).
- **Bursar**: Responsible for processing waivers in the Student Financial System. This data was used in PBF Metric 3 for determining the cost to the student.
- Student Financial Affairs (SFA): Responsible for the financial aid award data used to create the SFA file. This data was used in Cost to Student (PBF Metric 3) and University Access Rate (PBF Metric 7).
- Cost Analysis: Responsible for compiling the cost of research expenditures reported in the National Science Foundation (NSF) Higher Education Research and Development Survey (HERD).
 This information is used for determining the amount of research expenditures in multiple preeminence metrics.
- Office of Technology Licensing (OTL): Responsible for compiling a list of all licenses/options and reporting to the Association of University Technology Managers through their annual Licensing Survey. The information is used for the BOT Choice Metric 10f.
- Enterprise Systems (ES): This unit provided information technology (IT) support to the various other units and was directly responsible for maintaining certain systems as well as compiling data and generating reports from those systems for the other core offices.

The data owners work collaboratively with IPR to review and explain errors noted in the SUDS edit summaries. The IPR then performs a final review to evaluate data accuracy prior to certifying the submission to the BOG for their approval. At any point, the BOG may ask the university to address additional exceptions requiring further review, explanation, or resubmission of the file.

Attachment E is a flowchart summarizing the data and process flows from extraction through the BOG approval.

Prior Audit Comments

An internal control audit of Performance Based Funding – Data Integrity was performed as of September 30, 2018, with audit report UF-19-723-08 issued October 30, 2018. The audit results included no comments in regards to the university's data submission process.

Overall Conclusion

To identify and evaluate the controls in place relative to the university's data submissions in support of the PBF and preeminence metrics, we conducted employee interviews, performed analytical reviews, evaluated risks related to each metric, reviewed program extraction codes, performed process walkthroughs, and tested reported values to source data.

Based on the results of our audit procedures, we concluded that controls over the university's data submission process were adequate to promote the completeness, accuracy, and timeliness of submitted data for PBF and preeminence metrics. Conclusions relative to specific data owners and other comments on the data submission process, including audit procedures employed, are described below.

Data Administrator (DA)

BOG Regulation 3.007(2) states that each university president shall appoint an institutional DA to certify and manage the submission of data to the SUS management information system. We verified the president had formally appointed the IPR director as the DA for the university and her job description clearly defined her role as the DA. We noted that the IPR director has the overall responsibility to serve as the official point of contact with the BOG for submission of data and reports. These responsibilities included the integrity of data reported to the BOG and promoting data stewardship on campus by working with different functional areas to resolve data issues, improve data quality and to assure that external reporting standards are met.

The DA also participated in the Council of Data Administrators (CODA) with other Florida university data administrators. The council's vision statement asserts that the CODA exists to promote and ensure that reliable and consistent data are used and reported by SUS institutions for current and future information-based decisions. Collectively, the council can improve communication or find solutions that institutions consistently address related to SUDS such as standardizing codes or edits between data elements.

IPR Review and Edit Procedures

BOG Regulation 3.007(2)(b) states that the DA is responsible for providing complete responses to information requests within the time frame specified by the Board Office. The Office of Data Analytics is responsible to collect and manage the SUDS submissions for the BOG. Pursuant to the schedule set forth in the submission section of the specification of each file, IPR utilized a Data Request System to communicate and monitor the required deadlines with data owners.

Extensive procedures were performed by the data owners during their data extraction and review, and by the IPR during their review and submissions. We noted IPR worked with the data owners and the BOG to resolve errors or inconsistencies within data elements. Each data owner was required to submit a certification statement summarizing the work performed to verify the accuracy of the data, the supporting documents were maintained, and to acknowledge that the file was ready to submit.

We noted that IPR had comprehensive written procedures and checklists to document the work initiation and quality-checking procedures to validate the accuracy of the data being submitted. For example, IPR staff reviewed and compared historical data for validity. We reviewed the support and verified their review was adequately documented in the Data Quality Review summary where it provided a reference and steps that were needed to resolve the data issues from recurring in subsequent submissions

With the implementation of the new student system as described in the IT section below, we verified IPR performed an extensive review in checking the accuracy and consistency of data for all elements amongst the tables within the submission for SIF and SIFD. We reviewed the Data Quality Review Summary and email correspondence to address data issues and discrepancies such as student demographics, courses taken, student group, fundable credit hours, and residency.

The IPR director also submitted an annual letter to the president attesting to her due diligence to promote assurance that the submissions were timely, accurate and complete. The IPR created a file sharing site called the President's Portal to store and retrieve documentation and correspondence concerning the submissions for the metrics.

We tested all twelve SUDS submissions for PBF and preeminence from October 1, 2018 (the date of our last audit) through September 30, 2019 to verify timeliness and certification by the data owners. We noted that all submissions were submitted by data owners with certification for accuracy and explanations of any errors, approved by the DA, and accepted by the BOG. However, we noted that four submissions were not submitted according to the scheduled date due to technical difficulties of resolving errors in the new student system. Specifically, the delay of the Fall 2018 SIF submission impacted the timely submission of SIFD and Retention as these files verified the referential integrity from SIF. While there were no formal extension procedures, we verified that the DA had notified the BOG chief data officer of the planned delay prior to the submission date to ensure the revised submission date would meet their reporting requirements.

Based on the results of our review, we concluded that the IPR employed adequate review and edit processes, including appropriate documentation of their procedures.

Information Technology

The university completed a large-scale three-year project in December 2018 to implement a new student information system, Oracle/PeopleSoft Campus Solutions, to replace the decades old mainframe-based student systems. Key offices, dedicated staff, and an implementation consultant were involved in the project and Campus Solutions was implemented on August 20, 2018 with a stabilization period through the end of the year.

For this audit period, we noted that the reporting conversions had been completed for SIF and SIFD submissions. Conversely, the development, testing, and review was underway for Admissions, SFA and HTD. Due to the timing of new systems, data conversion and new data input, the submission for SIF and SIFD were sourced from Campus Solutions while others used the mainframe as the authoritative source and system of record. The legacy mainframe system was still used for some processing until the system was officially decommissioned and shut down on October 2, 2019.

To gain an understanding of the new information systems and data, we interviewed staff, reviewed project documentation and meeting notes, and engaged with a federation of student data users. The Campus Solutions information system was designed to store and update transactional student data in real time. UFIT utilized DataStage, a specialized set of extraction, translation, and loading (ETL) processes, as part of the SUDS reporting. The ETL processing began with making "snapshots" of the transactional data. A daily snapshot was created for preparing the BOG data tables and getting the submission files ready for data owners to review. As each BOG SUDS submission was nearing its due date, a special "frozen snapshot" was created on the freeze date. The frozen snapshot was then used to build the submission tables. After the submission, the "build" tables were copied into an "archive" table. In this manner, permanent secure records of the data were kept as that data existed in the transactional system precisely at the time the snapshot was taken, to ensure reproducibility, auditability, and accountability.

We reviewed the ETL processes and program code for SIF and SIFD to ensure that the BOG reporting requirements were adequately addressed. Our review focused on the program logic, data flow, and data mappings. We evaluated the key elements pertaining to PBF and preeminence metrics for alignment with the SUDS Data Dictionary definitions. Based on our review of the system documentation, we concluded that the programming logic steps were reasonable for accurate and complete data extraction and transformation. We identified one category of student type, post-baccalaureate, that was not coded accurately. Although this student type did not have any impact on the relevant metrics, we reported the error to management and staff confirmed with IT that it was corrected. Overall, we concluded that the reported data elements were derived appropriately from the Campus Solutions system of record data sources.

Program change management controls were in place for Campus Solutions applications, production scheduled jobs and the DataStage ETLs, as well as generated reports in the Cognos Analytics environment. SUDS submission data was stored in staging tables in an Oracle database in Campus Solutions. A custom application allows OUR and Admissions staff to make corrections to the data prior to generating the submission file for uploading to SUDS. IT staff were tasked with the system and program changes. Functional staff could make changes to data only through the applications, providing a separation of job functions. We reviewed system and data security to ensure direct data access to files and relational database tables had been controlled. Appropriate role-based security for DataStage was assigned to staff based on their job duties, as well as appropriate Oracle database access restrictions. In addition, IT had adequate process in place to document requests to update the submission file including the SQL code used and the records affected.

Based on our review, we determined that there were adequate IT system controls in place for change management, access restrictions, data quality, audit logging, and overall security of student data in Campus Solutions.

DATA OWNERS

Using the established BOG methodology for PBF and preeminence, we performed a risk analysis to determine the level of review, including the amount of testing we would perform with data owners. Our risk analysis took into consideration changes in the information systems and internal procedures for extraction, review, and submission processes. We also considered staffing changes, changes in reporting requirements between years, variances in the data reported, and score received. Based on the results of our risk analysis, our review primarily focused on university data submissions. We did not include in the scope of our review the three preeminence metrics in which the BOG utilized third party information directly.

To understand the requirements for complete and accurate submissions, we reviewed the SUDS Data Dictionary, documentation from SUS data workshops, and BOG methodology and procedures applicable to the PBF and preeminence metrics. The BOG issued annual notices communicating updates for reporting of institutional data based on the results of SUS data workshops. Depending on the required changes, the university may need to modify procedures or program codes. An example of a change noted in the SUS data workshop was that a new value for Benacquisto Scholars was added to the waiver type for SIF, which related to Metric 3.

The following is a summary of our review and conclusions for each data owner:

Office of Admissions

Admissions was responsible for application servicing for all levels of student entry into the university including beginning freshmen, transfers, graduate students, online students, students applying to professional school programs, and international recruitment strategies.

Historically, Admissions used the legacy mainframe-based systems for all information processing. As part of the COMPASS project, Admissions implemented a new admission processing system, known as Slate, in September 2018, for future applications. The submissions for Fall 2018 and Spring 2019 were continually sourced from the mainframe while admission applications processing transitioned to Slate.

We performed a walk-through of controls at Admissions including edit processes, error correction, and data extraction and upload processes. Written procedures and a processing checklist were in place to verify the accuracy of data for submissions generated using the mainframe. Admissions staff reviewed internal reports and SUDS system error reports for inconsistencies and invalid data. Example of errors reported included invalid last institution code, mismatch for degree highest held, and missing high school GPA/test scores.

We randomly selected 100 records from the Fall 2018 and Spring 2019 submissions to verify the accuracy of key elements identified for the preeminence metrics. Our testing noted that the high school GPA and college GPA were accurately reported for admitted students. However, we identified six instances where the best sub-score for ACT was not reported. We discussed these exceptions with IPR and concluded there was no impact to any PBF or preeminence metrics, and management has confirmed that this issue was corrected in the new system.

Based on the results of our review, we concluded that the Admissions' processes were reasonable for extraction, review and upload of student data to the SUDS in the mainframe environment.

The Summer 2019 data was submitted on September 20, 2019 and was the first file sourced from Slate. Due to the timing of the audit, our review was limited to the review of the general processing controls and testing of the mainframe process, as new procedures were being developed for Slate. For example, staff stated they compared the submission data to admission type reports from Slate to identify the correct population of students.

Office of University Registrar (OUR)

In Campus Solutions an academic structure consisted of a student's career, program, and plans. These records are linked to the terms, classes, grades and graduation in the Student Information System, which is the authoritative system of records for the SIF, SIFP, SIFD, and HTD submissions. Metrics generated from these records involved graduation, retention, academic progress, and information regarding the programs of strategic emphasis (STEM programs).

The OUR utilized continuous monitoring procedures to help assure the student data was accurate. The OUR quality control checks looked for internal inconsistencies in the data such as a student with invalid degree level sought, undergraduate students with an invalid degree highest held, invalid race or gender code, and student class level inconsistent with other data. Additionally, written procedures specifically addressed processing and review of ad hoc reports, production jobs, and uploads. We noted that the quality control procedures were performed by experienced staff prior to the final review by the DA. With the conversion of more than 19 million student enrollment records from legacy mainframe data, the OUR worked closely with IT to identify and resolve data issues such as programming logic or data mapping for elements in the SIF submissions for both Fall 2018 and Spring 2019.

To ascertain the accuracy of the data submitted for SIF and SIFD, we analyzed the submitted data for Spring 2019 as compared to the system data represented by a frozen snapshot generated in the ETL process. Our analysis noted that very minimal changes (less than 0.2 percent) were made to correct SUDS reported diagnostic errors. We evaluated all these adjustments and determined they were reasonable based on the correlation with other variables from the file.

We utilized various testing methods to verify the reported information agreed to the data in Campus Solutions. For some elements we verified 100 percent of the data and others we selected a random sample of student records from the SIF and SIFD submissions covering all the key elements identified in the BOG Methodology. We found several instances where the total institutional grade points and hours in the Fall 2018 SIF submission did not match. Upon discussions with IPR, we concluded that the errors did not have an impact on PBF metrics, and the root cause was identified and corrected.

Based on the results of our review, we concluded that the OUR's processes were adequate for extraction, review and upload of student data to the SUDS.

Bursar's Office

Waivers are a non-cash method of satisfying all or a portion of tuition and fee costs, which include state-authorized waivers, graduate assistantship/fellowship, employee education program, and UF department awarded waivers. We noted that the Bursar's Office had an adequate process in place for ensuring that waivers were applied to the correct students' accounts in the myUFL Student Financial System.

Additionally, IPR had coordinated with the Bursar's Office for validation of the waivers, which were reported as part of the SIF submission and used for PBF Metric 3. We compared the submission file with the frozen snapshot and confirmed the minor differences were appropriate as it pertained to university's regulations on state funding. We also ran an enterprise report for Spring 2019 and determined that the total dollar amount of all waivers reported was accurately reflected in myUFL. Based on our audit results, we concluded that the procedures used to report waivers were generally adequate.

Office of Undergraduate Affairs (OUA)

The "Hours to Degree" (HTD) file consists of students who graduated with their first baccalaureate degree but not more than one degree or a combined degree (BS/MS). Additionally, the submission contains a Courses to Degree (CTD) table which contains a listing of courses for each student that indicates whether the course was used to satisfy their degree.

The annual submission for 2017-2018 HTD was generated from the legacy mainframe student records system prior to decommissioning. The key OUA staff confirmed that there were no procedural changes relating to the HTD submission, which utilized the Student Academic Support System (SASS) to evaluate the student's academic records in reference to the completion of program requirements for each degree. To build the HTD file, the IT staff had developed an automated process to pull all the data together from the OUR core files in the Student Records System and combine that with the degree audits to create the submission file.

Through the review of correspondence, we observed that there were shared efforts amongst OUA and OUR in checking the count of students and courses data for accuracy. We randomly selected 30 students and verified that the students' course information in CTD matched the data in the student record system. We found no differences for the key elements tested. Based on the results of our review, we concluded that the OUA's processes were adequate for extraction, review and upload of student data to the SUDS.

Student Financial Affairs (SFA)

The primary role of SFA is to provide financial resources to students who would otherwise be unable to receive post-secondary education. Universities generally use financial aid to offset the published tuition price to recruit students based on merit and/or to impact campus diversity. The BOG utilized the amount of grants and scholarships students received to calculate cost to the student for PBF Metric 3 and to evaluate the percentage rate of university access for students from low-income families (PBF Metric 7).

We reviewed SFA's documented procedures for data extraction, review and upload, noting no significant changes since the prior audit in staffing, procedures, or BOG reporting requirements. Management also

documented their review procedures for historical data comparison and verification of variances in financial aid award programs such as the Florida Public Student Assistance Grant.

We randomly selected 100 financial aid awards and verified the amount reported to the BOG agreed with the SFA financial records in the system without exception.

Based on the results of our review, we concluded that SFA employed adequate processes to ensure data accuracy, completeness, and timely creation of the submission file.

Office of Technology Licensing (OTL)

The OTL connects researchers with investors and industry to lead them through the commercialization process. The OTL was responsible for reporting licenses (patents, copyrights, and trademarks) to the Association of University Technology Managers (AUTM) in their annual Licensing Survey. The data in this survey was used by the BOG to identify the university's ranking within Association of American Universities for Metric 10f.

We reviewed the process OTL utilized to reporting licenses. As noted in the 2019 Accountability Plan, there were a total of 226 licenses reported to the AUTM for the 2018 fiscal year. We verified that the number of licenses reported agreed with the information tracked in the internal database. Based on our review, the processes to compile and report the licensing information were generally adequate to promote that the licenses were accurately reported.

Cost Analysis

Total research expenditures reported to the NSF were used in the preeminence metrics. Cost Analysis was responsible for responding to the NSF HERD survey and had developed queries using myUFL general ledger data to identify all university research-related expenses. Adequate written procedures were established to ascertain the specific accounting chart fields used to identify research expenditures. In addition, the information was reviewed and approved by the university controller and director for the Division of Sponsored Programs prior to submission.

We compared the total research expenditures reported in the 2018 HERD survey with the university's audited financial statement ended on June 30, 2018. We determined that the amounts reported were comparable and far exceeded the minimum threshold established for the preeminence metrics.

Based on our audit results, we concluded that the procedures used to report amounts in the HERD survey used by the BOG were adequate.

OTHER COMMENTS

Retention

The BOG built an annual retention file using data submitted from ADM, SIF, and SIFD. It established the number of students in a cohort and the number of those same students who are retained or graduated by a specified year. Such information is used in the calculation of retention and graduation rates for both the PBF and preeminence metrics.

We noted that IPR had procedures and processes in place for reviewing and analyzing the retention data generated by the BOG. Specifically, staff compared the generated data with internal data to establish the correct cohort population for first-time in college and transfer students. In addition, IPR had processes in place for submitting the appropriate cohort adjustments as permitted by the BOG. We noted that IPR staff obtained data from OUR for deceased students so they could be removed from the cohort. IPR analyzed the degree information in the retention file for the identification of students who were admitted to an Advanced Graduate program, such as Pharmacy or Accounting, without first earning a bachelor's degree.

We randomly selected 15 students in the 2017-2018 Retention file and verified the enrollment records in Campus Solutions. Our review confirmed that the adjustments to the cohort were appropriate as the students were enrolled in a graduate degree program for Pharmacy or Accounting and were not awarded a bachelor's degree. Based on the audit results, we determined there were adequate controls in place over the verification of accuracy on the retention submission.

Resubmissions

Resubmissions are typically an iterative process between the BOG, the DA and the data owners to correct data errors or anomalies identified by the SUDS edit process. Resubmissions may also be necessary in the event the university finds errors in its reporting system or the BOG does not agree with the comments on errors identified in the SUDS review process. When errors are identified with a data submission that has already been accepted, BOG Regulation 3.007(2)(c) requires the DA shall email a resubmission request to the Office of Data Analytics.

We reviewed the DA's data resubmissions to the BOG to ensure these resubmissions were necessary, authorized, and were not indicative of any inherent problems in the submission process for PBF and preeminence metrics. Below is a summary of the resubmissions that occurred during the audit period:

- Retention for 2017-2018 was resubmitted to align the graduation rate with federal reporting (IPEDS) based on the BOG's clarification of cohort adjustments.
- The summer 2018 Admission file was resubmitted due to incomplete reporting of all test scores for applicants. Management explained that the IT staff did not correctly change the programming logic to reflect new the BOG requirement.
- The fall 2018 Admission file was resubmitted to correct a specific student group (PaCE students).
 Management explained that the data was erroneously generated in the legacy mainframe student system.

Based on management's assertions and our detailed review of these submissions, we determined that the resubmissions were completed for data changes that would have no impact on the university's PBF and preeminence metrics and did not represent potential issues for future submissions as Admissions was migrating to Campus Solutions.

SUDS System Access Control

Data upload and submissions to the BOG were performed through a secure website. The DA was assigned the role of Data Administrator for the SUDS System by the BOG System Administrator. The DA's role was the highest level assignable at the institution and was assigned to only one individual at each SUS institution.

As of September 2019, there were 48 employees with access to SUDS. The DA and three IPR staff were the only individuals authorized to finalize submissions. In addition, the DA and IPR associate director of Analytic Services were the only individuals with the security manager role that provided the ability to create users and assign roles to the authorized submissions that the user is responsible to process.

Procedures required a written approval by the supervisor and the DA to grant access to SUDS. We verified that the required approvals were obtained for all new users during the audit period. IPR also generated a monthly monitoring report to identify any changes in university personnel records for users with access. Based on our review of monitoring reports, we concluded that adequate controls were in place over authorization and monitoring of SUDS access.

General Comment

We wish to express our appreciation to the management and staff of the Office of Institutional Planning and Research, UFIT Enterprise Systems, the Office of the University Registrar, the Office of Admissions, Cost Analysis and Office of Technology and Licensing for the courtesy and cooperation extended to us during this review.

Audit Supervised by: Joe Cannella

Audit Conducted by: Jeff Capehart

Lily Ly Choi Choi

Preeminent Research University Funding Metrics

Metric	Measure		% over
#		2019	Required
1 a	Average GPA An average weighted grade point average of 4.0 higher on a 4.0 scale for fall semester incoming freshmen	4.4	10%
1b	Average SAT Score An average SAT score 1200 or higher on a 1600-point scale for fall semester incoming freshmen	1355	13%
2	Public University National Ranking A top-50 ranking on <u>at least two</u> well-known and highly respected national public university rankings	10	400%
3	Freshman Retention Rate 90 percent or higher for full-time, first-time-in-college students	96	7%
4	Four-year Graduation Rate 60 percent or higher for full-time, first-time-in-college students	67.1	12%
5	National Academic Memberships <u>Six or more</u> faculty members at the state university who are members of a national academy	29	383%
6	Science & Engineering Research Expenditures (\$M) Total annual research expenditures, including federal research expenditures, of \$ 200 million or more	\$831	316%
7	Non-Medical Science & Engineering Research Expenditures (\$M) Total annual research expenditures in diversified nonmedical sciences of \$\frac{150 \text{ million or more}}{2}\$	\$506	237%
8	Number of Broad Disciplines Ranked in Top 100 for Research Expenditures A top-100 university national ranking for research expenditures in <u>five or more</u> science, technology, engineering, or mathematics fields of study	7 of 8	40%
9	Utility Patents Awarded One hundred or more total patents awarded by the United States Patent and Trademark Office for the most recent 3-year period	319	219%
10	Doctoral Degrees Awarded Annually Four hundred or more doctoral degrees awarded annually	1,627	307%
11	Number of Post-Doctoral Appointees <u>Two hundred or more</u> postdoctoral appointees annually	661	231%
12	Endowment Size (\$Millions) An endowment of \$500 million or more	\$1,735	247%

PERFORMANCE BASED FUNDING 2019 METRIC DEFINITIONS

1. Percent of Bachelor's Graduates Enrolled or Employed (\$25,000+) One Year After Graduation	This metric is based on the percentage of a graduating class of bachelor's degree recipients who are enrolled or employed (earning at least \$25,000) somewhere in the United States. Students who do not have valid social security numbers and are not found enrolled are excluded. This data now includes non-Florida data from 41 states and districts, including the District of Columbia and Puerto Rico. Sources: State University Database System (SUDS), Florida Education & Training Placement Information Program (FETPIP) and Florida Department of Economic Opportunity (DEO) analysis of Wage Record Interchange System (WRIS2) and Federal Employment Data Exchange (FEDES), and National Student Clearinghouse (NSC).
2. Median Wages of Bachelor's Graduates Employed Full-time One Year After Graduation	This metric is based on annualized Unemployment Insurance (UI) wage data from the fourth fiscal quarter after graduation for bachelor's recipients. This data does not include individuals who are self-employed, employed by the military, those without a valid social security number, or making less than minimum wage. This data now includes non-Florida data from 41 states and districts, including the District of Columbia and Puerto Rico. Sources: State University Database System (SUDS), Florida Education & Training Placement Information Program (FETPIP) and Florida Department of Economic Opportunity (DEO) analysis of Wage Record Interchange System (WRIS2) and Federal Employment Data Exchange (FEDES), and National Student Clearinghouse (NSC).
3. Cost to the Student Net Tuition & Fees for Resident Undergraduates per 120 Credit Hours	This metric is based on resident undergraduate student tuition and fees, books and supplies as calculated by the College Board (which serves as a proxy until a university work group makes an alternative recommendation), the average number of credit hours attempted by students who were admitted as FTIC and graduated with a bachelor's degree for programs that requires 120 credit hours, and financial aid (grants, scholarships and waivers) provided to resident undergraduate students (does not include unclassified students). Source: State University Database System (SUDS), the Legislature's annual General Appropriations Act, and university required fees.
4. Four Year FTIC Graduation Rate	This metric is based on the percentage of first-time-in-college (FTIC) students who started in the Fall (or summer continuing to Fall) term and were enrolled full-time in their first semester and had graduated from the same institution by the summer term of their fourth year. FTIC includes 'early admits' students who were admitted as a degree-seeking student prior to high school graduation. Source: State University Database System (SUDS).
5. Academic Progress Rate 2nd Year Retention with GPA Above 2.0	This metric is based on the percentage of first-time-in-college (FTIC) students who started in the Fall (or summer continuing to Fall) term and were enrolled full-time in their first semester and were still enrolled in the same institution during the Fall term following their first year with had a grade point average (GPA) of at least 2.0 at the end of their first year (Fall, Spring, Summer). Source: State University Database System (SUDS).
6. Bachelor's Degrees within Programs of Strategic Emphasis	This metric is based on the number of baccalaureate degrees awarded within the programs designated by the Board of Governors as 'Programs of Strategic Emphasis'. A student who has multiple majors in the subset of targeted Classification of Instruction Program codes will be counted twice (i.e., double-majors are included). Source: State University Database System (SUDS).
7. University Access Rate Percent of Undergraduates with a Pell-grant	This metric is based the number of undergraduates, enrolled during the fall term, who received a Pell-grant during the fall term. Unclassified students, who are not eligible for Pell-grants, were excluded from this metric. Source: State University Database System (SUDS).
8a. Graduate Degrees within Programs of Strategic Emphasis	This metric is based on the number of graduate degrees awarded within the programs designated by the Board of Governors as 'Programs of Strategic Emphasis'. A student who has multiple majors in the subset of targeted Classification of Instruction Program codes will be counted twice (i.e., double-majors are included). Source: State University Database System (SUDS).

PERFORMANCE BASED FUNDING 2019 METRIC DEFINITIONS

Attachment B

8b. Freshmen in Top 10% of High School Class Applies only to: NCF

Percent of all degree-seeking, first-time, first-year (freshman) students who had high school class rank within the top 10% of their graduating high school class.

Source: New College of Florida as reported to the Common Data Set.

BOG Choice Metric

9. Percent of Bachelor's Degrees Without Excess Hours

This metric is based on the percentage of baccalaureate degrees awarded within 110% of the credit hours required for a degree based on the Board of Governors Academic Program Inventory. Note: It is important to note that the statutory provisions of the "Excess Hour Surcharge" (1009.286, FS) have been modified several times by the Florida Legislature, resulting in a phased-in approach that has created three different cohorts of students with different requirements. The performance funding metric data is based on the latest statutory requirements that mandates 110% of required hours as the threshold. In accordance with statute, this metric excludes the following types of student credits (ie, accelerated mechanisms, remedial coursework, non-native credit hours that are not used toward the degree, non-native credit hours from failed, incomplete, withdrawn, or repeated courses, credit hours from internship programs, credit hours up to 10 foreign language credit hours, and credit hours earned in military science courses that are part of the Reserve Officers' Training Corps (ROTC) program).

Source: State University Database System (SUDS).

PERFORMANCE BASED FUNDING 2019 METRIC DEFINITIONS

BOT Choice Metrics	
10a. Percent of R&D Expenditures Funded from External Sources FAMU	This metric reports the amount of research expenditures that was funded from federal, private industry and other (non-state and non-institutional) sources. Source: Accountability Report (Table 6A), National Science Foundation annual survey of Higher Education Research and Development (HERD).
10b. Bachelor's Degrees Awarded to Minorities FAU, FGCU, FIU	This metric is the number, or percentage, of baccalaureate degrees granted in an academic year to Non-Hispanic Black and Hispanic students. This metric does not include students classified as Non-Resident Alien or students with a missing race code. Source: Accountability Report (Table 4I), State University Database System (SUDS).
10c. National Rank Higher than Predicted by the Financial Resources Ranking Based on U.S. and World News FSU	This metric is based on the difference between the Financial Resources rank and the overall University rank. U.S. News measures financial resources by using a two-year average spending per student on instruction, research, student services and related educational expenditures - spending on sports, dorms and hospitals doesn't count. Source: US News and World Report's annual National University rankings.
10d. Percent of Undergraduate Seniors Participating in a Research Course NCF	This metric is based on the percentage of undergraduate seniors who participate in a research course during their senior year. Source: New College of Florida.
10e. Number of Bachelor Degrees Awarded Annually UCF	This metric is the number of baccalaureate degrees granted in an academic year. Students who earned two distinct degrees in the same academic year were counted twice; students who completed multiple majors or tracks were only counted once. Source: Accountability Report (Table 4G), State University Database System (SUDS).
10f. Number of Licenses/Options Executed Annually UF	This metric is the total number of licenses and options executed annually as reported to Association of Technology Managers (AUTM). The benchmarks are based on UF's rank within AAU institutions. Source: Accountability Report (Table 6A), University of Florida.
10g. Percent of Undergraduate FTE in Online Courses UNF	This metric is based on the percentage of undergraduate full-time equivalent (FTE) students enrolled in online courses. The FTE student is a measure of instructional activity that is based on the number of credit hours that students enroll by course level. Distance Learning is a course in which at least 80 percent of the direct instruction of the course is delivered using some form of technology when the student and instructor are separated by time or space, or both (per 1009.24(17), F.S.). Source: Accountability Report (Table 3C), State University Database System (SUDS).
10h. Number of Postdoctoral Appointees USF	This metric is based on the number of post-doctoral appointees at the beginning of the academic year. A postdoctoral researcher has recently earned a doctoral (or foreign equivalent) degree and has a temporary paid appointment to focus on specialized research/scholarship under the supervision of a senior scholar. Source: National Science Foundation/National Institutes of Health annual Survey of Graduate Students and Postdoctorates in Science and Engineering (GSS).
10i. Percentage of Adult Undergraduates Enrolled UWF	This metric is based on the percentage of undergraduates (enrolled during the fall term) who are at least 25 years old at the time of enrollment. This includes undergraduates who are unclassified (not degree-seeking) students. Source: State University Database System (SUDS).

Performance Based Funding Metric Scores

Metric	Metric Description	Points				
#			2016-2017	2017-2018	2018-2019	2019-2020
1	Percent of Bachelor's Graduates Enrolled or Employed (earning at least \$25,000) - in the U.S. One Year After Graduation Metric benchmark scale was changed in 2017-2018	Points Received Maximum Points Percent of Maximum	6 10 60%	8 10 80%	9 10 90%	9 10 90%
2	Median Wages of Bachelor's Graduates Employed Full-time One Year After Graduation Metric calculation and benchmark scale were changed in 2017-2018	Points Received Maximum Points Percent of Maximum	8 10 80%	10 10 100%	10 10 100%	10 10 100%
3	Cost to the Student - Net Tuition and Fees per 120 credit hours	Points Received Maximum Points Percent of Maximum	6 10 60%	8 10 80%	8 10 80%	10 10 100%
4	Four Year FTIC Graduation Rate - Percent of first-time-In-college students who graduate within four years Metric change in 2018-2019 from Six Year FTIC Graduation Rate	Points Received Maximum Points Percent of Maximum	10 10 100%	10 10 100%	10 10 100%	10 10 100%
5	Academic Progress Rate - 2nd Year Retention with GPA Above 2.0	Points Received Maximum Points Percent of Maximum	10 10 100%	10 10 100%	10 10 100%	10 10 100%
6	Bachelor's Degrees Awarded within Programs of Strategic Emphasis - as designated by the Board of Governors	Points Received Maximum Points Percent of Maximum	10 10 100%	10 10 100%	10 10 100%	10 10 100%
7	University Access Rate - Percent of Undergraduates with a Pell-grant Access rate benchmark was changed in 2018-2019 due to Senate Bill 4	Points Received Maximum Points Percent of Maximum	10 10 100%	9 10 90%	6 10 60%	6 10 60%
8a	Graduate Degrees Awarded within Programs of Strategic Emphasis - as designated by the Board of Governors	Points Received Maximum Points Percent of Maximum	10 10 100%	10 10 100%	10 10 100%	10 10 100%
9	Percent of Bachelor's Degrees without Excess Hours Metric change in 2018-2019 from Number of Faculty Awards: applies to UF and FSU only	Points Received Maximum Points Percent of Maximum	5 10 50%	10 10 100%	10 10 100%	10 10 100%
10f	Number of Licenses/Options Executed Annually: applies to UF only Metric was changed in 2017-2018	Points Received Maximum Points Percent of Maximum	7 10 70%	10 10 100%	10 10 100%	10 10 100%
Note:	Points in red are based on improvement scoring	Total Points Received	82	95	93	95
		Maximum Points Percent of Maximum	82%	100 95%	93%	100 95%



Data Integrity Certification March 2020

University Name:	
INSTRUCTIONS: Please respond "Yes" or "No" for each represent the representation you are making to the Board of Governors. Modification and the findings.	1 3 1
Data Integrity Certification	on Representations
Representations	Ves No Comment / Reference

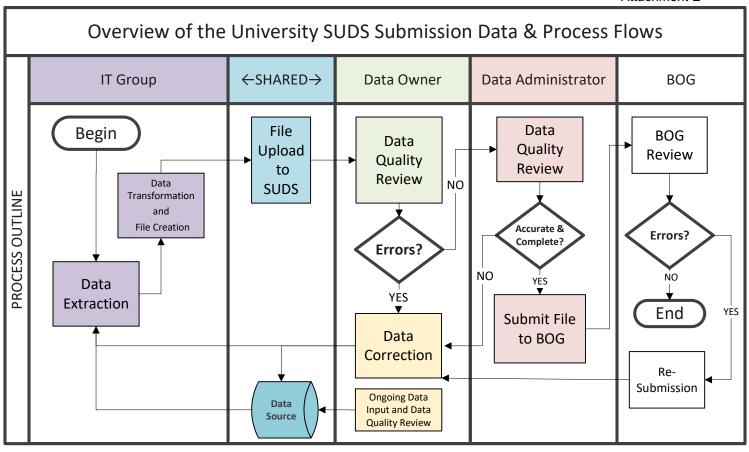
	But integrity certification representati	10115		
	Representations	Yes	No	Comment / Reference
1.	I am responsible for establishing and maintaining, and have established and			
	maintained, effective internal controls and monitoring over my university's			
	collection and reporting of data submitted to the Board of Governors Office			
	which will be used by the Board of Governors in Performance Based Funding			
	decision-making and Preeminence or Emerging Preeminence Status.			
2.	These internal controls and monitoring activities include, but are not limited			
	to, reliable processes, controls, and procedures designed to ensure that data			
	required in reports filed with my Board of Trustees and the Board of			
	Governors are recorded, processed, summarized, and reported in a manner			
	which ensures its accuracy and completeness.			
3.	In accordance with Board of Governors Regulation 1.001(3)(f), my Board of			
	Trustees has required that I maintain an effective information system to			
	provide accurate, timely, and cost-effective information about the university,			
	and shall require that all data and reporting requirements of the Board of			
	Governors are met.			
4.	In accordance with Board of Governors Regulation 3.007, my university shall			
	provide accurate data to the Board of Governors Office.			
5.	In accordance with Board of Governors Regulation 3.007, I have appointed a			
	Data Administrator to certify and manage the submission of data to the			
	Board of Governors Office.			

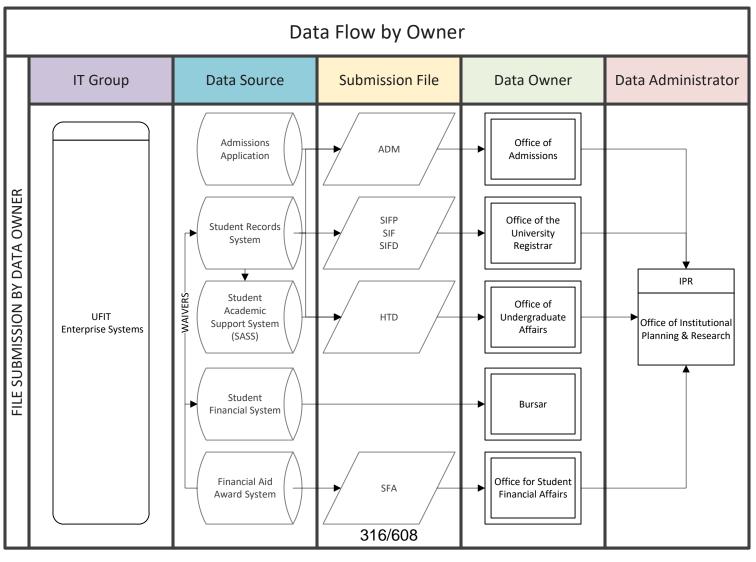
Data Integrity Certification

	Data Integrity Certification Representations					
	Representations	Yes	No	Comment / Reference		
6.	In accordance with Board of Governors Regulation 3.007, I have tasked my					
	Data Administrator to ensure the data file (prior to submission) is consistent					
	with the criteria established by the Board of Governors Data Committee. The					
	due diligence includes performing tests on the file using applications,					
	processes, and data definitions provided by the Board Office.					
7.	When critical errors have been identified, through the processes identified in					
	item #6, a written explanation of the critical errors was included with the file					
	submission.					
8.	In accordance with Board of Governors Regulation 3.007, my Data					
	Administrator has submitted data files to the Board of Governors Office in					
	accordance with the specified schedule.					
9.	In accordance with Board of Governors Regulation 3.007, my Data					
	Administrator electronically certifies data submissions in the State University					
	Data System by acknowledging the following statement, "Ready to submit:					
	Pressing Submit for Approval represents electronic certification of this data					
	per Board of Governors Regulation 3.007."					
10	. I am responsible for taking timely and appropriate preventive/ corrective					
	actions for deficiencies noted through reviews, audits, and investigations.					
11	. I recognize that Board of Governors' and statutory requirements for the use					
	of data related to the Performance Based Funding initiative and Preeminence					
	or Emerging Preeminence status consideration will drive university policy					
	on a wide range of university operations – from admissions through					
	graduation. I certify that university policy changes and decisions impacting					
	data used for these purposes have been made to bring the university's					
	operations and practices in line with State University System Strategic Plan					
	goals and have not been made for the purposes of artificially inflating the					
	related metrics.					

Data Integrity Certification

Data Integrity Certification Representations				
Representations	Yes	No	Comment / Reference	
12. I certify that I agreed to the scope of work for the Performance Based				
Funding Data Integrity Audit and the Preeminence or Emerging				
Preeminence Data Integrity Audit (if applicable) conducted by my chief audit				
executive.				
13. In accordance with section 1001.706, Florida Statutes, I certify that the audit				
conducted verified that the data submitted pursuant to sections 1001.7065				
and 1001.92, Florida Statutes [regarding Preeminence and Performance-				
based Funding, respectively], complies with the data definitions established				
by the Board of Governors.				
	. .	•		
Data Integrity Certification Representations,	Signa	tures		
I certify that all information provided as part of the Board of Governors Data Integranding and Preeminence or Emerging Preeminence status (if applicable) is true as I understand that any unsubstantiated, false, misleading, or withheld information certification void. My signature below acknowledges that I have read and unders information will be reported to the board of trustees and the Board of Governors. Certification:	and co relation tand t	rrect t ng to t hese s	o the best of my knowledge; and these statements render this	
I certify that this Board of Governors Data Integrity Certification for Performance Emerging Preeminence status (if applicable) has been approved by the university the best of my knowledge. Certification: Date			9	
Board of Trustees Chair				







Office of the Provost and Senior Vice President

235 Tigert Hall PO Box 113175 Gainesville, FL 32611-3175 352-392-2404 Tel 352-392-8735 Fax

November 19, 2019

Audit and Compliance Committee University of Florida Board of Trustees 903 W. University Avenue, Room 217 CAMPUS

Dear BOT Audit and Compliance Committee Members:

I am writing to indicate my concurrence with the *Performance Based Funding-Data Integrity* audit report as of September 30, 2019. I have reviewed the substance of that report in a meeting with Joe Canella and the audit staff in an exit meeting on November 7, 2019.

I would like to thank Joe and his staff for the substantial amount of work they put into this audit review in support of the university's Performance Funding effort.

Sincerely yours,

Joseph Glover

Provost and Senior Vice President for Academic Affairs



COMMITTEE ON AUDIT AND COMPLIANCE ACTION ITEM AC2 December 6, 2019

SUBJECT: January 1 – June 30, 2020 Office of Internal Audit Work Plan

BACKGROUND INFORMATION

The Board of Governors Regulation 4.002 (6) states the chief audit executive shall develop audit plans based on the results of periodic risk assessments. The plans shall be submitted to the board of trustees for approval. A copy of approved audit plans will be provided to appropriate university management and the Board of Governors.

The Office of Internal Audit establishes its audit coverage with a work plan that identifies the activities and issues they plan to cover. The current work plan was prepared to reflect the planned projects for the period from January 1, 2020 to June 30, 2020. The audit work plans are prepared, pursuant to an audit risk assessment, with the objective of addressing key functions, activities and units of the university for the purpose of evaluating and improving the effectiveness of its risk management, internal controls and governance processes.

The Board of Governors Regulation 4.002 (8) also requires the chief audit executive to prepare a report summarizing the activities of the office for the preceding fiscal year by September 30th. The report shall be provided to the president, board of trustees, and the Board of Governors. The report was provided to the University of Florida Board of Trustees on September 24th and submitted to the Board of Governors.

PROPOSED COMMITTEE ACTION

The Committee on Audit and Compliance is asked to take review and approve the OIA work plan through June 30, 2020. The Committee is asked to recommend this item to the Board of Trustees for approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Submission to the Board of Governors o required, but Board of Governors approva	of a copy of the Office of Internal Audit Work Plan is all is not required.
Supporting Documentation Included: Jan Plan Update	nuary 1 – June 30, 2020 Office of Internal Audit Work
Submitted by: Joe Cannella, Interim Chief	Audit Executive
Approved by the University of Florida Bo	ard of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary

Office of Internal Audit Work Plan

January 1 to June 30, 2020

Status / Expected

Title	Release Date
Audit and Assurance Projects	
Animal Care Services (Audit)	January 2020
Executive Expense Review (Audit)	February 2020
Interim Performance Based Funding Submissions (Assurance)	March 2020
UAA Academic Support Services (Audit)	March 2020
UFF Information Technology Controls (Audit)	March 2020
Identity Access Controls (Audit)	April 2020
Initial OneUF IT Risk Assessment Validation (Audit)	April 2020
UFF Restricted Gifts – Endowed Funds (Audit)	June 2020
UFF Restricted Gifts – Non-Endowed Funds (Audit)	June 2020
Quarterly OneUF IT Risk Assessment Validation (Audit)	July 2020
Research Shield Computing Environment (Audit)	August 2020
Conflicts of Interests (Assurance)	August 2020
Construction Funding (Audit)	-
Distance Learning - UF Online (Audit)	-
Enterprise Risk Assessment (Assurance)	-
Gator1 Card Information System (Audit)	-
Student Payments and Collections (Audit)	-
International Travel <i>(Audit)</i>	-
UAA TBD <i>(Audit)</i>	-
UF Health Assessment - Privacy (Assurance)	-
UFF TBD (Audit)	-
Advisory and Consulting Projects	
Identity and Access Management	December 2019
Off-Campus Locations Assessment	December 2019
Data Integrity and Analytics	December 2019
International Activities Risk Assessment	January 2020
Academic Medical Center Risk Assessment	fieldwork
Information Technology Scorecard Program	planning
Academic Integrity	-
Data Governance	-
Investigative Services	
Complaint Intake and Triage	ongoing
Review and Investigation Procedures	ongoing
Investigative Monitoring and Reporting	Quarterly
Other Significant Activities	
Strategic Initiatives:	
Data Analytics	December 2019
Unit Level Assessment Program	March 2020
Enterprise Risk Assessment	June 2020
Follow-up	Quarterly
Operations:	
Audit Committee Materials and Reporting	Quarterly
Office Relocation	February 2020
Outreach and Collaboration (support programs)	ongoing
External Audit Coordination	as needed



COMMITTEE ON AUDIT AND COMPLIANCE ACTION ITEM AC3 December 6, 2019

SUBJECT: 2018-2019 Compliance Annual Report

BACKGROUND INFORMATION

The Board of Governors Regulation 4.003 requires each university Board of Trustees to review and approve the Compliance Annual Report prior to submission to the Board of Governors.

PROPOSED COMMITTEE ACTION

The Committee on Audit and Compliance is asked to review and approve the 2018 – 2019 Compliance Annual Report which will be provided to the Board of Governors. The Committee is asked to recommend this item to the Board of Trustees for approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required.	
Supporting Documentation Included: 2018-2019 Compliance Annual Repor	See attached, <u>2018-2019 Compliance Annual Report</u> ; and t Executive Summary.
Submitted by: Elizabeth Ruszczyk, Co	ompliance Officer
Approved by the University of Florid	a Board of Trustees, December 6, 2019
Morteza Hosseini, Chair	W. Kent Fuchs, President



TABLE OF CONTENTS

- 1. INTRODUCTION AND SCOPE OF COMPLIANCE PROGRAM
- 2. GOVERNANCE AND HIGH LEVEL OVERSIGHT
- 3. POLICIES AND STANDARDS OF CONDUCT
- 4. OPEN COMMUNICATIONS AND REPORTING
- 5. TRAINING AND EDUCATION
- 6. AUDITING AND MONITORING
- 7. ADDRESSING KNOWN OR POTENTIAL ISSUES
- 8. ENFORCING STANDARDS
- 9. PROGRAM EFFECTIVENESS & SUCCESS STORIES

323/608 2 | Page

COMPLIANCE PROGRAM ANNUAL REPORT

Sept. 2018 – Aug. 2019

INTRODUCTION AND SCOPE OF COMPLIANCE PROGRAM

The University of Florida (University) recognizes the importance of complying with all applicable federal, state, and local laws. To demonstrate the University's commitment to ethical conduct and compliance, the Board of Trustees adopted and implemented a University-wide Compliance Program. The Compliance Program is designed to promote ethical conduct, effectively prevent or detect non-compliance, and maximize compliance with laws and regulations. The Compliance Program is consistent with the Code of Ethics for Public Officers and Employees, the Federal Sentencing Guidelines, the Florida Board of Governors Regulations, and the Office of Inspector General (OIG) Program Compliance Guidance. The Compliance Program represents the shared vision and commitment to a culture of compliance across the organization with significant interactive involvement from multiple areas.

While the University Compliance Officer maintains oversight and responsibility for the system-wide Compliance Program as required by Chapter 8 of the Federal Sentencing Guidelines and Board of Governors Regulation 4.003, UF Compliance Partners are an integral component of the Compliance Program. The thirty five (35) Compliance Partners not only lead targeted compliance programs in their area but also provide continued support to the UF Compliance Program. This report reflects some of the collaborative efforts of all Compliance Partners and the UF Compliance Office and highlights compliance and ethics activities across the organization from September 1, 2018 to August 31, 2019.

This report is organized to provide a high-level summary of each Compliance Program component, to demonstrate how the University meets the requirements of an effective compliance program, and to provide examples of the University's effective compliance efforts across the institution for this reporting period.

The key offices and colleges that submitted information to the UF Compliance Office regarding compliance activities within their units for this reporting period are displayed on the next page.

324/608 3 | Page

Offices and Colleges that Reported Compliance Efforts

- 1. Academics •
- 2. ADA/Title IX
- 3. Advancement
- 4. Athletics
- 5. Business Affairs
- 6. Business Services
- 7. College of Dentistry
- 8. College of Medicine
- 9. College of Nursing
- 10. College of Pharmacy
- 11. College of PHHP
- 12. College of Veterinary Medicine
- 13. Emergency Management
- 14. Enrollment Management
- 15. Environment Health and Safety
- 16. Facilities Services
- 17. Finance & Accounting
- 18. Government and Community Relations
- 19. IFAS
- 20. Planning, Design, & Construction
- 21. Real Estate
- 22. Research
- 23. Strategic Communications and Marketing
- 24. Student Life
- 25. UF Health Compliance Services
- 26. UF Human Resources (UFHR)
- 27. UF Information Technology (UFIT)
- 28. UF Police Department (UFPD)/Clery Act
- 29. UF Privacy

Academic Areas Submitting a Report:

- Academics/Provost Office
- College of the Arts
- College of Business (Warrington)
- College of Design, Construction and Planning (includes UF Rinker School of Construction Management)
- College of Education and P.K. Yonge Developmental Research School
- College of Engineering (Herbert Wertheim)
- College of Health and Human Performance
- College of Journalism and Communications
- College of Law (Levin)
- College of Liberal Arts and Sciences
- International Center (UFIC)

Research Areas Submitting a Report:

- Animal Care Services (ACS) and Institutional Animal Care and Use Committee (IACUC)
- Division of Research Compliance and Global Support (DRCGS)
- Division of Research Operations Office of Research – Conflict of Interest (COI)
- Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting
- Institutional Biosafety Committee (IBC) and Dual Use Research of Concern (DURC)
- Institutional Review Board (IRB)
- Office of Research/CTSI Office of Clinical Research
- Office of Research Affairs, Jacksonville

325/608 4 | Page

GOVERNANCE AND HIGH-LEVEL OVERSIGHT

Board of Governors Regulation 4.003 requires the institution's governing authority, including the University President and Board of Trustees, to be knowledgeable about the content and operation of the compliance and ethics program and to exercise reasonable oversight with respect to its implementation and effectiveness. High-level personnel shall be assigned overall responsibility for the compliance and ethics program and must ensure that the institution's program is effective.

At the University of Florida, the Board of Trustees, UF Audit and Compliance Committee, and the Chief Compliance Officer provide governance and high-level oversight of the Program. In addition, various UF Compliance Partners and leaders serve on the Board of Trustees Committees or other committees that promote compliance and ethics and/or have oversight on compliance and ethics activities.

For this reporting period, University leadership, the UF Compliance Office, Compliance Partners, and key personnel participated in various committees and activities that demonstrate broad and effective compliance governance and oversight. The following points describe such activity as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) Academics/Provost's Office

- The Provost participates in the Academic, Faculty and Student Affairs & Experience Committee, which supports policy, processes, and programs that impact student, faculty, and external stakeholder experience at the University.
- Dr. Chris Hass participates in the Academic Policy Council and the Constitution and Regulations Committee.
- Dr. Chris Hass is a member of the UFOLIO (UF Online Interest Organizer)
 Advisory Committee. UFOLIO is a new online activities and interests
 reporting system which will be implemented system-wide.
- o Dr. Chris Hass sits on the University Data Governance Council.
- The Provost's Office coordinates with departments and colleges, the Graduate School, the Office of Undergraduate Affairs, the Office of Institutional Research, the Florida Board of Governors (BOG), the Council of Academic Vice President's Academic Coordinating Group, and other State University System (SUS) institutions on academic program development, changes, suspensions, and closures in compliance with BOG and Board of Education (BOE) requirements.

(2) College of the Arts

Assistant Dean Anthony Kolenic serves as the College of the Arts' Southern

326/608 5 | Page

Association of Colleges and School Commission on Colleges liaison to the University of Florida.

(3) College of Business

Staff in the Dean's office act as level 1 approvers for hires, and they process all payroll distributions to ensure payroll accuracy. The Dean's office also signs Payment Authorization (PA) forms for Foundation funds, which provides oversight on appropriate spending. The PA form is no longer going to be required by the Foundation, but the College has decided to continue to require it for the oversight.

(4) College of Education (COE) & P.K. Yonge School

- Rosabel Ruiz and Mandi Shaffer are part of the organizing committee of the Business & Research Administrators' information Network (BRAiN). BRAiN provides an open forum to share knowledge and experience and discuss best practices in the area of research administration. The group meets six times a year. Various core offices are invited to provide updates on important issues and to inform of any upcoming business process change. The committee, which is comprised of Stephanie Gray and Tiffany Schmidt, choose topics that are of interest and relevance to the research community.
- Ellen Young is on the HR Liaison Committee. This committee looks at federal mandates and how to implement them at UF. Participants of this committee also discuss changes necessary at UF for process improvements, how to enforce them, and why they should be changed to promote compliance and ethics.
- Maria Leite is on the Campus Diversity Committee.

(5) College of Engineering (HWCOE)

UNIVERSITY OF FLORIDA TRAINING REACTOR:

 The Nuclear Regulatory Commission (NRC) is the federal agency that licenses the University of Florida Training Reactor (UFTR) for operation and provides oversight through a comprehensive inspection process.

SAFETY:

O The HWCOE Director of Safety leads the Engineering Safety Steering Committee. Committee members are responsible for bringing safety compliance issues to the committee, representing their department during meetings, and expressing concerns with college-proposed safety-related policies and programs. Members also communicate the committee's efforts back to their faculty and department chair.

FINANCE AND ADMINISTRATION:

 Executive Director of Finance and Administration worked with senior leadership at UF in the Finance and Accounting Division, Vice President of Research, Director of Research Compliance, Sr. Vice President and

327/608 6 | Page

- Provost's Office, Audit Compliance Office, and other offices to ensure administrative, operational, and financial functions were aligned and appropriately integrated with University policies and practices.
- Each Department has an Associate Director (AD) of Administrative Services who is responsible for general compliance oversight. The AD is a college employee and reports to the Executive Director. The college takes the position that all employees are responsible for compliance. Compliance committees are formed for specific topics as they arise. Each area distributes the state of Florida Code of Ethics brochures to faculty and staff. They also display compliance posters related to export control, conflicts of interest, and the UF compliance hotline.
- Meena Thiyagarajah is part of the Auxiliary advisory committee, a University Committee established to review and ensure compliance of operations within UF educational business services.
- Various regular meetings are held quarterly/monthly/weekly to discuss and work to resolve compliance issues as a part of their agendas, as needed, and include the following:
 - Business & Research Administrators Info Network
 - Research Administrators Monthly Meeting
 - Associate Director of Administration Monthly Meetings
 - Chairs Monthly Meetings
 - Dean's Executive Committee weekly meetings
 - Regular meetings between ADs and Contracts & Grants (C&G)

(6) College of Health and Human Performance (HHP)

- The HHP College Council serves as a forum for the mutual exchange of ideas between college administrators and faculty and staff. The College Council is the policy-making entity in the college.
- o The HHP Faculty Advisory Council (FAC) serves as a voice of the faculty and advisor to the Dean of the College on matters of interest and concern to the faculty. In this capacity, the Council's primary purpose is to serve as a liaison between the members of the faculty and the Dean by facilitating communication, sharing concerns, recommending improvements, and encouraging ideas to enhance the reputation of the college.
- The Associate Dean of Research and his staff assist the departments and faculty with grant submissions, management, and research/award compliance.

(7) College of Journalism and Communications (CJC)

O The Dean is part of a deans' group that meets monthly with other deans and University administrators where issues related to compliance and ethics are regularly discussed. The Executive Associate Dean participates in a similar meeting at the University level with other associate deans from across campus. The CJC's deans' and chairs' Senior Leadership Committee meets regularly to discuss these issues as well.

(8) College of Law

328/608 7 | Page

- The compliance and ethics oversight activities of the Levin College of Law include regular reporting to the College's accrediting body, the American Bar Association (ABA); adherence to the Statement of Good Practices of our membership association, the Association of American Law Schools (AALS); annual reporting to U.S. News; and adherence to the Faculty Policy Manual, which reflects policies adopted by the faculty through faculty votes.
- The Levin College of Law is also a member of the AALS, and through that membership, all of our faculty members are also AALS members. In the AALS Handbook, a Statement of Good Practices specifically addresses ethics: <u>Law Professors in the Discharge of their Ethical and Professional Responsibilities</u>. The Statement begins:
 - American law professors typically are members of two professions and need to comply with the requirements and standards of both. Law professors who practice law are subject to the law of professional ethics in force in the jurisdictions in which they are licensed to practice. In addition, as members of the teaching profession, all law faculty members are subject to the regulations of the institutions at which they teach and to professional guidelines that are more generally applicable, such as the Statement of Professional Ethics of the American Association of University Professors.

(9) College of Liberal Arts and Sciences

 Associate Dean Margaret Fields is a member of the Academic Assessment Committee, a UF-wide committee that oversees the administration of student learning outcomes and program goals for all majors and certificate programs. The Committee reviews all requests for compliance with the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) and UF policies, including ethics.

❖ ADA/Title IX

Leadership from the Office of ADA and Title IX Compliance serves on the
Alachua County Alcohol Coalition, the University Behavioral Consultation
Team, the Alachua County Threat Assessment Team, The University Green Dot
Coalition, the University Healthy Gators Coalition, the Alachua County Sexual
Assault Prevention Coalition, the University ADA Committee, the University
Course Substitution Committee, the University Athletic Association Title IX
Committee (as Chair), and the University Title IX Committee (as Chair).

Advancement

The University of Florida Foundation, Inc. (UFF) has an Executive Board
Audit Committee that meets four times each year and reports to the
Board. UFF has annual financial audits by an outside audit firm and
three financial and internal control audits each year by the University
of Florida Office of Internal Audit. All audit reports are reviewed by

329/608 8 | Page

organizational leadership and the Audit Committee.

Athletics

- The University Athletic Association is governed by a Board of Directors. Board bylaws require a standing Audit Committee be appointed and undertake audit and compliance oversight as specified below:
 - The Audit Committee shall consist of a minimum of three members. The Audit Committee shall adopt an Audit Committee Charter, and appropriate amendments thereto, which shall be submitted to the Board of Directors for adoption. The Audit Committee Charter and any amendments shall not become effective until approved by the President of the University or the President's designee. The Audit Committee shall operate in accordance with an Audit Committee Charter.
 - The Audit Committee shall arrange and oversee, on behalf of the Board of Directors, the rendering of internal audit service and independent, external financial audit services, in accordance with the Audit Committee Charter.
 - The Audit Committee shall also undertake other relevant projects which the Board of Directors, the Chairman of the Board of Directors or the President of this Corporation shall deem appropriate to assign to it.

Business Services

 Business Services coordinates and participates in the Food Service Advisory Committee (FSAC). The FSAC oversees food services on the UF campus, including Gator Dining (Aramark), Canteen, and Pepsi. Committee activities include monitoring food services and compliance with related regulations.

College of Dentistry

 Quality of Care issues and oversight of chart reviews are overseen by the Clinical Affairs and Quality Assurance Committee, its subcommittees, and the Clinic Administration/Associate for Clinical Affairs and Quality.

College of Medicine (COM)

Gainesville:

- The COM Compliance Office has representation as members of the Audit/Compliance Committee for the COM clinical faculty practice plan (Florida Clinical Practice Association).
- The COM Compliance Office actively engages with COM leadership via regular meetings with the Dean and other senior leaders, as needed, and representation at the Dean's Staff Meeting and COM Management Meeting.

Jacksonville:

- The COM Compliance Office participates in the following committee meetings in Jacksonville:
 - o University of Florida Jacksonville Physicians, Inc. (UFJPI) Board monthly.

330/608 9 | Page

- UFJPI Compliance Committee quarterly.
- UFJPI Charge Capture and Compliance Controls Committee monthly.
- o UFJPI Clinic Update Committee monthly.
- o Telemedicine Committee monthly.
- Global Compliance Issues Committee monthly.

College of Nursing

- The Compliance Officer for the College of Nursing is Debra Lyon, Executive Associate Dean.
- The College of Nursing has a Faculty Practice Audit Committee which includes the following members: Anna McDaniel, Dean; Denise Schentrup, Associate Dean for Clinical Affairs; Laura Huntley, Associate VP; Curtis Reynolds, VP; Rose Nealis, Clinical Associate Professor; Cynthia Firpi Figueroa, Clinical Assistant Professor.

College of Pharmacy (COP)

- The College of Pharmacy (COP) has had the following faculty and staff participate on relevant committees/boards:
 - John Markowitz, PharmD Institutional Review Board (IRB)
 - o Bonnie Avery, PhD Institutional Animal Care and Use Committee (IACUC)
 - o Rich Segal, PhD UF Outside Activities Advisory Group
 - Katherine Vogel Anderson, PharmD, BCACP Faculty Senate Chair, UF Board of Trustees
 - Kelly Sharp, MBA, CPA, CMA UF CFO Advisory Council
- In addition, the College of Pharmacy has the following positions within the College that have responsibility for compliance/ethics oversight:
 - Ben Burkley, Research Regulatory Manager
 - o John Evangelista, Controller/Associate Director for Finance
- Other activities include the Dean's regular participation in a dean's group that
 discusses ethics and compliance issues related to the university. The COP also
 operates a Shared Services Center (SSC) for grants, fiscal, and human resources
 management and processing. This keeps many compliance issues of the
 College centralized for improved oversight and consistent application of
 policies and procedures.

College of Public Health & Health Professions (PHHP)

• In his capacity as Dean, Dr. Perri would participate as requested by the Provost and/or the Senior Vice President for Health Affairs regarding items concerning governance and oversight.

College of Veterinary Medicine (CVM)

 In his capacity as Interim Dean, Dr. Vickroy participates as requested by the Provost and/or the Senior Vice President for Health Affairs and Senior Vice President for Agriculture and Natural Resources regarding all matters related to governance and oversight.

331/608 10 | Page

Emergency Management (UFDEM)

 The UFDEM Director serves as a Compliance Partner with the UF Compliance Program. Additionally, the UFDEM Director meets monthly via conference call with the other State University System emergency management directors to benchmark UF operations within the system.

Enrollment Management

- The Division of Enrollment Management's various units are a part of the University's compliance infrastructure as they monitor adherence to University, state and federal policies, regulations, and laws. The Division participates in the following committees:
 - University Information Security and Compliance Committee
 - University Curriculum Committee
 - o Admissions Committee
 - o Financial Aid Committee
 - o University Petitions Committee
 - Student Athletics Eligibility Committee
 - Commencement Committee

Environment Health and Safety (EH&S)

• EH&S has designated William S. Properzio, PhD, Director UF Environmental Health and Safety, to serve as the Compliance Partner for EH&S.

Facilities Services

 Facilities Services has designated a Compliance Partner to assist with collection of information for the UF Compliance Program. Facilities Services has a Safety Committee that works to make sure safety issues are addressed and employees receive up-to-date training on current safety policies.

❖ Finance & Accounting

- The Controller attends all Internal Audit exit conferences.
- The Controller and Accountant III attend Entrance and Exit Conferences with the Auditor General for Operational, Federal, and Financial Audits.
- The Controller attends all Audit and Compliance Committee meetings.

Government and Community Relations

 Mark Kaplan was selected as Vice President for Government and Community Relations and started on June 7, 2019. He replaced Jane Adams, the previous Vice President for UF University Relations. Mr. Kaplan is serving as the Vice President liaison to the Board of Trustees' Committee on Governance, Government Relations, and Internal Affairs, which meets throughout the year.

Institute of Food and Agricultural Sciences (IFAS)

 Dr. Jeanna Mastrodicasa, the Associate Vice President for Operations, serves on the campus Title IX committee as a deputy Title IX officer, on the UF Property Management Committee, on the Service Innovation Task Force, and

332/608 11 | Page

- on the UF Chief Financial Officer's Advisory Council.
- Additionally, the IFAS International Support Team has been created and is now working directly with IFAS faculty and staff who are planning activities outside of the United States (grant planning support, proposal and agreement review, travel feedback, partner vetting, etc.).
- IFAS Shared Services attend bi-monthly Shared Services Business Process
 Review committee meetings across campus. These meetings enable the Shared
 Services Centers to share best business practices, establish consistent
 expectations and metrics, as well as provide networking opportunities for each
 of the SSCs to share experiences and unique challenges.
- UF/IFAS Extension Business Services has been systematically training and updating county extension offices to bring their fiscal systems into compliance. They recently on-boarded the final county to the new business processes. The next phase will include administrative reviews of the same offices along with additional training and support for faculty and staff.

Planning, Design, & Construction (PD&C)

 PD&C actively participates in the University's Compliance Program. The Division also actively participates in FLBOG & SUS efforts towards the development and implementation of policies, guidelines, and regulations that that strengthen system-wide compliance efforts.

Real Estate

- Attends and provides feedback at BOT Committee of Finance and Facilities meetings.
- University of Florida Development Corporation Ex-officio member
- College Park/University Heights Redevelopment Advisory Board Ex-officio member
- Gainesville Area Chamber Innovation District Subcommittee

Research

- (1) <u>Animal Care Services (ACS) and Institutional Animal Care and Use Committee</u> (IACUC)
 - The IACUC is the governance committee for oversight of animal use in research, teaching, or testing on campus. This standing committee meets twice a month for 4-hour sessions. Members include faculty, ACS veterinarians, and public members.

(2) Division of Research Compliance and Global Support (DRCGS)

- Export Control Working Group DRCGS leads this working group, which meets quarterly. Members consist of individuals who are key stakeholders in promoting compliance for export controls.
- Insider Threat Group This is an effort led by DRCGS to ensure compliance with the National Industrial Security Program Manual (NISPOM). The group meets quarterly. Members consist of key stakeholders in Information Technology (UFIT), Human Resources (HR), the Office of General Counsel

333/608 12 | Page

- (OGC), Research, and the UF Police Department (UFPD) who monitor insider threats to the classified information security program at UF.
- Senate Council on Research and Scholarship (SCORS) Faculty senate council with responsibility for University policies and procedures relating to research and scholarship, including university-wide research and scholarship initiatives and strategies; research grants and contracts; research infrastructure; and other matters relating to funding or producing scholarship.
- Responsible Conduct of Research (RCR) on Campus Working Group Group
 of interested faculty and staff members with responsibilities for RCR
 activities on campus. The group meets quarterly and produces a variety of
 tools and trainings related to research integrity and the prevention of
 research misconduct.
- UFOLIO Group DRCGS participates in various working groups to support the launch of UF's new disclosure system, UFOLIO.
- DRCGS also participates in several national and regional groups including the Association of Research Integrity Officers, Association of University Export Control Officers, and the National Council of University Research Administrators. These groups provide information, training and knowledge sharing about a variety of compliance issues.
- The DRCGS Director meets regularly with the Vice President for Research.
 Additionally, the Director holds monthly meetings with the UF OGC and HR to maintain oversight of cross-cutting issues.

(3) <u>Division of Research Operations – Office of Research – Conflict of Interest (COI)</u>

 The Conflict of Interest (COI) program is an administrative unit that is dedicated to assessing when University employees engage in outside activities that could potentially interfere with their professional obligations to the University.

(4) Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting

The directors of Contracts and Grants and Division of Sponsored Programs meet regularly with the Vice President for Research, the General Counsel's office, the Chief Financial Officer, the Controller, and the Research Compliance Office to discuss topics. Special guests are invited to speak on specific topics requiring additional expertise. Monthly meetings with the Associate Deans for Research are held to gauge areas of interest and risk, to discuss implementation of policies and procedures, and to ensure a comprehensive understanding of the ability of faculty and staff to enact the policies and procedures effectively. The outcomes of the discussions are reflected on Office of Research websites, internal procedures, Finance & Accounting and General Counsel Policies, and Directives & Procedures.

(5) <u>Institutional Biosafety Committee (IBC) and Dual Use Research of Concern</u> (DURC)

 The IBC/DURC are the governance committees for oversight of research involving recombinant/synthetic DNA, BSL3 pathogens, and select agents.

334/608 13 | Page

This standing committee meets once a month for 3-hour sessions. Members include faculty, an ACS veterinarian, and community members. UF Environmental Health & Safety staff, particularly the Biological Safety Officer, participate in the review of research.

(6) <u>Institutional Review Board (IRB)</u>

The IRBs are the governance committees for oversight of conducting research with humans, human tissue, and/or human data. During this period, UF had three IRBs:

- IRB-01 Gainesville Health Science Center (all types of research). IRB-01
 meets twice each month for 5.5 hour sessions. Members include faculty,
 community members, as well as representatives from UF Health Shands
 and the North Florida/South Georgia VHS.
- IRB-02 Gainesville Campus (Behavioral/Social/Education research). IRB-02 meets once each month for 1.5-hour sessions. Members include faculty and community members.
- O IRB-03 Jacksonville Health Science Center (all types of research). IRB-03 met twice a month for 1.5-hour sessions. Members included faculty and community members. In the first quarter of 2019, the Vice President for Research determined that IRB-03 should be merged into IRB-01, and this occurred in two phases in June 2019 and July 2019. IRB-03 has subsequently been disbanded.

(7) Office of Research/CTSI Office of Clinical Research

- Office are active participants in the oversight/steering committee for the Office of Clinical Research (OCR). The Office of Clinical Research is charged with ensuring medical billing to patients or sponsors is conducted in a compliant fashion to meet both state and federal guidelines. All business activities regarding the establishment of the OCR have been reviewed and approved by this steering committee, which is a partnership between UF and UF Health. The Director of the Office of Clinical Research actively participates in the following committees to improve compliance adherence:
 - UF Office of Research Executive Leadership Meeting
 - UF College of Medicine Clinical Operations Committee
 - UF Health Nursing Research Impact Committee
 - UF CTSI Management Committee
 - UF Health Cancer Center Leadership Committee
 - UF Research Administration Taskforces (DSP, C&G, and OCR)
 - UF OCR Clinical Research Forum

(8) Office of Research Affairs, Jacksonville

The Office of Research Affairs (ORA) in Jacksonville adheres to all the
policies and procedures under the University of Florida, and Office of
Research divisions and units. ORA follows the guidelines and directives
provided by the Vice President of Research and other university leadership.
ORA participates in all audit and compliance activities under UF Office of

335/608 14 | Page

- Research, as well as other university audit and compliance initiatives as related to research.
- ORA Jacksonville is also a reporting structure under the Dean, College of Medicine, Jacksonville (COM-J). Therefore, ORA participates in all audit and compliance activities under the UF Health Science Center under the direction of the Senior Vice President for Health Affairs and all the administrative offices and units under this office.

Strategic Communications and Marketing

- The Strategic Communications and Marketing Office participates in the following relevant UF committees:
 - Board of Trustee Committee for Strategic Communications and Marketing
 - Board of Trustee Quarterly meetings
 - Board of Governors Quarterly meetings
 - o Commencement Committee
 - o Diversity Inclusion Committee

UF Compliance

- The UF Chief Compliance Officer provided compliance program updates to the Audit and Compliance Committee. [December 2018, March 2019, and June 2019].
- The UF Chief Compliance Officer is participating in the Enterprise Risk Management (ERM) committee. UF Compliance Goals with regard to ERM include:
 - o Identify, assess, mitigate and manage compliance risks effectively.
 - Develop a robust communication system with Compliance Partners to educate the workforce on these risks and maximize compliance with laws and regulations.
 - Develop ongoing improvements to the Compliance Program risk assessment process.
- The Director of Compliance and Conflicts of Interest is on the steering committee for the Association of American Medical College (AAMC) Forum on Conflicts of Interest in Academe.
- Under the guidance of the UF Compliance Office, a new disclosure reporting system for outside activities and interests, UF Online Interests Organizer (UFOLIO), has been developed and is in the process of being piloted by select departments. UFOLIO is being introduced in an effort to streamline, modernize, and standardize the way all UF faculty and staff report their activities and financial interests. Several committees have been established to assist with this process and provide support for faculty and staff, notably the UFOLIO Advisory Group, the Deans' Advisory Group and the Faculty and Staff Feedback Group.
- Compliance Partners contributed an annual report for compliance activities in their respective areas.

336/608 15 | Page

UF Health Compliance Services

- Compliance Services for both of the primary hospitals (UF Health Shands and UF Health Jacksonville) leads or significantly participates in various compliancerelated committees, including but not limited to the following:
 - Audit and Compliance Committee of the Board of Directors
 - o Executive Compliance Steering Committee
 - o Hospital Compliance Committee
 - Sub-Committees for Business Operations Groups
 - Sub-Committees for Clinical Ancillary Groups
 - Overpayments Steering Committee
 - o PFS Compliance Committee
 - Lab Compliance Committee
 - Clinical Research Billing Compliance Committee

UF Human Resources (UFHR)

- Jody Gentry, Vice President of Human Resources, participates in the Board of Trustees Committee on Academic Faculty and Student Affairs Experience, which supports policy and initiatives that enhance university quality and national prominence and that impact student, faculty, and external stakeholder experience. The committee makes periodic reports to the Board.
- Ms. Gentry also participates in the UF Executive Leadership Team for the UFOLIO (UF Online Interest Organizer) project, a new online activities and interests reporting system which will be implemented system-wide.

UF Information Technology (UFIT)

- UF Information Technology Governance includes the Information Security Advisory Committee (ISAC), which assists with advising on policies, standards, and priorities in support of the University's mission and business goals.
- UF has an Electronic Information Technology Accessibility (EITA) policy and UFIT provides resources to facilitate EITA compliance at https://accessibility.ufl.edu/, which is a website with tools and resources to enable accessible environments.

UF Police Department (UFPD)/Clery Act

- The Office of Clery Compliance has oversight for the University of Florida's
 Clery Act compliance program. The UFPD oversees day-to-day operations,
 educates partners of applicable requirements of the Clery Act, stays abreast of
 law changes and Final Program Review Determinations (precedent) as they are
 issued by the Department of Education (DOE), and is responsible for record
 retention and ensuring proof of compliance is readily available for the entire
 program.
- The Office of Clery Compliance staff (2) are members of UF's Title IX Committee. The committee met once during this reporting period.

337/608 16 | Page

POLICIES AND STANDARDS OF CONDUCT

The institution must have established written standards of conduct including regulations, policies, and procedures that promote ethical conduct and aim to provide education and prevent and detect non-compliance. Written standards must demonstrate the institution's commitment to a culture of compliance in its day-to-day operations and must be accessible to all employees.

The University of Florida has extensive written standards of conduct, which include regulations, policies, and procedures that are regularly reviewed, updated, and communicated to faculty and staff, and that encourage compliance and ethical conduct.

For this reporting period, wide-spread activity related to developing and enhancing policies and standards of conduct and demonstrating effective compliance efforts, took place. The following points describe such activity as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) Academics/Provost's Office

- Policy 1.011 on Disclosure and Regulation of Outside Activities and Financial Interests is in the process of being reviewed.
- In concert with the colleges, the Provost's Office has initiated a review of all departmental bylaws.
- o The faculty handbook has been updated.

(2) College of the Arts

- The College of the Arts highlighted the following policies and standards as demonstrating effective compliance efforts:
 - All governing articles of the Collective Bargaining Agreement
 - UFIT Accessibility Standards
 - College of the Arts Constitution
 - College of the Arts Policies and Procedures
 - Departmental and unit bylaws
 - Departmental and unit faculty handbooks
 - PI trainings for fiscal management, ethics standards, and regulatory compliance

(3) College of Business

 The College of Business noted that it adheres to all University regulations, policies and procedures.

(4) College of Design, Construction and Planning

The College of Design, Construction and Planning highlighted the following

338/608 17 | Page

notes about its accredited programs to exemplify the college's effective policies and standards:

- The bachelor's degree program in Construction Management at the University of Florida has been continuously accredited since 1975 and received full six-year reaccreditation in 2015 (2015 2021). The American Council for Construction Education (ACCE) is responsible for evaluating construction programs across North America, accrediting close to one hundred CM programs in colleges and universities across North America. ACCE is committed to the continuous improvement and enhancement of construction education, which ultimately advances the profession. https://www.acce-hq.org/images/uploads/Programs 0429163.pdf.
- The University of Florida School of Architecture professional Master Degree in Architecture is accredited by the National Architectural Accrediting Board (NAAB). The program received an 8-year term (the longest available) in 2013, with the next visit in 2021. NAAB is the sole agency authorized to accredit US professional architecture degree programs. Registration boards in the United States require applicants for licensure to have a NAAB-accredited degree, making the designation essential for the professional practice of architecture. The accrediting process is intended to verify that each accredited program meets high standards that comprise the rigorous education of an architect. https://www.naab.org.
- The University of Florida Interior Design bachelor's degree program has been continuously accredited since 1974 and received full six-year reaccreditation last year (2018, 2024). The Council for Interior Design Accreditation (CIDA) is responsible for evaluating nearly two hundred interior design programs in colleges and universities across North America. CIDA is committed to the continuous improvement and enhancement of interior design education, and thus the profession itself, by operating according to a strict set of core values and professional ethics https://accredit-id.org/professional-standards/.
- The University of Florida Landscape Architecture program has been continuously accredited since 1972 and received full six-year reaccreditation in 2016 (2016 2022). The Landscape Architectural Accreditation Board (LAAB) is recognized by the Council for Higher Education Accreditations (CHEA) as the official accrediting body for first professional bachelor's and master's programs in landscape architecture in the U.S. The mission of the LAAB is to evaluate, advocate for, and advance the quality of education in landscape architecture programs
 https://www.asla.org/AccreditationLAAB.aspx.
- The University of Florida Urban and Regional Planning graduate program has been continuously accredited since 1978 when it first became eligible and is in the process of undergoing review this year for both on-campus and online delivery of its program. The Planning Accreditation Board (PAB) has as its mission "to promote excellence among planning programs and ensure high quality education for future

339/608 18 | Page

urban planners" using input from the following sponsoring organizations: the American Planning Association, the American Institute of Certified Planners, and the Association of Collegiate Schools of Planning. For more information

see: https://www.planningaccreditationboard.org/index.php?s=About.

(5) College of Education (COE) & P.K. Yonge School

- The P.K. Yonge School highlighted the following policies and standards as demonstrating effective compliance efforts:
 - Student Code of Conduct; Reporting Professional Misconduct; Title IX Mckinney-Vento Act (Supporting Homeless Families); Religious Expression; School Safety Policy & Procedures; Bullying Harassment (Student Policy); Title IX; FERPA Notification; Information Privacy; All found here: http://pkyonge.ufl.edu/information/policies-publications/

COE:

 The Business Post-Award Offices are working on updating the Pocket Guide, laminated sheets of general information concerning purchasing, Procurement Cards (PCards), travel, and fund types with some common dos and don'ts.

(6) College of Engineering (HWCOE)

UNIVERSITY OF FLORIDA TRAINING REACTOR:

- The UFTR is continuously updating and enhancing its Standard Operating Procedures (SOPs) to ensure compliance. Examples of UFTR SOPs changed to support compliance during this reporting period include:
 - SOP-0.1, Operating Document Controls.
 - SOP-0.5, Quality Assurance Program.
 - SOP-0.6, Unscheduled Shutdown Review and Evaluation.
 - SOP-A.1, Pre-operational Checks.
 - SOP-A.5, Experiments.
 - SOP-A.7, Determination of Control Blade Integral or Differential Reactivity Worth.

SAFETY:

- HWCOE Critical Safety Indicators (Published): List of common compliance areas that indicate a safe work environment.
- HWCOE Visitor Policy (In Committee): Addresses the safety conditions that must be in place when providing tours and visitor access
- HWCOE Electrical Policy (In Committee): Addresses electrical safety requirements for research applications.

FINANCE AND ADMINISTRATION:

 The Intranet site provides a database of all college procedures for Engineering Faculty and Staff. Examples include: Equipment and Asset Usage at Residences, Fixed Price Contract Residuals, and Summer Assignment for Faculty.

340/608 19 | Page

HWCOE IT:

- Engineering IT established the following Administrative Processes to assist with compliance with UF policies and standards:
 - IT-0 Administrative Process Standard for IT
 - IT-1 Required Training for IT Workforce Members
 - IT-2 Managed Encryption Required for Laptops
 - IT-3 Managed Anti-Virus Requirement
 - IT-4 Website Hosting Requirements
 - IT-5 Attractive Item Decal Standard (in development)
 - IT-6 Off-Site Certification Standard (in development)
 - IT-7 IT Server Room Standard (in development)

(7) College of Health and Human Performance (HHP)

- The College of Health and Human Performance (HHP) operates under the direction of the UF Regulations, CBA, UF policies and guidelines, and numerous HHP policies, guidelines, and operating codes.
- The HHP recently revised the "Hiring, Search and Screen Planning Guidelines" and the "Department of Health Education and Behavior Operating Code."
- Revisions to the other three HHP department Operating Codes will be addressed this annual year.

(8) College of Journalism and Communications

- The College of Journalism and Communications:
 - Developed procedures related to faculty searches.
 - Developed procedures related to tenure and promotion.
 - Developed policies and procedures related to accounts payable, the recording and tracking of expenses, recording cash receipts, and revenue recognition.

(9) College of Law

 The Levin College of Law ensures adherence to all University policies, including those related to financial transactions, human resources, facilities, faculty activities, classroom instruction, and student well-being. Many of these policies are set forth and expanded in our Faculty Policy Manual.

(10) International Center (UFIC)

O Working with the Office of the Provost, General Counsel, and the Office of Global Compliance and Support of the Division of Sponsored Research (DSR), UFIC revised the procedures and forms for approval of International Cooperative Agreements. The new forms and procedures are designed to provide better information on international activity to chairs, deans and directors, as well as to UFIC and DSR, so as to help faculty members meet compliance requirements.

341/608 20 | Page

❖ ADA/Title IX

- The Title IX Compliance Office highlighted the following policies and standards as demonstrating effective compliance efforts:
 - o <u>The University of Florida Office of ADA and Title IX Compliance Guidelines</u> for Addressing Sexual Misconduct
 - O ADA and 504: "No otherwise <u>qualified individual</u> with a disability shall, solely by reason of their disability, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving <u>Federal financial assistance</u>." The legal obligation to accommodate students and staff with disabilities extends beyond the typical moral responsibility to assist individuals with disabilities.

Advancement

 The Office of Advancement has numerous extensive policies that demonstrate effective compliance efforts, all available in the Library of its website: www.uff.ufl.edu.

Athletics

 The UAA reviews and revises internal policies on an annual basis. The Student Athlete Handbook, UAA Employee Handbook, Sports Medicine Handbook, Travel Handbook and NCAA compliance policies and procedures are examples of items receiving such review and revision.

Business Services

- Business Services revised policies related to "Inactive" Gator 1 Cards.
- Business Services also updated its Internal Controls Manual.

College of Dentistry

- The College of Dentistry highlighted the following policies that support compliance efforts:
 - Conflict of Interest Policy for Industry Relations
 - o Identity Theft Red Flag Policy
 - Professional Fee Compliance Policy
 - Accounting of Protected Health Information (PHI) and the Disclosure Tracking System
 - Quality Assurance and Compliance Manual
 - Code of Conduct
 - Disclosure of Outside Activities

College of Medicine (COM)

Gainesville:

- The College of Medicine in Gainesville developed/revised the following items related to compliance:
 - Updated: COM Compliance Plan.
 - Updated: COM Billing Compliance Plans (including plans for Pathology and Anesthesiology).

342/608 21 | Page

- Updated: COM Code of Conduct.
- Updated: COM Compliance Mission, Vision, & Values.
- o Updated: Sample Medications policy (UFHP PH 007).
- Updated: Encounter Form Review policy (UFHP 9.13).
- o New Policy: Compliance Concern Reporting & Non-Retaliation policy (C.01).
- New Policy: Compliance Training and Education policy (C.02).
- New Handbook: COI Review Manual & Checklist (internal for Compliance Office).
- New Checklist: Letter of Agreement/Grant Review Checklist (internal for Compliance Office).

Jacksonville:

- The College of Medicine in Jacksonville revised the following items related to compliance:
 - Revised: UFJPI Human Resources Policy # 514 Federal and State False Claims Laws
 - Revised: Sanction and Exclusion Checks
 - Revised: Medicare and TRICARE Incident-to Billing
 - Revised: Instances of Confirmed Note Cloning (internal Compliance department policy)
 - o Revised: Advanced Beneficiary Notice
 - o Revised: UF COM Jacksonville Teaching Physician Billing Policy

College of Nursing

• The College of Nursing noted that it follows all university guidelines in support of compliance efforts.

College of Pharmacy

- The College of Pharmacy noted that it follows all University regulations and policies and highlighted the following college level policies and standards supporting compliance efforts:
 - Student Code of Ethics
 - o Faculty Policy and Procedures Manual
 - Supplemental policies regarding business travel, faculty recruitment, and business entertainment.

College of Public Health & Health Professions (PHHP)

• The College of Public Health & Health Professions (PHHP) noted that during the College onboarding process, any new staff member who has any financial responsibilities are provided with the College-wide reconciliation policy. They are also required to acknowledge via signature of the policy document that they will follow the process/policy.

College of Veterinary Medicine (CVM)

 The College of Veterinary Medicine noted that during the College onboarding process, each new staff member who has financial responsibilities or works in a transactional capacity (HR, Fiscal Operations, Grants) is provided with the

343/608 22 | Page

College-wide reconciliation policy. Employees are required to acknowledge via signature of the policy document that they will follow the process/policy.

Emergency Management (UFDEM)

• UFDEM administrative procedures are currently under development for the department following the recent hiring of an administrative support position.

Enrollment Management

- Enrollment Management developed/revised the following items related to compliance:
 - o Implemented a comprehensive new student information system that has significantly enhanced our compliance abilities.
 - o Created an interactive cross-functional knowledge base for divisional staff to use in performing their duties and serving our many constituencies.
 - Developed a divisional consensual relationship policy.
 - Developed a revised divisional policy on PCard use.
 - Revised window for all required training to better monitor unit level compliance (see attached).
 - Updated all Office of Admissions training manuals.
 - Updated all UF Online Enrollment Services training manuals.
 - o Office for Student Financial Affairs Policies and Procedures Manual.

Environment Health and Safety (EH&S)

- <u>Unmanned Ariel Systems (UAS)/Drones/EH&S</u>: Prepared and produced brochure outlining drone compliance for UF and FAA Federal Aviation Regulations.
- <u>Facility Support/EH&S</u>: Revised the Pyrotechnics Policy on Campus. Reviewed Bike and Open Flame Policies. Added State Fire Marshal permit application for pyrotechnics to our forms. In the process of revising Greek Fire Safety policy and forms. In process of revising Pest Management Policy.

Facilities Services

- University and state regulations are the basis for Facilities Services (FS) policies.
 FS complies with all UF directives and procedures listed at http://www.fa.ufl.edu/directives.
- In addition, there are departmental policies and procedures for employees to follow to make sure their day-to-day work follows the expectations set forth by those higher-level policies and the expectations of the leadership team.

Finance & Accounting

- Updated the entire Finance & Accounting website to provide more detailed information on directives and procedures to ensure compliance with applicable requirements.
- Created a new website section called "Internal Controls" with resources and information for all levels of fiscal staff.

344/608 23 | Page

- Created a new Entertainment Policy to provide clear guidance for employees at all levels about reimbursement for entertainment, business meals, and University functions
- Updated "Review Your Accounts Guide to Completing the Monthly Reconciliation" and communicated the update to campus via Gator Business Administrator Services (GBAS), Departmental Financial Accountable Officer (DFAO) Listserv, and CFO Financial Accountable Officer (CFO FAO) Listserv.

Government and Community Relations

- In mid-2018, the UF Office of University Relations was reorganized into two
 units: Government & Community Relations and Strategic Communications and
 Marketing. This split required the restructuring of the previous University
 Relations compliance matrix. This was done in cooperation with the Office of
 Compliance.
- The Government and Community Relations team reviewed the recommendations of the Florida House Committee on Public Integrity and Ethics resulting from their investigation of spending practices at another Florida university. The team identified areas where UF's policies and practices might warrant further attention. Applicable revisions of Governance Standards are underway.
- UF General Counsel is currently working to update UF regulations governing the disposition of agency applications to the UF Campaign for Charities, which is overseen by the Office of Government and Community Relations.

Institute of Food and Agricultural Sciences (IFAS)

- UF/IFAS Shared Services Center established procedural onboarding checklists for each of their transactional areas (HR, Grants, Fiscal). These checklists include required training and communicating established expectation.
- As part of the IFAS SSC re-organization, created new processes to identify training gaps, establish processes for quality control, and have developed ongoing training initiatives with central UF Core Offices.
- Developed a best practice training program to start late Fall 2019. This
 initiative will cover Fiscal, HR, Grants, and other departmental administrative
 functions. They are currently partnering with the IFAS administration as well as
 the central core offices to integrate current training offerings as well as IFAS
 specific expectations into this program. The goal is to establish a
 knowledgebase of all resources available and necessary to train each of the
 departmental business offices. Given the fact that the SSC is on the same level
 as other departmental administrations, their volume and experience gives
 them the unique opportunity to share already established best business
 practices, while training IFAS-Wide.

Planning, Design, & Construction (PD&C)

 PD&C has primary responsibility for all major aspects of the University's Capital Construction program. To this end, UF Board of Trustees governance and internal operating policies relative to construction project activity are followed

345/608 24 | Page

as well as internal PD&C developed Project Management Guidelines (PMGs).

Real Estate

- Standard operating procedure manual for the Office of Real Estate developed October 2018.
- Updated website to include policy on real estate leasing for University departments.
- Worked closely with the Office of General Counsel to ensure compliance with Board of Governors, UF Board of Trustees, and UF Foundation policies.
- Worked with various departments to provide policy on leasing and acquisition of space.
- Delivered conflict of interest awareness to faculty from various departments.

Research

- (1) <u>Animal Care Services (ACS) and Institutional Animal Care and Use Committee</u> (IACUC)
 - The ACS and IACUC maintain websites
 (https://policymanager.research.ufl.edu/;
 https://my.acs.ufl.edu/guidelines.aspx and
 https://my.acs.ufl.edu/policy.aspx) with links to numerous institutional
 Guidelines, Policies, and Procedures pertaining to animal welfare.
 - The IACUC and ACS review, revise and modify Policies as mandated by federal oversight agencies such as the U.S. Public Health Service and the U.S. Department of Agriculture (USDA) to address regulatory changes or to enhance protection of animal welfare. This is an ongoing joint effort during regularly convened meetings through the year.

(2) Division of Research Compliance and Global Support (DRCGS)

- DRCGS maintains a website (https://research.ufl.edu/compliance.html)
 with links to numerous institutional regulations, procedures, practices and policies pertaining to research integrity, export controls and general research compliance.
- DRCGS regularly reviews, revises, and modifies guidance and policies to ensure compliance with federal and state regulations.

(3) <u>Division of Research Operations- Office of Research – Conflict of Interest (COI)</u>

- COI maintains a website (https://research.ufl.edu/research-operations-services/conflict-of-interest-and-outside-activities.html) with links to numerous institutional Guidelines, Policies, and Procedures pertaining to outside activities and research conflicts of interest.
- COI works with UF General Counsel, the Division of Sponsored Programs, the Office of Technology and Licensing, as well as college and departmental representatives to ensure implementation of federal requirements and state statutes relating to research conflicts of interest.

(4) <u>Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting</u>

- DSP and C&G highlighted the following policies and procedures in support of compliance efforts:
 - Cost Transfers Involving Sponsored Projects Directives and Procedures.
 - UF Policy on Cost Transfers Involving Sponsored Awards.
 - Effort Reporting Policy.
 - Effort Reporting Directives and Procedures.
 - Facilities & Administrative Cost Policy
 - Cost Principles Policy
 - Proposal Submission Deadline Policy
 - Facilities & Administrative (F&A) Costs Directives & Procedures
 - Award Management Guidance: https://research.ufl.edu/dsp/award-management.html.

(5) <u>Institutional Biosafety Committee (IBC) and Dual Use Research of Concern</u> (DURC)

- The IBC/DURC maintains a website (http://ibc.research.ufl.edu/) with links to numerous institutional Procedures, Practices, and Guidelines pertaining to research involving rDNA/select agents/BSL3/DURC.
- The IBC reviews, revises and modifies guidelines, procedures, and practices to ensure compliance with NIH Guidelines for Research involving rDNA/select agents/BSL3/DURC. This is an ongoing effort during regularly convened meetings through the year.

(6) <u>Institutional Review Board (IRB)</u>

- The IRB maintains a website (http://irb.ufl.edu/) with links to numerous institutional Guidelines, Policies, and Procedures pertaining to conducting human subjects research.
- The IRBs review, revise, and modify policies as mandated by federal oversight agencies such as the Office of Human Research Protections (OHRP), the Food and Drug Agency (FDA), the Office of Civil Rights (OCR), the Office of Research Oversight (OR), and others to address regulatory changes or to enhance protection of the rights and welfare of human research participants. This is an ongoing effort during regularly convened meetings through the year.

(7) Office of Research/CTSI Office of Clinical Research

O The implementation of the OnCore CTMS (Clinical Trials Management System) has led to a standardization of tracking for clinical trials participants and protocols. It has also allowed for the OCR to establish standards for using data interfaces between the CTMS and the hospital Electronic Health Record (EHR). Through the implementation of the CTMS, a highly detailed technical operations manual has been produced to provide guidance for users to remain compliant in their conduct of clinical trials. Additionally, as the OCR was not in existence prior to March 2017, as business practices are established within the newly formed UF Core Office – SOPs and Procedure Guidelines are being produced and codified in the

347/608 26 | Page

PolicyTech application that the Office of Research has deployed for documenting and tracking their SOPs.

(8) Office of Research Affairs, Jacksonville

- The ORA maintains a website, https://hscj.ufl.edu/research/ (upgrades in process), that references university policies and regulations and lists other resources pertaining to standards of conduct.
- The ORA follows all of the directives and procedures related to research standards of conduct from the DSP, C&G, all other offices under the UF Office of Research, and the UF General Counsel.

Strategic Communications and Marketing

- Developing an Issues Management and Crisis Preparedness Strategy Plan.
- New staff members are expected to complete online orientation modules within 30 days of start date: http://hr.ufl.edu/working-at-uf/new-employees/enrollment/.
- Staff members should review policy and procedure manuals, which are located online on the shared drive, at least annually. It's everyone's responsibility to learn University and departmental protocols. A training report is generated by HR and listed in an employee's myUFL records.

UF Compliance

- Policy 1.011 on Disclosure and Regulation of Outside Activities and Financial Interests is in the process of being reviewed.
- In collaboration with Compliance Partners, a review of all compliance matrices is in process to ensure that all regulations and responsible parties are accurately stated. To date, 64% of the matrices have been updated.
- The Master List of all compliance areas was also updated to reflect any matrix changes and ensure consistency and quick identification of areas impacted by the same laws.
- The UF Compliance Office evaluated the need for a UF system-wide Code of Conduct. This evaluation included benchmarking with other universities, a review of UF Regulations, and a review of the new dynamic UFHR Employee Handbook for Employees. Based on this review, it was determined that a system-wide Code of Conduct is not warranted at this time.

UF Health Compliance Services

- Compliance Services for both of the primary Hospitals (UF Health Shands and UF Health Jacksonville) reviews, develops, makes or recommends revisions, and implements various compliance-related policies, including, but not limited to the following (for this time period):
 - Administrative Policy Committee participation and Core Policy Committee participation
 - o Administrative and Core Policy Review and Development
 - o Internal Compliance, Privacy, Research Department Policy and Procedure

348/608 27 | Page

- review, revision, development, implementation
- o Code of Conduct review and revision for UF Health
- Development and implementation of various procedures/checklists for compliance with Local and National Coverage Determination Requirements
- o Rebill/Overpayment policy and procedure review

UF Human Resources (UFHR)

- Reviewed all UFHR policies and adopted a policy template. Also working on a policy website with the hopes of adopting policy-tracking software in the future.
- Adopted a new employee handbook for TEAMS/USPS employees.
- Initiated a project reviewing all UFHR-related UF Regulations.
- Initiated a project reviewing leave programs, including sick, vacation, Family Medical Leave Act (FMLA), and parental leave.
- Initiated a project reviewing the UF employee performance evaluations process.
- Employee Relations investigators have adopted SOPs to standardize notice requirements (as may be required per UF regulations and/or the collective bargaining agreements).

UF Information Technology (UFIT)

• UF Information Technology Governance has developed three new policies and revised three policies, which are now awaiting approval.

❖ UF Police Department (UFPD)/Clery Act

 UFPD maintains an orderly written directive system to provide consistency in the Department's policies, rules, and procedures, which provides all employees with timely access to these directives, so they may be kept informed of departmental rules, regulations, operation procedures, and other relevant guidelines, such as standards of conduct, Title IX, and sexual harassment.

UF Privacy

 The UF Privacy Office publishes a variety of policies applicable to UF at http://privacy.ufl.edu/privacy-policies-and-procedures/ and some content in the UF HR Employee Handbook.

349/608 28 | Page

OPEN COMMUNICATIONS AND REPORTING

The institution must have internal mechanisms that provide open lines of communication for employees to share and receive compliance information and that encourage employees to report concerns or suspected wrongdoing without fear of retaliation.

The University of Florida has developed various open lines of communication with all members of the system-wide community that encourage the exchange of compliance information and to report concerns or suspected wrongdoing without having to fear retaliation. It is the policy of the University to encourage all employees to make good faith reports of suspected fraudulent or other dishonest acts, to seek guidance on compliance and ethics concerns, and to protect such individuals from retaliatory action, including those cooperating with an investigation. Non-Retaliation is discussed in UF Policy - Reporting and Investigating Fraudulent or Other Wrongful Acts.

The University Compliance Hotline is operated by an independent company (The Network) and is available for all employees to report concerns 24 hour a day, 365 days a year. The hotline is widely publicized on multiple websites, including the websites for the Offices of Compliance, Research Compliance, Human Resources, and Internal Audit. In addition, there are several University offices that specialize in specific matters, and those offices can be contacted to report concerns most appropriately handled by those offices (e.g., ADA Compliance Office, Title IX Compliance Office, Institutional Review Board (IRB), Division of Research Compliance, Office of Ombudsman, Privacy Office, University of Florida Police Department, and the Physician Billing Compliance Office).

The UF Compliance Office has developed and implemented an annual Communication Plan, which includes educational content and regulatory updates on the newly-launched UF Compliance Website, a post-training Key Take Away message, and a quarterly newsletter. The newsletter, called "The Compliance Gazette," shares compliance reminders, updates, and educational scenarios. The UF Compliance Office is still working on the best system to communicate the Compliance Gazette to all staff, but in the interim, is using Compliance Partners to disseminate the information.

For this reporting period, several communications and reporting activities related to sharing compliance and ethics information were implemented across the institution. The following points describe such activity as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) Academics/Provost's Office

 The Provost sends multiple administrative memos on compliance topics such as UF Religious Observances Policy (10/8/2018, 1/9/2019), Reporting Outside Activities and Potential Conflicts of Interest (11/6/2018), Federal Agency Disclosure Requirements for Grant Supported Investigators

350/608 29 | Page

- (1/3/2019), Nepotism, and Requirement to Disclose Outside Activities and Financial Interests (1/28/2019).
- The Provost's Office communicates with campus through weekly faculty updates, which include guidance on academic policies such as syllabi, office hours, student evaluations, etc.

(2) College of the Arts

 The College of the Arts HR Director and Associate Dean email all of UF's compliance reporting resources and offer to assist employees when any form of non-compliance is reported.

(3) College of Business

 Communications received from the University regarding compliance and ethics are forwarded to the departments in the College of Business.

(4) College of Education (COE) & P.K. Yonge School

P.K. Yonge:

 Open communication regarding compliance and ethics topics is encouraged. Business Office Staff and Administrators openly discuss compliance questions or concerns. Faculty are also encouraged to do the same.

COE:

- The PCard team was invited to speak about audit findings and best practices for units reviewed.
- Ellen Young and Sandra Bass hold monthly Manager Meetings with various office personnel about best practices, new processes, and regulations coming from core areas. Reminders about compliance and ethics topics are discussed at each meeting.
- The Office of Educational Research (OER) prepares the Indirect Costs (IDC) expenditure report for the Office of Research/DSP.
- The College strives to follow all instructions from CORE offices to remain in compliance.

(6) College of Engineering (HWCOE)

UNIVERSITY OF FLORIDA TRAINING REACTOR (UFTR):

- To promote transparency and accountability, the March 2018 Nuclear Regulatory Commission (NRC) inspection results were made available to the public in September 2018. The staff expects the results of the May 2019 inspection to be published by the NRC by the end of 2019. The results are also reviewed with the Reactor Safety Review Subcommittee (RSRS) members and all licensed reactor operators at the facility. The RSRS is a subcommittee of the UF Radiation Control Committee (RCC).
- The RSRS audit was completed in May 2019 and reviewed by the NRC inspector. The results of the audit and any actions taken were sent to the Dean of the College of Engineering. Both reviews are required to ensure compliance with UFTR specifications.

351/608 30 | Page

- UFTR management communicated directly with the Inspection and Licensing Branches of the NRC throughout the year specifically to ensure compliance with the regulations.
- Additionally, UFTR is an active participant in several national organizations focused on compliance issues including:
 - The National Organization of Test, Research, and Training Reactors (TRTR)
 - American Nuclear Society Standards Committee (ANSI/ANS)
 - Nuclear Energy Institute (NEI)

SAFETY:

- Communication of safety-related compliance is completed through the following channels:
 - Engineering Safety Steering Committee
 - Student Safety Council Leaders
 - Facilities & Safety Action Team
 - Email listserv for Lab Safety Managers
 - In-person lab visits by HWCOE Director of Safety
 - Department Chair meetings

HWCOE employees participate in the following committees:

- o Business & Research Administrators Information Network
- Gator Business Administrator Services (GBAS)
- Research Administration & Financials Training (RAFT), teaching and development of materials
- UF marketplace adoption, participation in development ensuring compliance in operations related to internal controls and sources of funds

HWCOE IT:

- An annual "UFIT Incident Response Team (IRT) Security Tickets and Vulnerability Scan Results" statement and procedure is sent to College IT staff for reviewing Information Security Administrator and Information Security Manager assignments within the College.
- A "Faculty and Staff IT Onboarding" document is distributed to new hires by the HR office discussing a number of IT topics (including information on many UFIT policies).
- Communication about compliance and ethics topics happens regularly during the monthly Engineering IT Staff meeting.

(7) College of Health and Human Performance

- HHP orientation and onboarding for new faculty includes compliance information.
- Compliance information is communicated to faculty via email (e.g., UF Administrative Memos, HR news, upcoming trainings, travel-related requirements, reminders on Reporting of Outside Activities, etc.).
- The HHP Director of Communication and his staff assist with communication throughout the College, including the posting of public

352/608 31 | Page

meetings.

(8) College of Journalism and Communications

- The college holds a meeting with all of the deans and chairs at least monthly. This meeting includes reviewing policies and any new procedures, along with other high-level discussion.
- In addition, once per month there will be a meeting of the college council, which is a group of leaders from the college to discuss policies and procedures along with other high-level topics.

(9) College of Law

- Throughout each academic year, the College of Law regularly remind faculty of their obligation to comply with various University, Levin College of Law, American Bar Association (ABA), and Association of American Law Schools (AALS) policies, including policies pertaining to syllabi, out-of-class course assignments, outside activities, observance of religious holidays, proxy voting, course evaluations, blind grading procedures, Americans with Disabilities Act (ADA) class recordings, diversity and inclusion, and Title IX.
- Similarly, the College of Law regularly remind staff of their obligation to comply with University and Levin College of Law policies regarding spending and financial controls, human resources, diversity and inclusion, events, and facilities.

❖ ADA/Title IX

 The Office of Title IX Compliance provides numerous compliance presentations throughout the year to departments, divisions, specific organizations and collective faculty, staff, and student groups.

Advancement

 Advancement sends regular communications to University of Florida fund administrators related to use of funds and compliance with policies.

Athletics

 The UAA circulates a monthly newsletter by intranet to all employees (Orange and Blue Newsletter). This document contains information about events and activities within the UAA as well as updates on compliance and ethics-related topics. In addition, the UAA compliance staff circulates weekly updates to staff on NCAA compliance topics that are relevant to activities conducted during a particular time of year.

Business Services Division

 Business Services staff conduct monthly meetings with business partners (Aramark, Follett, Pepsi, Canteen, and Wells Fargo) to discuss compliance, ethics and related issues as needed.

353/608 32 | Page

 Business Services leaders attend quarterly meetings with the Vice President for Business Affairs to discuss compliance, ethics, and related issues as needed.

College of Dentistry

College policies and standards of conduct are posted on the College intranet.
 Reminders from HR for annual training are distributed via email. Topics related to billing compliance, privacy, etc. are periodically discussed at chairs' meetings as needed.

College of Medicine (COM)

Gainesville:

- The College of Medicine Compliance Office in Gainesville communicates compliance and ethics topics via a variety of channels, including the following highlights for this reporting period:
 - o Compliance Awareness Month and Survey in January 2019
 - o COM Compliance Newsletter FALL 10/2018
 - COM Compliance Newsletter WINTER 01/2019
 - o COM Compliance Newsletter SPRING-SUMMER 05/2019
 - Compliance alert: New law regarding non-opioid alternatives
 - Compliance alert: Open Payments dispute period
 - Various updates to Compliance Office website
 - o Various in-person training sessions
- COM Compliance responded to numerous inquiries regarding topics including billing compliance, conflicts of interest, regulatory questions, policy guidance, gifts, fundraising, ethics, and business practices.

Jacksonville:

- The College of Medicine Compliance Office in Jacksonville communicates compliance and ethics topics via a variety of channels, including the following highlights for this reporting period:
 - COM Compliance Newsletter FALL 10/2018
 - COM Compliance Newsletter WINTER 01/2019
 - COM Compliance Newsletter SPRING-SUMMER 05/2019
 - Questions from customers and responses are logged in accordance with internal policy.
 - o Calls made via the Compliance Hotline are logged as well as responses.
 - 2019 CPT Errata and Technical Corrections
 - o Compliance Tip Billing for Medicare Patients under Hospice Care
 - Nurse Practitioner Title Change
 - o Global Surgery Data Collection CY 2019 List of Applicable Surgeries
 - Compliance Update New Medicare Coverage of Remote Patient Monitoring
 - o CY 2019 List of Telehealth Services
 - Medicare Payment for Inter-professional Internet Consultations
 - Medicare Payment for Visits in a Patient's Home
 - New Medicare Payment for "Virtual Check-in" Visits

354/608 33 | Page

- New Medicare Payment for "Take a Look at This" Encounters
- Radiology Assistants and Diagnostic Procedures
- Reducing Documentation Redundancies
- General Behavioral Health Integration Services
- Comparative Billing Report on Family Practitioner Office Visits in February
- Documentation of E/M Services of Teaching Physicians UPDATED GUIDANCE
- NCCI Edit Changes Relevant to Modifiers 59, XE, XS, XP, and XU
- Medicare Payment for Diabetes Self-Management Training (DSMT) as a Telehealth Service
- Medicare Payment for Telehealth for Individuals with Acute Stroke
- History of Present Illness (HPI): Distinguishing Between Duration,
 Timing, and Context
- Destruction of Benign or Premalignant Lesions
- In addition to assistance requests on new business projects, the Office of Physician Billing Compliance in Jacksonville responds to in-person, email, and telephone requests from various departments. These are contacts made outside of the Hotline. During the annual report time period, we received thirty-three requests. Our average response time was 1.40 calendar days. Our goal was three calendar days.

College of Nursing

• The College of Nursing sends emails related to compliance information via the College listserv.

College of Pharmacy (COP)

- College leadership periodically communicate reminders to employees of various policies, rules, regulations, etc. These most often occur in the form of email communications and presentations at various meetings (Chair's meetings, department faculty meetings, etc.).
- On February 26, 2019, a college-wide faculty meeting was held, for which attendance was mandatory, and was focused on Outside Activities and Conflicts of Interest Reporting. The meeting was recorded, and it was required that any absent faculty, as well as new faculty, to certify that they viewed the recording in its entirety.
- The College holds a New Faculty Orientation each fall to introduce new faculty to various policies, rules, and regulations.
- The COP works diligently to keep UF and COP policies updated and available on the College websites.

College of Public Health and Health Professions (PHHP)

 The College of Public Health & Health Professions (PHHP) communicates compliance information via email lists and postings on lobby TV boards. In addition, applicable training is provided by internal professionals as needed.

355/608 34 | Page

College of Veterinary Medicine

 College-wide email communications are sent to faculty, staff, students and/or house officers by leadership regarding: 1) outside activities and conflicts of interest policies and best practices; 2) requirements for completing the mandatory compliance training; 3) advertising fiscal responsibility training and recommending groups attend in teams; and 4) reminders about policies and procedures during extreme weather such as hurricanes.

Emergency Management (UFDEM)

 As a small department, UFDEM follows centralized University communications regarding compliance and ethics.

Enrollment Management

• Communications are routinely shared to emphasize university compliance announcements and to communicate new policies.

Environment Health and Safety (EH&S)

- <u>Unmanned Ariel Systems (UAS)/Drones/EH&S</u>: Created various Social Media sites for the UF Drone program including Instagram and Yammer for timely dissemination of changing Federal Regulations required for continued compliance.
- Radiation Control/EH&S: EH&S is working on a program to replace high intensity gamma source irradiators. This program is supported by an initiative from the Department of Energy, National Nuclear Security Administration. One of the eight campus irradiators is located in the UF Health Shands blood bank. A support letter from Walter Drane, MD, Director of Nuclear Medicine was sent to the UF Health Shands administration to support a switch from the gamma source blood Irradiator with an X-ray source.

Facilities Services

 Reminders on compliance and ethics topics are distributed via email to employees and in meetings with direct reports as needed.

Finance & Accounting

- October 17, 2018 Administrative Memo re: Timely Deactivation of Access Privileges to communicate the importance of timely terminations based on a recent audit finding
- April 2, 2019 Administrative Memo re: Construction Project Funding to communicate the need to strengthen construction spending compliance and the new process/fund codes
- Regularly send communications to the C&G, GBAS, DFAO and CFO FAO, and UFACC listserv to advertise relevant training classes, including Fraud Awareness and Fiscal Responsibility
- July 2, 2019 Administrative Memo re: New Policy on Business Entertainment to communicate the update to campus – This was also sent to all the relevant fiscal listserys.

356/608 35 | Page

Government and Community Relations

- In June 2019, the compliance matrix for Government and Community Relations (GCR) was reviewed and communicated with all GCR staff. Revisions were made with particular focus on the requirements related to lobbying and interactions with elected and appointed government officials and staff members.
- Periodic e-mails are sent out to all UF employees as reminders of UF policies on topics such as political campaign activity (October 2018) and information and Guidance on Lobbying and Other Government Contacts (October 2018).

Institute of Food and Agricultural Sciences (IFAS)

- IFAS-wide communications have been sent by leadership regarding the following:
 - Outside activities and conflicts of interest;
 - Completing the mandatory compliance training;
 - Advertising fiscal responsibility training and recommending groups attend in teams; and
 - Reminders about policies and procedures during extreme weather such as hurricanes.
- Additional IFAS-wide communications have been sent out addressing specific areas of concern or new/updated policies (e.g., entertainment policy, use of funds, sponsorships and/or donations, etc.).

Planning, Design, & Construction (PD&C)

 PD&C, in conjunction with the Office of the General Counsel, has conducted departmental-wide training efforts with all staff regarding employee ethics pertaining to vendor relations, gifts, procurement activities, and outside work activities.

Real Estate

- The Office of Real Estate (ORE) holds bi-weekly meetings with ORE staff, SVP/COO, and the UF Foundation staff and property management group with a focus on finance and accounting and project management.
- The ORE created new report to be shared with SVP/COO and BOT on current projects, acquisitions, and leasing.

Research

- (1) <u>Animal Care Services (ACS) and Institutional Animal Care and Use Committee</u> (IACUC)
 - Reminders to IACUC members and ACS employees occur during regularlyconvened meetings. The regular IACUC meetings are open to the public, and the meeting schedule is posted on the IACUC public website. ACS has quarterly all-staff meetings.
 - Reminders to stakeholders are communicated through the animal use protocol review process.

357/608 36 | Page

- o An IACUC Newsletter is distributed via email to a stakeholder listserv.
- A detailed semi-annual evaluation of the Animal Care and Use Program is provided by the IACUC to the UF Vice-President for Research who acts as the Institutional Official (IO) representative of senior administration bearing ultimate responsibility for the Program.

(2) <u>Division of Research Compliance and Global Support (DRCGS)</u>

- DRCGS has established communication and reporting lines open with researchers across campus where members of the campus community can ask questions or report concerns.
- DRCGS receives concerns from the Compliance Hotline if they pertain to research compliance or export control concerns.
- The DRCGS clearly identifies compliance contacts on its website and outreach materials. DRCGS regularly conducts outreach (i.e., speaking engagements) to increase awareness of compliance issues, concerns, and best practices.

(3) <u>Division of Research Operations – Office of Research – Conflict of Interest (COI)</u>

 Information and reminders are communicated to stakeholders through applicable protocol review processes (e.g., UFIRST or myIRB) or ad hoc emails.

(4) <u>Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting</u>

The Division of Contracts & Grants and Division of Sponsored Programs host listservs through which information regarding policy and procedure changes is disseminated. C&G and DSP also host quarterly forums with topics chosen based on feedback from the campus community or topics of national importance. In addition to this, C&G and DSP are active participants in the Business & Research Administrators' information Network (BRAiN) forum, which is led by members of the campus community.

(5) <u>Institutional Biosafety Committee (IBC) and Dual Use Research of Concern</u> (DURC)

- Reminders to IBC/DURC members occur during regularly-convened meetings. The regular IBC/DURC meetings are open to the public and the meeting schedule is posted on the IBC/DURC website.
- Reminders to stakeholders are communicated through the protocol review process.

(6) Institutional Review Board (IRB)

- Reminders to IRB members occur during regularly-convened meetings. The regular IRB meetings are open to the public, and the meeting schedule is posted on the IRB website.
- Reminders to stakeholders are communicated through the protocol review process.
- An IRB Newsletter is distributed via email to a stakeholder listsery.

358/608 37 | Page

 An annual evaluation of the Human Research Protection Program (HRPP) is provided by the Human Research Protection Administrator to the UF Vice-President for Research (VPR). The VPR acts as the Institutional Official (IO) representative of senior administration and is ultimately responsibility for the HRPP.

(7) Office of Research/CTSI Office of Clinical Research

The Office of Clinical Research hosts an open forum for clinical researchers in both the Health Center and across the UF campus. The meeting is held on the third Tuesday of every month. The CRF Clinical Research Forum addresses topics in research compliance, such as participant service billing and management, and serves as a town hall for open dialogue around clinical research and compliance issues.

(8) Office of Research Affairs (ORA), Jacksonville

The ORA hosts listservs within College of Medicine, Jacksonville, distributing information on policies and procedures, along with any updates issued by the University of Florida. In addition, there are monthly meetings for all research staff to provide information on compliance and ethics. These are interactive meetings that allow feedback and/or addresses any questions from the Jacksonville research community. The ORA is also represented in the COM-J executive committee, which also meets monthly. The ORA reports on new policies and other topics related to research administration and compliance.

Strategic Communications and Marketing

• During Leadership Meetings, the team evaluates professional development for new employees and for communicators for the units and colleges.

UF Compliance

- The UF Compliance Office has developed and implemented an annual Communication Plan, which includes educational content and regulatory updates on the newly-launched UF Compliance Website, a post-training Key Take Away message, and a quarterly newsletter. The newsletter, called "The Compliance Gazette," shares compliance reminders, updates, and educational scenarios. The UF Compliance Office is still working on the best system to communicate the Compliance Gazette to all staff, but in the interim, is using Compliance Partners to disseminate the information.
- A section of the UF Compliance Website is dedicated to the UF Online Interest Organizer (UFOLIO).
- The UF Compliance Office continues to monitor the legal and regulatory landscape and provide Regulatory and Industry Updates to share with key stakeholders on a regular basis. These updates are also posted on the UF Compliance Website.
- The UF Compliance Office continues to share information with Compliance
 Partners including information about a law or news item affecting their specific

359/608 38 | Page

compliance area.

UF Health Compliance Services

Compliance Services for both of the primary Hospitals (UF Health Shands and UF Health Jacksonville) actively communicates compliance, privacy, and research topics, including general program communications and regulatory and industry updates, to our workforce. A few key areas are noted below (for this time period):

- Communicated compliance and privacy topics, including regulatory updates and industry enforcement, to workforce through several alerts, articles, questionnaires, publications, and website postings.
- Completion of the Annual Compliance Awareness Survey and Compliance Activities
- Completion of Education Awareness Gap Assessment
- Tracked, triaged, investigated and resolved calls made to the Confidential Hotline. Initiated in FY19 a new Hotline Summary Reporting Process, continued for improved communication and reporting on investigations and hotline calls.

UF Human Resources (UFHR)

- Compliance information is covered in orientation for new UFHR employees
- UFHR communicates with campus largely through UF@Work (e.g., news about new employee handbook). Other topics are sent out periodically as reminders of UF policies on topics such as Political Campaign Activity (October 2018), and Reporting Outside Activities and Potential Conflicts of Interest (November 2018).
- UFHR assists with the required Jeanne Clery Disclosure of Campus Security
 Policy and Campus Crime Statistics Act, by making the annual Security and Fire
 safety reports available on the <u>UFHR Website</u> to current and prospective
 employees.

UF Information Technology (UFIT)

 UFIT published nine IT News articles on information security-related topics, with additional social media and "UFIT Update" information made available to campus.

UF Police Department (UFPD)/Clery Act

UF Department of Emergency Management created and maintains a comprehensive Emergency Management system called the "UF Alert" system. On the Gainesville campus, this system is operated in partnership with UFPD and Strategic Communications and Marketing. On the Lake Nona campus, the system is run in conjunction with the Operations Department. The UF Health Jacksonville campus implemented a version of the UF Alert system (UF Alert Jacksonville) in July 2019, which is run in conjunction with UF Health Jacksonville Security and campus leadership. The UF Alert system is multimodal and is designed to utilize a variety of communication methods to attempt to reach each entire campus community. Some of the methods of

360/608 39 | Page

- communication include email, text messaging, Facebook, Twitter, YouTube, the UF website homepage, and the Gatorsafe App (Gainesville).
- The UF Alert system ensures that Clery Act-compliant Timely Warning and Emergency Notification messages are sent when appropriate to the campus community. The legal standard for issuing a <u>Timely Warning</u> is an incident that represents a serious and continuing threat to students and employees. The legal standard for issuing an Emergency Notification is a significant emergency or dangerous situation involving an immediate threat to the health and safety of students or employees.

UF Privacy

- The UF Privacy Office communicated various topics across campus including topics such as the following:
 - Social Security Number Privacy
 - Family Educational Rights and Privacy Act (FERPA)
 - Identity Theft Prevention
 - HIPAA Joint Notice of Privacy Practices (click here)

361/608 40 | Page

TRAINING AND EDUCATION

Compliance training and education efforts are an essential element of an effective compliance program. Board of Governors Regulation 4.003 requires that all employees and Board of Trustees members must receive training regarding their responsibility and accountability for ethical conduct and compliance with applicable laws, regulations, rules, policies, and procedures. To demonstrate effective training efforts, the institution must communicate periodically its standards and procedures, and other aspects of the compliance and ethics program, by conducting practical training programs and otherwise disseminating compliance information.

The University of Florida has demonstrated various training and education efforts that promote compliance and ethical conduct. In November 2018, the UF Compliance Office implemented the first biennial compliance training module, "Compliance: A Collaboration for Success!" To date, approximately 82% of the workforce have completed the training. The Compliance Office is also developing training on the Florida Code of Ethics in collaboration with a State University System (SUS) Compliance and Ethics Consortium Subgroup. The final product is planned to be used as the next system-wide annual compliance training at the University in November of 2020, with a few additions more relevant to the University of Florida.

For this reporting period, several additional compliance and ethics training efforts across the University were carried out. The following points describe such activity as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) Academics/Provost's Office

- The Office of Youth Compliance Services provides Youth Protection Training to recognize and properly respond to suspected child abuse. The training is required of any program employees and volunteers directly in contact with minors.
- Office of the Provost partners with HR Employee Relations and Title IX in providing training to operational units and colleges, when requested.
 Topics may include Title IX compliance and compliance with other employment laws (FLSA, ADA, FMLA, Title VII, etc.).
- Office of the Provost partnered with Training and Organizational development to create an 8-month-long training program for new department chairs and directors. Compliance and ethics topics are included.

(2) College of the Arts

- The College of the Arts relies on the trainings and best practices made available by the University of Florida.
- o HR Director/EEO Officer attends first meeting of all search committees to train on search compliance.
- HR Director trains all search committee members in a special, in-person training on search process compliance.
- o The College of the Arts is instituting new specific title IX and overall safe

362/608 41 | Page

campus training for faculty and other staff working in theatre and dance, including in-person Q&A by experts in compliance in those fields and emphasized communication in faculty meetings.

(3) College of Business

 All employees of the College of Business take the required system-wide University Compliance Training module (OOC101).

(5) College of Education (COE) & P.K. Yonge School

P.K. Yonge:

- Training is provided for all faculty/staff on Mandatory (Florida Department of Children and Families) Reporting & Reporting Professional Misconduct; UF's online ethics training is completed by all training administrators, coaches, and staff on purchasing policies and appropriate fund use (and how to guard against abuse).
- All faculty receive training on the following topics: Conflict of Interest, Protecting Student Information on Digital Devices, Institutional Review Board, and UF Sexual Harassment Training.
- o Department Chairs & Search Committee Members receive training for anti-bias search committee.

COE:

- Employees are encouraged to take training that helps promote compliance, ethics, and understanding of their roles and responsibilities. Examples of training provided include the following: Training and sessions with the Procurement Card (PCard) team; Fiscal Responsibility for Leaders at UF; Fraud Awareness; Gator Business Administrator Services (GBAS) 2019
 Spring; Reconciliations; Research Administrator Financial Training (RAFT); New faculty orientation.
- Dr. Thomasenia Adams does the introduction for new faculty concerning grants at the college level.
- Rosabel Ruiz provides an introduction to post-award processes.

(6) College of Engineering (HWCOE)

UNIVERSITY OF FLORIDA TRAINING REACTOR:

- UFTR management, licensed operators, and operator trainees are enrolled in the UFTR's Reactor Operator Training and Requalification Program, which is approved by the Nuclear Regulatory Commission (NRC). Training covered during this reporting period includes the following:
 - Nuclear Theory and Principles of Operation
 - Design and Operating Characteristics
 - Emergency Drill
 - Security Procedures
 - Annual Report Review
 - Instrumentation and Control Systems
 - Operator Walkthrough Exam
 - Biennial Comprehensive Exam

363/608 42 | Page

- Annual Operations Test
- Reactor Protection Systems

SAFETY:

- Training is provided on the following topics related to safety:
 - Lab Safety Manager Boot Camp: Compliance training for research lab's safety manager
 - HWCOE Safety Seminar: Various topics regarding safety and compliance
 - New Faculty Orientation Session
 - Participated in UF's ethics training online

FINANCE AND ADMINISTRATION:

- Training is provided on the following topics related to Finance and Administration:
 - Fiscal responsibility training tailored to Engineering practices
 - New Faculty Orientation Session
 - Mandatory list of training for Fiscal Processors, Research Administrators, and Associate Directors
- Human resources staff track all faculty and staff employee training completion of OOC001 Compliance: A Collaboration for Success and GET803 Maintaining A Safe & Respectful Campus: Sexual Violence, Harassment and Discrimination Awareness and Prevention
- Specific Training Sessions:
 - Export Control February 18, 2019 Given by Marsha Pesch
 - Fraud Awareness March 4, 2019
 - Fiscal Responsibility for Leaders at UF June 21, 2019 and July 22, 2019

HWCOE IT:

 All College IT staff have been required to complete training as stated in "IT-1 Required Training for IT Workforce Members."

(7) College of Health and Human Performance (HHP)

 All HHP employees take the mandatory UF "Maintaining a Safe and Respectful Campus." Additionally, all HHP search committee members take the "Faculty Search Committee Tutorial." Information about other UF trainings are sent to HHP faculty via email.

(8) College of Law

 During this period, the Levin College of Law hosted several trainings, including a Title IX training with Russ Froman; several Continuing Legal Education programs with ethics components; an outside activities analysis and training with Amy Hass; and diversity and inclusion trainings for faculty search committees. In addition, all employees completed the new online UF Compliance Training.

(9) College of Liberal Arts and Sciences

o Numerous faculty members received training on the following

364/608 43 | Page

topics: Search committee training for faculty conducting searches, and grant management training.

(10) International Center (UFIC)

- UFIC staff members receive continuous regulatory compliance training on Federal regulations as they pertain to the J-1 and F-1 visa program for international students and scholars. Selected UFIC staff also provide regular periodic training sessions for departmental administrators and staff to ensure regulatory compliance for the sponsoring units across campus.
- A core component of our "Global Fellows Program" for faculty includes significant training on compliance with university, state, and federal regulations for international research activities.
- As a member of the Institute of Internal Auditors North Central Florida Chapter, UFIC has sent the fiscal team staff to various ethics, compliance and fraud trainings. These include topics such as Professional Ethics and its relationship to Fraud, Corruption 101 – through a case study of UF's investigation of the Housing and Residence Education department, among others.

❖ ADA/Title IX

 The Office of Title IX Compliance provides numerous compliance presentations throughout the year to departments, divisions, specific organizations and collective faculty, staff, and student groups.

Advancement

 Over 800 fund administrators were trained this past year regarding their responsibilities for spending from University of Florida Foundation, Inc., funds. All Advancement employees were required to complete training on confidentiality and on IT security.

Athletics

• The National Collegiate Athletic Association (NCAA) compliance staff meets with each athletic team individually to review important NCAA compliance issues as well as student conduct expectations. The Hawkins Center staff meets with tutors and others that work with student athletes on academic concerns prior to the start of each semester to review relevant policies and requirements. The compliance staff and Athletic Director meet with all staff to discuss the importance of NCAA rules compliance. The sports medicine staff conducts an in-service for all certified athletic trainers to review policies and procedures related to the care and treatment of student athletes.

Business Affairs

 The Office of Business Affairs orchestrated the participation of all its division/department leaders and their key fiscal staff members in a new course presented by the UF CFO's staff titled "Fiscal Responsibility for Leaders at UF."

365/608 44 | Page

The focus of the training session was on safeguarding institutional funds and designing structure to enhance fiscal accountability and to strengthen internal controls. Thirty-five Business Affairs staff members attended this training session held in March 2019.

Business Services Division

- Business Services current and new employees required to complete "Compliance: A Collaboration for Success!" training course.
- Relevant Business Services team members required to attend training course "Fiscal Responsibility for Leaders at UF" September 17, 2019.
- Associate Director for Financial Services required to complete "Ethics" training course for CPA license renewal.

College of Dentistry

• College of Dentistry personnel take the University-mandated training related to compliance and ethics.

College of Medicine (COM)

Gainesville:

- COM Billing Compliance Training modules are assigned upon hire and annually to COM billing faculty, extenders, housestaff (residents/fellows), and other key staff. These modules include content on the code of conduct; clinical documentation guidance; fraud, waste, and abuse; interaction with industry vendors and conflicts of interest; and Medicare General Compliance training.
- A newsletter is issued quarterly on compliance related topics: "News, Billing Updates, & More."
- COM Compliance trained faculty and staff on billing compliance via various inperson meetings, including post-audit education sessions for individuals who did not pass audits.
- Compliance Awareness Activities: COM Compliance participated in 18 site visit sessions.
- COM Compliance presented at various COM HR "EDGE" onboarding sessions.
- COM Compliance presented various COI training sessions to departments and residents.
- COM Compliance presented at new Clinical Faculty Onboarding (first session August 2019).
- COM Compliance website is regularly updated with current information.

Jacksonville:

- The College of Medicine in Jacksonville provided various compliance training, including the following:
 - New Manager Orientation
 - New Employee Orientation
 - New Provider Orientation
 - New Compliance Leader Welcome Memorandum
 - Using Medical Student Notes to Justify Billing Medicare B for E/M Services

366/608 45 | Page

- Compliance Awareness Week Activities
- Medicare Part C and D Fraud, Waste and Abuse Training
- Module A (Evaluation and Management Documentation Guidelines)
- Module C
 - General includes compliance principles with coverage of Code of Conduct, Physician Billing Compliance Plan, Hotline, Website, Fraud/Waste/Abuse, medical record addenda, Stark Law and Anti-Kickback Statute
 - Non-Physician Practitioner Billing
 - Medicare Teaching Physician Billing Rules
- CY 19 Medicare Physician Fee Schedule Final Rule Key Provisions
- o CY 20 Medicare Physician Fee Schedule Proposed Rule Key Provisions

College of Nursing

The College of Nursing received the following compliance training:

- Compliance: A Collaboration for Success! Online training required to be taken by all employees in August 2018.
- Fiscal Responsibility for Leaders at UF was taken by Todd Fraser, Executive Director and Anna McDaniel, Dean on November 5, 2018.
- Fiscal Responsibility for Leaders at UF was taken by Todd Fraser, Executive Director, Michelle Aragon, Administrative Support Assistant II; Kathy Carnes, Financial Analyst II; Danean Ermentrout, Human Resources Assistant; Patti Jamison, Research Administrator III; Charlotte Nagy, Accountant; and Patty Youngblood, Research Administrator II on November 28, 2018.

College of Pharmacy

- All College of Pharmacy faculty and staff take the UF required training related to compliance, including the following:
 - o Compliance: A Collaboration for Success
 - Maintaining a Safe and Respectful Campus: Sexual Violence, Harassment and Discrimination Awareness and Prevention
 - Health Insurance Portability and Accountability Act (HIPAA) & Privacy:
 General
 - o Family Educational Rights and Privacy Act of 1974 (FERPA) training
- Other compliance trainings required for employees involved in related activities include:
 - Various research compliance training to work with animals or clinical studies
 - UF Restricted Data Training
 - Faculty Search Committee Training
 - Protecting Social Security Numbers & Identity Theft Prevention
 - Fiscal Responsibility for UF Leaders
 - Cost Principles
 - Financial Conflict of Interest
 - Effort Fundamentals

367/608 46 | Page

- Visual Compliance training
- The COP Research Compliance Manager attended the IRB Administrators Boot Camp in Chicago, IL (Oct. 2018), which is sponsored by Public Responsibility in Medicine and Research (PRIM&R) organization.
- The COP call center, the Center for Quality Medication Management (CQM), is a HIPAA-secure facility and requires additional advanced HIPAA trainings for its staff. The COP HR team also runs monthly System for Award Management (SAM) exclusion checks for CQM employees.

College of Public Health & Health Professions (PHHP)

- College of Public Health & Health Professions (PHHP) employees take various compliance training, including the following:
 - o FERPA
 - o HIPAA
 - o Maintain Safe Culture
 - o Collaboration for Success, As well as the Foundation's version of this course
 - Protecting Social Security Numbers & Identify Theft
 - Fraud and Awareness Training

College of Veterinary Medicine

- College of Veterinary Medicine employees take various compliance training, including training on the following topics:
 - o FERPA
 - o HIPAA
 - Maintaining A Safe Culture
 - Collaboration for Success
 - Protecting Social Security Numbers & Identify Theft
 - Fraud Awareness
 - o Allowable uses of funds, travel policies and general financial policies.

Emergency Management (UFDEM)

- The UFDEM Director received the following compliance training: Fiscal Responsibilities for Leaders at UF, Identity Theft and the Red Flags Rule, Protecting Social Security Numbers & Identity Theft Prevention, FERPA Basics, Compliance-A Collaboration for Success.
- The Administrative Support Assistant received the following compliance training: Travel and Expense-Approver, Property 101, PCard for Travel, PCard for Commodities, What PCard Holders Need, Space Allocation.

Enrollment Management

- Annual compliance training is required for all staff.
- Compliance requirements are highlighted in the Division's new employee onboarding process.
- Staff frequently attend professional conferences and workshops providing relevant training. Examples are NASFAA, AACRAO, NACAC, SACRAO, FASFAA,

368/608 47 | Page

SASFAA, FACRAO, CUPA, NACUBO, National Veterans Administration Conference, State VA conference, NCAA, SEC, Department of Education's Federal Student Aid Conference, SASFAA New Aid Officers Workshop, NASFAA Leadership Conference, NCAA Regional Rules Seminar, Alliance Conference, National Student Employment Association Conference, Coalition of State University Aid Administrators (COSUAA) conference, NASFAA University Online Course and Self Study Guides.

Environment Health and Safety (EH&S)

- <u>Unmanned Ariel Systems (UAS)/Drones/EH&S</u>: Conducted approximately 30
 (formal and informal) training and orientation sessions throughout the State
 covering Federal Aviation Regulations regarding drones and drone use as well
 as UF compliance topics regarding drones.
- <u>Facility Support/EH&S</u>: All building inspectors have received recertification training that includes ethics, new code changes, changes to the insurance for contractors. Three inspectors completed their training as Florida Storm water Erosion Sedimentation Inspectors and were certified. One member completed certification as Flood Plain Manager. All fire equipment permit technicians have received training for recertification. EH&S has provided fire extinguisher training to the Building Emergency Coordinators, provided fire safety training to Greeks as needed and provided training to 4-H.
- <u>Fiscal Responsibility Team/EH&S</u>: EH&S had four individuals attend the "Fiscal Responsibility for Leaders at UF" training sessions:
 - o William Properzio
 - Sherame Haugh
 - o Ryan Juengling
 - o Terri Parnell
- Research Support/EH&S: Training classes were provided by EHS that include compliance as well as safety objectives:
 - o http://www.ehs.ufl.edu/training/ehs-research-related-training/
 - o http://www.ehs.ufl.edu/training/ehs-training-triggers-and-audiences/
- Training classes regularly taken by Research Support staff include the following:
 - Shipping & transport of infectious substances (every 2 years) for biosafety staff
 - Resource Conservation and Recovery Act (RCRA) training (every 3 years) for Hazardous Materials Management staff.
 - HIPPA and Privacy General Awareness, every year
 - Preventing Harassment, every 2 years
 - Other trainings for EHS staff are received at conferences or as self-study and are for professional development, not a regulatory requirement.

***** Facilities Services

Facilities Services employees participate in a variety of UF training programs on

369/608 48 | Page

compliance related activities based on their role within the organization, including the following:

- Fiscal Responsibility Training
- Fiscal Management Courses
- o PRO302 The Color of Money: A Guide to How Moneys May Be Spent at UF
- PRO303 Internal Controls at UF
- PRO335 Disbursements Directives 101
- Fraud Awareness
- PST130 Reconciliation for Tier 1
- Title IX Training
- Blood Born Pathogen Training

Finance & Accounting

- Finance and Accounting opened a training session to the campus community, CFO400: Fiscal Responsibility for UF Leaders, and have taught over 1200 people including custom sessions for the College of Medicine, College of Engineering, and Zoom sessions for IFAS Centers.
- Updated *PRO303: Internal Controls at UF* and are teaching revised class to the university community; it is now a requirement for the PRO3 Fiscal Certification.
- Updated PST130: Reconciliation to include C&G information and better align with CFO400: Fiscal Responsibility for UF Leaders class; now a required prerequisite for the Research Administration and Financials Training (RAFT) Cohort.
- Created a Fiscal Onboarding class that had its first pilot on July 24 to engage new fiscal staff within the first month of hire and proactively train on important fiscal areas where new employees struggle.
- Multiple employees of the F&A Division attended FraudFest, a two-day seminar to cover relevant topics.

Government and Community Relations

- In August 2018, the University of Florida began requiring that all employees participate in a mandatory online compliance training which reviewed important provisions of the Florida Code of Ethics. All Government and Community Relations staff completed this mandatory compliance training.
- All Government and Community Relations staff complete UF required training that is aimed at educating employees on recognizing and preventing sexual harassment, misconduct, and discrimination on campus as part of their newhire onboarding process.

Institute of Food and Agricultural Sciences (IFAS)

- November February 2019: IFAS SSC presented at departmental faculty meetings covering recent policy updates, and providing "refresher training" on fund allowability, travel policies, and other general financial policies.
- May 2019: IFAS hosted three 3-hour workshops with the UF Office of Internal Audit of "Fraud Awareness Training" and debuted a new training model for

370/608 49 | Page

- central HR using Zoom technology as many IFAS faculty and staff are not located on the main campus in Gainesville.
- May 2019: IFAS hosted two 1-hour training workshops for unit leaders (department chairs and research center directors) on Outside Activities and Conflicts of Interest using Zoom, designed to help them better review and determine which activities should be approved at their level. In June 2019, Dr. Mastrodicasa met with the faculty at the Southwest Florida Research and Education Center in Immokalee to discuss the Outside Activities and Conflicts of Interest.
- In June 2019, IFAS Shared Services Center (SSC) initiated an annual retreat to cover compliance, ethics, and ensure all staff are trained to adhere to UF policies. Additionally, they are currently re-organizing to create a better process for identifying training gaps, establishing defined and measurable training materials, and developing best business practices for quality control. The SSC provides administrative support for 17 of the IFAS departments, which house more than 200 faculty.
- June-August 2019: The IFAS International Support Team (IIST) hosted a series
 of presentations for faculty, department chairs and centers to provide an
 overview of compliance issues affecting international engagement. These
 sessions provided opportunities for in-depth discussions about international
 agreements, outside activities, hosting foreign visits, international IRB/human
 subjects review, and other topics.
- August-October 2019: In conjunction with UF Travel, IFAS developed a new 2-hour "travel refresher" training aimed at IFAS faculty and staff, with 2-3 workshops planned using Zoom. These will likely take place in October 2019. These include modules for training fiscal staff as well as aimed directly at faculty; the IIST and IFAS Shared Services are leading the training and module development.
- October 2019: IFAS Shared Services Center will kick off their training/best practices initiative aimed at departmental administrative staff across IFAS. This will be in conjunction with both UF and IFAS central offices.

Planning, Design, & Construction (PD&C)

 PD&C, in conjunction with the Office of the General Counsel, has conducted departmental-wide training efforts with all staff regarding employee ethics pertaining to vendor relations, gifts, procurement activities, and outside work activities.

Real Estate

- The Real Estate Office received various compliance related training, including the following:
 - Several training sessions on Governmental Accounting Standards Board Statement (GASB)
 - STARS Real Estate Database
 - GBAS Spring Institute "Influence Matters"
 - PeopleSoft

371/608 50 | Page

Compliance training module

Research

(1) <u>Animal Care Services (ACS) and Institutional Animal Care and Use Committee</u> (IACUC)

- New UF stakeholder/IACUC member orientation is led by a Research Regulatory Analyst member of the IACUC office staff. New IACUC members are assigned a formal mentor from the committee for the first six months.
- Introductory and advanced online training modules are provided to all stakeholders through the American Association for Laboratory Animal Science.
- The IACUC Chair, the Research Regulatory Manager of the IACUC office staff, and IACUC members attend continuing education conferences such as those provided by the Scientists Center for Animal Welfare, Public Responsibility in Medicine and Research, and IACUC 101. IACUC members receive continuing education via online webinars hosted by the NIH Office of Laboratory Animal Welfare and through publications such as the journal Lab Animal Medicine.

(2) <u>Division of Research Compliance and Global Support (DRCGS)</u>

- The Assistant Director-Export Control Officer (AD-ECO) co-led the all-day Intermediate Level Workshop at the annual Association for University Export Control Officers Conference in March 2019 in San Francisco, CA. She also served on the association's board as Outreach chair over the 2019 FY. http://aueco.org/
- The AD-ECO hosts Visual Compliance Training for all new users on campus.
 We currently have over 160 users.
- DRCGS leads trainings for colleges and departments. Training covers a variety of topics including export control, research integrity, and international project management and compliance.
- DRCGS hosts Collaborative Institutional Training Initiative (CITI) training thorough the my.ufl.edu portal. This training is required for all individuals on Technology Control Plans (TCP). The training is also recommended (though not required) for research administrators.
- All personnel working on a project that has a TCP in place are required to attend face-to-face training at the beginning of the TCP. This meeting is an opportunity to discuss the parameters of security for the TCP.

(3) <u>Division of Research Operations – Office of Research – Conflict of Interest (COI)</u>

- New stakeholders with PHS funding must complete required online training. Other stakeholders may complete optional training.
- COI staff annually attend the Association of American Medical Colleges (AAMC) FOCI Academe Meeting (Forum on Conflict of Interest in Academe).

372/608 51 | Page

(4) <u>Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting</u>

- DSP and C&G provide various formal training programs including the following:
 - DSR810: Financial Conflict of Interest
 - RSH280: UFURST Proposals
 - RSH281: Grants.gov Submissions via UFIRST SF424
 - RSH282: UFIRST Awards
 - RSH202: Solicitation Review & Proposal Development
 - RSH203: Budget Development
 - RSH205: Awards: Negotiation & Setup
 - RSH206: Cost Principles Advanced Topics
 - RSH207: Effort Advanced Topics
 - RSH208: Post Award Best Practices
 - RSH212: Post Award Overview
 - RSH215: Reporting
 - PST130: Reconciliation for Tier 1
 - RSH200: FAR/Effort Reporting
 - RSH220: Effort Fundamentals
 - RSH230: Effort Management
 - Fiscal Responsibility for Leaders
- In addition to the formal sessions identified above, team members from C&G and DSP regularly participate in unit-specific outreach sessions for faculty and staff.

(5) <u>Institutional Biosafety Committee (IBC) and Dual Use Research of Concern</u> (DURC)

- o IBC/DURC direct stakeholders to complete applicable EH&S training.
- New IBC/DURC members undergo training with the IBC/DURC Chair.
- The IBC Chair attends continuing education conferences such as the Eagleson Institute's Facilitating Safe and Secure Science, Practical Approaches for IBC.
- IBC/DURC members receive continuing education ad hoc at full-board meetings and at an annual retreat.

(6) <u>Institutional Review Board (IRB)</u>

- New UF stakeholder/IRB member orientation is overseen by the Assistant Director of the IRBs. There is specific training for all new IRB committee members, including working with a formal mentor from the committee for at least two meetings and meeting with the IRB Chair before being appointed to the committee.
- The IRB has required training that stakeholders must complete online.
 Research cannot be submitted to the IRB unless all stakeholders have completed training requirements.
- o The IRB hosts monthly "Brown Bag" educational sessions for stakeholders.
- The IRB runs an extensive "Boot Camp" educational session for new researchers.
- Ad hoc educational sessions are provided to colleges/departments, as well

373/608 52 | Page

- as when included as mandated by the IRB as part of noncompliance corrective actions.
- The IRB Chairs, the Assistant Director of IRBs, and other IRB members and staff attend continuing education conferences such as those provided by Public Responsibility in Medicine and Research PRIM&R).
- IRB members receive continuing education ad hoc at full board meetings, at an annual all-day retreat, and through publications such as the journal Human Subject Report.

(7) Office of Research/CTSI Office of Clinical Research (OCR)

- The following formal training programs are provided as informative compliance-related resources:
 - RBC800: Research Billing Compliance Crash Course
 - RBC801: To Bill or Not to Bill?
 - RBC802: Service Provider Communication
 - RBC804: Navigating OCR Websites
 - RBC805: Tracking Research Services
 - RBC806: The OCR Intake Process
 - RBC807: Billing for Device Studies
 - RBC808: Research Billing in Epic
 - RBC809: Hot Topic Epic Timeline Management
 - RBC810: Clinical Research Billing Risks
 - RBC818: Orders & Encounters in Epic
 - Clinical Trials.gov Training

(8) Office of Research Affairs, Jacksonville

The ORA participates in all applicable training provided in the UF Office of Research divisions and units. In addition, many of the trainings provided at the Gainesville campus are provided on site in Jacksonville and/or video conference in Jacksonville. We also provide sessions at the monthly meetings with the Jacksonville research staff on compliance and ethics topics, and invite other offices that handle areas related to compliance and ethics that may interface with research.

Strategic Communications and Marketing

- The Strategic Communications and Marketing Office received the following compliance related training:
 - Compliance Training 2018, completed every two years
 - Sexual Harassment Training completed every two years

UF Compliance

- The first bi-annual compliance training, "Compliance: A Collaboration for Success!" was implemented in November of 2018. New employees are also required to take this training. To date 32,428 employees (81% of the workforce) have been trained.
- The UF Compliance Office is developing training on the FL Code of Ethics in

374/608 53 | Page

- collaboration with a State University System (SUS) Compliance and Ethics Consortium Subgroup. The final product will be used as the next system-wide annual compliance training at UF in November of 2020 with a few additions more relevant to the University of Florida.
- The Director of Compliance and Conflicts of Interest has provided multiple
 educational sessions to selected pilot departments, deans, and leadership on
 the UFOLIO disclosure system including presentations at the Provost's fall
 retreat and Deans' Breakfast and is preparing to present at the September
 Board of Trustees (BOT) Retreat. A complete list of UFOLIO outreach efforts
 can be found on the website. [March 2019 to August 2019]
- UF Compliance staff attended the COM Faculty Onboarding and recommended allotting some time to introduce the UF Compliance Program going forward.
- The UF Compliance Office conducted a gap analysis of the states that require BOT training to ensure UF BOT training meets industry standards. This information along with a suggested BOT training curriculum was shared with University leadership for consideration in developing UF BOT Training.

UF Health Compliance Services

- Compliance Services for both of the primary Hospitals (UF Health Shands and UF Health Jacksonville) actively develops, implements, and communicates training and education to our workforce, physicians, and leaders/Board Members. A few key areas are noted below (for this time period):
 - General Compliance and Privacy Training topics via MyTraining and HealthStream modules
 - General Compliance Training required training from CMS/Payors
 - o Privacy Training on Frequently Asked Questions
 - Various modules on Compliance Education to/for Patient Financial
 Services/Revenue Integrity/Health Information Management/Registration and Admissions on Compliance and Medicare Rules.
 - Compliance and Privacy development/dissemination of training and education in various formats to Departments/areas as needed (including but not limited to: medical necessity, documentation, coding, billing, privacy, research, regulatory requirements).
 - New Manager Orientation and New Employee Orientation
 - Training to the Hospital Boards of Directors on various topics of risk in the industry: Regulatory Updates and Industry Enforcement Activity; Pharmacy and Drug Diversion Prevention/Opioids; Rebills and the 60-Day Overpayments Rule; Cybersecurity; Other.

UF Human Resources (UFHR)

- UFHR completed the re-design of Training & Organizational Course, HR101, which includes reporting options and a hotline number.
- All UFHR employees are required to complete the bi-annual compliance training, "Compliance: A Collaboration for Success!" The first training occurred in the fall of 2018. In addition, all UFHR staff are required to complete sexual harassment training, "Maintaining a Safe and Respectful Campus."

375/608 54 | Page

- The Employee Relations (ER) team receives regular training and updates on ER, HR, and legal topics.
- Compliance reminders are frequently embedded in monthly UFHR All Staff meetings.
- ER partners with Office of the Provost and Title IX in providing training to operational units and colleges, when requested. Topics may include Title IX compliance and compliance with other employment laws (FLSA, ADA, FMLA, Title VII, etc.).
- The UFHR Benefits & Leave team also provides training to operational units and colleges, when requested regarding benefits and leave programs and how to comply with enrollment requirements.
- UFHR Training and Organizational Development (T&OD) offers a variety of courses relating to legal compliance ethical leadership, ethical business practices which any employee may enroll in. T&OD also partners with the Chief Financial Officer in offering workshops and events sponsored by <u>Gator</u> <u>Business Administrator Services (GBAS)</u> -- such events often focus on financial, operational, and business ethics.

UF Information Technology

 Procured service to conduct simulated cyber-attacks against UF users to train users to identify and respond to malicious activity. Anticipate activity to start Q4 of 2019.

UF Police Department (UFPD)/Clery Act

- The UF Office of Clery Compliance oversaw the creation and implementation of a new Campus Security Authority (CSA) training presentation this past year.
 UF's 2,800 CSAs were notified of the training and the requirement that they view it this year (2019).
- New hires are training on Ethical Issues (on and off duty).
- In November of 2018, UFPD employees participated in the mandatory compliance training, "Compliance: A Collaboration for Success!"

UF Privacy Office

- UFPO staff complete annual compliance training, "Compliance: A Collaboration for Success!"
- The following Privacy modules are available in myTraining:
 - o FERPA Basics (PRV802)
 - FERPA for Faculty (PRV803)
 - HIPAA / Privacy General Awareness (PRV800)
 - HIPAA for Fundraisers
 - o HIPAA for Visitors is now HIPAA / Privacy General Awareness
 - Protecting SSNs & Identity Theft Prevention (PRV804)

376/608 55 | Page

AUDITING AND MONITORING

Auditing and monitoring activity is critical to an effective compliance program. The institution must continuously monitor and audit high-risk areas in order to effectively prevent and detect non-compliance. The UF Compliance Office has developed Compliance Matrices in collaboration with key individuals across the University. The matrices outline the compliance areas relevant to the individual entities within the institution and serve as a guide for auditing and monitoring activities.

For this reporting period, robust auditing and monitoring efforts were conducted system-wide. The following points describe such activity as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) Academics/Provost's Office

- The <u>Office of Institutional Planning and Research</u> worked on multiple Board of Governors (BOG) reports such as the BOG Efficiency Report (July 2019) which provides information on the current or planned operational efforts that will improve the student experience system-wide.
- Annually, the Office of the Provost conducts and submits program reviews to BOG.
- Annually, the Office of the Provost coordinates the review of Academic Assessment Plans in compliance with Southern Association of Colleges and Schools Commission on Colleges (SACSOC) accreditation requirements.
- O In partnership with Employee Relations (ER), Title IX and the Office of the General Counsel, the Provost Office reviews and/or investigate employee-made complaints of regulation violations, which may include discrimination, (non-Title IX) harassment, pay inequities, Fair Labor Standards Act (FLSA) violations, etc. ER/EO investigators partner with the Office of Internal Audit, Title IX, Research Misconduct, UF Police Department, etc. on complaints that implicate subject matter under the purview of such departments.

(2) College of the Arts

- The College of the Arts monitors syllabi and instructor postings for compliance with the Family Educational Rights and Privacy Act (FERPA).
- The College of the Arts monitors all access to online workflow systems and ensures access only includes appropriate individuals.

(3) College of Business

 Staff in the Dean's office act as level 1 approvers for hires and they process all payroll distributions to ensure payroll accuracy.

(4) College of Design, Construction and Planning

377/608 56 | Page

- The College of Design, Construction and Planning conducted the following project: Using Unmanned Aerial Systems for Automated Fall Hazard Monitoring in High-Rise Construction Projects
 - Funded by: Center for Construction Research and Training (CPWR) through the National Institute for Occupational Safety and Health (NIOSH)
 - The Institutional Review Board (IRB) certified the project as Exempt: IRB201801167 (5/11/2018)
 - A flight request was submitted to the UF Environmental Health & Safety Office of Unmanned Aerial Systems (UAS) Programs (EH&S/UAS) and was approved. The certified remote pilots in command and the Unmanned Aerial Vehicles (UAVs) were first registered at EH&S/UAS. Subsequently, a flight request was submitted, and the permit was obtained before the flight.

(5) College of Education (COE) & P.K. Yonge School

P.K. Yonge:

- Annual review and update of all policies for compliance with state law and university policy with UF General Counsel.
- Monthly publishing and review of all budgets by Principal Investigator (PI) or Fund Sponsor.

COE:

- Extra care is taken to ensure the following: separation of duties; adherence to nepotism rules; UF policy and regulations are being followed.
- o Employees are encouraged/empowered to report anything they feel is inappropriate.
- The Business Office reports issues that are brought to its attention even when names are not included.
- The Business Office also tells employees they can make reports anonymously and provides the phone number for doing so.

(6) College of Engineering (HWCOE)

UNIVERSITY OF FLORIDA TRAINING REACTOR:

- The Nuclear Regulatory Commission (NRC) is the federal agency that licenses the University of Florida Training Reactor (UFTR) for operation and provides oversight through a comprehensive inspection process.
- Internally, the Reactor Safety Review Subcommittee (RSRS), which reports to the Radiation Control Committee, performed regular audits to ensure UFTR compliance with all required regulations and periodically reviews of safety and compliance-related issues brought to them by UFTR staff. The RSRS audit was completed in May 2019 and reviewed by the NRC inspector. The results of the audit and any actions taken were sent to the Dean of the College of Engineering. Both reviews are required to ensure compliance with UFTR specifications.

378/608 57 | Page

SAFETY:

- HWCOE Director and Radiation & Lab Safety Coordinator frequently visited with HWCOE lab safety managers to ensure compliance with federal and state Hazardous Waste regulations, University Lab/Fire/Biological/Radiological policies, and HWCOE Safety policies.
- HWCOE Safety reviewed findings from UF Environmental Health and Safety (EH&S) annual lab inspections and ensured any compliance issues were immediately addressed.
- HWCOE Safety required monthly self-inspection program:
 - Compliance inspections performed by the lab
 - Addressed common safety concerns
 - Addressed lab hazards

FINANCE AND ADMINISTRATION:

- o HWCOE mandatory reconciliation policy.
- o Each area completes an annual internal controls assessment.
- Departmental workflows to review documents prior to processing of charges.
- Separation of duties, College processing of departmental Journals, Expense Reimbursements, and transfers, Electronic Personnel Action Form (ePAF) level one approval.
- Segregation of duties within the departmental staff in regard to procurement, receiving, and disbursements.
- Visual Compliance review for international travel, visitors and any employment. A check is also completed by the Research Service Centers (RSCs) for international services provided.

HWCOE IT

- The UF Office of Internal Audit completed a College-wide IT audit in the fall of 2018.
- College IT Employees regularly submit information systems into the Integrated Risk Management process run by the UF Information Security & Compliance Office.
- College and unit IT completed the following annual reviews during the last year:
 - ISA/ISM assignment review
 - UFAD security group review
 - UFEM laptop encryption monitoring
 - UFEM anti-virus compliance monitoring

(7) College of Health and Human Performance (HHP)

- Allegations regarding one case of faculty research misconduct was investigated by the college and university.
- The College HR Manager ensures that all search committee members have taken the required training before serving.

(8) College of Journalism and Communications

379/608 58 | Page

 During this past year, the division of media properties, which falls under The College of Journalism and Communications, underwent two external audits. One was performed by James Moore and Co., and the other was performed by the Office of the Inspector General.

(9) College of Law

- The Levin College of Law monitors adherence to University syllabi rules.
 The Director of Academic Strategic Initiatives & Compliance reviews syllabi for compliance with UF's Policy on Course Syllabi and the College's Faculty Policy Manual.
- The Dean's Office reviews outside activities and potential conflicts of interest through a two-step process, whereby the report is first reviewed by the Associate Dean for Academic Affairs and then by the Dean.
- The Levin College of Law regularly monitors, reviews, and verifies all financial transactions. Most importantly, the college uses a zero-based spending process whereby every proposed expense is approved before the expense is made.
- Every year, the Levin College of Law reports a variety of data to the American Bar Association (ABA) to evidence compliance with the ABA Standards and Rules of Procedure for the Approval of Law Schools. In October of each year, the ABA Annual Questionnaire, which includes data on admissions, enrollment, financial aid, course offerings, faculty, finances, non-J.D. programs, and international programs is submitted. In February of each year, the ABA Bar Passage Questionnaire, in which data are reported about the passage rates of graduates taking state bar exams for the first time and the ultimate bar passage rates of graduates within two calendar years of graduation, is submitted. In March of each year, the Employment Questionnaire, which reports detailed employment outcomes for graduates of the previous academic year, is submitted.

For each of these reports, the college has a robust system of internal controls to ensure the accuracy of the data. This system is monitored by the Director of Academic Strategic Initiatives & Compliance. There are also several external controls. The Law School Admissions Council (LSAC) does an external review of all admissions data and certifies the accuracy of admissions data directly to the ABA. Similarly, state bars make exam data directly available to the ABA, and the college makes sure bar passage reports to the ABA reflect the state bar data. Finally, the ABA does a yearly audit of the employment outcomes of a randomly selected set of recent graduates, and the college enthusiastically participates in that audit.

 Every fall, the Levin College of Law provides data to U.S. News. Some of these data are data that the College also provides to the ABA, and the U.S. News reporting must reflect the College's ABA reports. Other data related

380/608 59 | Page

- to resources are subject to a robust system of internal controls to ensure the accuracy of the data. This system is monitored by the Director of Academic Strategic Initiatives & Compliance. The College has also employed a U.S. News consultant to provide an external review of the data.
- The University of Florida Foundation continuously monitors the use of private funds by the Levin College of Law. This monitoring ensures that expenditures from private funds are directed to the intended purposes of donors. This monitoring also identifies private funds that have not yet been used, and the College has developed systems to appropriately use such funds as they are identified.

(10) International Center (UFIC)

 The UFIC has reviewed its internal controls and processes to ensure compliance with federal, state, and UF regulations. Given the extensive handling of international travel, UFIC follows stricter guidelines than most campus units with regard to travel and expense reimbursement.

❖ ADA/Title IX

 The ADA and Title IX Compliance Office conducts a weekly case disposition review of all cases with the entire investigation team, and an outside reviewer is invited to participate as well. All faculty investigations are reviewed weekly with the Faculty Issues Committee.

Advancement

 The University of Florida Foundation, Inc., has an Executive Board Audit Committee that meets four times a year and reports to the Board. There are annual financial audits by an outside audit firm and three financial and internal control audits each year by the University of Florida Office of Internal Audit. All audit reports are reviewed by organizational leadership and the Audit Committee.

Athletics

• The University Athletic Association (UAA) enlists the services of both internal and external auditors to ensure compliance. The UF Office of Internal Audit (OIA) creates and adheres to a three-year plan to audit various departments and functions of the UAA. The plan calls for 3000 hours of audit time over the course of the three-year period. OIA reports are shared with both the Athletic Director and the UAA Audit Committee. In addition, the board hires James Moore to conduct an annual financial audit that is also shared with the Athletic Director and the full UAA Board.

Business Affairs

 Starting July 1, 2019, all divisions/departments under the Vice President for Business Affairs are required to submit a monthly Financial Reconciliation Certification form. The form certifies that the department has completed the required financial reconciliations and addressed (or in the process of

381/608 60 | Page

addressing) any issues that may have been identified. Each department has also identified an individual who is delegated financial responsibility to ensure the department is operating within the fiscal policies/guidelines at the University (including Auxiliary Operations) and certify the accuracy of the reconciliations. The delegation letters are on file with the Vice President's Office and will be updated with any changes in personnel. The Financial Reconciliation Certification form is signed off by the reconciler, the employee who is delegated financial responsibility for the department, and the Assistant/Associate Vice President to which the department reports.

Business Services Division

- ID Card Services audited by University of Florida's Office of Internal Audit –
 Spring 2019.
- Secret Shopper Assessment Program Business Services manages a secret shopper program to monitor the customer-facing activities of Aramark (Gator Dining), Follett (UF Bookstore), Canteen, Pepsi, and the Gator 1 Card office.
- Passport Services (a unit within Business Services) audited by U.S. Department of State.
- Business Partners (Aramark, Follett, and Canteen) provide Business Services with Annual Independent Audit Reports.

College of Dentistry

- The Office of Research handles monitoring and review of research projects and related issues.
- In terms of reconciliation of expenditures and compliance with University policies, the College of Dentistry hired a staff member to reconcile all central accounts and to spot check various departments.
- When a problem is brought to the attention of the Dean's Office related to billing and/or compliance, it is investigated thoroughly. As appropriate, cases are referred to the Associate Dean for Clinical Affairs and Quality, who serves as the College Compliance Officer, for review and action. Issues related to privacy are routed through him as well for referral to the Privacy Office. Chart audits are routinely conducted throughout the clinical enterprise to ensure medico-legal compliance. Results from chart audits are sent to the Associate Dean for Clinical Affairs and Quality.
- All contracts are reviewed through the Contracts Office in General Counsel to ensure compliance. Gift agreements are reviewed through Foundation legal counsel to ensure compliance.

College of Medicine (COM)

Gainesville:

- The College of Medicine Compliance Office in Gainesville conducted various auditing and monitoring activities, including the following:
 - Routine billing compliance audits of clinical departments on annual basis.
 - New provider billing compliance prospective reviews as of 30 days of new hire.

382/608 61 | Page

- Follow-up audits for individuals who did not pass routine or new provider billing audits.
- Special billing reviews requested from various sources (Recovery Audit Contractors, Medicare Administrative Contractors, UF administration, etc.).
- o Routine conflict of interest reviews: reviewed over 1,100 disclosures.
- Monthly exclusion screening conducted of COM personnel and vendors.
- Encounter Form Review/Risk Assessment completed and recommendations issued.
- o DME Review/Risk Assessments completed and recommendations issued.
- External Location Billing (contract review) in progress; planned to finalize by CY 2019 year end.
- Clinical COI Policy Benchmarking Review in progress; planned to complete by CY 2019 year end.

Jacksonville:

- The College of Medicine Compliance Office in Jacksonville conducted various auditing and monitoring activities, including the following:
 - Baseline reviews on new providers (review of 12 samples randomly selected).
 - Routine risk reviews performed on high level of risk such as Evaluation and Management level of service, volume of Relative Value Units (RVUs), time, modifiers, and volume of services other than Evaluation and Management.
 - Routine project reviews performed at the medical department level and including a review of teaching physician documentation guidelines, incident-to-billing, and billing split/shared visits between physicians and advanced practice professionals.

College of Nursing

- Mr. Todd Fraser, Executive Director, provides assurance of internal controls and compliance with all financial and human resources policies, procedures, laws and regulations. As part of his position, he directs the design and implementation of College policies and procedures for all financial and personnel transactional aspects and oversees College internal audits of the same to ensure compliance. Mr. Fraser is knowledgeable about the financial and personnel policies, procedures, rules, regulations and statutes as established and promulgated by the State of Florida, Board of Governors, University of Florida, Finance and Administration, Contracts and Grants, Sponsored Research, Finance and Accounting and other regulatory or governance entities and ensures that College policies remain in compliance. Specifically, Mr. Fraser is responsible for meeting the requirements of Section 215.422, Florida Statutes, regarding the approval and/or processing of vendor invoices and/or distribution of warrants to vendors. He is responsible for communicating to all employees in the College of established policies and state regulations as well as changes in said policies and regulations in order to comply with statutes.
- All College of Nursing expenditures and personnel actions are reviewed and authorized at multiple levels for action prior to occurring to prevent errors /

383/608 62 | Page

- issues and remain in compliance.
- UF internal audits are performed on expenditures of the College by the Office
 of Internal Audit annually. The college accounting office conducts self-audits
 for payroll and leave. College of Nursing faculty practice audit completed by
 external audit firm James & Moore Company annually.

College of Pharmacy (COP)

- The College of Pharmacy's Shared Service Center (SSC) follows a reconciliation process that encompasses all fiscal transactions of the college. Strong internal controls are enforced by having employees who are independent of the processing team review the transactions for appropriate business purpose, proper authorization, adequate documentation, completeness and accuracy.
- The Faculty Practice Association is audited annually by James Moore & Co.
- The COP Controller communicates Fraud Awareness to all employees annually, conducts an internal controls checklist every two years, and performs a National Association of College and University Business Officers (NACUBO) risk assessment for the College every three years.
- The COP Human Resources department monitors training compliance to eliminate deficiencies. All college employees and volunteers receive a background check before hire/engagement.
- COP HR and Grants Management teams were trained on and implemented the
 use of Descartes Visual Compliance, a web-based export control management
 tool. This is used in collaboration with the UF Office of Research and Global
 Support for restricted party/entity searches related to international business
 travel and foreign collaborative relationships with UF.
- The Center for Quality Medical Management (CQM) utilizes a quality team to ensure all employees have completed required trainings each year and upon hire. The quality team audits employees to check for any potential ethical and compliance issues. Additionally, some of CQM's clients perform audits of the center's policies, procedures and calls to ensure compliance and ethical standards are upheld.

College of Public Health & Health Professions (PHHP)

- Workflow prior approval systems: These are Shibboleth software authenticated systems.
 - PHHP Travel Authorization Report, which includes Conflict of Interest (COI) forms
 - PHHP Pre Approval for Purchases
 - Position Status Form
 - Grant Procurement Process
- Monthly Reporting Portal: First Monday of each month a suite of reports is delivered to the Department Business Manager (BM) and the assigned Research Administrator (RA) from the College's Research Administration Core. They provide insight into items that potentially need review. They also can be run anytime by the BM/RA.

384/608 63 | Page

College of Veterinary Medicine (CVM)

A variety of Shibboleth authenticated workflow approval systems are used, including CVM Travel Authorization Report (includes COI forms), CVM Pre-Approval for Purchases, Grant Procurement Process, Position Status Form and others. On the first business day of each month a suite of reports is delivered to the Department Business Manager and the assigned Research Administrator from the College's Research Administration Core. They provide overview of items that potentially are in need of more careful review.

Emergency Management (UFDEM)

 Monthly financial reconciliation certifications are submitted to Office of Vice President for Business Affairs.

***** Enrollment Management

- The Enrollment Management Office reported the following audits for this reporting period:
 - o UF Financial and Operational Audit-Auditor General
 - VA audit Veterans Administration
 - Identity Theft Prevention Program Privacy Review UF Privacy Office
 - Ongoing review of security access for all internal and external users with Student Information Systems (SIS) access – Enrollment Management
 - Restricted Gifts Audit Office Of Internal Audit
 - Internal Management, Accounting and Control Business Process Review Crow LLP
 - Performance Based Funding Audit Office of Internal Audit
 - o Title IV Student Financial Data Review UF Information Technology
 - Specialty License Plate Audit Office of Inspector General

Environment Health and Safety (EH&S)

UAS/Drones/EH&S:

- Created and maintained electronic system for Pilot and Aircraft registration for all UF Faculty, Staff, Students, and vendors.
- Continuous monitoring of flights/missions with drones throughout UF by
 means of electronic flight plan submission (by pilots) reviewed by EH&S for
 Federal Aviation Administration (FAA) compliance, and then issuing permits to
 pilots for those flights. Several flight requests have been denied due to noncompliance with FAA regulations with no permit issued. Typically resolved after
 discussion with pilot.
- Index of activities (Sept. 2018 to Aug. 2019)
 - o 95 aircraft registered
 - o 72 pilots registered
 - 411 flight requests processed
 - o 650+ missions flown
- Monitor issues of rogue aircraft (non-permitted drones) flying in/near/above the area of Shands rooftop helicopter landing areas. Attempts made through FAA to determine pilot/operator, but unsuccessful. Discussion with ShandsCair

385/608 64 | Page

Base Safety Pilot (Bryan Tison) concerning funding and deployment of drone detection equipment. Discussion only, no formal plans to address issue.

Facility Support/EH&S:

• Inspection of new building construction and renovation of existing buildings is performed on the campus and all remote sites, including St. Augustine, IFAS facilities and Lake Nona.

Year	Number of Permits	Permit Fees	Construction Value
2019 – Present	478	\$726,175.74	\$159,481,449.74
2018	715	\$643,807.65	\$123,651,663.24

Research Compliance/EH&S: Monitor for compliance.

- Meeting with new Principal Investigators (PIs) as they arrive at UF and set up their labs. In the time frame requested, EHS staff met with/will meet with approximately 45 new PIs.
- Requiring Principal Investigators to obtain and maintain a chemical inventory for their workspaces; EHS has the ability to review these inventories for chemicals subject to enhanced regulation or handling requirements. There are currently approximately 255,000 containers of chemicals in UF's inventory.
- Requiring laboratories to complete a work area-specific hazard risk assessment and Chemical Hygiene Plan (CHP) that is to be shared with all personnel with potential risk; EHS has the ability to review the content of these plans/assessments as well. There are currently 1576 risk assessments/CHPs completed or in process for the time period requested.
- Reviewing all Institutional Animal Care and Use Committee (IACUC) protocol submissions for hazards involved in animal research and requesting mitigations where required. EHS conducted approximately 2660 IACUC project reviews for 1430 projects received in the timeframe requested.
- Identifying and tracking individuals with EHS Research Services-related occupational health requirements: 5043 individuals currently participate in the animal contact program, and 76 individuals participate annually in the BioPath program for potential exposure to high risk biohazards.
- Tracking research Pls who hold United States Drug Enforcement Administration (DEA) and/or Florida Department of Business and Professional Regulations (DBPR) exemptions for prescription drugs. This allows EHS to check that regulatory requirements are being met. Currently, 332 Pls are tracked for DEA and DBPR compliance.
- Requiring registration of work or research done by minors in UF research areas. Approximately 125 minors registrations were/will be approved during the timeframe requested.
- Conducting lab inspections as follows (inspection numbers are for the timeframe requested):
 - Lab safety, laser safety, and biosafety inspections (annually): 1300 inspections
 - o Radiation safety inspections (quarterly): 1270 inspections

386/608 65 | Page

- Hazardous Waste Satellite Accumulation Area (done at time of waste pick up): 2100 inspections
- Daily review of purchase requisitions made on-line through MyUFMarket for research materials of concern. EHS approval is needed for a subset of higher risk research materials and equipment.
- Review of lab equipment disposal requests made through MyAssets to ensure the equipment is appropriately decontaminated.
- Monitoring autoclave performance with biological indicator vials to ensure the devices are adequately inactivating waste before disposal. EHS currently monitors 66 autoclaves weekly.
- Currently there are 2576 individuals monitored for occupational exposure to radiation via bioassay or film badge.
- Registering projects utilizing biohazards with the Institutional Biosafety
 Committee and/or the EHS Biosafety Office. There are currently 1812 active
 biosafety projects registered.
- Monitoring, tracking, and registering radiation producing devices. Currently there are 464 X-ray units and 9 irradiators in this category.
- Registering, approving, and tracking projects involving radiation administered to patients; there are 208 current human use projects.
- Tracking, registering, and annually training individuals with access to high hazard irradiation devices and select (biological) agents.
- Registering Class 3b and 4 lasers at UF. This allows EHS to check that regulatory requirements are being met. Currently, 286 of these lasers are tracked.
- Shop inspections were conducted for Facility Services / Campus Physical Plant (11), Division of Housing (16) and College of Engineering (16).

Facilities Services

 Monthly financial reconciliations are performed to monitor compliance with financial regulations. Quarterly financial meetings are facilitated by the Business Office with each operational department to account for variances between original budgeted amounts and current expenses. Warehouse counts are monitored throughout the year, and an overall warehouse audit is performed at the conclusion of each fiscal year.

Finance & Accounting

- Multiple F&A units reach out to departments regularly to discuss compliance and provide support.
- Multiple F&A units perform regular monitoring and review activities, including of Disbursements and Travel.

Government and Community Relations

• The Office of Government and Community Relations monitors the annual registration of lobbyists in accordance with federal and state regulations.

387/608 66 | Page

• A representative of the UF Office of the General Counsel serves on the UF Campaign for Charities (UFCC) Planning Committee to ensure compliance with UF Regulations.

Institute of Food and Agricultural Sciences (IFAS)

- The Office of Internal Audit completed an audit of the department of Animal Sciences in November 2018. As a result of several recommendations, significant training and reorganization of administrative processes and many changes in activities were implemented to bring the department into compliance. This effort is ongoing. During the transition period, the IFAS Shared Services Center (SSC) established business processes, and provided transactional processing to ensure compliance. Additionally, they did an ad-hoc all staff training on financial responsibility, travel and other general UF policies.
- The IFAS International Support Team (IIST), IFAS SSC, Deans for Research and Extension and the Office of the Senior Vice President have begun a review of international travel (sponsored internally and externally) for compliance to travel regulations and cost principles. This collaborative effort has led to increased oversight for high-risk travel/travelers and a push to improve internal controls.
- Working with the UF purchasing card team, Dr. Mastrodicasa analyzed data about procurement card (PCard) users and was able to identify process improvement opportunities.
- New reports organized through Enterprise Reporting have been quite helpful for departments in Shared Services to better monitor real-time expenditures and plan for payroll without the use of a Shadow System.

Planning, Design, & Construction (PD&C)

• PD&C is subjected to regular cycled and formal Audit Inquiries by the UF Office of Internal Audit and State Auditor General's Office to ensure compliance with federal, state, and university regulations, policies, procedures, and processes.

❖ Real Estate (ORE)

- The Office of Internal Audit completed a self-assessment for off-campus locations.
- Audit of current leases completed by ORE.
- Improved tracking and correct identification of assets.
- Improved tracking of project management in coordination with UF Planning,
 Design, and Construction.

388/608 67 | Page

 Accounting audit completed by James Moore, Certified Public Accountants and Consultants for the University of Florida Development Corporation and Innovation Square, LLC.

Research

- (1) <u>Animal Care Services (ACS) and Institutional Animal Care and Use Committee</u> (IACUC)
 - Semi-annual inspections of all animal facilities, led by the Research Regulatory Analyst and Post Approval Monitoring (PAM) Coordinator members of the IACUC office staff, are conducted by IACUC members as mandated by federal oversight agencies. During this reporting period the monitoring covered 505 Principal Investigators and 1,437 approved animal use protocols. The findings are reported to the IO.
 - Adverse events and potential non-compliances from policy or from approved animal use protocols are promptly investigated by the IACUC. These may be self-reported, detected during scheduled or unscheduled facility inspections, detected by ACS staff, or reported anonymously through the UF Compliance Hotline. Relevant oversight agencies are notified. A subcommittee of IACUC members appointed by the Chair investigates and reports to the full IACUC along with recommended corrective actions. The stakeholders involved, including departmental and college administrators, are notified of the outcome and the report is routed to the IO for approval and final notification of external oversight agencies.
 - Verification of regulatory compliance during animal use protocol review process is led by the Research Regulatory Manager and Grants Review Coordinator members of the IACUC office staff. Staff members from UF Environmental Health and Safety (EH&S), and from the Occupational Medicine Program, verify compliance aspects of animal use protocols relevant to study staff safety.
 - Monitoring of approved animal use is led by the PAM Coordinator of the IACUC office staff. Sites subject to USDA oversight are inspected twice per year, others are inspected at least annually or more often depending on risk to animal welfare. The PAM Coordinator also monitors compliance with corrective actions mandated as a result of adverse event or other investigations. The findings are reported to the IACUC and the IO.

(2) <u>Division of Research Compliance and Global Support (DRCGS)</u>

- TCP monitoring conducted on an annual basis.
- DRCGS assists in certain IRB, IBC, or IACUC audits/reviews and in certain fiscal compliance audits/reviews.

(3) <u>Division of Research Operations – Office of Research – Conflict of Interest (COI)</u>

- COI staff work with college and department representatives to annually monitor/reassess existing conflicts and the management plans to ensure compliance.
- (4) Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting

389/608 68 | Page

 The University is subject to the annual single audit and many external audits by sponsors. In addition, the University's Office of Internal Audit regularly conducts audits of specific program areas.

(5) <u>Institutional Biosafety Committee (IBC) and Dual Use Research of Concern</u> (DURC)

 When applicable, monitoring occurs via EH&S, IACUC, ACS, and IRB processes.

(6) Institutional Review Board (IRB)

- The IRB Quality Improvement (QI) program conducts random and for-cause audits of human research protocols. Issues are reported to the IRB Chair and Assistant Director of IRBs. The IRB Chair and/or full Board adjudicate if issues are (a) serious or continuing noncompliance, and/or (b) an unanticipated problem involving risks to subjects or others.
- o Adverse events, potential non-compliances from policy or from approved protocols, and unanticipated problems involving risks to subjects or others are promptly investigated by the IRB. These may be self-reported, detected during random or for cause audits, detected by document review, or reported anonymously through the UF Compliance Hotline. When appropriate relevant oversight agencies are notified. The stakeholders involved, including departmental and college administrators when applicable, are notified of the outcome and the report is routed to the Institutional Official (IO) for approval and final notification of external oversight agencies.
- Under UF's Human Research Protection Program (HRPP), IRB full Board meeting minutes are audited to ensure regulatory compliance. Other areas can also be audited, such as verifying that clinical contracts with sponsors contain appropriate terms.
- Other short-term auditing/monitoring initiatives can be pursued by IRB staff in order to identify areas of improvement for investigators or within IRB administration.

(7) Office of Research/CTSI Office of Clinical Research

The Office of Clinical Research has a dedicated Quality Assurance (QA) team for monitoring data completeness, data integrity, and workflow management with regard to the tracking of clinical trials protocols and patients in the OnCore CTMS platform. Additionally, regular reviews are performed on active and closing clinical trials to ensure patient billing practices, as defined by each study's research billing matrix (grid) are adhered to. In partnership with the OCR, UF Health Research Billing Office (Assistant VP for Revenue Cycle) and UF Health Research Compliance (VP for Compliance) billing designation reviews are verified by the health system to ensure that UF billing designation decisions are compliant with National Coverage Determination guidelines (NCD 310.1) as outlined by the Centers for Medicare and Medicaid Services (CMS).

390/608 69 | Page

(8) Office of Research Affairs (ORA), Jacksonville

O As the University conducts the annual single audit annually, ORA Jacksonville/DSP accounts are included in this audit. ORA Jacksonville may also be audited by the University of Internal Audit for specific programs. Additionally, COM-J practice plan has an internal audit services unit that reviews all projects to ensure compliance with federal, state and university regulations, policies, procedures and processes. These audits are reported to department chair and dean of COM-J and other leadership, as appropriate.

Strategic Communications and Marketing

- The Strategic Communications and Marketing Office reported the following audit and monitoring activities for this reporting period:
 - PCard Reconciliation
 - Budget Reconciliation
 - o Payroll Reconciliation
 - Key log
 - Asset Management
 - Separation of duties for fiscal transactions

UF Compliance

- The UF Compliance Office worked on several initiatives as outlined in the
 compliance work plan. This included the ongoing development of the UF
 Compliance Program, the expansion of the UF Conflicts of Interest and Outside
 Activities Disclosure System, and the completion of the first Mandatory
 Compliance Training. Various risk assessments were discussed with multiple
 parties and action items have either been completed or are underway.
- The UF Compliance Office coordinated the State of Florida Department of Risk Management audit of the UF Risk Management Program based on Florida Statute 284.50. The audit consisted in responding to a 39 questions survey on current practices and providing documentation to support statements made. The reviewing efforts were conducted by the EH&S Director, the General Counsel's Office, the UFHR VP, and UFHR Workers' Compensation Specialist. Findings are pending.
- The UF Compliance Office participated in the BOG Efficiency Report and provided information on the current or planned compliance and privacy operational efforts that will improve the student experience.

UF Health Compliance Services

Compliance Services for both of the primary Hospitals (UF Health Shands and UF Health Jacksonville) plans and completes significant (several hundred) auditing and monitoring efforts as part of its annual work plan, and in addition completes unplanned audits in response to allegations and/or issues. A few key areas are noted

391/608 70 | Page

below (for this time period):

- Regulatory Compliance: Audits, Reviews, Risk Assessments, Mini-Risk Assessments
 - Ambulance, Business Development (Stark Non-Monetary Compensation Exception Expenditures), the Emergency Medical Treatment and Labor Act (EMTALA), Regulatory Signage, CMS/Payor Compliance with Downstream Requirements, Medical Staff Credentialing, Reporting Free/Discounted Goods and Services, Provider Based Departments, Annual compliance reviews for/by managed care payors, Emergency Preparedness, Exclusion, Licensure for Facilities and Employees, Pharmacy/Controlled Substances Diversion Prevention.
- Coding, Billing, Documentation, Medical Necessity: Audits, Reviews, Risk Assessments
 - Coding policy, Billing policy, Cardiac and Pulmonary Rehabilitation, Devices, Drugs, Drug Waste, end-stage renal disease (ESRD) rules, Local Coverage Determination (LCD)/National Coverage Determination (NCD) Requirements, Programs to Evaluate Payment Patterns Electronic Report (PEPPER), Partial Hospitalization Program (PHP), Severe Malnutrition, Medicare Observation Notices.
- Privacy: Audits, Reviews, Risk Assessments
 - Site surveys for HIPAA Compliance, Behavioral Analytics, HIPAA Accounting of Disclosures, Vizient Chief Privacy Officer Report, Privacy Compliance Summary Documents.
- Research Compliance: Audits, Reviews, Risk Assessments, Mini Risk Assessments
 - Research Billing Plans, Research Medicare Coverage Analysis, Hospital Research Billing Compliance Program Risk Review.

UF Human Resources (UFHR)

- Employee Relations/Equal Opportunity investigators review and/or investigate employee-made complaints of regulation violations, which may include discrimination, (non-Title IX) harassment, pay inequities, Fair Labor Standards Act (FLSA) violations, etc. ER/EO investigators partner with the Office of Internal Audit, Title IX, Research Misconduct, UF Police Department, etc. on complaints that implicate subject matter under the purview of such departments.
- Employee Relations team reviews minor concerns or complaints that allege
 general policy violations not warranting formal review. Similarly, Talent
 Acquisition team reviews minor concerns or complaint that may allege
 improprieties during the recruitment and hiring process that do not warrant
 formal review.

392/608 71 | Page

- UF Benefits Office participates in continuous Dependent Eligibility Verification Audit of UF employees covered by GatorCare.
- In June, July, and August of 2019, UFHR participated in the State of Florida Department of Risk Management audit of the UF Risk Management Program
 based on Florida Statute 284.50, which consisted in responding to a 39
 questions survey on current practices and providing documentation to support
 statements made. The reviewing efforts were conducted in collaboration with
 the EH&S Director, the General Counsel's Office, and UF Compliance.
- Talent Acquisition (TA) is working on an Office of Federal Contract Compliance Programs (OFCCP) affirmative action plans and successfully completed an OFCCP audit, without any adverse findings.
- UFHR participated successfully in audit of census information UF reported to Division of Retirement/Florida Retirement System in 2018 at the request of the Florida Auditor General's office.
- Review of how OPS employees being utilized (intended to be "temporary").
 New OPS codes adopted and increased monitoring/auditing of units hiring employees as OPS.

UF Information Technology (UFIT)

- Health Insurance Portability and Accountability Act (HIPAA):
 - Conducted risk assessments of 349 new and modified information systems that store, process or transmit Protected Health Information or De-Identified Protected Health Information.
 - Conducted vulnerability scans of all networked computers and devices, alerting system owners and tracking remediation.
 - o Began rollout of two-factor authentication to further secure accounts and prepare for Electronic Prescribing of Controlled Substances (EPCS).
- Digital Millennium Copyright Act (DMCA):
 - UFIT registered with the Copyright Office as an agent to receive notification of claims of copyright infringement and included copyright infringement in the UF Copyright Policy and the UF Acceptable Use Policy (AUP).
- Electronic Information and Technology (EIT):
 - The Siteimprove tool is used for automated monitoring of over 246 university websites for EIT Accessibility issues.
 - The Blackboard Ally tool is used for automated monitoring of over 18,000 online courses for EIT Accessibility issues, and automated creation of accessible content.
 - The UFIT Integrated Risk Management (IRM) team referred 20 projects to the EITA Officer.

393/608 72 | Page

UF Policy Department (UFPD)/Clery Act

- The Office of Clery Compliance oversees the Clery compliance program for the University of Florida. This involves day-to-day oversight of the program, acting as liaisons and subject matter experts for the university, as well as creating operating procedures and standards that are implemented throughout the program.
- UFPD's compliance with law enforcement accreditation standards is verified annually by the Commission on Accreditation for Law Enforcement Agencies (CALEA) through an online review of directives and proofs of compliance. Additionally, on-site assessments done by three different organizations occur every three years.
- UFPD reports on campus crime statistics and statistics related to the fire safety
 and occurrences of fire on campus as required by HEOA and the Clery Act on an
 annual basis. The most recent University of Florida Annual Security and Fire
 Safety Reports can be found here https://police.ufl.edu/clery/2018-annual-securityfire-safety-reports/.

UF Privacy

- The Privacy Office reported the following audit and monitoring activities for this reporting period:
 - o UF Identity Theft Prevention Program
 - UF Information Risk Management System Privacy Impact Assessment (PIA)
 - o Office for Civil Rights (OCR) HIPAA Compliance Audit Protocol
 - o Annual OCR Breach Reporting
 - o Cyber-risk Insurance Bordereau Reporting

394/608 73 | Page

ADDRESSING KNOWN OR POTENTIAL ISSUES

The institution must timely and appropriately address all reported or identified concerns of suspected non-compliance with laws or policies. When instances of non-compliance are confirmed, the institution must take reasonable steps to appropriately address the issues and to prevent further similar instances from occurring in the future, including making any necessary modifications to the compliance and ethics program.

All instances of reported potential non-compliance during this reporting period have been reviewed, or are currently being reviewed. In instances where non-compliance has been identified, corrective actions are either underway of haven been completed.

395/608 74 | Page

ENFORCING STANDARDS

The institution's compliance and ethics program must be promoted and enforced consistently throughout the institution and must include appropriate incentives and disciplinary measures to promote compliance and ethical conduct.

The University has policies and procedures for incentivizing ethical behavior and disciplining students, employees, and volunteers who engage in unethical behavior or behavior that is not in compliance with existing federal, state, local, and University laws, regulations, and policies.

Employees found to have participated in fraudulent or dishonest acts will be subject to disciplinary action pursuant to collective bargaining agreements and University rules. In addition, criminal or civil actions may be taken against employees who participate in unlawful acts. In those instances where disciplinary action is warranted, the University's Office of Human Resource Services or appropriate academic administrator(s) and the Office of the Vice President and General Counsel shall be consulted prior to taking such actions. Disciplinary action is detailed in the 6C1-1.007 University of Florida; Code of Penalties.

The University promotes compliance through appropriate incentives to help encourage ethical behavior. This is done in a variety of ways such as in their performance evaluation and/or by recognizing employees for compliance initiatives or for demonstrating ethical behavior by reporting difficult issues and being part of the resolution.

For this reporting period, the Compliance Partners reported to the UF Compliance Office several efforts regarding disciplinary measures and incentives to promote compliance. The following points describe such activity as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) Academics/Provost's Office

O In Partnership with UFHR and the General Counsel's Office, the Provost's Office applies disciplinary action when applicable for issues such as misconduct, negligence, unsatisfactory attendance, or a violation of law, university regulation, or departmental policy, procedure, or practice that is either verbal or written, or understood.

(2) College of the Arts

 The College of the Arts reported disciplinary activity for this reporting period that includes letters of reprimand, letters of Counsel, termination, or counseling and training in numerous cases.

(4) College of Education (COE) & P.K. Yonge School

P.K. Yonge:

 All faculty are required to complete and are awarded professional development points for completing The Florida Department of Children

396/608 75 | Page

- and Families (DCF) Mandatory Reporting, Professional Ethics, and School Safety & Security Training.
- All Business Office Staff roles and responsibilities have been updated to ensure cross-training and multiple levels of internal review/approval for all purchasing and payments (resulting in some promotions and pay increases as job descriptions were updated).

(5) College of Engineering

SAFETY:

 Disciplinary actions pertaining to delinquent lab safety inspection actions result in notification of the issue to the lab's departmental chair.

(6) College of Health and Human Performance (HHP)

 The College applies appropriate action for faculty/staff misconduct, unsatisfactory performance, attendance issues, and violation of college/UF policies. In many cases, this is done after consulting or working with the UF HR Office.

(7) College of Journalism and Communications

The College has enacted the following procedures related to employee performance/conduct based on UF Human Resources protocol. The employee is first given a verbal warning. If there is not improvement, the employee is given a written letter of counsel. Then if there is still not improvement, the employee is give a written letter of reprimand. If after all of this, the final step would be termination of the employee.

(8) College of Law

 Compliance is expected of all faculty and staff and is considered during annual performance reviews and merit raise determinations.

(9) College of Liberal Arts and Sciences

 Disciplinary action is taken in instances when non-compliance with laws or policies have been identified in conjunction with Employee Relations.

(10) International Center

 Consideration of adherence to appropriate Federal regulations for the F and J visa programs is a regular part of staff evaluation.

❖ ADA/Title IX

 Adjudication occurs whenever a student or employee has a Title IX allegation substantiated against them.

College of Dentistry

• Disciplinary actions required for non-compliance are handled through campus Human Resources.

397/608 76 | Page

College of Medicine (COM)

Gainesville

 Compliance Awareness activities and prizes promoted compliance in a fun and rewarding way.

<u>Jacksonville</u>

- Thank you notes to providers meeting or exceeding defined compliance rate.
- "Compliance Advocate of the Year" award.
- Corrective actions detailed in individual review improvement plans, when applicable.

College of Nursing

• We expect compliance from all employees.

College of Pharmacy

- The college has numerous productivity and performance award/recognition opportunities for faculty and staff, which one could not obtain if there was a known non-compliance issue.
- Appropriate disciplinary actions are available and utilized as allowed by UF and in consultation with UF Employee Relations.

College of Public Health & Health Professions (PHHP)

- We expect all members of our College, Faculty, Staff and Students, to follow high standards related to compliance especially since many seek federal funding, provide clinical services, etc.
- Concerns as stated above will be addressed with the appropriate UF entities, and if those entities determine there is a required remediation, it is completed.

College of Veterinary Medicine

All members of the college, including Faculty, Staff and Students, are expected to follow high standards related to compliance especially those who are involved with federally-funded projects or providing clinical services. Any concerns will be addressed with the appropriate UF entities, and if the Dean and/or those entities determine there is a remediation necessary, it is completed. Following notification of a policy violation or compliance issue, any identified issues are immediately addressed through appropriate channels. Action taken relative to any infraction is consistent with UF policy and under guidance from Employee Relations. Examples include re-assignment of employees, counseling letters, and even dismissal of employees when necessary.

Emergency Management (UFDEM)

398/608 77 | Page

UFDEM follows the compliance and disciplinary actions outlined by UF.

Enrollment Management

• Staff sign a statement acknowledging failure to comply with maintaining the confidentiality of records could result in dismissal.

Environment Health and Safety (EH&S)

- <u>Unmanned Ariel Systems (UAS)/Drones/EH&S</u>: Two incidents of permitted drone pilots operating outside of permit restrictions; both discovered and not permitted to continue flights.
- <u>Facility Support/EH&S:</u> Code enforcement unit performed 8,336 inspections and plans reviews in calendar year 2018. Also responsible for inspecting all Greek living facilities for fire and electrical safety. Inspection reports are prepared and sent to the appropriate Greek members for correction. Failure to comply and correct the issues may result in loss of privileges such no block seating at football games, loss of intramural sports participation, loss of part privileges, and closing of house if violations warrant that action.

Facilities Services

Employees are expected to comply with all regulations.

Institute of Food and Agricultural Sciences (IFAS)

 Following notification of a policy violation or compliance issue including and related to internal audit follow up, any of the identified issues were immediately addressed through Employee Relations. Actions were taken relative to the infraction and consistent with UF policy and under guidance from Employee Relations. Examples include re-assignment of employees, counseling letters, and even dismissal of employees, when necessary.

Planning, Design, & Construction (PD&C)

 Compliance is mandated as a condition of employment for all PD&C staff. Any non-compliance on the part of staff will be dealt with in an appropriate manner under the guidance of UF Employment Relations.

Research

- (1) <u>Animal Care Services (ACS) and Institutional Animal Care and Use Committee</u> (IACUC)
 - In instances when non-compliance with laws of policies have been identified, corrective actions mandated by the IACUC are tailored on a case-by-case basis as reported to the Institutional Officer (IO) and to external regulatory agencies. Oversight monitoring by the Post-Approval Monitoring (PAM) Coordinator has been increased for the investigators and research staff involved. A Research Regulatory Assistant has been hired in part to assist with this.

399/608 78 | Page

(2) <u>Division of Research Compliance and Global Support (DRCGS)</u>

 The Office of Research recommends disciplinary action to the Office of the Provost or specific colleges as appropriate at the conclusion of research compliance investigations. The recommendations vary and are determined on a case-by-case basis.

UF Compliance

 The UF Compliance Office is planning to recognize all Compliance Partners for their commitment and support of the program. A letter from the Chief Compliance Officer will be placed in the employee's file.

UF Health Compliance Services

Compliance Services for both of the primary Hospitals (UF Health Shands and UF Health Jacksonville) enforces or recommends enforcement of standards related to Compliance and/or Privacy. Certain activity is included on the Work Plan as a planned review area, and others are done as issues arise or reports are made. A few key areas are noted below (for this time period):

- Annual Breach reporting to The Department of Health and Human Services
 (HHS) Office of Civil Rights (OCR) for all breach events of less than 500
 individuals, as required by the Health Insurance Portability and Accountability
 Act (HIPAA);
- Dissemination, review and response/analysis to the Conflicts of Interest Annual Review;
- Response as part of the annual review/dispute process for Open Payments with the Centers for Medicare and Medicaid Services (CMS);
- Excluded Individual/Entity Screening Requirement (monthly screening completed);
- Completion of Contractual Reviews as assigned or requested for Compliance review.

UF Human Resources (UFHR)

 UFHR applies disciplinary action when applicable for issues such as misconduct, negligence, unsatisfactory attendance, or a violation of provision of law, University regulation, or departmental policy, procedure, or practice that is either verbal or written, or understood (see <u>Disciplinary Processes</u>.).

UF Police Department (UFPD)

 UFPD employs 93 certified law enforcement officers that provide twenty-four hour-per day patrol and protection of campus and local assets, enforcing all laws and ordinances. They are committed to the prevention of crime and the protection of life and property.

400/608 79 | Page

UF Privacy

 The University of Florida (UF) promotes standards of conduct and encourages all members of its workforce and the workforce of its affiliated entities to honor the privacy rights of patients, clients, students, employees, and volunteers. The University of Florida (UF) Privacy Office will review and investigate all privacy-related complaints and reported incidents of information privacy or security, as needed.

401/608 80 | Page

PROGRAM EFFECTIVENESS

The institution must annually conduct an assessment of the compliance and ethics program under the direction of the Chief Compliance Officer, to ensure the program's effectiveness and to assess opportunities for improvement. In addition, an effectiveness assessment must be provided by an external reviewer at least once every five years.

The following are the effectiveness activities conducted this reporting period, as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) College of the Arts

- Within the context of annual reporting for the Southeastern Association of Colleges and Schools Commission on Colleges, the College of the Arts collects data and reports on the following:
 - Institutional Effectiveness
 - Effectiveness and continuous improvement of all academic programs
- Within the context of performance effectiveness of employees, the College complies with all UF performance evaluation and management requirements and provides additional resources and trainings on how to handle difficult conversations and set effective expectations.

(2) <u>College of Education (COE) & P.K. Yonge School</u>

P.K. Yonge:

- Annual review and update of all policies with UF General Counsel supports compliance and effectiveness of policies.
- Attendance by all administrators and Business Office staff at UF purchasing/payment training led by the CFO's office supports compliance and effectiveness of training.

(3) College of Engineering

SAFETY:

 The Director of Safety and Radiation & Lab Safety Coordinator reviewed program weaknesses, established goals to address weaknesses, and revised the mission and vision statements.

(4) College of Law

The Levin College of Law leadership team, consisting of all senior staff and
faculty administrators, has adopted a set of norms to ensure efficiency and
compliance as the College strives to become a top ten public law school.
One of these norms involves the review of all mass emails and other
publications by at least two members of the leadership team in order to
ensure that all messaging is consistent with College, University, and other
policies. Another norm is participation in yearly zero-based budgeting and

402/608 81 | Page

the commitment to zero-based spending. The leadership team also regularly reviews other policies during weekly leadership team meetings. The team is committed to a culture of compliance and continuous improvement.

❖ ADA/Title IX

• The Title IX Compliance Office participates in the National Climate Survey on Sexual Assault Awareness and Prevention every three years through the Association of American Universities (AAU).

Advancement

- A Compliance section was added to University of Florida Foundation, Inc., Risk Management to help with the effectiveness of compliance efforts.
 - Compliance Commitment: UF Advancement is committed to operate in an environment of integrity. Leadership is responsible to assure that all actions and activities conducted on behalf of Advancement and the University of Florida meet with all local, state and federal laws and ethics. To this end, UF Advancement has created an additional layer of risk management to identify, track and report all compliance risks within the HUBPro environment.
 - Compliance Dashboard: Each compliance requirement for UF Advancement has been identified as a risk (the risk of non-compliance). These risks are categorized using the already set categories found in the risk management system. All compliance risks are connected to a "Compliance Group," providing the ability to report compliance risks on a dashboard. The elements, both those common with risk as well as those specific to compliance, are listed below:
 - Risk Profile
 - Risk Owner
 - Compliance start and end date
 - Reoccurring mitigation strategies (used on those compliance risks that require annual audit or document submission to remain compliant)
 - System notification to owners when a risk enters a new period of compliance
 - The dashboard will be used by managers to keep track of their compliance requirements and by leadership to ensure that risk managers are remaining compliant. The dashboard will also be a concise reporting tool for University oversight.

Business Services Division

 The Secret Shopper Assessment Program is an on-going program conducted by Business Services. The program is evaluated after each semester to identify strengths and weaknesses in service delivery. Changes and enhancements are implemented as needed to improve services and meet new expectations as

403/608 82 | Page

identified in the surveys.

College of Medicine (COM)

Gainesville:

- A Compliance Program Effectiveness review was completed internally by COM
 Compliance in March 2018, which resulted in recommended enhancements to
 the program. Many of the enhancements were completed during this report
 period and are documented throughout this report.
- COM Compliance has annual work plans for planned billing compliance and regulatory compliance activities.
- COM Compliance has resources and processes for engaging with leadership, updating and implementing policies, communicating compliance information, providing compliance education and training, auditing and monitoring, and addressing issues.

College of Nursing

Outside audit firm James Moore found that there were no compliance / audit issues for the College for the College of Nursing Faculty Practice Association.
Reviews and audits are done on multiple levels at the University and College to ensure compliance. The College accounting office conducts monthly financial reconciling of all financial reports to confirm compliance. Audit reviews on travel authorizations and expense reports are conducted both at the College and University level to ensure compliance. Department chairs conduct annual faculty evaluations. Staff are evaluated annually by their supervisors. Payroll transactions are reviewed bi-weekly to confirm accuracy.

College of Pharmacy

- Monthly financial reconciliations are tracked over time to monitor trends and help indicate internal controls effectiveness.
- Self-disclosure of outside activities saw significant increase following the enhanced training by College leadership and institution of system and work flow changes in travel authorization forms and leave forms.
- In addition to monitoring training requirements, the quality team for the
 Center for Quality Medication Management (CQM) also constantly monitors
 the employees who interact with patients to ensure compliance with UF and
 client requirements. All CQM patient interactions are recorded and available
 to the clients, who review to confirm compliance and quality. CQM clients
 have consistently rated the center very highly in quality of performance with
 no noted deficiencies during the year.

Emergency Management (UFDEM)

 UFDEM holds staff meetings to review compliance efforts, is subject to oversight from the Division of Public Safety and VP of Business Affairs, and monitors communications from the UF Compliance Program.

404/608 83 | Page

Enrollment Management

• The Enrollment Management division's core compliance responsibilities are an ongoing component of our operational strategy.

Environmental Health and Safety (EH&S)

• <u>Facility Support/EH&S:</u> Since assuming full responsibility for construction and fire safety, the State Fire Marshall (SFM) has not identified any deficiencies in the inspection program.

Facilities Services

Facilities Services does not currently formally review for compliance
effectiveness to specifically evaluate the overall impact of the UF compliance
program in our day-to-day operations; however, the auditing and monitoring
activity reported in the corresponding section of this report provide a valuable
insight into the effectiveness of our procedures.

Government and Community Relations (GCR)

- In June 2019, the compliance matrix for the Office of Government and Community Relations was reviewed and communicated with all GCR staff. Revisions were made with particular focus on the requirements related to lobbying and interactions with elected and appointed government officials and staff members.
- The office will be involved in assisting with the effectiveness self-review of the UF Compliance Program.

Institute of Food and Agricultural Sciences (IFAS)

- On a three-year cycle, the IFAS leadership conducts program reviews of each academic department and research and education center. Beginning in fall 2019, Dr. Mastrodicasa was invited to be part of the program review team and she now provides a confidential summary of information to IFAS leadership that she gathers from stakeholders regarding administrative performance of the department. These include financial management, facilities (as applicable), staff support, contracts and grants management, etc. It helps provide context for the on-site visit and meetings with faculty, staff, students, and the stakeholders. Feedback is then given generally to the department via a collective letter.
- In conjunction with the Business Process Improvement Office, the Shared Services Center (SSC) has conducted departmental business process reviews as requested by Dr. Mastrodicasa. These reviews covered the following topics for each of the identified departments:
 - Adequate staffing
 - Efficient and compliant business processes
 - Proper training of staff
 - Reviewing and ensuring levels of responsibility and authority aligned with accountability
 - o Proper internal controls, and separation of duties

405/608 84 | Page

Planning, Design, & Construction (PD&C)

- PD&C conducts bi-weekly training with staff to ensure that policies, guidelines, protocols, and standards are understood and adhered to in a uniform and professional manner.
- External reviews are conducted on a regular cyclic basis by both the Office of Internal Audit and State Auditor General's office to ensure adequate processes and financial controls are in place and followed.

Real Estate

- The Real Estate Office highlighted the following activities as demonstrating effectiveness efforts:
 - FDC/Innovation Square external professional annual audit.
 - o Monthly financial reports reviewed and approved by Interim Director.

Research

- (1) <u>Animal Care Services (ACS) and Institutional Animal Care and Use Committee</u> (IACUC)
 - A detailed semi-annual evaluation of the Animal Care and Use Program is provided by the IACUC to the Institutional Officer (IO).
 - The IACUC Chair meets quarterly with the IO.
 - o The Executive Director of ACS meets weekly with the IO.
 - An informal Animal Research Working Group, consisting of the IACUC Chair and Vice-Chairs, the Research Regulatory Coordinator, the UF Attending Veterinarian, an EH&S representative, the Executive Director of ACS, and the Director of Research operations, meets every other week to review matters arising related to the IACUC and ACS.
 - Animal facilities are subject at any time to unannounced inspections by USDA veterinarians, who provide feedback to the UF Attending Veterinarian, the Executive Director of ACS, and the IO.
 - The UF Animal Care and Use Program is voluntarily accredited by The
 Assessment and Accreditation of Laboratory Animal Care (AAALAC)
 International, a private, nonprofit organization that promotes the humane
 treatment of animals in science through voluntary external accreditation
 and assessment programs.

(2) <u>Division of Research Compliance and Global Support (DRCGS)</u>

 DRCGS maintains UF's assurance with the federal Office of Research Integrity (ORI) for UF's research misconduct program. ORI reviews UF's research misconduct policy and procedures for compliance with federal regulations.

(3) <u>Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting</u>

 The Division of Contracts & Grants and Division of Sponsored Programs have partnered with the Controllers' Office to perform ongoing assessments of individual campus units in meeting the expectations of

406/608 85 | Page

sponsored programs management. In addition to this, C&G Grant Accountants perform expenditure reviews at regular intervals throughout the award lifecycle including the conclusion of an award.

(4) Institutional Review Board (IRB)

- A detailed annual evaluation of the Human Research Protection Program is provided by the Director of Research Operations to the IO.
- o The IRB Chairs meet quarterly with the IO.
- o The Director of Research Operations meets weekly with the IO.
- FDA regulated drug or device trials are subject at any time to inspections by FDA. The FDA typically inspects the UF IRBs roughly every 5 years to ensure IRB regulatory compliance.
- The Human Research Protection Program is voluntarily accredited by the Association for the Accreditation of Human Research Protection Programs (AAHRPP), a private, nonprofit organization that uses a voluntary, peerdriven, educational model to ensure that HRPPs meet rigorous standards for quality and protection. To earn accreditation, organizations must provide tangible evidence—through policies, procedures, and practices—of their commitment to scientifically and ethically sound research and to continuous improvement.

Strategic Communications and Marketing

• The Strategic Communications and Marketing Office conducts a review of its compliance program every five years.

UF Compliance

• The UF Compliance Office in collaboration with the State University System (SUS) Compliance and Ethics Consortium Subgroup has finalized a set of questions to be used to evaluate university compliance programs in preparation for the required external effectiveness review in 2021.

UF Health Compliance Services

- Compliance Services for both of the primary Hospitals (UF Health Shands and UF Health Jacksonville) plans and completes significant effectiveness reviews and related efforts as part of its annual work plan, and to help shape future work plans. A few key areas are noted below (for this time period):
 - Internal effectiveness assessment based on the U.S. Department of Justice (DOJ)'s published guidance on evaluation of corporate compliance programs (2017 and 2019 updated versions);
 - Internal effectiveness assessment based on published guidance for measuring compliance programs from the Office of Inspector General (OIG)/Health Care Compliance Association (HCCA)/Association of Healthcare Internal Auditors (AHIA) (2017 version);
 - Internal and External effectiveness assessments completed for all managed care payors, per the Centers for Medicare and Medicaid Services (CMS) requirements.

407/608 86 | Page

UF Information Technology (UFIT)

- Metrics on Information security risk assessment program are produced monthly to advise leadership on program status.
- Prevalent information security risks are compiled into an annual risk register identifying top risks and suggested actions to address those risks.

408/608 87 | Page

SUCCESS STORIES

The following are the compliance and ethics success stories as reported by the Compliance Partners and edited by the UF Compliance Office:

Academics

(1) College of Business

The College has the Elizabeth B. & William F Poe, Sr. Business Ethics Center. The Poe Center seeks to increase student awareness of the importance of ethics in their personal and professional lives through graduate and undergraduate classes and through its activities and programs. https://warrington.ufl.edu/business-ethics-center/.

(2) College of Engineering

SAFETY:

 Due to effective communication and work with individual labs, several labs have zero compliance deficiencies from their UF EHS annual lab inspection!

(3) College of Journalism and Communications

The College of Journalism and Communications held a new faculty orientation workshop this year and also an adjunct faculty workshop. The division of media properties is in the midst of going through the Thrive@UF program, which is nine required courses and five elective courses.

(4) College of Law

O During the 2018-2019 academic year, the Levin College of Law implemented a new pre-approval process for all expenses incurred at the College, as explained previously in this report. Through this process, the College has better aligned our expenditures with those activities that will best help us become a top ten public law school. In addition, this new process is an effective internal control on all spending, ensuring that all expenditures comply with College and University policies and regulations.

Advancement

• See Effectiveness section above for success story regarding new risk management efforts and compliance dashboard.

College of Medicine (COM)

Gainesville:

 In 2018, the COM Compliance Office began using a new auditing software to conduct routine billing compliance audits. With the new tool, billing audit productivity was significantly increased, and the audit cycle for billing providers was shortened from biennial to annual reviews.

409/608 88 | Page

Jacksonville:

- During "Compliance Awareness Month," a partnership with the hospital compliance team for Jacksonville included visits to the Learning Resource Center, the East Expansion, UF Health North, and the Pavilion. Employees had the opportunity to complete the compliance awareness survey and participate in the "Wheel of Compliance" where they answered questions regarding different basic compliance concepts of which all employees of all entities should be aware. Flyers and coasters with the hotline number were also distributed.
- Monthly conference calls between Gainesville and Jacksonville physician billing compliance directors and the UF College of Medicine regulatory compliance director has allowed for sharing of resources, further identification of risk, and policy consistency.
- Collaborating with the UFJPI Clinical Data Quality Department to address
 documentation and billing issues for both new and established providers, the
 timeframe for querying charges was adjusted in order to afford the
 "Shadowers" time to complete their personal interaction with the providers.
 The "Shadowers" are certified professional coders who travel to clinics and
 personally observe providers interact with patients. They then review the
 provider's documentation and billed charges and provide feedback on any
 documentation insufficiencies or billing issues.
- Completed a successful transformation to risk-based reviews through the use of the Compliance Risk Analyzer software.

College of Pharmacy (COP)

• The improvements made regarding outside activities reporting is a success story for the College over the past year. After the University highlighted overall concerns with the existing outside activities reporting processes, the COP took swift action to update travel authorization forms and leave forms with questions regarding outside activities as a method of increasing awareness and reporting opportunities. As mentioned previously, a mandatory college-wide faculty training on the topic was held, and work flows and tracking of outside activities reporting were improved. Within a short period of time addressing the concern, the College's understanding, culture, and processes for outside activities reporting were improved.

Environment Health and Safety (EH&S)

 A new 1.0 FTE position was added to the Radiation Control Office to support the laser safety program. This full-time position will assist in the tracking, inspection of laser facilities and training of campus staff involved in laser activities.

❖ Finance & Accounting

• *CFO400: Fiscal Responsibility for UF Leaders* began in September 2018 with originally two pilot sessions for a few Vice Presidents, Deans and Department

410/608 89 | Page

Chairs. This quickly morphed into 12 pilot sessions, as the leaders who attended wanted sessions for their entire departments and other Deans wanted to attend the class and provide feedback. In the end, pilot sessions were held for all the Deans and Vice Presidents, as well as the entire Student Affairs and UFIT teams.

- The class defines tiers of fiscal staff: Tier 1 (Processors), Tier 2 (Reviewers) and Tier 3 (Leaders). As a result of the feedback actively solicited at the end of each session, the class was continually modified to be more impactful and invited all three levels of fiscal processors, instead of only Tier 2 and Tier 3 as originally designed. In addition, Human Resources processor was included to share insight and be involved in conversations, helping to underscore the important relationship between the HR and Finance functions.
- Finance & Accounting also worked with the College of Medicine and the College of Engineering to design and teach two custom sessions for each college, ensuring the content was relevant. These sessions were taught in collaboration with the college staff, including Dean Abernathy in the College of Engineering and Jeremy Sibiski for the College of Medicine.
- The class was opened to all of campus in January 2019, and over 500 people registered within the first nine days of registration being opened. As of September 2019, the class has been taught to over 1200 employees.

Institute of Food and Agricultural Sciences (IFAS)

• The undertaking of the UF/IFAS Extension Business Services of updating each county extension office (all 67 counties) has been quite an endeavor. The team has had to visit each county office, review what systems they had, move them into UF systems, train the faculty and staff, end inappropriate activities with funds, etc. Historically many of the counties had checkbooks to private accounts, used systems not approved by UF, and simply were doing things the way they had done them for years. This project achieved many great successes overall.

UF Compliance

- The UF Online Interest Organizer (UFOLIO) will be a state-of-the-art disclosure system that will facilitate greater disclosure and give University administrators better tools to identify and evaluate conflicts of interest. The mitigation or elimination of serious conflicts of interest protects the reputation of the institution and safeguards the integrity of the research mission. In addition to providing a technical benefit, UFOLIO has started key discussions regarding outside activities and the proper role of faculty with respect to personal engagement with industry.
- The UF Compliance website is finally up and running. It is meant to be interactive, informative, and educational. A webpage is dedicated to our Compliance Partners.
- UF Compliance Partners continue to be a valuable asset to the Compliance Program. Their continued participation and support reflect a strong

411/608 90 | Page

commitment to ethics and compliance.

UF Human Resources (UFHR)

 Led by the Employee Relations team, UFHR created a dynamic new handbook as a helpful tool for onboarding new employees and a useful reference for supervisors when they need to coach employees on general performance and conduct expectations. The new Employee Handbook for TEAMS/USPS employees was published in February 2019.

UF Police Department (UFPD)/Clery Act

- Within the last year, the Office of Clery Compliance has created a new Campus Security Authority (CSA) training video. Identifying and training UF's CSAs on an annual basis is a requirement of the Clery Act. With over 2,800 CSAs, UF has a very large group of CSAs. Our new video speaks to our global, enterprise-wide effort to comply with the Clery Act.
- The University of Florida's Clery Act Compliance Coordinator recently achieved the professional credential of Certified Clery Compliance Officer (CCCO). UF's Clery Coordinator is the first professional in the State of Florida to be awarded the credential, and one of only about 45 professionals nationally thus far. This credential is designed to prepare Clery compliance practitioners to effectively lead their institution's Clery Act compliance program.

412/608 91 | Page



EXECUTIVE SUMMARY

COMPLIANCE PROGRAM ANNUAL REPORT

Sept. 2018 – Aug. 2019

INTRODUCTION AND SCOPE OF COMPLIANCE PROGRAM

The University of Florida (University) recognizes the importance of complying with all applicable federal, state, and local laws. To demonstrate the University's commitment to ethical conduct and compliance, the Board of Trustees adopted and implemented a University-wide Compliance Program. The Compliance Program is designed to promote ethical conduct, effectively prevent or detect non-compliance, and maximize compliance with laws and regulations. The Compliance Program is consistent with the Code of Ethics for Public Officers and Employees, the Federal Sentencing Guidelines, the Florida Board of Governors Regulations, and the Office of Inspector General (OIG) Program Compliance Guidance. The Compliance Program represents the shared vision and commitment to a culture of compliance across the organization with significant interactive involvement from multiple areas.

While the University Compliance Officer maintains oversight and responsibility for the system-wide Compliance Program as required by Chapter 8 of the Federal Sentencing Guidelines and Board of Governors Regulation 4.003, UF Compliance Partners are an integral component of the Compliance Program. The thirty five (35) Compliance Partners not only lead targeted compliance programs in their area but also provide continued support to the UF Compliance Program. This report reflects some of the collaborative efforts of all Compliance Partners and the UF Compliance Office and highlights compliance and ethics activities across the organization from September 1, 2018 to August 31, 2019.

This report is organized to provide a high-level summary of each Compliance Program component, to demonstrate how the University meets the requirements of an effective compliance program, and to provide examples of the University's effective compliance efforts across the institution for this reporting period.

The key offices and colleges that submitted information to the UF Compliance Office regarding compliance activities within their units for this reporting period are displayed on the next page.

Offices and Colleges that Reported Compliance Efforts

- 1. Academics -
- 2. ADA/Title IX
- 3. Advancement
- 4. **Athletics**
- 5. **Business Affairs**
- 6. **Business Services**
- 7. College of Dentistry
- 8. College of Medicine
- 9. College of Nursing
- 10. College of Pharmacy
- 11. College of PHHP
- 12. College of Veterinary Medicine
- 13. Emergency Management
- 14. Enrollment Management
- 15. Environment Health and Safety
- 16. Facilities Services
- 17. Finance & Accounting
- 18. Government and Community Relations
- 19. IFAS
- 20. Planning, Design, & Construction
- 21. Real Estate
- 22. Research
- 23. Strategic Communications and Marketing
- 24. Student Life
- 25. UF Health Compliance Services
- 26. UF Human Resources (UFHR)
- 27. UF Information Technology (UFIT)
- 28. UF Police Department (UFPD)/Clery Act
- 29. UF Privacy

Academic Areas Submitting a Report:

- Academics/Provost Office
- College of the Arts
- College of Business (Warrington)
- College of Design, Construction and Planning (includes UF Rinker School of Construction Management)
- College of Education and P.K. Yonge Developmental Research School
- College of Engineering (Herbert Wertheim)
- College of Health and Human Performance
- College of Journalism and Communications
- College of Law (Levin)
- College of Liberal Arts and Sciences
- International Center (UFIC)

Research Areas Submitting a Report:

- Animal Care Services (ACS) and Institutional Animal Care and Use Committee (IACUC)
- Division of Research Compliance and Global Support (DRCGS)
- Division of Research Operations Office of Research - Conflict of Interest (COI)
- Division of Sponsored Programs (DSP) and Contracts and Grants (C&G) Accounting
- Institutional Biosafety Committee (IBC) and Dual Use Research of Concern (DURC)
- Institutional Review Board (IRB)
- Office of Research/CTSI Office of Clinical Research
- Office of Research Affairs, Jacksonville

GOVERNANCE AND HIGH LEVEL OVERSIGHT

Board of Governors Regulation 4.003 requires the institution's governing authority, including the University President and Board of Trustees, to be knowledgeable about the content and operation of the compliance and ethics program and to exercise reasonable oversight with respect to its implementation and effectiveness. High-level personnel shall be assigned overall responsibility for the compliance and ethics program and must ensure that the institution's program is effective.

At the University of Florida, the Board of Trustees, UF Audit and Compliance Committee, and the Chief Compliance Officer provide governance and high-level oversight of the Program. In addition, various UF Compliance Partners and leaders serve on the Board of Trustees Committees or other committees that promote compliance and ethics and/or have oversight on compliance and ethics activities.

For this reporting period, University leadership, the UF Compliance Office, Compliance Partners, and key personnel participated in various committees and activities that demonstrate broad and effective compliance governance and oversight. The following points highlight some key examples of such activity (*illustrative only; not all-inclusive*):

- o The UF Chief Compliance Officer provided compliance program updates to the Audit and Compliance Committee. [December 2018, March 2019, and June 2019].
- The Provost participates in the Academic, Faculty and Student Affairs & Experience Committee, which supports policy, processes, and programs that impact student, faculty, and external stakeholder experience at the University.
- The College of Engineering administers the Engineering Safety Steering Committee where Committee members are responsible for bringing safety compliance issues to the committee; addressing safety-related concerns, policies, and programs; and communicating efforts back to faculty and department chairs.
- The UF Director of Compliance and the UF Health Research Compliance Office are active participants in the oversight/steering committee for the Office of Clinical Research (OCR). The Office of Clinical Research is charged with ensuring medical billing to patients or sponsors is conducted in a compliant fashion to meet both state and federal guidelines. All business activities regarding the establishment of the OCR have been reviewed and approved by this steering committee, which is a partnership between UF and UF Health.
- Under the guidance of the UF Compliance Office, a new disclosure reporting system for outside activities and interests, UF Online Interests Organizer (UFOLIO), has been developed and is in the process of being piloted by select departments. UFOLIO is being introduced in an effort to streamline, modernize, and standardize

the way all UF faculty and staff report their activities and financial interests. Several committees have been established to assist with this process and provide support for faculty and staff, notably the UFOLIO Advisory Group, the Deans' Advisory Group, and the Faculty and Staff Feedback Group.

POLICIES AND STANDARDS OF CONDUCT

The institution must have established written standards of conduct including regulations, policies, and procedures that promote ethical conduct and aim to provide education and prevent and detect non-compliance. Written standards must demonstrate the institution's commitment to a culture of compliance in its day-to-day operations and must be accessible to all employees.

The University of Florida has extensive written standards of conduct, which include regulations, policies, and procedures that are regularly reviewed, updated, and communicated to faculty and staff, and that encourage compliance and ethical conduct. For this reporting period, the Compliance Partners reported to the UF Compliance Office wide-spread activity related to developing and enhancing policies and standards of conduct, which demonstrate effective compliance efforts. The following list highlights some of these key efforts (*illustrative only; not all-inclusive*):

- The UF International Center is in the process of working with the Offices of the Provost, General Counsel, and Global Compliance and Support of the Division of Sponsored Research to revise the procedures and forms for approval of International Cooperative Agreements. The new forms and procedures are designed to provide better information on international activity to department chairs, deans, directors, the Division of Sponsored Programs, and the UF International Center, so as to help faculty members meet compliance requirements.
- UF Finance & Accounting developed a new Entertainment Policy to provide clear guidance for employees at all levels regarding reimbursement for entertainment, business meals, and University functions.
- o The Office of Government and Community Relations reviewed the recommendations of the Florida House Committee on Public Integrity and Ethics, which resulted from an investigation of spending practices at another university in Florida. Government and Community Relations is in the process of enhancing UF's applicable policies and practices where enhancements are warranted.
- The UF Compliance Office and the Provost's Office are in the process of reviewing UF Regulation 1.011 – Disclosure and Regulation of Outside Activities and Financial Interests, the University's key regulation that addresses conflicts of interest.

OPEN COMMUNICATIONS AND REPORTING

The institution must have internal mechanisms that provide open lines of communication for employees to share and receive compliance information and that encourage employees to report concerns or suspected wrongdoing without fear of retaliation.

The University of Florida has developed various open lines of communication with all members of the system-wide community that encourage the exchange of compliance information and to report concerns or suspected wrongdoing without having to fear retaliation. It is the policy of the University to encourage all employees to make good faith reports of suspected fraudulent or other dishonest acts, to seek guidance on compliance and ethics concerns, and to protect such individuals from retaliatory action, including those cooperating with an investigation. Non-Retaliation is discussed in UF Policy - Reporting and Investigating Fraudulent or Other Wrongful Acts.

The University Compliance Hotline is operated by an independent company (The Network) and is available for all employees to report concerns 24 hour a day, 365 days a year. The hotline is widely publicized on multiple websites, including the websites for the Offices of Compliance, Research Compliance, Human Resources, and Internal Audit. In addition, there are several University offices that specialize in specific matters, and those offices can be contacted to report concerns most appropriately handled by those offices (e.g., ADA Compliance Office, Title IX Compliance Office, Institutional Review Board (IRB), Division of Research Compliance, Office of Ombudsman, Privacy Office, University of Florida Police Department, and the Physician Billing Compliance Office).

The UF Compliance Office has developed and implemented an annual Communication Plan, which includes educational content and regulatory updates on the newly-launched UF Compliance Website, a post-training Key Take Away message, and a quarterly newsletter. The newsletter, called "The Compliance Gazette," shares compliance reminders, updates, and educational scenarios. The UF Compliance Office is still working on the best system to communicate the Compliance Gazette to all staff, but in the interim, is using Compliance Partners to disseminate the information.

For this reporting period, the Compliance Partners reported to the UF Compliance Office several communications and reporting activities related to sharing compliance and ethics information. The following list illustrates some of these key activities (*illustrative only; not all-inclusive*):

- The University Athletic Association (UAA) circulates a monthly newsletter by intranet to all employees (Orange and Blue Newsletter). This document contains information about events and activities within the UAA as well as updates on compliance and ethics-related topics. In addition, the UAA compliance staff circulates weekly updates to staff regarding NCAA compliance topics.
- The College of Health and Human Performance reported that compliance information is communicated to its employees via various mechanisms including

Administrative Memos, communications from Human Resources, and various email notices regarding topics such as upcoming training programs, travel-related requirements, and reminders to formally disclose outside activities. Several other Compliance Partners reported similar communication efforts.

- The Provost's Office communicates with campus through weekly faculty updates, which include guidance on academic policies such as syllabi, office hours, student evaluations, etc. In addition, the Provost sends multiple administrative memos on compliance topics such as UF Religious Observances Policy (10/8/2018, 1/9/2019), Reporting Outside Activities and Potential Conflicts of Interest (11/6/2018), Federal Agency Disclosure Requirements for Grant Supported Investigators (1/3/2019), Nepotism, and Requirements to Disclose Outside Activities and Financial Interests (1/28/2019).
- The UF Health Compliance Services department for both of the primary hospitals (UF Health Shands and UF Health Jacksonville) actively communicates to employees compliance, privacy, and research topics, including general program communications and regulatory and industry updates.

TRAINING AND EDUCATION

Compliance training and education efforts are an essential element of an effective compliance program. Board of Governors Regulation 4.003 requires that all employees and Board of Trustees members must receive training regarding their responsibility and accountability for ethical conduct and compliance with applicable laws, regulations, rules, policies, and procedures. To demonstrate effective training efforts, the institution must communicate periodically its standards and procedures, and other aspects of the compliance and ethics program, by conducting practical training programs and otherwise disseminating compliance information.

The University of Florida has demonstrated various training and education efforts that promote compliance and ethical conduct. In November 2018, the UF Compliance Office implemented the first biennial compliance training module, "Compliance: A Collaboration for Success!" To date, approximately 82% of the workforce have completed the training. The Compliance Office is also developing training on the Florida Code of Ethics in collaboration with a State University System (SUS) Compliance and Ethics Consortium Subgroup. The final product is planned to be used as the next system-wide annual compliance training at the University in November of 2020, with a few additions more relevant to the University of Florida.

For this reporting period, the Compliance Partners reported to the UF Compliance Office several additional compliance and ethics training efforts across the University, including the following notable examples (*illustrative only; not all-inclusive*):

The Planning, Design and Construction Division, in conjunction with the Office of

General Counsel, conducted departmental-wide training efforts with all staff regarding employee ethics pertaining to vendor relations, gifts, procurement activities, and outside work activities.

- o The Office of the Provost partnered with Training and Organizational Development to create an 8-month-long training program for new department chairs and directors. Compliance and ethics information is included in this training.
- The Office of Human Resources Employee Relations division, the Office of the Provost, and Office of Title IX Compliance all partner to provide training to operational units and colleges when requested, to provide training on topics such as Title IX compliance and compliance with other employment laws (e.g., Florida Labor Standards Act (FLSA), Americans with Disabilities Act (ADA), Family and Medical Leave Act (FMLA), Title VII, etc.).
- The Levin College of Law hosted several trainings programs, including a Title IX training session with Russ Froman (Assistant Vice President for ADA and Title IX Compliance); several Continuing Legal Education programs with ethics components; an outside activities analysis and training with Amy Hass (General Counsel); and diversity and inclusion training sessions for faculty search committees.

AUDITING AND MONITORING

Auditing and monitoring activity is critical to an effective compliance program. The institution must continuously monitor and audit high-risk areas in order to effectively prevent and detect non-compliance. The UF Compliance Office has developed Compliance Matrices in collaboration with key individuals across the University. The matrices outline the compliance areas relevant to the individual entities within the institution and serve as a guide for auditing and monitoring activities.

For this reporting period, the Compliance Partners reported to the UF Compliance Office robust auditing and monitoring efforts system-wide, including the following demonstrative examples (illustrative only; not all-inclusive):

The College of Medicine Compliance Offices in Gainesville and Jacksonville (aka "Physician Billing Compliance") conducted extensive billing compliance activity, including both routine and focused billing compliance audits of clinical departments and continuous screening of the applicable government exclusion lists for staff and vendors (screening for individuals/entities excluded from participating in government healthcare programs such as Medicare and Medicaid). Risk assessments were also conducted to review clinical encounter forms and to review for compliance with Durable Medical Equipment (DME)

requirements. For all reviews, the College of Medicine Compliance Offices issued recommendations as warranted.

- The College of the Arts reported that it monitors syllabi and instructor postings for compliance with the Family Educational Rights and Privacy Act (FERPA), and it also monitors all access to online workflow systems to ensure access only includes appropriate individuals.
- O UF Information Technology (UFIT) conducted risk assessments of 349 information systems for compliance with HIPAA standards (Health Insurance Portability and Accountability Act), and also conducted vulnerability scans of all networked computers and devices. UFIT also reported the Siteimprove tool, which is used for automated monitoring of over 246 University websites for issues with Electronic Information and Technology (EIT) Accessibility, and the Blackboard Ally tool, which is used for automated monitoring of over 18,000 online courses for EIT Accessibility issues and automated creation of accessible content.
- The Privacy Office reported various audit and monitoring activities, including the following: UF Identity Theft Protection Program, UF Information Risk Management System Privacy Impact Assessment (PIA), Office for Civil Rights HIPAA Compliance Audit Protocol, Annual OCR Breach Reporting, and Cyber-risk Insurance Bordereau Reporting.

The UF Compliance Office worked on several initiatives as outlined in the compliance work plan. This included the ongoing development of the UF Compliance Program, the expansion of the UF Conflicts of Interest and Outside Activities Disclosure System, and the completion of the first Mandatory Compliance Training. Various risk assessments were discussed with multiple parties and action items have either been completed or are underway.

In addition, the UF Compliance Office coordinated the State of Florida Department of Risk Management audit of the UF Risk Management Program based on Florida Statute 284.50. The audit consisted of responding to a survey of 39 questions on current practices and providing supporting documentation. The review efforts were conducted by the EH&S Director, the Office of General Counsel, the Vice President of Human Resources, and the Human Resources Workers' Compensation Specialist. Findings for this review are pending.

ADDRESSING KNOWN OR POTENTIAL ISSUES

The institution must timely and appropriately address all reported or identified concerns of suspected non-compliance with laws or policies. When instances of non-compliance are confirmed, the institution must take reasonable steps to appropriately address the issues and to

prevent further similar instances from occurring in the future, including making any necessary modifications to the compliance and ethics program.

All instances at the University of reported potential non-compliance during this reporting period have been reviewed or are currently being reviewed. In instances where non-compliance has been identified, corrective actions are either underway or have been completed.

ENFORCING STANDARDS

The institution's compliance and ethics program must be promoted and enforced consistently throughout the institution and must include appropriate incentives and disciplinary measures to promote compliance and ethical conduct.

The University has policies and procedures for incentivizing ethical behavior and disciplining students, employees, and volunteers who engage in unethical behavior or behavior that is not in compliance with existing federal, state, local, and University laws, regulations, and policies.

Employees found to have participated in fraudulent or dishonest acts will be subject to disciplinary action pursuant to collective bargaining agreements and University rules. In addition, criminal or civil actions may be taken against employees who participate in unlawful acts. In those instances where disciplinary action is warranted, the University's Office of Human Resource Services or appropriate academic administrator(s) and the Office of the Vice President and General Counsel shall be consulted prior to taking such actions. Disciplinary action is detailed in the 6C1-1.007 University of Florida; Code of Penalties.

The University promotes compliance through appropriate incentives to help encourage ethical behavior. This is done in a variety of ways such as in their performance evaluation and/or by recognizing employees for compliance initiatives or for demonstrating ethical behavior by reporting difficult issues and being part of the resolution.

For this reporting period, the Compliance Partners reported to the UF Compliance Office several efforts regarding disciplinary measures and incentives to promote compliance, including the following examples (*illustrative only; not all-inclusive*):

- The Provost's Office partners with the Offices of Human Resources and General Counsel to apply disciplinary action when applicable for issues such as misconduct, negligence, unsatisfactory attendance, or a violation of law, university regulation, or departmental policy, procedure, or practice that is either verbal, written, or understood.
- The P.K. Yonge Developmental Research School reported that all faculty are required to complete the Florida Department of Children and Families (DCF) Mandatory Reporting, Professional Ethics, and School Safety & Security Training,

and the faculty are awarded professional development points for completing this training.

- The Animal Care Services (ACS) and Institutional Animal Care and Use Committee (IACUC) in the Office of Research reported that when instances of non-compliance with laws or policies are identified, corrective actions mandated by the IACUC are tailored on a case-by-case basis as reported to the Institutional Officer (IO) and to external regulatory agencies. Oversight monitoring by the Post-Approval Monitoring (PAM) Coordinator has been increased for the investigators and research staff involved. A Research Regulatory Assistant has been hired to assist with this in part.
- The UF Health Compliance Services Department for the hospitals collaborated with the College of Medicine Compliance Office to provide a "Compliance Awareness Month" in January 2019, hosting various sessions and site visits to promote compliance in a fun and rewarding way (e.g., games and small prizes were provided).

PROGRAM EFFECTIVENESS AND SUCCESS STORIES

The institution must annually conduct an assessment of the compliance and ethics program, under the direction of the Chief Compliance Officer, to ensure the program's effectiveness and to assess opportunities for improvement. In addition, an effectiveness assessment must be provided by an external reviewer at least once every five years.

The following are key examples of effectiveness efforts and success stories reported by Compliance Partners to the UF Compliance Office for this reporting period (*illustrative only; not all-inclusive*):

- O UF Advancement implemented new risk management processes to identify, track, and report compliance risks. Each compliance requirement and risk has been categorized in a compliance dashboard that provides reporting ability and system notifications when a risk enters a new period of compliance. The dashboard will be used by managers to keep track of compliance requirements and by leadership to ensure that risk managers remain compliant. This will also be used as a concise reporting tool for University oversight.
- The College of Engineering reported that its Director of Safety and Radiation & Lab Safety Coordinator reviewed program weaknesses, established goals to address weaknesses, and revised the mission and vision statements.
- The College of Medicine Compliance Office internally conducted a Compliance Program Effectiveness review in March 2018, which resulted in recommended

compliance program enhancements. Many of the enhancements were completed during this reporting period.

- The College of Pharmacy reported that improvements made regarding outside activities reporting is a success story for this reporting period. After the University highlighted overall concerns with the existing outside activities reporting processes, the College of Pharmacy took swift action to update travel authorization forms and leave forms with questions regarding outside activities as a method of increasing awareness and reporting opportunities. In addition, mandatory College-wide faculty training on the topic was held, and work flows and tracking of outside activities reporting were improved. The College's understanding, culture, and processes for outside activities reporting were improved in a short timeframe.
- The Finance and Accounting Office reported that its training program, CFO400: Fiscal Responsibility for UF Leaders, originally began in September 2018 with two pilot sessions for a few Vice Presidents, Deans, and Department Chairs. This quickly morphed into 12 pilot sessions, as the leaders who attended wanted sessions for their entire departments and other Deans wanted to attend the class and provide feedback. In the end, pilot sessions were held for all the Deans and Vice Presidents, as well as the entire Student Affairs and UFIT teams.

In addition, the UF Compliance Office, in collaboration with the State University System (SUS) Compliance and Ethics Consortium Subgroup, has finalized a set of questions to be used to evaluate university compliance programs in preparation for the required external effectiveness review in 2021.

Overall, the University of Florida's Compliance Program has demonstrated system-wide success and effective compliance and ethics efforts in all components of the program. University leadership and the Compliance Partners have been critical in these efforts. The Chief Compliance Officer and the UF Compliance Office will continue to build on these collaborations to further enhance the University's Compliance Program and to promote compliance and ethical conduct across the University.



COMPLIANCE PROGRAM UPDATE December 2019



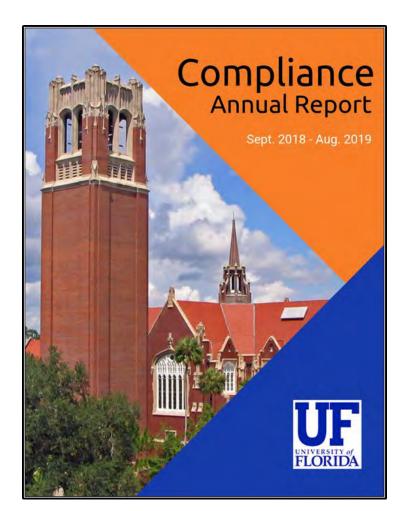
Agenda

- Compliance Program Annual Report
- Compliance Program Effectiveness
 Survey
- UFOLIO Disclosure Program Update
- UF Compliance Office Resource update

426/608

Compliance Program Annual Report

- Collaborative efforts of 35
 Compliance Partners and the
 Compliance Office
- Demonstrates how UF meets the requirements of an effective compliance program



Compliance Program Annual Report 47 Key Contributors

- Academics (11 areas contributed)
- ADA/Title IX
- Advancement
- Athletics
- Business Affairs
- Business Services
- College of Dentistry
- College of Medicine
- College of Nursing
- College of Pharmacy
- College of PHHP
- College of Veterinary Medicine
- Emergency Management
- Enrollment Management
- Environment Health and Safety

- Facilities Services
- Finance & Accounting
- Government and Community Relations
- IFAS
- Planning, Design, & Construction
- Real Estate
- Research (8 areas contributed)
- Strategic Communications and Marketing
- Student Life
- UF Health Compliance Services
- UF Human Resources (UFHR)
- UF Information Technology (UFIT)
- UF Police Department (UFPD)/Clery Act
- UF Privacy
- UF Compliance

428/608

Compliance Program Annual Report Executive Summary & Full Report Available

Efforts extended in each of the 7 Compliance Program components



• Participation from key individuals in various oversight committees and activities across the University



Policies & Standards

Revisions in process for COI Regulation 1.011 by the Provost Office



Open Communications

 UAA Monthly Newsletter with compliance related updates

429/608

Compliance Program Annual Report



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Training

Levin
 College of
 Law hosted
 several Title
 IX &
 Diversity
 and
 Inclusion
 training
 sessions



Auditing & Monitoring

COM
 Offices of
 Compliance
 conducted
 extensive
 audits of
 billing
 activity



• All instances of reported potential non-compliance have been reviewed



Standards

Enforcing

The College
 of Journalism
 & Communications has
 enacted
 procedures
 related to
 employee
 conduct &
 performance
 based on
 UFHR
 Protocol

430/608

6

Compliance Program Effectiveness Review

- BOG Reg. 4.003 requires a review of compliance programs every 5 years (2021).
- A self-assessment is underway using a tool developed by the FL SUS Consortium.
- Collaborative efforts include collecting supporting documents and interviewing key individuals (BOT members, leadership, etc.)
- Opportunities for improvement will be identified and implemented where possible.

431/608

Effectiveness Review Questions for BOT Members

- Are the President and BOT knowledgeable about the UF Compliance Program? How is this demonstrated?
- Do the President and BOT exercise oversight with respect to the Program's implementation and effectiveness?
- Are plan revisions approved by the BOT?
- Are the BOT members actively engaged? How?
- Does the University require conflict of interest disclosures from BOT?
- Does the Audit and Compliance Committee (ACC) Charter address governance oversight for the Program?

432/608



UFOLIO Disclosure Program Update

- The UFOLIO pilot is complete and included select departments from the Colleges of Medicine, Engineering, Liberal Arts and Sciences, and IFAS.
- Based on pilot feedback, the UFOLIO project team expects to complete enhancements this calendar year.
- UF has established a new COI Office under the Provost's Office.
- The project team is preparing for Pilot 2 launch during the Spring Semester



433/608

UF Compliance Office Resource Update

- FTEs approved
- Positions posted
- Program enhancements underway

434/608

UNIVERSITY OF FLORIDA SUMMARY OF AUDIT REPORTS FOR AFFILIATED ORGANIZATIONS Fiscal Year Ended 2019

			Auditors' Report of					
	Fiscal Year Ended	Auditors' Opinion on Financial Statements	Instances of Noncompliance?	Control Deficiencies?	atters Control Deficiencies Significant?	Control Deficiencies Considered Material Weaknesses?	Management Letter Comments?	Repeat Finding fror Prior Year?
DIRECT-SUPPORT ORGANIZATIONS								
1. University of Florida Foundation, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
2. University of Florida Research Foundation, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
3. The University Athletic Association, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
4. Gator Boosters, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
5. The University of Florida Law Center Association, Inc.	June 30, 2019	Incomplete / DECERTIFIED	Incomplete / DECERTIFIED	Incomplete / DECERTIFIED	Incomplete / DECERTIFIED	Incomplete / DECERTIFIED	Incomplete / DECERTIFIED	Incomplete DECERTIFIE
6. Florida Foundation Seed Producers, Inc.	June 30, 2019	Unmodified	No	No	No	No	Incomplete	Incomplete
7. Florida 4-H Club Foundation, Inc.	March 31, 2019	Unmodified	No	No	No	No	No	N/A
8. Southwest Florida Research and Education Foundation, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
9. Citrus Research and Education Foundation, Inc.	June 30, 2019	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIE
10. Citrus Research and Development Foundation, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
11. University of Florida Leadership & Education Foundation, Inc.	December 31, 2018	Unmodified	No	No	No	No	No	N/A
12. Treasure Coast Agricultural Research Foundation, Inc.	June 30, 2019	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIED	DECERTIFIE
13. University of Florida Alumni Association, Inc.(1)	June 30, 2019	(1)	(1)	(1)	(1)	(1)	(1)	(1)
14. University of Florida Investment Corporation	June 30, 2019	Unmodified	No	No	No	No	No	N/A
15. University of Florida Historic St. Augustine	June 30, 2019	Unmodified	No	No	No	No	No	N/A
16. University of Florida Development Corporation	June 30, 2019	Unmodified	No	No	No	No	No	N/A
17. GatorCare Health Management Corporation	June 30, 2019	Unmodified	No	No	No	No	No	N/A
18. Cattle Enhancement Board, Inc.	June 30, 2019	Incomplete	Incomplete	Incomplete	Incomplete	Incomplete	Incomplete	Incomplete
HEALTH SCIENCE CENTER AFFILIATES								
1. Florida Clinical Practice Association, Inc. (College of Medicine)	June 30, 2019	Unmodified	No	No	No	No	No	N/A
2. University of Florida Jacksonville Physicians, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
3. Faculty Associates, Inc. (College of Dentistry)	June 30, 2019	Unmodified	No	No	No	No	No	N/A
4. Florida Health Professions Association, Inc.	June 30, 2019	Unmodified	No	No	No	No	Yes	No
5. University of Florida College of Nursing Faculty Practice Association, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
6. University of Florida College of Pharmacy Faculty Practice Association, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
7. Florida Veterinary Medicine Faculty Association, Inc.	June 30, 2019	Unmodified	No	Yes	Yes	No	Yes	No
8. Faculty Clinic, Inc.	June 30, 2019	Unmodified	No	No	No	No	No	N/A
OTHER AFFILIATED ORGANIZATIONS								
Shands Teaching Hospital and Clinics, Inc. and Subsidiaries	June 30, 2019	Unmodified	No	No	No	No	No	N/A
2 .Shands Jacksonville Healthcare, Inc.	June 30, 2019	Unmodified	No	No	No	No	Yes	No
3. University of Florida Self-Insurance Program (Including HEIC)	June 30, 2019	Unmodified	No	No	No	No	No	N/A

Auditors' Report on Compliance and Internal Control Over Compliance Applicable to Each Major Federal Awards Program and/or State Financial Assistance Project and Schedule of Expenditures of Federal Awards (As Applicable) Report on Internal Report on Report on Internal Control Over Report on Internal Control Compliance -Control Over Compliance -Over Compliance -Other Findings Instances of Non-Compliance - Control Deficiencies **Deficiencies Considered** and/or Questioned Deficiencies? Year Ended Opinion Compliance? Significant? Material Weaknesses? Costs? AFFILIATED ORGANIZATION University of Florida Foundation, Inc. June 30, 2019 Unmodified No No No No No Citrus Research and Development Foundation, Inc. June 30, 2019 Unmodified No No No No No Shands Teaching Hospital and Clinics, Inc. and Subsidiaries June 30, 201 Unmodified No No No No No Shands Jacksonville Healthcare, Inc. June 30, 2019 Unmodified No No No No No

⁽¹⁾ The accounts related to the University of Florida Alumni Association, Inc. are included in the financial statements of the University of Florida Foundation, Inc. (UFF). The operating activities of the Alumni Association are presented in the notes to UFF's Financial Statements.

Florida Veterinary Medicine Faculty Association, Inc.

Auditor noted Deficiency in Internal Control

Auditor's Observation and Recommendation: Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that have not been identified. Given these limitations, during our audit, we did not identify any deficiencies in internal control that we consider to be material weaknesses. We did identify a deficiency in internal control, described below that we consider to be a significant deficiency:

2019-01 - Hospital Revenue Allocation

- Condition During our review of the June 2019 hospital allocation, it was noted that the practice plan used the incorrect allocation percentage for eleven months out of the year. This was discovered by management prior to our audit and corrected in the June 2019 payment.
- Effect The use of an incorrect allocation percentage throughout the year resulted in an underpayment of hospital revenues (until the error was identified at year-end) and inaccurate financial reporting for the Association during the year.
- Recommendation We recommend that the Association evaluates their monthly process for preparing the monthly Vet Hospital revenue allocation and make any necessary changes in the process to ensure that any errors are identified in a timely manner.

Management Response: We have set up a master spreadsheet that lays out all revenue categories and what percentage of each category is to be allocated to the Practice Plan versus the Hospital. The Practice Plan Accountant will use this master spreadsheet to create our monthly Vet Hospital revenue allocation so that we ensure that the proper percentage is allocated to appropriate categories. The monthly allocation will be reviewed by the CFO to ensure that the calculations are correct and that corrections are caught before the checks are even cut monthly.

Source: 2019 Florida Veterinary Medicine Faculty Association, Inc. Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters (Purvis, Gray and Company, LLP)

Florida Veterinary Medicine Faculty Association, Inc. (continued)

Auditor Letter of Comments and Recommendations (SAS114)

Auditor's Observation and Recommendation: We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Association's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Billing Process and Use of Billing Codes

- Condition During our testing of client billing files we noted 3 instances out 40 where the
 grouping charge H001 was used but the associated charge code for Daily Professional Care
 (PCFSA) was not included on the invoice. After further investigation by management, it was
 noted that this is a regular practice; in order to prevent double charging the client with an exam
 fee and the daily professional fee, the technicians are instructed to delete the daily professional
 fee on the first night of hospitalization.
- Issue The ability for technicians to manually remove charge codes associated with grouping
 charges after they have been entered without adequate review and approval leaves the
 organization vulnerable to the potential for lost revenue from errors or fraudulent manipulation
 of fees charged.
- Recommendation We recommend that management inquires with their software vendor to determine whether codes can be locked down to prevent manual overrides. In addition, we recommend another code set be created that would eliminate the need for this override.

Management's Response: We have reached out to our software vendor and currently there is not a way to lock down groupings. They have added this request to their list of future developments, so this may become available in a future upgrade of the software. However, as an alternative mitigating control, we run monthly reports and perform testing on grouping codes to review for inconsistencies in line items to ensure no manual overrides have occurred. Additionally, we have been retraining our staff accordingly and updated our billing policy to include language disallowing the removal of items from grouping charges.

Source: 2019 Florida Veterinary Medicine Faculty Association, Inc. SAS 114 Letter (Purvis Gray and Company, LLC), Other Audit Findings or Issues

Florida Health Professions Association, Inc.

Auditor Letter of Comments and Recommendations (SAS114)

Auditor's Observation and Recommendation: We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Association's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

During our audit we identified the following matters:

Clinical and Health Psychology (CHP) Clinic Accounts Receivable

As of June 30, 2019, CHP gross accounts receivable increased by approximately \$1,030,000, or 58%, from the previous year. The aging of receivables deteriorated, with receivables outstanding more than 90 days making up approximately 64% of total receivables. As a result of the aging of receivables, insurance denials, and management's intent to write-off all receivables not collected within the subsequent year, management has increased the allowance for doubtful accounts resulting in bad debt expense in the current year of \$1,104,981, approximately 28% of revenues, up from 12% in 2018 and an average of 6% in the previous five years.

We noted that while bad debt expense increased significantly, contractual adjustments and other discounts as a percentage of gross charges decreased from an average of 56% during 2013 through 2017 to 46% and 41% in 2018 and 2019, respectively, and collections as a percentage of gross charges has remained fairly stable, from an average of 40% during 2013 through 2017 to 37% and 41% during 2018 and 2019, respectively.

We recommend that the department reevaluate its processes and procedures to ensure all services provided are billed and collected on a timely basis, including following up on insurance denials, to reduce the department's aging receivables and, consequently, uncollectible accounts.

EFT Payments Reconciliation

During the audit we noted that for approximately two months of the year, EFT payments to the CHP clinic from one insurance provider were incorrectly posted as payments twice in the billing system. We recommend that the CHP department reconcile EFT payments recorded in the billing system to actual payment received on at least a weekly basis. We also recommend that the finance office keep a daily log of deposits per the billing system and deposits posted in the general ledger to timely identify and follow up on any unusual or significant variances.

Management Response: In response to the findings related to our outstanding Accounts Receivable and payment posting, we wanted to report measures we have already taken to address this issue for future fiscal years.

After the audit of fiscal year 2018, it became apparent to us that our clinical practice growth had surpassed the capacity of our one-person billing system. Specifically, the significant increases in our patient volumes (from approximately 15,000 visits in FY2016 to well over 18,000 in FY2019) and

concomitant growth in gross charges was not matched by any increase in support staff. Further analysis revealed that it would be wiser economically to use the EPIC billing system that is used by the wider UFHealth community as our 'vendor', rather than hire additional staff. Use of the EPIC system will allow our billing support to grow in direct relation to our future volumes. Thus, soon after our 2018 audit we began arrangements and focused our resources throughout FY19 on ensuring the success of the longrange solution of EPIC billing.

As of July 1, 2019, we have successfully transitioned into the EPIC billing system. We have increased reporting capabilities in order to more closely monitor our accounts receivable. We anticipate that use of these expanded resources will result in a return to historical AR levels.

Source: 2019 Florida Health Professions Association, Inc. SAS 114 Letter (Purvis Gray and Company, LLC), Other Audit Findings or Issues

Shands Jacksonville Healthcare, Inc.

Auditor Letter of Comments and Recommendations (SAS114)

Auditor's Observation and Recommendation: Proposed changes to the Chargemaster are sent to the Director of Managerial Accounting for approval. Once approved, they are submitted to the Chargemaster Coordinator for manual input into the Chargemaster. The changes are then route to IT who test the file for accuracy (the fields within each charge), once approved the Chargemaster edits are moved to production. The review for accuracy does not currently validate the charge amount back to the source. This was identified during our substantive testing of the accuracy of the Chargemaster as well as through discussions with management. We identified 1 charge code with an incorrect price from our sample of 32 tested. This is a control deficiency related to the design of the process and internal controls related to review of the Chargemaster Coordinator's edits to the Chargemaster.

The Company should design the process and internal control(s) to ensure that there is segregation of duties in the review of all changes to the Chargemaster and that changes are reviewed by appropriate personnel.

Management Response: Management has established the corrective action plan below for the issue identified:

- To ensure we have hindsight validation of our annual charge master pricing update, the revenue integrity team will run pre- and post- implementation reports of prices so there is validation of price changes.
- For individual changes to charge codes, outside of annual increases, there will be dual approval required by the Patient Financial Services Director and Managerial Accounting Director.
- Other efforts are underway to investigate specific chargeable supply cost increases.

Source: 2019 Shands Jacksonville Healthcare, Inc. SAS 114 Letter (Pricewaterhouse Coopers, LLP), Current Year Recommendations



Reports Issued

June 1, 2019 – November 21, 2019

6-12-19	myUAA Business Processes
---------	--------------------------

- 6-14-19 UAA Sports Health
- 6-28-19 UFF Endowed Restricted Gifts
- 6-28-19 UFF Non-Endowed Restricted Gifts
- 8-26-19 Research Shield Computing Environment
- 11-21-19 Performance Based Funding and Preeminence Data Integrity

Other Reviews:

- Reitz Union Fiscal Reporting
- Revenue Contracting
- Youth Compliance Services

myUAA Business Processes #19-733-18

The UAA implemented enterprise systems to replace its legacy internally managed systems. The business management and human resources systems enabled the UAA to manage key business processes in a single system and provided a unified cloud-based solution referred to as myUAA.

The audit focused on the recent implementation and its impact to key controls over business processes in regards to:

- Authorization, completeness and accuracy of data input
- Accuracy of transaction output and system calculations
- Reporting
- Role permissions
- Recommended complimentary user entity controls

Conclusion:

The controls associated with the implementation of myUAA enterprise systems were **adequate**.

☐ Confidential report

University Athletic Association Sports Health #18-711-14

A medical advisory committee and various operational committees have been established to govern Sports Health operations and help ensure adequate feedback is obtained to implement best practices. This audit was focused primarily on assessing the governance processes of these committees as well as the policies and procedures that are the critical foundation of key Sports Health operations.

Conclusion:

The UAA policies were in alignment with rules, regulations and best practices. Controls over the Sports Health policy and procedure development, review and education processes were **adequate**.

- ☐ Formalization of committees' roles and responsibilities
- Formalization of the policy and procedure review and approval process
- □ Enhancement to training procedures and establishment of training recommendations for other UAA staff

Issued June 14, 2019

UFF Endowed Restricted Gifts #19-731-16

Foundation endowed restricted fund transfers to the university were \$51.8 million during 2018 with disbursements of \$44.8 million.

- New funds were properly set-up
- Transfers were accurate, complete, and processed through the appropriate channels
- Reviewed 982 disbursements for compliance with donor intent
- Reviewed 608 transactions to ensure compliance with various foundation policies and university directives for 70 selected funds

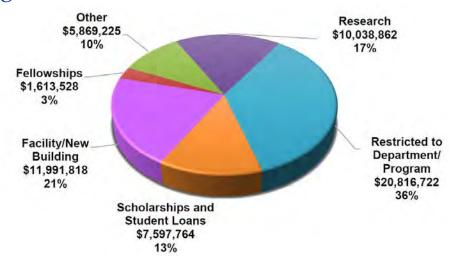
Conclusion:

Controls over the endowed restricted gifts were **adequate** to promote compliance with donor intent and foundation policies.

✓ The Office of Internal Audit issued 18 management letters

UFF Non-Endowed Restricted Gifts #19-732-17

Foundation non-endowed restricted fund transfers to the university were \$57.9 million during 2018 with disbursements of \$38.2 million.



Conclusion:

Controls over the non-endowed restricted gifts were **adequate** to promote compliance with donor intent and foundation policies.

✓ The Office of Internal Audit issued 13 management letters

Research Shield Computing Environment #19-714-19

The Research Shield (ResShield) computing environment was developed in 2015 for information systems that are required to protect data that is governed by laws, regulations, and/or contractual agreements. The audit provides an independent assessment and assurance that the ResShield computing environment meets the National Institute of Standards and Technology (NIST) 800-53 moderate controls framework.

Conclusion:

Based on the results of our audit procedures, we concluded that information security controls over the ResShield computing environment were **adequate** to demonstrate compliance.

☐ Confidential report

Performance Based Funding and Preeminence – Data Integrity #19-737-22

The Office of Internal Audit conducted an audit of the university's data submission process related to metrics used for the BOG's performance based funding initiative and preeminence status designation. The audit included testing of the data submitted and review of the related controls at the following units:

- Office of Admissions
- Office of University Registrar
- Office of Undergraduate Affairs
- Bursar
- Student Financial Affairs

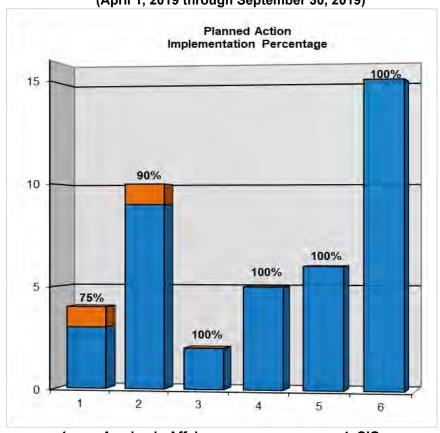
- Cost Analysis
- Office of Technology Licensing
- Enterprise Systems
- Office of Institutional Planning and Research

Conclusion:

Controls were **adequate** to promote the completeness, accuracy, and timeliness of the data submissions to the BOG.

FOLLOW-UP STATISTICS as of September 30, 2019

(April 1, 2019 through September 30, 2019)



- 1. Academic Affairs
- 2. Business Affairs
- 3. CFO

- 4. CIO
- 5. UAA
- 6. UF Foundation

	Total	Balance	Statistics	for April 1, 2	019 throug	h Septem	nber 30, 2019
Oversight by	Outstanding as of 9/30/19	Due in Subsequent Quarters	Follow Up Due and Reviewed	Implemented	In process (extended)	Follow Up Ceased	Percent Implemented
Academic Affairs	11	7	4	3	1	-	75%
Business Affairs	10	-	10	9	1	-	90%
Chief Financial Officer	2	-	2	2	-	-	100%
Chief Information Officer	8	3	5	5	-	-	100%
IFAS	3	3	-	-	-	-	N/A
UAA	9	3	6	6	-	-	100%
UF Foundation	15	-	15	15	-	-	100%
Total	58	16	42	40	2	-	95%

University of Florida Office of Internal Audit Summary of Significant Comments Period ending September 30, 2019

The following comments for this period were noted as significant based on the report issued.

UNIVERSITY OF FLORIDA ID CARD SERVICES, UF-18-702-05 ISSUED FEBRUARY 6, 2019

COMMENT 6 - DISPOSITION OF UNCLAIMED PROPERTY AND DORMANT ACCOUNTS:

When a student registered for graduation, the G1C office sent the student an email reminding them to utilize the remaining balance on their account prior to graduation or to request a refund. The Business Services Division confirmed the amount due back to the student, and the university processed the refund as a check payable to the student. The treatment of dormant accounts was dependent on the type of account and funds were only returned to the owner if specifically requested by the cardholder. Aside from an email sent to graduating students prior to their graduation, there was no standard process to contact owners of dormant accounts or report the unclaimed property in accordance with state law.

Business Services management agreed to work with the Office of the General Counsel and Finance and Accounting to develop a process for handling dormant accounts, including Gator Dining accounts held by Aramark.

Business Services has worked with the Office of the General Counsel to update policies and procedures related to Gator 1 Card balances. In addition, a plan has been developed to report the unclaimed property through the university's previously established processes in the next reporting cycle. – **Implemented.**

The Office of Internal Audit will monitor this issue to verify the planned actions are executed.



COMMITTEE ON MARKETING, PUBLIC RELATIONS AND STRATEGIC COMMUNICATIONS

AGENDA

Friday, December 6, 2019 Beginning at ~9:15 a.m.

President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Committee Members:

Robert G. Stern (Chair), Leonard H. Johnson, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Anita G. Zucker

1.0	Call to Order and Welcome
2.0	Verification of Quorum
3.0	Review and Approval of Minutes
4.0	Discussion ItemsNancy Paton, Vice President for Strategic Communications and Marketing 4.1 Key Performance Indicators
5.0	New Business
6.0	Adjourn



COMMITTEE ON MARKETING, PUBLIC RELATIONS AND STRATEGIC COMMUNICATIONS

Meeting Minutes
Telephone Conference Call
August 27, 2019

123 Tigert Hall, University of Florida, Gainesville, FL

Time Convened: 2:04 p.m. Time Adjourned: 3:04 p.m.

Committee and Board members present:

Robert G. Stern (Chair), Leonard Johnson, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, and Bill Heavener

Others present:

Nancy Paton, Vice President for Strategic Communications and Marketing, Ray G. Thomas, Faculty Senate President and other members of the university community.

1.0 Roll Call

Board Staff called the roll and noted those Trustees that were in attendance.

2.0 Call to Order and Welcome

Committee Chair Stern welcomed everyone to the meeting. He expressed that advancement on several fronts would be discussed: including a progress report on the overall KPIs and spring paid media campaign, then an introduction to the proposed final dashboards for earned and social media and the university's main web site. The meeting would then proceed to an update on the issues management and crisis preparedness strategy, followed by important updates on our advancements in our brand research/strategy partner selection and assistant vice presidents' recruitments.

3.0 Discussion Items

3.1 Key Performance Indicators

Vice President Paton began the discussion with the progress being made as indicated by updates on the current key performance indicators and introduction of the new and final three dashboards that will constitute our KPIs portfolio:

- Executive Dashboard- Improvement in social media sentiment –Earned media share of voice has increased from last guarter
- Increased social media share of voice—UF is now No. 4 and on the heels of UC Berkeley
- Growth on TikTok indicates a favorable trend in reaching the important demographic group of 13-22-year-olds. As a reminder, we are the first high ed institution to be verified on this platform—a critical endorsement.

Paid Media Dashboard:

- Of note, the results on this dashboard are for digital media efforts only as we quantify NPR or Chronicle with impressions—UF's performance strengthened in the last half of the campaign.
- The spring campaign ended with a significant increase in impressions—the number of people reached rose from 22M to 39.1 M.
- o CTR also improved from the previously reported period indicating UF's stories were presented in an engaging manner that prompted people to click to our site to learn more.

Now on to the new key performance metrics.

Earned Media Performance:

Our numbers indicate the level of high influence of the media outlets in which we're seeing success in telling stories about the university. Important to note that the number of articles, while important on an ongoing basis for team productivity, is not as critical as the reach. Some stories trending:

- o NIH funds clinical trials using genomics to treat chronic diseases
- o In tight labor market, Walmart courts high school students with job perks
- National Eating Disorder Program Hires Vice President of Movement, Research, and Outcomes

Media value generated indicates the advertising value of the articles.

- Currently in 4th position in earned media share of voice. These numbers will improve with the addition of new team members whose focus will be on advancing reputation through increased, favorable media stories pitched and told.
- o Third-place position in the Conversation is important as this media outlet reaches peers through faculty-generated stories of research and scholarship advancement.

Social Media Performance:

Monitors more in-depth metrics on these important owned channels. Of note, our peer comparisons are demonstrated on the overall dashboard.

Our performance on each of the owned social media channels against peers is strong. Number 2 on Facebook and Twitter, 4th on LinkedIn and Instagram.

Web Performance:

These monitors gauge our performance against our previous performance to determine understanding of markets, how they reach UF and use of the website.

*Trustee Thomas stated he is concerned with the search feature of the UF website and this function needs to be improved.

*Trustee Powers asked questions regarding out- of- state websites correlated with priority markets for admissions, fundraising and alumni, measure against UF's website.

452/608 2

^{*}Trustee Powers stated she is very impressed, and this shows where we place our dollars and where UF is going.

3.2 Issues Management and Crisis Preparedness Strategy Update

Chair Stern stated protecting the reputation of the university is as important as advancing it. To ensure the university has a best-in-class issues management and crisis preparedness platforms, UF a partnership with a nationally recognized firm. Several committee members have graciously participated in discussions with the firm.

Vice President Paton stated the approach is grounded in stakeholder input and involvement, review of existing plans/materials and a look outside of UF to understand best practices and publicly available information on reputation that directly relates to key issues. Legend Labs, our selected firm, has already made significant progress. The team has conducted more than 30 interviews with constituents across UF, including leadership from UF Health, IFAS, Athletics, and University Administration. It has also begun a review of UF's existing policies and materials.

The Legend Labs team has communicated a few high-level insights from their assessment.

- President Fuchs has extremely good instincts for communications he is effective in conveying authenticity to constituents.
- Strategic Communications & Marketing is very well respected by UF leadership, and its leadership has an excellent working relationship with the general counsel and president – arguably the two most important figures in issues and crisis communications.

In the next few weeks, Legend Labs will complete the rest of the assessment and compile its final report in September.

3.3 Brand Strategy Update

Vice President Paton stated that the selection committee concluded the review of proposals of which there were 10 national firms with significant experience with AAU public flagship universities and consumer firms. The search committee selected three firms to move forward to presentation. These presentations have concluded, and the search committee has indicated their selection. Procurement will move forward to enter negotiations. Vice President Paton stated the firm will be announced soon.

Vice President Paton stated a fun fact about the University of Florida being named the "Most Instagram med College Campus in Florida."

3.4 Assistant Vice President Search Update

Vice President Paton announced the final phase of the searches for both AVP Communications and AVP Marketing. Campus interviews will complete next week for the AVP Communications and this week for Marketing. Offers should be made within the next two weeks.

Other hires: new communications strategist in media relations, searching for two new videographers and will open a search for an analyst shortly. Hires are predominately replacements positions and reconfiguration of the current staff complement.

Chair Stern stated adding great team members adds in helping the university's rankings.

3.5 Pre-Meetings in October and November

Chair Stern stated due to the Board of Governors meeting here at UF in October and the timing our of November meeting, which is two days before Thanksgiving, the call dates for these two committee meetings will be changed. The October Committee call will now be held on October 22 at 2:00pm. The Board office is finalizing a new date for November and both dates with times will be sent to the committee shortly.

453/608

4.0 New Business

None currently.

5.0 Adjourn

Committee Chair Stern adjourned the meeting at 3:04 p.m.



454/608 4



COMMITTEE ON MARKETING, PUBLIC RELATIONS AND STRATEGIC COMMUNICATIONS

Meeting Minutes
Telephone Conference Call
September 24, 2019

123 Tigert Hall, University of Florida, Gainesville, FL Time Convened: 2:02 p.m.

Time Adjourned: 2:35 p.m.

Committee and Board members present:

Robert G. Stern (Chair), Leonard Johnson, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Ray G. Thomas, and Anita Zucker

Others present:

Nancy Paton, Vice President for Strategic Communications and Marketing, and other members of the university community.

1.0 Roll Call

Board Staff called the roll and noted those Trustees who were in attendance.

2.0 Call to Order and Welcome

Committee Chair Stern welcomed everyone to the meeting. He expressed that advancement on several fronts would be discussed: including an update of the U.S. News & World Report Best College Rankings Communication Plan, and an announcement regarding the brand research/ strategy partner selection and the Assistant Vice President searches. Lastly, a review of the format changes made to the Key Performance Indicators Dashboards will be presented.

3.0 Discussion Items

3.1 U.S. News & World Report Best College Rankings Communication Plan

Chair Stern stated, what a phenomenal accomplishment! Advancing to the No. 7 public university in the nation is amazing and illustrates unstoppable momentum.

A key driver of achieving this ranking was the increase in the university's reputation score from 3.7 to 3.8. Reputation constitutes 20% of the rankings so improvement of this score has a profound impact on the rankings. Chair Stern specified, increasing the reputation score and ranking score was a goal of the committee. He thanked and congratulated everyone for accomplishing this goal. He then turned the discussion over to Vice President Paton.

Vice President Paton thanked the committee for their support and guidance. She then proceeded to discuss the outcome of the ranking communications plan.

455/608

The goals of the 2020 USNWR rankings communications and marketing plan were:

- Position the University of Florida as a national, preeminent university with rising momentum
- Announce the results of the 2020 USNWR Best Public College rankings, while positioning the rising prominence of the university and the state of Florida
- Provide consistent messages for colleges and units to share the narrative of the rankings with their key stakeholders

Vice President Paton stated the university amplified the news of the ranking with a media conference featuring the governor announcing this achievement. Even though US News officially announced the night before, and FSU announced at 12:01am and many schools also released their rankings communications early in the morning, no one told the story better than the UF team.

Social media, content created record reach and engagement. Highlights included the most ever liked Instagram post, shattering the previous record by 7,000 likes. The Strategic Communications and Marketing office generated over 1 million impressions across social channels in the first 24 hours, an incredible achievement. The university's social media blitz targeted every one of the universitys institutional social channels, from TikTok to Giphy. Facebook to Twitter and Instagram to LinkedIn. Those who followed UF and had an internet connection, you most likely became aware of the news. US News & World Report retweeted. Even Danny Wuerffel chimed in upon seeing the Governor holding up a football jersey with his college number on it. It was a powerful announcement of videos, photos and GIFs that was shared across the nation.

In earned media, significant coverage was achieved on TV stations throughout the state, including in major markets such as Miami, Tampa/St. Pete and Orlando. This was achieved by providing channels with a video package that the SCM team shot of the news conference and then distributed to affiliates around the state within two hours of the news conference ending. On some stations, the story aired multiple times during different time slots.

On the print and online side, there was impressive coverage, including in the Tampa Bay Times – the largest paper by circulation in the Southeastern United States – as well as in online outlets such as Florida Politics and Sunburn that target important readers in Tallahassee. Newspaper coverage was also amplified by Strategic Communications sending a photo of the Governor with the football jersey to the Associated Press in Miami, which made it available to papers all over Florida.

3.2 Brand Strategy Update

Vice President Paton shared at the last committee meeting the intent to select a firm to partner with the university on one of the most important goals of the strategic communications and marketing plan—building a distinctive national brand. She reported that Simpson and Scarborough have been selected. Simpson Scarborough has extensive and relevant experience working with higher education clients. They have worked with University of Virginia, UC Berkeley, UC Davis, UCLA, Northwestern, University of Oregon, Purdue, and Stanford, to name a few. In their presentation, they demonstrated their expertise in market research, positioning and a successful track record of discovering the unique cultures/attributes/distinctive differentiators that establish a strong brand and market position. Chair Stern stated marketing research is the most important job over the next year for the SCM team.

456/608 2

3.3 Assistant Vice President Searches Update

Vice President Paton announced an offer for the Assistant Vice President of Marketing has been made and she will announce this news soon. Similarly, she plans to announce the new Assistant Vice President of Communications shortly.

3.4 Key Performance Indicators Formatting Update

Chair Stern stated at the last committee meeting, there were a few suggestions made regarding the format of the KPI dashboards. The SCM team values the committee's input, and Vice President Paton walked the committee through the minor changes that were made.

3.5 Pre-Meetings in October and November

Chair Stern stated due to the Board of Governors meeting being at UF in October and the timing our of November meeting, which is two days before Thanksgiving, the call dates for these two committee meetings have been changed. The October Committee call will now be held on October 22 at 2:00 p.m. The November Committee Call will be held on November 18 at 3:00 p.m.

4.0 New Business

None currently.

5.0 Adjourn

Committee Chair Stern adjourned the meeting at 2:35 p.m.





COMMITTEE ON MARKETING, PUBLIC RELATIONS AND STRATEGIC COMMUNICATIONS

Meeting Minutes
Telephone Conference Call
October 22, 2019

123 Tigert Hall, University of Florida, Gainesville, FL

Time Convened: 2:01 p.m. Time Adjourned: 3:02 p.m.

Committee and Board members present:

Robert G. Stern (Chair), David Brandon, Leonard Johnson, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, and Anita Zucker

Others present:

Nancy Paton, Vice President for Strategic Communications and Marketing, Mark Kaplan, Vice President for Government and Community Relations, and other members of the university community.

1.0 Roll Call

Board Staff called the roll and noted those Trustees who were in attendance.

2.0 Call to Order and Welcome

Committee Chair Stern welcomed everyone to the meeting. He expressed that advancement on several fronts would be discussed, including an update of the third quarter numbers for the Key Performance Indicators, the Fall Paid Media Campaign and an update of the Issues Management and Crisis Preparedness Strategy. Lastly, a review of the Brand and Positioning strategy was presented.

3.0 Discussion Items

3.1 U.S. Key Performance Indicators- 3rd Quarter Update

VP Paton thanked Chair Stern and the committee for their continued support, guidance and advocacy of our strategic efforts to advance the university's reputation and rankings.

In reviewing the performance against the second quarter indicators, improvements were made in many areas. VP Paton stated the search volume rose dramatically indicating that the university top-of-mind awareness is likely strengthening.

Earned media share of voice increased and UF is now on the heels of UC Berkeley in terms of performance. The Strategic Communications and Marketing team captured the interest of national and statewide media through their successful media coverage of important scientific/scholarly research. Through an aggressive earned media plan to amplify our rise in rankings, the team generated a great deal of coverage.

458/608

- The Conversation data decreased from 633,974 in Q2. On the dashboard from last quarter the data was pulled incorrectly. VP Paton stated the data will be pulled quarterly moving forward to match the other statistics.
- The decrease in social media sentiment was due to an increase in neutral posts/mentions, not
 an increase in negative posts. The decrease in the earned media sentiment was due to a few
 articles this quarter with negative, neutral sentiment.
- Increased social media share of voice went up 37.9% from Q2—UF is now No. 3 and on the heels
 of UC Berkeley —generated by the SCM team's successful efforts in storytelling and enhancing
 engagement related to rankings/national exposure opportunities related to the UF/Miami and
 UF/LSU football games and the appearance of College GameDay on campus.

We are pleased to see an increase in website visitors, which is most likely related to last quarter's social media initiatives as audiences are linked to ufl.edu. Sessions decreased only compared with this time last year, likely a result of seasonal fluctuations.

VP Paton stated she is proud of our team's first to market launch of TikTok and its steady growth. A lengthy article on TikTok's reach and channel importance to the high school and young collegiate market in yesterday's New York Times demonstrates the importance of our innovative leaps.

VP Paton said this article would be emailed to the committee for their review.

Earned media performance

This dashboard monitors key performance indicators related to our media relations efforts

- The reach numbers indicated the high level of influential media in which success is being demonstrated.
- The number of articles, while important on an ongoing basis for team productivity, is not as critical as reach. Top stories for this quarter:
 - o FL braces for strengthening Dorian
 - Symptoms of some drugs may imitate dementia
 - o Chomp! Shark bite mystery solved 25 years later thanks to DNA
- Media value generated indicated the advertising value of the articles.
- UF is currently in third position in earned media share of voice, within close proximity to UC Berkeley. VP Paton announced the addition of a new media relations team member and will be recruiting additional professionals who will focus on increasing our share of voice.
- The third-place position in the Conversation is important as this media outlet reaches peers through faculty-generated stories of research and scholarship advancement.

459/608 2

Social Media Dashboard

UF continues to lead higher education on both TikTok and Giphy platforms. These are newer social channels, and this dominant presence allows the university to not only remain relevant to a younger audience in a rapidly changing media landscape, but also to remain a leader in the social space both within and outside the higher education sector. UF has 30,000 followers ahead of the closest university competitor (LSU) on Tik Tok. UF has 1.1 billion more GIF views than the closest university competitor (UCLA) on Giphy.

UF's performance on each of the owned social media channels against peers is strong. The university has moved from No. 3 to No. 2 on all fronts: Facebook, Twitter, LinkedIn and Instagram.

Web performance—Monitors gauge the performance against the previous performance to determine understanding of markets, how they reach the university and use of the website. VP Paton believes as the university moves forward, these numbers will fluctuate depending on seasonal activity, i.e. related to enrollment periods.

- The website visitors went up by 12.8% in Q3, and sessions are decreasing as these visitors quickly move to conduct their transaction or fulfill their research needs on other pages/sites
- Bounce rates are up this quarter by 4.9%. Most likely due to moving from the homepage to reenter search to access other areas of the site. Average time on page jumped by 9.5% in Q3.
- Out-of-state users generate 68.4% of the traffic while in-state users generate 31.6%. California is currently above Georgia for a higher usage of out-of-state website traffic.

3.2 Fall Paid Media Campaign

Chair Stern stated VP Paton would update the committee with details of the paid media campaign to amplify the rise in rankings and the upcoming fall campaign. The goal of these efforts is to strengthen awareness, engagement and reputation among US News voters and influencers. Chair Stern acknowledged reputation constitutes 20% of the rankings score.

VP Paton declared with UF's rise in the U.S. News and World Report Best Colleges rankings to No. 7, the team launched a paid media campaign consisting of digital banners and NPR sponsorships in key regions across the state of Florida. The goal was to amplify earned and social media efforts among influencers in the state and around the country.

VP Paton was pleased with the impressions generated for each channel. For the most part, our click-thru-rate was near the top or exceeded the average range.

VP Paton moved on to discuss the Fall Paid Media Campaign Plan Parameters.

- Target Audiences
 - o Top 15 Public & Top 15 Private Universities
 - o FL Legislators, DC Legislators
- Geography
 - Will align with top public & top private university locations
- Timing
 - o October 2019 December 2019
 - Align with key conferences
 - ➤ 11/10 AMA
 - > 11/10 APLU

460/608

Plan Placement

Mobile geo-fencing targets peers and builds audiences based on demographic information and past visitation behaviors.

NPR delivers podcasts to 16.8 million users a month. Listeners are educated and affluent, and 79% of the podcast audience have acted in response to a sponsorship announcement in an NPR podcast.

LinkedIn is the No. 1 social network for professional networking and the platform with the highest average user net worth. Peer influencers consider LinkedIn a trusted channel for consumer content and use it regularly.

Data-Driven Display identifies and targets audiences via digital banner ads placed in influential media channels, such as **Wall Street Journal**, **New York Times**, **TED**, **Washington Post**, and **the LA Times**.

The American Society for Engineering Education (ASEE) is the oldest largest engineering society in the U.S. This is a new strategy this year to directly target peer influencers in the engineering and education market. First Bell reaches 37K higher ed engineers every day and is the most well-read pub for this discipline.

3.3 Issues Management and Crisis Preparedness Strategy Update

Chair Stern stated this important strategy aligns directly to safeguarding the UF brand and the reputation of the institution which is one of primary goals of the Strategic Communications and Marketing Plan.

- VP Paton stated that considerable progress on this important phase of the issues and crisis communications preparedness strategy has been made. She shared in terms of progress, the assessment is complete, to all intents and purposes.
- An extensive review of existing issues and crisis preparedness documents has been circulating.
 Additional secondary research around UF and the top-5 public universities best practices was recently completed.
- The only remaining research piece will be with communications leaders from those peers. These sessions will be occurring in the next couple of weeks.
- Last week, VP Paton reviewed a draft of the findings and recommendations from the assessment.
- Next month, she will share the findings and recommendations for a discussion at the committee's next meeting.

VP Paton shared a slide to demonstrate and discuss how the university is using data to aid in real-time decisions regarding the cadence and level of communications.

• This data is produced from our Brandwatch tool and illustrates the kind of data the team receives before, during, and after events to provide guidance on communications.

461/608 4

3.4 Brand and Positioning Strategy Update

Chair Stern thanked VP Paton and her team for working hard to increase the rankings and reputation of the university. To advance our success in building a national reputation, as the committee is aware, VP Paton and her team engaged in a search for a firm to partner with us. This is a critical contract and relationship as their work with us will strengthen our market position. Chair Stern stated this is the most important project for VP Paton and her team.

VP Paton stated that she and the team are thrilled to begin the brand and positioning strategy development. She discussed with the committee the goal to create a positioning strategy for UF that celebrates the excellence, uniqueness of culture and impact to drive a strengthened reputation. A brand is the sum of all associations that are made with a product or organization –including all the assets and liabilities of those associations.

• The university is embarking on a five-stage strategy development process that will culminate in a brand strategy and positioning approach that will drive efforts to increase reputation over the next several years.

VP Paton reviewed the Project Timeline with the committee.

- Discovery and kick-off: October-November
- Market research: January-February
- Brand Platform and messaging: March-April
- Brand Guidelines: May-June
- Brand Launch Planning: May-July
- Measurement Plan: July

3.5 Pre-Meetings in October and November

Chair Stern reminded the committee of the November Committee Call on November 18 at 3:00 p.m. The Board of Trustee Meeting on December 5-6 in Gainesville. The Pre-Committee Call on December 17 has been canceled.

4.0 New Business

None currently.

5.0 Adjourn

Committee Chair Stern adjourned the meeting at 3:02 p.m.

462/608 5



COMMITTEE ON MARKETING, PUBLIC RELATIONS AND STRATEGIC COMMUNICATIONS

Meeting Minutes
Telephone Conference Call
November 18, 2019
123 Tigert Hall, University of Florida, Gainesville, FL

Time Convened: 3:03 p.m.
Time Adjourned: 3:38 p.m.

Committee and Board members present:

Robert G. Stern (Chair), Daniel T. O'Keefe, Ray G. Thomas, and Anita Zucker

Others present:

Nancy Paton, Vice President for Strategic Communications and Marketing, and other members of the university community.

1.0 Call to Order and Welcome

Committee Chair Stern welcomed everyone to the meeting. He expressed that two critical strategic initiatives would be discussed: an update of the Issues Management and Crisis Preparedness Strategy, and an update on the Brand and Positioning Strategy. Lastly, a review of the restructuring of the central communications and marketing organization was presented.

2.0 Roll Call

Board Staff called the roll and noted those Trustees who were in attendance.

3.0 Discussion Items

3.1 Issues Management and Crisis Preparedness Strategy

Vice President Paton reminded the committee of six strategies underway to ensure the creation of contemporary communications practices to successfully plan for and manage issues and crises. The goal is to create a best-in-class organization in support of UF's top five aspiration.

She related that the creation of an issues and crisis preparedness strategy, and the internal assessment of current practices and protocol has been completed. The findings will be reviewed with campus leadership.

Key components of the assessment:

- A wide range of stakeholders representing all aspects of UF were interviewed.
- An extensive review of existing issues and crisis preparedness documents was conducted. A review of the practices of top 5 public universities was completed.
- Two peer schools, UVA and UNC were interviewed.

The assessment focused on seven key areas related to best practices in issues management and crisis preparedness.

- Environmental Challenges
- Organization and Capabilities
- UF's Issues and Crisis Culture
- UF's Existing Issues and Crisis Materials
- Technology
- Protocols and Processes
- Collaborative Structures, Education and Training

The assessment yielded the following:

- Higher education is prone to issues and crises, and UF is no exception to this. In fact, UF
 is more vulnerable to issues and crises because of our size and complexity, our
 prominent athletics program, and our geographic location.
- UF is culturally aligned around communicating authentically and transparently during crises. This is critical (and not overly common). Senior leadership, including President Fuchs and, the Board of Trustees, have helped to drive this culture. In addition to this, communicators across campus have great instincts. A major area of opportunity here is that the SCM team is small, though mighty. As you know, in the next few months the university will be making major strides to shape a world-class plan.
- Great relationships have formed across the institution. UF is a unique place in that
 regard. We rely heavily on these relationships in issues and crises, which is obviously a
 positive, but we have a need and opportunity to ensure our practices and processes are
 documented so we increase our across-the-board knowledge, nimbleness and clarity.
- Areas collaborate extremely well at UF. For example, IFAS, UF Health, and Athletics, are well integrated with central campus administration, including SCM. This is a considerable positive and strength.
- According to external partners, UF, despite having a new team in SCM, is not far behind
 its peer institutions in its ability to handle issues and crises. The major difference
 between the elite publics and UF, besides having more robust and mature
 Communications & Marketing teams at the central administration level, is their
 investment in addressing former or longer-term areas of reputational vulnerability. UNC
 and UVA, especially, have implemented innovative initiatives around sexual assault and
 diversity and inclusion.
- As a result of the assessment, critical recommendations have been created aligned to the seven aforementioned focus areas.
- Work is already underway on several of them, including strengthening the SCM bench, mapping the key media influencers for UF, configuring the Command Center to manage communications and issues/crises, and developing a process for ensuring thoughtful and timely escalation approaches.

464/608

In addition to these initiatives, the university will move forward soon on:

- Expanding the crisis communications playbooks and supporting materials to include more robust communications processes and resources.
- Hosting crisis communications planning workshops.
- Conducting more regular crisis simulations to test the effectiveness of our communications processes, protocols, materials, and resources.

3.2 Brand Positioning and Strategy Update

Vice President Paton reviewed the project timeline, which is in the discovery phase and qualitative market research phase and beginning our preparations to enter into quantitative research early in 2020. Just this past week, meetings were held on site with campus stakeholders to garner their views of the university. From these meetings we learned that the campus community is excited and supportive of this effort.

The discovery phase consists of conducting a thorough review of central and unit-based marketing planning documents and communications to comprehend the University of Florida's differentiators, challenges, strengths and opportunities.

- In conjunction with the materials review, a brand and competitor assessment for the University of Florida will be completed along with a comprehensive review of the ten private and public universities brand positions.
- The assessment will provide an audit of the current brand strategy, messaging and visual identity for the university and its competitors as well as notable brand experience observations, key strengths and challenges.
- Input from the campus stakeholder meetings, review/analysis and external peer group assessment efforts will form the basis of the quantitative market research phase.
- Each of the committee members will be invited to participate in a conversation to gather insights on UF.

Chair Stern thanked Vice President Paton for the update on the work being done to advance the important goal of building a distinctive national brand.

Chair Stern stated that the Strategic Communications and Marketing plan can only be as successful as team strength. The committee is aware Vice President Paton is focused on building a world-class strategic communications and marketing team to propel UF into the top five.

3.3 Infrastructure Update

Vice President Paton stated that she has begun efforts to elevate and expand team expertise, effectiveness and excellence.

- AVP of Communications Search completed/Internal candidate appointed
- AVP of Marketing Search Re-opened

465/608

- Senior Director, Digital/Social Engagement & Innovation Internal promotion
- Associate Director of Learning, Development and Integration Internal promotion

The following leaders are being recruited:

- Director of Communications (expanded scope)
- Director of Marketing and Brand Management(new)
- Director of Issues & Crisis Management (new)
- Sr. Marketing Strategist (new)

4.0 New Business

None currently.

5.0 Adjourn

Committee Chair Stern adjourned the meeting at 3:38 p.m.





Marketing, Public Relations and Strategic Communications Communications

BOARD OF TRUSTEES

DECEMBER 5, 2019

NANCY PATON

VICE PRESIDENT,
STRATEGIC COMMUNICATIONS
AND MARKETING

467/60

Agenda

- Key Performance Indicators
- Fall Reputation Campaign
- Brand and Positioning Strategy



PEER ASSESSMENT/REPUTATION SCORE CONSTITUTES 20% OF USNWR RANKINGS WEIGHT

PEER ASSESSMENT/REPUTATION SCORE





GOAL: Advance UF's reputation and rankings

470/608



PEER ASSESSMENT/REPUTATION SCORE CONSTITUTES 20% OF USNWR RANKINGS WEIGHT

TOP 10 PUBLICS 2020 rankings: Peer Assessment/Reputation Score

INSTITUTION	USNWR RANKING	REPUTATION SCORE
UCLA	1	4.3
UC Berkeley	2	4.7
University of Michigan	3	4.5
University of Virginia	4	4.2
Georgia Institute of Technology	5	4.3
University of North Carolina	5	4.1
UC Santa Barbara	7	3.6
University of Florida	7	3.8
UC Irvine	9	3.8
UC San Diego	10	3.9





Strategic Imperatives: Our Goals













KEY PERFORMANCE INDICATORS



KPI PROGRESS DASHBOARD

Jan 1, 2019 - Sept 30, 2019

Key Performance Indicators Progress

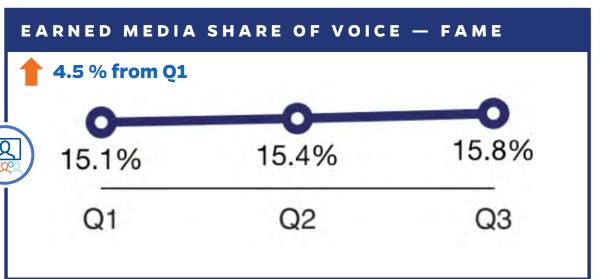
Q1 - Q3 2019

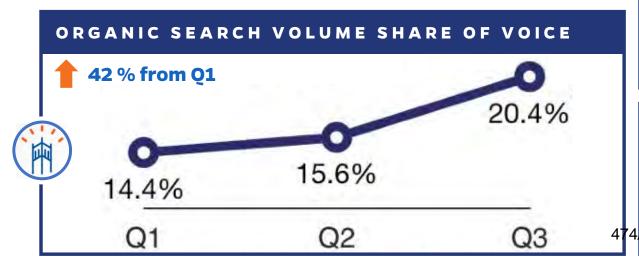
Ranking

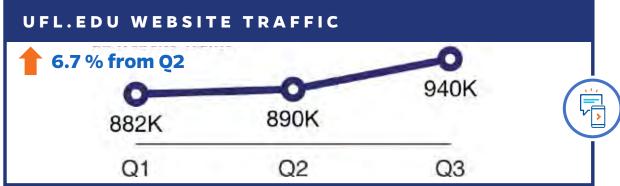
8 → **7**

Reputation Score

3.7 → **3.8**













Executive Dashboard

Jul 1, 2019 - Sep 30, 2019

ADVANCE REPUTATION AND RANKINGS

Ranking

#7

U.S. News & World Report **Public Universities**

Reputation Score

3.8

20% Academic Reputation **USNWR BEST COLLEGES**

Top 5 Reputation Scores

- 1) UCLA: 4.3
- 2) UC Berkeley: 4.7
- 3) Michigan: 4.5

10.4%

Website Metrics

10.5%

50K

4) UVA: 4.2

- 5) Georgia Tech: 4.3
- 5) UNC Chapel Hill: 4.1

ESTABLISH INTERNAL

BRAND STRATEGY

BUILD A DISTINCTIVE NATIONAL BRAND



Academic Excellence TBD

Aided + Unaided Awareness / Recall TBD

University **Familiarity**

Marketing Distinctiveness

TBD TBD

National Reputation

UC Santa Barbara

TBD

Organic Search Volume (vs. Top 5)

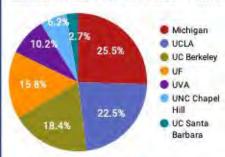
Organic Brand School Searches -UCLA 1.5M UF 1 42% from Q2 1.2M 946.2K Michigan 917.9K **UC Berkeley UNC Chapel Hill** 557.4K UVA 532.8K

325.2K

PROPEL UF'S THOUGHT LEADERSHIP REPUTATION



Earned Media Share of Voice - Fame



Share of Voice 2.6% from 02

Leadership in Academia

TBD

Increase in Faculty Exposure

542,111

Peer Average: 487,248

Academic Innovation

TBD

Student Achievement

TBD

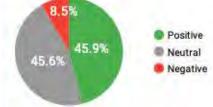
SAFEGUARD THE UF BRAND AND REPUTATION



Overall Impression

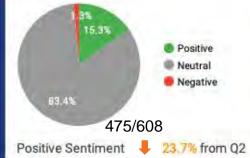
TBD

Earned Media Sentiment



Positive Sentiment 11.6% from Q2





TRANSFORM AND INNOVATE THROUGH TECHNOLOGY

Social Media Share of Voice

31.3%

19.9%

Share of Voice 1 37.9% from Q2

Website Visitors

940.484

\$ 12.8% from previous year

Sessions

1.538,186

-0.5% from previous year

Early-to-Market Technology Innovations

66,400

02, 2019

TikTok Followers

27,100

Q1, 2019



UMich

UF.

UCLA

UNC

WVA.

UC Santa

82,500

03, 2019

Barbara

UC Berkeley

Net Promoter Score

TBD

W/

Likelihood to Recommend

TBD

Unaided Awareness

Consideration

TBD

TBD

+



Earned Media Performance

Total Articles 3,633

Positive Articles 1,667

Conversation - Top 10 Publics

School

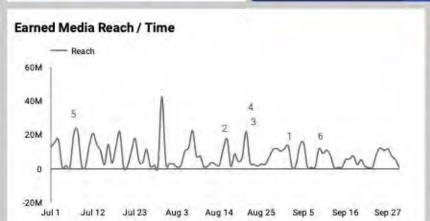
Michigan

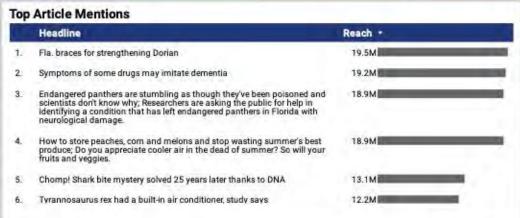
Oregon

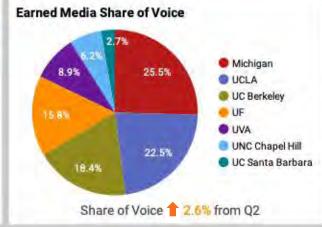
Neutral Articles 1,657

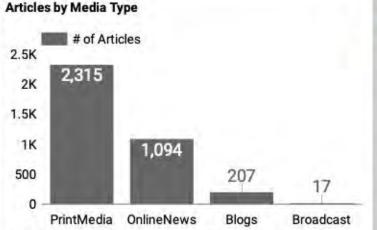
Negative Articles 309

Unique Headlines 2.597 Reach 699.6M Media Valun \$3.98M









Print Media articles are often published online as well as

traditional print mediums. Online News articles are only

published online.

Texas A&M 436.5K Michigan State 415.5K Ohio State 297.5K UC Berkeley 212.8K 476/608

Reads *

843.3K

717.8K

542.1K

UF Word Cloud - Earned Media Topics

US Democratic Party Science & Technology Alumni Associations
US Republican Party College & University Prof... Gardening
Governors Legislative Bodies Voters & Voting Elections Politics
Insects & Arachnids
Political Parties Students & Student Life Executives
Hurricanes

Diseases & Disorders
Climate Change

Epidemiology

TRANSFORM AND INNOVATE THROUGH TECHNOLOGY

Social Media Performance

Jul 1, 2019 - Sep 30, 2019



Facebook KPIs

Facebook Page Likes 678.9K • 0.25%

Post Engagements 69,173 • 168%

Page Followers

665.0K **1** 0.24%

Avg. Engagements Per Post 254 • 81%

Ton HE Poete

top or Posts					
Post	Engagements *				
No. 7 Announcement	10.1K				
Are you ready?	7.4K				
It's great to be a Florida Gator!	6.5K				
First day of fall.	5.6K				
"Great morning. Gators! #FirstDayUF"	5.5K				

Reaction Sentiment









UF vs Top 5

School	Facebook Page Likes *	Twitter Followers	Linkedin Followers	Instagram Followers
Michigan	783.1K	224.9K	468.8K	248K
UF	678.9K	192.6K	404.1K	159K
UCLA	478.5K	184.6K	635.2K	235K
UC Berkeley	477.1K	174.2K	623.6K	159K
UNC Chapel Hill	282.7K	123.8K	256.3K	104K
UVA	188.4K	87.1K	206.6K	92.7K
UC Santa Barbara	103.2K	43.1K	198.3K	47.3K



Twitter KPI



Top Tweets

Post	Engagements •
Retweet for GatorsUnfollow for Canes	2.1K
The waiting is the hardest part, #GoGators https://t.co/aPyS	1.5K
UNDEFEATED.	1.3K
Go Gaters! Baseball	1.2K
Fireworks over Lake Alice. Happy #FourthOfJuly, Gators! htt	976
Gator Emoji 477/608	944



Instagram Followers

159.0K

† 11.2%

Top Posts

Post	Likes *
#7 Announcement	24.9K
Pixel Albert	17.5K
Baby Gator	15.7K



LinkedIn Followers

404,076

3.6%



TikTok Likes

1.1M

1 18.6%

TikTok Video Views

10.0M

1 31.4%



Giphy Views

1.7B

£ 6.3%

11



Web Performance

Website Visitors 940,484 * 12.8%*

wwwwwww

Sessions 1,538,119 +-0.5% Pages / Session 1.62 # -10.3% Avg. Time on Page 00:02:23 # 9.5%

55.94% ± 4.9%

*All percent changes shown reflect YoY comparison

Performance by Channel

Jul 1, 2019 - Sep 30, 2019

Channel	Sessions T	Pageviews	Pages / Session	Bounce Rate	Avg. Session Durat
Direct	579K	877K	1.5	55,3%	01:34
Organic	506K	I IME	2.1	30.7%	02:02
Referral	455K	539K	1.2	85.5%	41
Organic Social	2K]	3K	1.3	20.9%	01:07

1-5/5 6 >

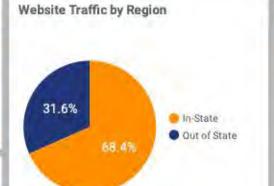
Top Pages

Top Pages	Users •	Pageviews	Bounce Rate
www.ufi.edu	814.7K	1.6M	57.0%
/academics/programs/	81.4K	127.8K	25.5%
/academics/colleges/	54.1K	84.3K	42.5%
/students/	34.6K	106.8K	31.1%

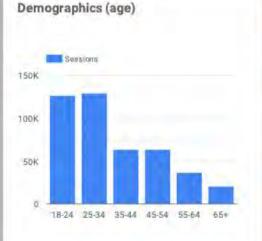
Top News Articles

top News Articles		
Page Title Clean	Pageviews *	
We've been trapping and sterilizing stray cats for decades, Does it work? - News	TIK	
Dince-ridiculed invention saves babies - News	11K	- 10
UF rises again in U.S. News & World Report rankings, this year to No. 7 among	11K	
UF Gainesville campus closed and classes canceled Tuesday - News	9K	
UF, UFPD adding four Blue Light Phones on Fratemity Row - News	3K	
Historian, former UF provost David Colburn dies - News	2K	478/608
Approximating Einstein - News	2K	

Geographic Awareness











REPUTATION CAMPAIGN

SITE	IMPRESSIONS	CLICKS	CTR
Daytona Beach News Journal	417,427	481	0.12%
Orlando Sentinel	390,492	287	0.07%
Tallahassee Democrat	490,019	181	0.04%
Tampa Bay Times	553,146	479	0.09%
NPR (Podcast & Radio)	20,458,296	n/a	n/a
Chronicle	291,535	139	0.05%
National Media Channels	2,437,946	2311	0.09%
New York Times	498,442	1130	0.23%
TED Conferences	1,202,353	13,091	1.09%
Wall Street Journal	324,532	3,192	0.98%
Washington Post	1,103,009	1,169	0.11%
TOTAL	28,167,197	22,460	0.29%

Average click-through-rate (CTR) compared to overall benchmark range of 0.03% – 0.10% Includes all paid media ran Jan'19 to Sept '19 (Spring and Reputation Campaign)



With UF's rise in the U.S. News and World Report best public college rankings, a campaign to increase awareness was launched in key regions across the state of Florida.

SITE	IMPRESSIONS	CLICKS	CTR
Daytona Beach News Journal	417,427	481	0.12%
Orlando Sentinel	390,492	287	0.07%
Tallahassee Democrat	490,019	181	0.04%
Tampa Bay Times	553,146	479	0.09%
NPR	6,692,000	n/a	n/a
TOTAL	8,543,084	1,428	0.08%*

^{*} Average click-through-rate (CTR) compared to overall benchmark range of 0.03% – 0.10%

481/608



National outreach to strengthen reputation and heighten awareness















BRAND AND POSITIONING STRATEGY UPDATE



STRATEGIC IMPERATIVE: GOAL #1 BUILD A DISTINCTIVE NATIONAL BRAND

UF

Top Five: National Reputations and Expressed Strategies

		UCLA "Best Medical System"	Berkeley "Most Nobel Prize Winners"	UVA "Best Law Program"	Michigan "Committed to Research"	UNC Chapel Hill "Best Medical System"	FLORIDA "Athletics & Unknown"
	Preeminent University						
Ш	Advanced Research						
G	Global Reach						
Ш Н	Location & Community						
TRA	Financial Stewardship & Affordability						
	Societal Change						
S	Centennial Celebration						
ЕР	Faculty Support						
RESS	Student Success & Engagement						
	Brand Management						
	Recruit/Retain Diversity & Inclusive Culture						
	Access						

Source: University Perception Research, 160over90

STRATEGIC IMPERATIVE: GOAL #1 BUILD A DISTINCTIVE NATIONAL BRAND



SUPPORT TOP FIVE EFFORTS

Advance UF's Reputation and Rankings



STRATEGIES TO RISE TO FIVE

Conduct brand position and identity research to identify distinctiveness (qualitative and quantitative)

Define the brand platform and positioning strategy

Create cohesive messaging and content platform that unifies units across the university and ties all to a greater whole

Establish and implement
influencer and advocate
marketing strategy that is
audience-centric and propels
the brand message

Create/implement internal brand communications strategy (in partnership with HR) to amplify brand ambassadors

Create national partnerships with like-minded influencers and channels

UF

UF Brand and Positioning Strategy Development Stages

ORIENT & ANALYZE

Conduct brand discovery and competitor assessment; conduct qualitative and quantitative research with internal and external stakeholders.

STRATEGIZE & MESSAGE

Build the brand platform by defining distinctively who we are, what we do, and why it matters

CREATE & VALIDATE

Develop and test the creative concepts that will bring life to our communities

PLAN & LAUNCH

Develop the internal and external brand launch plan

MEASURE & REFINE

Measure impact, refine strategy and tactics to structure brand over time.

The UF brand is the public expression of our organizational culture.

Totality of our brand is seen, heard and experienced by every stakeholder.







COMMITTEE ON ACADEMIC, FACULTY AND STUDENT AFFAIRS & EXPERIENCE AGENDA

Friday, December 6, 2019
Beginning at ~10:10 a.m.
President's Room 215B, Emerson Alumni Hall
University of Florida, Gainesville, FL

Committee Members:

7.0

	J. Rosenberg (only, Ray G. Thor	Chair), David L. Brandon, Leonard H. Johnson, Thomas G. Kuntz, Michael C. nas				
1.0	Call to Order and Welcome					
2.0	Verification o	f QuorumVice President Liaison				
3.0	Review and A September 6,	approval of MinutesJason J. Rosenberg, Chair 2019				
4.0	AFSAE1 Te	Jason J. Rosenberg, Chair enure Upon Hire F/IFAS Hemp Pilot Project onorary Degrees				
5.0	 5.1 Admis 5.2 Stude 5.3 Facult 5.4 UF Int 5.5 Stude 5.6 Cente 5.6.1 	ems				
6.0	New Business	SJason J. Rosenberg, Chair				

AdjournJason J. Rosenberg, Chair



COMMITTEE ON ACADEMIC, FACULTY AND STUDENT AFFAIRS & EXPERIENCE

Meeting Minutes September 6, 2019

President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL Time Convened: 10:55 a.m.

Time Adjourned: 11:15 a.m.

Committee and Board members present:

Jason J. Rosenberg (Committee Chair), David L. Brandon, James W. Heavener, Morteza Hosseini (Board Chair), Leonard H. Johnson, Thomas G. Kuntz (Board Vice Chair), Michael C. Murphy, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Robert G. Stern, Ray G. Thomas and Anita G. Zucker.

Others present:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Zina Evans, Vice President for Enrollment Management and Associate Provost; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Edward Jimenez, Chief Executive Officer for UF Health Shands; Mark Kaplan, Vice President for Government and Community Relations; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Interim Senior Vice President for Health Affairs and President of UF Health, David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic Communications and Marketing; Jack Payne, Senior Vice President for Agriculture and Natural Resources; Curtis Reynolds, Vice President for Business Affairs and other members of the University community.

1.0 Verification of Quorum

Vice President Liaison Glover confirmed a quorum with all members present.

2.0 Call to Order and Welcome

Committee Chair Rosenberg welcomed everyone in attendance and called the meeting to order at 10:55 a.m.

3.0 Review and Approval of Minutes

Committee Chair Rosenberg asked if there were any additions and/or corrections to the minutes. Hearing none, he asked for a motion to approve the minutes from the June 6, 2019 and August 20, 2019 meetings, which was made by Trustee Johnson and a second by Trustee Brandon. Committee Chair Rosenberg asked for further discussion, after which he asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

4.0 Action Items

AFSAE1 Tenure upon Hire

Provost Glover presented the four highly accomplished faculty members who have been hired by the University subject to being awarded tenure upon hire, which requires approval of the Board of Trustees.

Committee Chair Rosenberg asked for any questions or further discussion. He then asked for a motion to approve Committee Action Item AFSAE1 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Brandon, and second which was made by Trustee Johnson. Committee Chair Rosenberg asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

AFSAE2 Textbook and Instructional Material Affordability Report

Pursuant to Board of Governors Regulation 8.003, the University of Florida Board of Trustees must report to the Chancellor of the State University System each year its interest to have affordable textbooks for students. Provost Glover indicated that this may be the last year for this report since the Board of Governors is revising their regulations.

Committee Chair Rosenberg asked for any questions or further discussion. He then asked for a motion to approve Committee Action Item AFSAE2 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Brandon, and second which was made by Trustee Johnson. Committee Chair Rosenberg asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

AFSAE3 University Press of Florida Annual Report 2018-2019

Provost Glover indicated that the University Press of Florida, as an Academic Infrastructure Support Organization (AISO), must file with the Chancellor of the Board of Governors an annual report that is approved by the Board of Trustees prior to October 31st of each year.

Committee Chair Rosenberg asked for any questions or further discussion. He then asked for a motion to approve Committee Action Item AFSAE3 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Johnson, and second which was made by Trustee Brandon. Committee Chair Rosenberg asked for

further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

5.0 Discussion Items

5.1 Admissions Update

Zina Evans, Vice President for Enrollment Management gave an admissions update.

6.0 New Business

Committee Chair Rosenberg thanked everyone for their input on the topic of Student Experience and that instead of having 3 separate sub-committee, that there would be 1 sub-committee to address all 3 phases of the plan.

7.0 Adjournment

There being no further discussion, the meeting was adjourned at 11:15 a.m.





COMMITTEE ON ACADEMIC, FACULTY AND STUDENT AFFAIRS & EXPERIENCE ACTION ITEM AFSAE1 December 5, 2019

SUBJECT: Tenure Upon Hire

BACKGROUND INFORMATION

The Chairs and Deans have recommended to the Provost and Senior Vice President for Academic Affairs that 2 newly appointed faculty members have been granted tenure commencing with their appointment. These individuals meet the criteria set forth in the University's tenure and permanent status policy and are recommended by the Provost to receive tenure. Attached is a Summary of the Tenure Upon Hire cases.

PROPOSED COMMITTEE ACTION

The Committee on Academic, Faculty and Student Affairs and Experience is asked to approve the Tenure Upon Hire cases listed on the attached Summary for recommendation to the Board of Trustees for its approval on the Consent Agenda. While any administrative appointment is noted, tenure is granted only for the faculty appointments.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required.								
Supporting Documentation Included	: See attached Summary.							
Submitted by: Joseph Glover, Provost and Senior Vice President for Academic Affairs								
Approved by the University of Floric	da Board of Trustees, December 5, 2019.							
Morteza Hosseini, Chair	W. Kent Fuchs. President and Corporate Secretary							



Tenure Upon Hire Summary December 2019

Dr. Jane M. Carrington - College of Nursing

Associate Professor and Dorothy M. Smith Endowed Chair, Department of Family, Community and Health System Science

Dr. Jane Carrington earned her Ph.D. in Nursing from The University of Arizona in 2008, an M.S. in Nursing in 1994 from the University of Pennsylvania, a B.S. in Nursing from Arizona State University in 1988, and a B.S. in PE-Health from the University of Wisconsin, LaCrosse in 1982. Dr. Carrington is internationally recognized in nursing and healthcare informatics, particularly in application to patient safety and quality care. She has been awarded over \$800,000 in federal extramural research funding (as PI) and \$125,000 in intramural funding.

Dr. Sara Behdad – Herbert Wertheim College of Engineering Associate Professor, Department of Environmental Engineering

Dr. Sara Behdad earned her Ph.D. in Industrial and Systems Engineering from the University of Illinois at Urbana-Champaign in 2013, an M.Sc. in Industrial Engineering (with honors) from Amirkabir University of Technology, Tehran and a B.S. in Industrial Engineering (with honors) from Amirkabir University of Technology, Tehran. Dr. Behdad has been awarded a total of \$2M in grants and contracts (5 of 6 grants as PI). She is currently PI of NSF grants from two separate divisions, CBET and CMMI. She has published 36 peer-reviewed journal articles, 3 book chapters and 43 peer-reviewed conference proceedings papers.



COMMITTEE ON ACADEMIC, FACULTY AND STUDENT AFFAIRS & EXPERIENCE ACTION ITEM AFSAE2 December 5, 2019

SUBJECT: UF/IFAS Hemp Pilot Program

BACKGROUND INFORMATION

Hemp (Cannabis sativa) has been identified as a potentially valuable and impactful alternative crop for Florida. A hemp pilot research project research structure for land grant institutions in Florida was approved by the state legislature in early 2017 with rules for pilot projects released by the Florida Department of Agriculture and Consumer Services (FDACS) in the spring of 2018 and UF Board of Trustee approval granted on March 23, 2018. UF/IFAS now has 14 FDACSissued planting permits at our Research and Education Centers. A well-structured online presence for pilot project work has helped to promote team efforts (Link: https://programs.ifas.ufl.edu/hemp/). Initial pilot project research and outreach efforts have gone well but given new federal and state legislation for hemp which would allow on-farm production in Florida, the need to pursue new research opportunities and secure funding to sustain them is the challenge now before the pilot project team and UF/IFAS administration. Pilot project faculty and staff have been approached by growers and those in industry that seek to align with UF/IFAS research efforts with hemp - such a qualified project partner status is clearly outlined in state statute and in FDACS hemp pilot project rules. Given this opportunity afforded by 2019 state statute (Senate Bill 1020) and updated FDACS rulemaking for hemp (in progress), UF/IFAS administration is requesting board of trustee approval for direct hemp pilot project engagement with approved growers and industry. Such board approval is needed per the original 2017 state statute for hemp pilot projects. Once such approval is garnered, UF/IFAS administration will immediately engage with interested industry partners and growers in order to determine hemp agronomic performance and profitability in Florida.

PROPOSED COMMITTEE ACTION

The Committee on Academic, Faculty and Student Affairs and Experience is asked to approve the UF/IFAS Hemp Pilot Program as identified on the attached Summary for recommendation to the Board of Trustees for its approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors approval is not required.

Supporting Documentation Included:	See attached <u>Summary</u> and <u>PowerPoint</u> .						
Submitted by: Joseph Glover, Provost	and Senior Vice President for Academic Affairs						
Approved by the University of Florida Board of Trustees, December 5, 2019.							
Morteza Hosseini, Chair	W. Kent Fuchs, President and Corporate Secretary						



University of Florida Industrial Hemp Pilot Project

Jerry Fankhauser, Assistant Director Florida Agricultural Experiment Station - UF/IFAS



UF/IFAS Research Authorization

Industrial Hemp Pilot Projects

2014 US Farm Bill

2017 Florida Statute

2018 FDACS Rule

Mandate

2018 UF BOT Approval

Industry-funded

2019 UF Permits

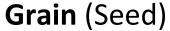
- 2-year pilot project
- Report project outcomes to legislation

Response

- Hemp production limited to UF facilities
- Genetics through variety trials without breeding
- Processing and market research without product sales

Hemp Production - Options

Fiber (Stem)



CBD (Flower)







Pilot Project Field Trials – Seed Varieties

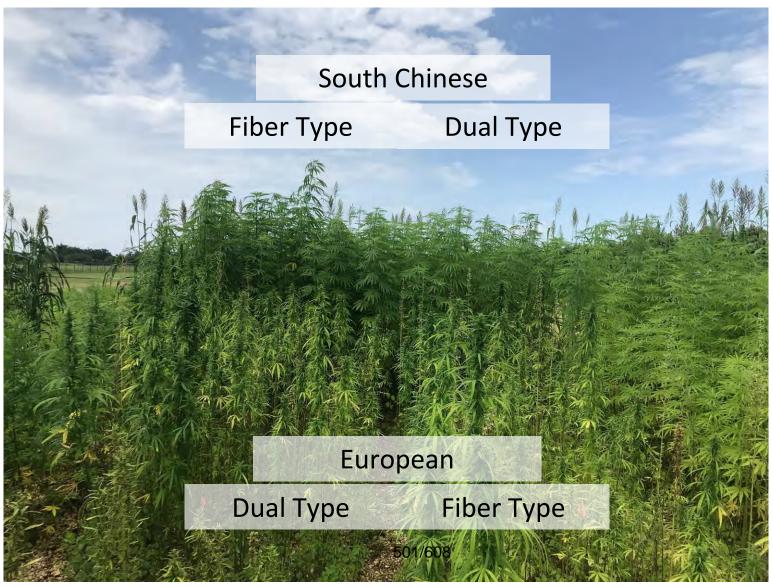
Grain	/Fiber	Grai	n	Fiber		CBD	
Bama	South China	CFX-1	Canada	Eletta	Italy	•	United States
Canda	Canada	CFX-2	Canada	Campana		Cherry	United States
Carmagnola	Italy	CRS-1	Canada	Fibranova	Italy	Blossom	
Carmagnola	Italy			Puma-3	South China	Cherry	United States
Selezionata	1			Puma-4	South China	Blossom x T1	L
Han FN-H	North China					Cherry Wine	United States
Han FN-Q	North China						
Han NE	Central China						
Han NW	Central China		6000	43040		12 400	
Helena	Serbia		1	2	3		
Joey	Canada						
Si-1	South China			21.21 mm	A CANA		
Tygra	Poland			IN GO			
Yuma	South China				3		
Yuma-2	South China				700	0	



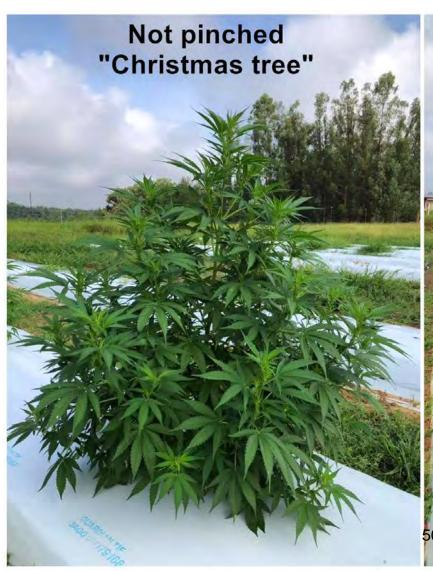
Shown in photo:

1) Si-1 2) Cherry Wine 503/6Tygra 4) Eletta Campana 5) Puma-3

Pilot Project Field Research Tropical REC-Homestead



Pilot Project Field Research North Florida REC-Quincy





Pilot Project Field Research North Florida REC-Quincy



Pilot Project Field Research AFRU-Hague (Alachua County)





Field Trial Challenges: Weeds



Closing thoughts...

Issues of concern for Florida growers include:

- Lack of "certified" or "verified" hemp seed and cultivars
- Lack of registered hemp crop protection products (e.g. herbicides & insecticides)
- Adapted hemp cultivars in outdoor grow systems
- Acceptable THC levels near/at harvest
- Potential for <u>pollen</u> <u>drift</u> from grain/fiber production

THANK YOU – QUESTIONS?

Pilot Project: https://programs.ifas.ufl.edu/hemp/

Email: gfankhauser@ufl.edu





November 18, 2019

Mr. Morteza Hosseini Chair, Board of Trustees University of Florida Gainesville FL 32611

Dear Mr. Hosseini,

UF/IFAS Hemp Pilot Project Update & Request

Overview

Hemp (*Cannabis sativa*) has been identified as a potentially valuable and impactful alternative crop for Florida. To support the future viability and sustainability of a hemp industry, preliminary assessment of the crop and cropping systems must be established prior to commercialization. A hemp pilot research project research structure for land grant institutions in Florida was approved by the state legislature in early 2017 with rules for pilot projects released by the Florida Department of Agriculture and Consumer Services (FDACS) in the spring of 2018. The original purpose of the UF/IFAS Hemp Pilot Project was to identify hemp germplasm (e.g. seed and cultivars) appropriate for Florida's diverse environmental and agronomic conditions, to develop cropping systems appropriate for the type of hemp grown, and to assess and mitigate potential hemp invasion risk. In addition, an assessment of what the economic potential is for an emerging hemp industry in Florida is being explored.

Phase I – Initial research and outreach efforts

The UF/IFAS pilot project began permitted research efforts in March of 2019 and now has 14 FDACS-issued planting permits. To date, over 30 workshops, field events, and media appearances have been accommodated by nearly all faculty and staff associated with hemp pilot project team. A well-structured online presence for pilot project work has helped to promote team efforts (Link: https://programs.ifas.ufl.edu/hemp/). Early research shows promise in terms of grain, fiber, and cannabinoid-rich hemp cultivar performance, both in controlled growth and in fields, but challenges such as pest issues and unacceptable THC (psychoactive component of *Ccannabis sativa*) test levels are present. A better understanding of such issues will require an expanded research effort, both at pilot project-scale and on-farm.

Phase II – The need to expand efforts and enga+ge with growers/industry

Initial pilot project research and outreach efforts have gone well but given new federal and state legislation for hemp, the need to pursue new research opportunities and secure funding to sustain them is the challenge now before the pilot project team and UF/IFAS administration. Pilot project faculty and staff have been approached by growers and those in industry that seek to align with UF/IFAS research efforts with hemp – such a *qualified project partner* status is clearly outlined in state statute and in

FDACS hemp pilot project rules. Working directly with growers would allow for on-farm studies across the state. This direct grower engagement would most certainly involve and benefit UF/IFAS field Extension staff. Engaging with certain industry partners would allow the pilot project team an opportunity to expand their research objectives which could include larger scale propagation and commercialization in a research environment, tissue culture and plant breeding, etc. Bringing appropriate industry partners into the pilot project could also lead to longer term agreements that include sponsorship of hemp genetic improvements and cultivar adaptability in Florida. Such industry-supported basic and applied research in hemp science is critical for a sustainable hemp industry in Florida. Furthermore, UF/IFAS leading in this work and assisting with this emerging industry would be well received by stakeholders in Florida and beyond.

Given this opportunity afforded by 2019 state statute (Senate Bill 1020) and updated FDACS rulemaking for hemp (in progress), UF/IFAS administration is requesting board of trustee approval for direct hemp pilot project engagement with approved growers and industry. Such board approval is needed per the original 2017 state statute for hemp pilot projects. Once such approval is garnered, UF/IFAS administration will immediately engage with interested industry partners and growers in order to determine hemp== agronomic performance and profitability in Florida.

Sincerely,

Jack Payne

Senior Vice President for Agriculture and Natural Resources



COMMITTEE ON ACADEMIC, FACULTY AND STUDENT AFFAIRS & EXPERIENCE ACTION ITEM AFSAE3 December 5, 2019

SUBJECT: Honorary Degrees

BACKGROUND INFORMATION

The Honorary Degrees and Distinguished Awards Committee recommends honorary degrees to the Faculty Senate. The following honorary degrees were approved by the Faculty Senate on November 21, 2019 and then by the President on December 2, 2019:

Marilyn Minter, Doctor of Fine Arts John D. Due, Jr., Doctor of Humane Letters

Board of Governors approval is not required.

PROPOSED COMMITTEE ACTION

The Committee on Academic, Faculty and Student Affairs and Experience is asked to approve the Honorary Degrees for Marilyn Minter and John D. Due, Jr., for recommendation to the Board of Trustees for approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Supporting Documentation Included: See attached Biographies for Marilyn Minter and John D.

Due, Jr.

Submitted by: Joseph Glover, Provost and Senior Vice President for Academic Affairs

Approved by: University of Florida Board of Trustees, December 6, 2019.

Morteza Hosseini, Chair

W. Kent Fuchs, President and Corporate Secretary



Call for Nominations

	Nominator's Name: Paul Ortiz	
	Department: History/Samuel Proctor Oral History Program	
	Address: 245 Pugh Hall	
	Phone: 352-273-1545	Fax: 352-846-1983
	Email: portiz@ufl.edu	
	Signature of Nominator: Paul (Ortiz
		0
nomina	tions at its next meeting in October . be mailed to the committee in care of Step	ad Distinguished Awards Committee will consider This form and a complete nomination package phanie McBride, Provost's Office, PO Box 113175 by
	Affiliation: Congress of Racial Equality, NAACP Legal Defe	ense Fund, Southern Christian Leadership Conference
	Business Address:	
	Home Address: _1381 Timmons Road, Quincy, Florida 3	
		less 850-443-3078 Fax:
	Email: johnduelawreview@gmail.com	
F	Distinguished Alumna/Alumnus	Because the University of Florida is a public university and part of the State University System, the university's
	Distinguished Alumna/Alumnus Distinguished Achievement Distinguished Service	Because the University of Florida is a public university and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible
	Distinguished Achievement	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one)	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one) Doctor of Arts	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible Doctor of Music
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one) Doctor of Arts Doctor of Commerce	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible Doctor of Music Doctor of Pedagogy
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one) Doctor of Arts Doctor of Commerce Doctor of Divinity	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible Doctor of Music Doctor of Pedagogy Doctor of Pharmacy
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one) Doctor of Arts Doctor of Commerce Doctor of Divinity Doctor of Education	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible Doctor of Music Doctor of Pedagogy Doctor of Pharmacy Doctor of Public Administration
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one) Doctor of Arts Doctor of Commerce Doctor of Divinity Doctor of Education Doctor of Fine Arts	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible Doctor of Music Doctor of Pedagogy Doctor of Pharmacy Doctor of Public Administration Doctor of Public Service
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one) Doctor of Arts Doctor of Commerce Doctor of Divinity Doctor of Education Doctor of Fine Arts Doctor of Humane Letters	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible Doctor of Music Doctor of Pedagogy Doctor of Pharmacy Doctor of Public Administration Doctor of Public Service Doctor of Science
	Distinguished Achievement Distinguished Service Honorary Degree: (please check one) Doctor of Arts Doctor of Commerce Doctor of Divinity Doctor of Education Doctor of Fine Arts	and part of the State University System, the university's policy is that elected or appointed officials of the State of Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current faculty members, officers, an employees of the university are also ineligible Doctor of Music Doctor of Pedagogy Doctor of Pharmacy Doctor of Public Administration Doctor of Public Service

Please write a brief description of this individual's intellectual and professional achievements and attributes and attach it to this form.

3. Vita or Resume

Please attach the nominee's vitae, resume or a biographical description.

4. Supporting letters or materials

- A. Three or more letters of support (typically a mixture of internal and external letters) that will help the the committee understand the significance of this nominee's work, his/her contributions, and his/her impact on others.
- B. Letter of support from the college dean that will host the award recipient, including a statement for honorary degree nominees about how the nonpage 150,608 etted by the faculty of the college or department and the outcome of that vetting.



College of Liberal Arts and Sciences Office of the Dean 2014 Turlington Hall PO Box 117300 Gainesville, FL 32611-7300 352-392-0780

September 26, 2019

Professor Rick Yost, Chair
Honorary Degrees and Distinguished Awards Committee
Office of the President
PO Box 113150
University of Florida
Gainesville, FL 32611

Re: Honorary Degree

Dear Professor Yost and Members of the Committee,

It is my great pleasure to support the nomination of John D. Due, Jr., to receive an Honorary Degree (Doctor of Humane Letters) from the University of Florida. Mr. Due is an extraordinary candidate for this honor. As a pioneer of the civil rights movement, a groundbreaking legal advocate for human rights, and an inspired leader in public policy, Mr. Due's accomplishments are numerous and impactful.

In a career that has spanned the breadth of civil rights in this country, Florida has long been the particular focus of Mr. Due's efforts. A graduate of Florida A & M University law school, Mr. Due has represented black protesters arrested in St. Augustine and, together with his late spouse, Patricia Stephens Due, organized numerous community action programs in our part of the state. He has consistently been a strong and steady voice for reason and justice for communities as they confront issues between citizens of color and the criminal justice system. Today, John Due remains committed to matters of immediate relevance to Florida, such as restoration of voting rights for felons who have completed their sentences.

I have read the other letters of support provided by those who have worked closely with Mr. Due, and they invariably tell stories, large and small, that sketch out a portrait of a man who has devoted his life to upholding the promise of the nation for all. Of note is Mr. Due's emphasis on the formation of minority owned businesses as a springboard for social stability. An article in the *Tallahassee Democrat* quoted him as saying that "I want to tell them not to copy what Patricia and I used to do 50 years ago," Due said. "They need to get involved getting people into business. That's my legacy."

Given his illustrious career as a proponent of freedom and prosperity for all citizens, it is entirely appropriate that Liberal Arts and Sciences serve as the home college for the nomination. Our college cuts across many fields and disciplines, and we have long been associated with Southern US history. Our college is also particularly proud to serve as the home for the Samuel Proctor Oral History Program, which has worked closely with Mr. Due to document his many roles in the history of our state and the nation.

Mr. Due would serve as an indelible role model for UF students as they look toward the future of African-Americans in the United States. Our college's name reflects the long-established concept of a "liberal education," in which "liberal" has a meaning that derives from the same Latin root as "liberty." Thus, in our college free citizens can learn about a richly diverse abundance of ideas and perspectives. It is indeed fitting that we support the award of this degree for this bold Floridian who has devoted most of his life to the pursuit of freedom and fairness for all Americans.

Thank you for your consideration of Mr. Due for this honor in recognition of his inspirational life of advocacy.

Sincerely,

David Richardson

DE Michaul

Dean

Attorney John D. Due, Jr. Biography 1381 Timmons Road Quincy, Florida 32352

John D. Due, Jr. is a military veteran of the US Army. He is a longtime civil rights, human rights and community activist whose history of service spans more than six decades. As an attorney facing Jim Crow laws in the 1960s, he helped to pioneer the tactic of moving civil rights cases to federal court so that his clients would not be at the mercy of Southern state courts.

In 2018, Governor Rick Scott selected Mr. Due to be inducted into the Florida Civil Rights Hall of Fame. Later in the same year, he was honored at the Florida A&M Legacy Awards and Scholarship Gala with the Legacy Award for a lifetime of service to the civil rights movement.

Attorney Due's civil and human rights history began when he was only fourteen, as a member of Spruce Street AME Church in Terre Haute, Indiana, and the Terre Haute NAACP Youth Council. His activism led to his dismissal from a State of Indiana penal institution as a counselor for participating in a NAACP activity in 1960. Searching for a community with a history of activism, he then went to Florida A&M University Law School.

That is where he met his future wife, civil rights activist Patricia Stephens. She was an undergraduate who spent 49 days in jail in 1960 for sitting-in at a Woolworth lunch counter in Tallahassee, Florida, making her a part of the nation's first "Jail-In" in the student sit-in movement.

After graduating from FAMU Law School in 1963, John Due worked as a field representative in Mississippi on the Voter Education Project of the Southern Regional Council which served as a conduit for the Civil Rights Leadership Conference, comprised of the SCLC, NAACP, SNCC, CORE, the National Urban League, as well as the National Council of Negro Women. In this role, he monitored civil rights activities and investigated the violence against civil rights activists to make reports to the U.S. Commission on Civil Rights. Attorney Due worked with civil rights figures such as Robert (Bob) Moses and activists James Chaney and Michael Schwerner, who were later murdered. Due's "Southwest Mississippi Field Report" was published in the 1967 book "Climbing Jacob's Ladder: The Arrival of Negroes in Southern Politics" by Pat Watters and Reese Cleghorn.

In St. Augustine, Florida, Due worked as an attorney for the Congress of Racial Equality (CORE) in partnership with the NAACP Legal Defense Fund to obtain an order in federal court requiring then Governor Bryant to protect the civil rights demonstrators who were supported by Dr. Martin Luther King, Jr. and the Southern Christian Leadership Conference (SCLC).

Attorney Due and his wife moved to Miami, where he worked with Legal Services, the Miami-Dade

County Community Relations Board and the Community Action Agency. As a member of the Miami-Dade County Community Relations Board and head of the county's Office of Black Affairs, Attorney Due worked with parents, teachers, students and clergymen to confront issues of poverty, discrimination, fair housing, education and immigration. He helped to establish a national model for community policing in West Perrine with then state attorney Janet Reno.

Attorney Due continues to serve the community in organizing for freedom and social justice in Quincy, Florida. His efforts are focused on promoting restorative justice in order to eliminate the mass incarceration of African-Americans.

He also educates and advises organizations and students. Most recently, he participated at the "Black is Back Coalition for Social Justice, Peace and Reparations" conference sponsored by the Uhuru Movement where he trained attendees about referenda and other electoral initiatives and lectured students at the University of South Florida.

In 2012, he was a keynote speaker at a landmark student-led voting rights history conference in McComb, Mississippi (http://mccomblegacies.org/blog/2012/12/1068/) and has provided guidance to organizations such as the Dream Defenders and the University of Florida Samuel Proctor Oral History program. He has had two commentaries published on cnn.com: "At the March on Washington: The anger, the fear, the love and the hope" commemorating the landmark event's 50th anniversary in 2013 (http://www.cnn.com/2013/08/24/opinion/due-march-on-washington-memories/index.html), and most recently "Restorative justice is a win-win", where he emphasized the societal benefits of community policing and partnership programs and restorative justice to strengthen our communities, written in the aftermath of the police shooting of an unarmed black youth and resulting racial tensions in Ferguson, Missouri (http://www.cnn.com/2014/09/09/opinion/due-ferguson-community-policing/index.html).

Due has received numerous awards and honors including the 2013 FAMU College of Law Distinguished Alumnus Award; the 2004 Southern Christian Leadership Conference (SCLC) Chauncey Eskridge Distinguished Barrister Award; the 2003 NAACP National Foot Soldiers Award; the 2003 Jobs for Justice National Convention Lifetime of Fighting for Social Justice Award; the 1998 Florida A&M University Martin Luther King, Jr. Leadership Award; and the 1992 Dade-County Dr. Martin Luther King, Jr. Lifetime Service Award for 25 Years or More of Outstanding Community Service.

Attorney Due was married to civil rights activist Patricia Stephens Due for 49 years, until her death in 2012. They raised three daughters: Tananarive Due, an author residing in a Los Angeles suburb who is a former Cosby Chair in the Humanities at Spelman College; Johnita Due, who is vice president and assistant general counsel for CNN in Atlanta; and Lydia Due, a Texas lawyer.

John Due resides in Quincy, Florida. His email address is John Due Law Review@gmail.com.



Fredric G. Levin College of Law Professor Kenneth B. Nunn 314 Holland Hall PO Box 117625 Gainesville, FL 32611-7625 352-273-0660 352-392-3005 Fax nunn@law.ufl.edu

September 30, 2019

The Honorary Degrees and Distinguished Awards Committee University of Florida Faculty Senate University of Florida Gainesville, Florida 32611

Re: Honorary Doctorate for Mr. John Due, Esq.

Dear Colleagues:

I am writing to strongly recommend Mr. John Dorsey Due, J.D. as a recipient of the degree of Honorary Doctor of Humane Letters from the University of Florida. Mr. Due is a civil rights legend. He is known statewide and nationally. He has worked tirelessly throughout his career to make state education in Florida equally available to all. I believe he is a worthy recipient of an honorary doctorate from the University.

I first met Mr. Due in the 1990s, a few years after I began teaching law here at the College of Law. I was involved in a potential civil rights lawsuit against a school district in Florida on behalf of a group of developmentally disabled students. I needed to get up to speed on the law and strategy of such a case and everyone told me I needed to talk to Mr. Due. Eventually, the case settled, but I learned a wealth of information from Mr. Due, who graciously agreed to educate me about every aspect of civil rights in Florida, legal and historical. He was such an incredible source of information, I made sure that he had the opportunity to share it with my students. Over the years, Mr. Due has spoken several times at the law school, either in my class or colleagues' classes.

As you can see from his biography, Mr. Due has been involved in civil rights activism and litigation throughout this state. Through his work in voting rights, school desegregation, school equity and police citizen relations, Mr. Due has contributed much to making Florida a better state and UF a better university. I think Mr. Due deserves the recognition that receiving an honorary degree would confer. I enthusiastically recommend him for your consideration.

Sincerely yours,

Kenneth B. Nunn



SAMUEL PROCTOR ORAL HISTORY PROGRAM

Dr. Paul Ortiz, Director

241 PUGH HALL P.O. BOX 115215 Gainesville, FL 32611-5215 Office: (352) 392-7168 Fax: (352) 846-1983

www.clas.ufl.edu/history/oral

September 20, 2019

The Honorary Degrees and Distinguished Awards Committee University of Florida Faculty Senate University of Florida Gainesville, Florida 32611

Dear Colleagues,

I am writing to recommend that your committee nominate Attorney John Dorsey Due for the degree of Doctor of Humane Letters at the University of Florida. Along with his wife Patricia Stephens Due (1939-2012), John Due has been the leading civil rights activist, strategist and organizer in Florida for over six decades. Mr. and Mrs. Due's children have carried on their parent's great legacy: Johnita Due, John's oldest daughter, is Senior Vice President and Chief Diversity & Inclusion Officer for Warner Media News and Sports. Tananarive Due is an award-winning and best-selling author who teaches Black Horror and Afrofuturism at UCLA. Along with her mother, Tananarive co-wrote the book *Freedom in the Family: A Mother-Daughter Memoir of the Fight for Civil Rights*, a book long considered to be one of the foremost accounts of the Civil Rights Movement.

John Due is also a military veteran of the United States Army. When the very existence of democracy was at stake in the United States, John Due repeatedly put his life on the line for his country. He was arrested and physically threatened by southern law enforcement officers in Mississippi because he dared to register African Americans to vote. As countless civil rights movement workers will testify, Attorney Due's most valuable national service was performed in small towns like McComb, Mississippi where he recorded scores of depositions of African Americans who had been denied the right to vote. Attorney Due struck at Jim Crow voter suppression by meticulously documenting cases when officials in the states of Mississippi, Florida and Georgia refused to allow black voter registration. In turn, Due's careful documentation of voter suppression in these states was used to help frame the historic Voting Rights Act of 1965.

Born on October 22, 1934, John Due's role in transforming Florida from a cauldron of racial hostility and one-party rule into a multiracial democracy was recently acknowledged by Governor Rick Scott who selected Mr. Due for induction into the Florida Civil Rights Hall of Fame in 2018. Attorney Due used his induction speech at the Tallahassee ceremony not to bring more attention to himself; to the contrary, to the surprise of the entire audience, Mr. Due pulled out a prisoner's uniform at the podium and gave a powerful speech on the contemporary crisis of mass incarceration. John drew pledges from the gathered dignitaries to pledge their lives to make the United States a freer, more just society. At age 84 he continues to be a civil rights movement organizer!

Some years ago, I asked Attorney Due what first propelled him into the Civil Rights Movement in the South. He replied that, "I had to accept responsibility. And what brought me to McComb [Mississippi], was Rosa Parks, because when I left the Army, I got involved in civil rights, and then I saw that the students in the South doing sit-ins and I saw a young lady by the name of Patricia Stephens in *Jet* magazine. I said, I want to come to Florida and Florida A&M!" Together, Patricia and John were known as The First Family of Civil Rights in

Florida. According to *The New York Times*, for their honeymoon in 1963, the Dues traveled to Washington, D.C. to the March on Washington for Jobs and Freedom to hear Martin Luther King Jr. deliver his "I Have a Dream" address.¹

In November of 2018, Attorney Due received the Legacy Award from Florida A & M Law School. His award citation noted that Mr. Due's "history of service spans more than six decades." "He helped to establish a national model for community policing in West Perrine [Florida] with then state attorney Janet Reno...He also helped secure the release of 500 Haitian refugee children....He worked as a field representative in Mississippi during Freedom Summer on behalf of the Voter Education project of the Southern Regional Council which served as a conduit for the Civil Rights Leadership Conference, composed of the SCLC, NAACP, SNCC, CORE, the National Urban League, as well as the National Council of Negro Women. In this role, he monitored civil rights activities and investigated the violence against civil rights activists to make reports to the U.S. Commission on Civil Rights."

John Due eschewed financial success in order to promote the human rights of all during the most challenging decades in American history. A contemporary of the Reverend Dr. Martin Luther King, Jr., John Due provided *pro bono* legal assistance to civil rights activists who were unjustly incarcerated during the St. Augustine Freedom Movement that brought Dr. King to Florida. Attorney Due went to St. Augustine in the spring of 1964 to lend legal assistance to the embattled civil rights movement that had become a focal point of the world's attention.

It was in St. Augustine that Attorney Due developed the "tactic of moving civil rights cases to federal court to temper the biased decisions that were frequently made in Jim Crow states." This legal strategy was subsequently copied by civil rights attorneys throughout the South. Risking physical harm to himself by white supremacists, Mr. Due defended area youth and community organizers in St. Augustine who were being threatened by long prison sentences for their civil rights activism. Attorney Due worked with the Congress of Racial Equality (CORE) in partnership with the NAACP Legal Defense Fund to obtain an order in federal court requiring then Governor Bryant to protect the civil rights demonstrators who were supported by Dr. King and the Southern Christian Leadership Conference (SCLC).

In subsequent decades, John Due was the unacknowledged legal mind behind key gains in equality, school integration, labor and civil rights in Miami, Tallahassee, St. Petersburg, Fort Lauderdale, Gainesville, Quincy and many other Florida towns. He was the last litigator of the 1969 Miami-Dade County school desegregation case *Pate v. Dade County Public Schools*. (Originally an NAACP Legal Defense Fund case initiated in 1958 titled *Gibson et al v Dade County Schools*.) Not one to shrink from challenges, John Due became one of the leading labor lawyers in Florida—a prodigious accomplishment in a Right to Work state. Monica Russo, President of Local 1199 of the Florida Services Employees International Union, lauded Attorney Due's lifelong commitment to low-wage workers in Florida:

John Due epitomizes a grass-roots activism. His mission is the empowerment of disenfranchised communities—not credit or glory for the work he does. He has dedicated his life to fighting against racism and fighting for our children to have quality education, for workers to have the right to a decent way of life, basically for equality. He really is a visionary who has planted so many seeds that some of us have had the honor and privilege of being able to cultivate and grow. One of those seeds was the formation of South Florida Jobs with Justice where John's vision was to bring together rank and file union members and grassroots activists in a condition that would

¹ Douglas Martin, "Patricia Stephens Due Dies at 72; Campaigned for Civil Rights," The New York Times, February 11, 2012.

² "A Conversation with Civil Rights Attorney John Due," *Visit St. Augustine*, https://www.visitstaugustine.com/event/conversarion-civil-rights-attorney-john-due (accessed November 15, 2018).

Mr. Due risked life and limb to help black Mississippians register to vote in the early 1960s. The historical record attests that Mr. Due was one of the most important legal activists and intellectuals behind the creation of the Voting Rights Act. In a matter of weeks following his graduation from Florida A&M University Law School, Due was taking depositions and testimony from African Americans in Mississippi who had been denied the right to register and to vote by state officials. Mr. Due's work on behalf of the Voter Education Project of the Southern Regional Council is a critical foundation of the argument that mechanisms for federal monitoring of local elections were needed in the South in order to ensure equal access to the ballot. Mr. Due was harassed, physically abused and arrested by local officials in Amite County, Mississippi because of his voting rights work. Indeed, the sheriff of Amite County, Mississippi pointedly warned Attorney Due after one such arrest to "never return to Amite County." Two of the activists that Mr. Due worked with in Mississippi, James Chaney and Michael Schwerner were tortured and assassinated by white supremacists during Freedom Summer.

Attorney Due's work with Janet Reno and the Community Relations Board in Miami has become a model for what has become known as the Restorative Justice Movement involving "community policing, a strategy of collaborative partnerships among law enforcement and the communities they serve to reduce crime and fear and to promote trust." Mr. Due explained restorative justice as a counter to the phenomenon of mass incarceration in a piece he wrote for *CNN*:

Instead of adopting zero tolerance as a solution to push-out "problem children," we developed a 'circle' model of community policing, which included meetings every week with the state attorney, public safety and law enforcement officials, community organizations, and those the greater community would labor as 'thugs.' This was a model that Janet Reno took to the Justice Department as United States Attorney general when the 'Community Oriented Policing Services,' or COPS, program was launched in 1994 to revolutionize policing.

John Due is not a graduate of the University of Florida. However, he has worked directly with and inspired hundreds of University of Florida students and alumni by being a guest lecturer during the Samuel Proctor Oral History Program's annual Mississippi Freedom Project. Each summer, SPOHP takes 12-15 students to interview veterans of the Civil Rights Movement in the Mississippi Delta. Each year since 2010, we stop in Tallahassee to spend a day with Attorney Due. Every engagement has been awe-inspiring. Many of the students we take on this trip are pre-law candidates, and several have dedicated their careers to pursuing public interest, civil rights, and immigrant rights law after spending a day with John Due.

Our students have interviewed Attorney Due repeatedly, and our visit with him is a high point of each year's MFP field trip. During the year, John keeps in regular contact with many of our students. He has given precious career advice to UF students who have subsequently matriculated to law schools across the country. Many of these alumni have since graduated from distinguished schools including Cornell, UF, Howard, Georgetown and Duke Law. UF Alumni continue to credit John Due with inspiring them to pursue meaningful legal careers. Our visit with Mr. Due in July, 2018 on our way to the Delta was especially memorable. As Juliette Barbera, a UF graduate student leader recalled, "In what was surely ninety-degree weather, Attorney Due stood in front of the crowd in a suit, with a poster, and a milkcrate of books, ready to engage us in a lecture....I am honored to have had the opportunity to be taught and introduced to the Florida Civil Rights Movement by Attorney Due...I hope future generations of students and Floridians will be able to continue to learn from Attorney Due."

Midway through his 80th decade, Attorney Due continues to defer retirement in favor of the pursuit of justice. He now frequently lectures to classes and students in person as well as via social media. Mr. Due has taken his ideas of restorative justice to Quincy, Florida and has been working with Gadsden County sheriff Morris Young

to implement a new model of policing in the Panhandle. While some would argue that the current political climate in Florida does not favor reform, John Due takes the long view. He witnessed the worst of what Mississippi and the Jim Crow South dealt to African Americans and he fought with all of his talents and energies to bring an end to terrible injustices. Against the idea that civil rights struggles are things of the past, John Due concluded his 2014 *CNN* essay by taking the side of the oppressed—as he always has done:

But I have hope and faith that local law enforcement officials like Sheriff Morris Young, who is responsible for Gadsden County in the predominantly black town of Quincy, Florida will continue to set an example by viewing residents—even those who are at risk or have committed offenses—as people and not just statistics that feed the dependent criminal justice system. This commitment to restorative justice will help prevent crime, facilitated the re-entry of wrongdoers when they get in trouble, and strengthen our communities.

So, on my 80th birthday, I will wear a prison uniform—so as to tell my family and friends that we are all prisoners—but with hope and faith that we can all break free.

In closing, I believe that Attorney John Dorsey Due is deserving of the degree of Doctor of Humane Letters at the University of Florida. Furthermore, I believe that the bestowing of this degree on John Due at this crisis moment in the history of human rights worldwide will send a clear statement that the University of Florida stands for equal justice for all.

Please do not hesitate to contact me if you have questions about this letter. I remain,

Sincerely Yours,

Paul Ortiz

Professor of History,

University Term Professor (2019-2022)
Affiliated Faculty: Latin American Studies,
African American Studies, Women's Studies
& Bob Graham Center for Public Service

Par Onto

Past President, Oral History Association (2014-15 term)

Winner of the 2018 PEN Oakland/Josephine Miles Award for Literary Excellence, for: An African American and Latinx History of the United States

Winner of the Harry T. and Harriette V. Moore Book Award, for: Emancipation Betrayed: The Hidden History of Black Organizing and White Violence in Florida from Reconstruction to the Bloody Election of 1920

Recipient of the Southern Regional Council's Lillian Smith Book Award, for: Remembering Jim Crow: African Americans Tell About Life in the Segregated South



103 Walker Hall PO Box 118120 Gainesville, FL 32611-8120 352-273-3060 352-294-0007 Fax

March 28, 2019

The Honorary Degrees and Distinguished Awards Committee University of Florida Faculty Senate University of Florida Gainesville, Florida 32611

To the Members of the Committee:

It is my honor and pleasure to endorse the nomination of Attorney John Dorsey Due Jr. for the honorary degree of Doctor of Humane Letters at the University of Florida. Both Mr. Due and his wife the late Patricia Stephens Due, were civil rights activists in Florida for several decades. Both made the state of Florida a better place for everyone because of their protests against injustices and the dedicated commitments to equality for all people. In this letter, I would like to discuss the efforts of John Due because his activism made it possible for people like me to achieve the things I have achieved in life.

Mr. Due was born in Indiana, but moved to Florida during the early 1960s to attend Florida Agricultural and Mechanical University (FAMU) in Tallahassee. After earning a law degree, he worked as an attorney in Mississippi during the 1964 Freedom Summer campaign. There are two significant facts I want to mention about his service there. First, it was unheard of for a black man to be an attorney in Mississippi – a state which was once described as a "police-state" because of the horrific treatment of African Americans. Most African Americans were denied educational opportunities there. Those that received an education usually attended substandard schools. Second, Mr. Due was an attorney that fought for civil rights in Mississippi during a time that an individual could be killed for even the slightest civil rights activities.

His contributions in Florida were as, or more, significant as his contributions in other states. He has argued numerous federal civil rights cases that have addressed a number of civil rights issues. In our state, he has also been a community organizer who has protested on behalf of community policing, sanitation workers, the impoverished, Haitian refugees, and union workers. In addition, he has been a legal advocate for welfare rights, educational equality, immigrant rights, prisoner's rights, public school desegregation, and several other issues. For all of these reasons, I enthusiastically endorse his nomination. Please contact me at polssdw@ufl.edu or (352) 273-3060.

Sincerely,

Dr. Sharon D. Austin

Director of the African American Studies Program

Professor of Political Science

Dr. Staven austin

The University of Florida



Florida Agricultural and Mechanical University

Tallahassee, Florida 32307-4800

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College of Social Sciences, Arts and Humanities Department of History, Political Science / Public Administration, Geography and African-American Studies

January 19, 2018

The Honorary Degrees and Distinguished Awards Committee University of Florida Faculty Senate University of Florida Gainesville, Florida 32611

Dear Committee Members:

I strongly recommend that you consider awarding Attorney John Dorsey Due a Doctor of Humane Letters Degree from the University of Florida.

I have known Attorney John D. Due for many years. During that time, I have grown to know him quite well. At an early age, he became a drum major for justice. Because of his civil rights activities over many years, John has received the Chancey Eskridge Distinguished Barrister Award from the 2004 Annual Convention of the Southern Christian Leadership Conference, the 2004 Martin Luther King "Keepers Of The Dream Award" from the City of Miami and Miami-Dade County, the "Foot Soldiers Award" from the 2003 national convention of the NAACP, and a special "Lifetime of Fighting for Social Justice Award- A Living Legacy of the Civil Rights Movement" from the 2003 national convention of Jobs with Justice. These aforementioned awards are indicative of his dedication to social justice and equality.

Attorney Due has put his life on the line to make life better for those who had little or no voice to express themselves. Born in Terre Haute, Indiana in 1934, John experienced both segregation and discrimination in that town. At a young age, he decided to become an attorney to help fight for social justice. Over a fifty (50) year period, John helped litigate, Due v. Tallahassee Theaters (1963), Florida v. Hayling (1963), Mississippi v. Due (1964), Schwerner v City of Meridian Mississippi (1964), Andrew Young v. Farris Bryant (1964), Waller v. State of Florida (1966), and the 1969 Miami-Dade County school desegregation case Pate v. Dade County Public Schools, just to name a few. Through Attorney Due's civil rights activities, many people received their day in court.

The most remarkable characteristic of John Due is his steadfast position when confronting racism and discrimination. Some people, during his time, called him stubborn or uncompromising. But, when it came to injustice, John strongly believed in doing the "right thing" for those in need.

Moreover, John has become a trusted guide, mentor, and friend to many students at the University of Florida (UF), and to the Samuel Proctor Oral History Program. He has spent many hours with UF students as they traveled to conduct oral history interviews in Mississippi. He shared also his experiences with them about his days taking voting rights depositions in Natchez, Mississippi in 1963. John continues to share his precious time with the Samuel Proctor Oral History Center interviewing and organizing workshops and general rap-sessions with high school and college students around the state of Florida.

Attorney John Due's body of work has centered entirely on social justice. In a society split along cultural, racial, ethnic, religious, and gender lines, we need more foot soldiers like John who can bring people of divergent views together for the common good.

Given his life of sacrifice to causes greater than his own, and his life-long dedication to justice and equality for all human beings, I highly recommend that Attorney John Dorsey Due be given the utmost consideration for an Honorary Doctor of Humane Letters Degree from the University of Florida.

Sincerely,

Larry E. Rivers

Distinguished Professor of History

From the desk of John R. Nelson, Sr.

Post Office Box 478 Monticello, Florida 32345
Telephone #: (850) 241-2745
Email Address: nelsonsrjohn@aol.com



November 15, 2018

To Whom It May Concern:

This letter is written in support and on behalf of Attorney John D. Due, Jr.'s consideration of an Honorary Doctorate Degree from University of Florida.

I have known Attorney Due for many years. I first observed him as a teenager attending civil rights community rallies at Memorial Missionary Baptist Church in the early 1960s. He came to Monticello and, while residing with Memorial's member Dressie Sloan, provided legal guidance to Elder George Siplin, Jr. (Jefferson County NAACP President) and Gracie Gallon, community organizer and civil rights activist. He jointly worked with Attorneys Spivey Gordon (Alabama) and Kent Spriggs (Tallahassee, Florida), spoke at rallies and advised Jefferson County black farmers relating to agriculture legal issues.

I didn't reunite with Attorney Due until latter 1992. After Hurricane Andrew's disaster to Dade County Southwest Richmond Heights (RH) community, the Department of Insurance (DI) sent me to Miami to work in a Disaster Assistance Center (DAC) at RH. As Chief Property Insurance Adjuster for DI's Division of Risk Management, Attorney Due and I reviewed RH citizens property insurance policies from companies that had gone out of business in light of Hurricane Andrew. Our primary job was to advise citizens how to file property insurance claims through DI's Disaster Relief Fund for consideration to recover payment for Hurricane Andrew property damage, specifically those citizens who insurance companies were no longer in business. He and I worked long hours daily in DAC and handled hundreds of claims. During our work as a county and state team, we often conversed about his civil rights days in Monticello - Jefferson County. Subsequently, I briefed him regarding my service as Jefferson County Branch NAACP President, 1986 through 1992 and successful legal cases filed by the Branch.

Ironically, I joined former NAACP President Elder George Siplin, Jr.'s Church (Saints Tabernacle Church of God in Unity) after discharged from the U. S. Army in 1971. Later, I served as the church Chairman Deacon (currently serving as an Ordained Chairman Deacon with over 40 plus years' experience). I was elected first vice President of county NAACP 1984 and elevated to Branch President (1986) to serve out remaining term of the elected president. Jefferson County citizens elected me Branch President in 1988 and I served in the position until 1992.

I recently reconnect with Attorney John Due in Tallahassee, 2017. We met doing an oral interview session with The Samuel Proctor Oral History Program (SPOHP). SPOHP had interview me earlier in Monticello regarding my history as NAACP President, Fire Chief, State Deputy Fire Marshal, State Chief Property Insurance Adjuster and Board of County Commissioners Chairman.

I can attest that Attorney John D. Due, Jr. is a life-long civil rights legal scholar and has dedicated his service to humanity throughout lifetime.

With best regards, I remain

John R. Nelson, Sr (electronic signature)

John R. Nelson, Sr.
past President, Jefferson County Branch NAACP, 1986 – 92
past Fire Chief, City of Monticello – Jefferson County Fire Department, 1975 – 77
past Chief Deputy State Fire Marshal, 1977 – 92
past Chief Property Insurance Adjuster, Florida Division of Risk Management, 1992 - 2010
past District 2 Commissioner - Jefferson County Board of Commissioners, 2010 – 14
past Chairman, Jefferson County Board of Commissioners, 2013 – 14

JRN/jrn

Bradford E. Brown PhD 11266 SW 166 Terrace Miami, Florida 33157 Tel. 305 336 5104 Jabaribrad@aol.com

Feb. 6, 2018

To. The Honorary Degrees and Distinguished Awards Committee University of Florida Faculty Senate University of Florida Gainesville, Florida 32611

Subject: Recommendation for Honorary Doctorate for Civil Rights Attorney John Due

I arrived in Miami January 1984 leaving my family in Massachusetts to finish the school year while I began my new position as Deputy Director of the National Oceanic and Atmospheric Administration's Southeast Fisheries Science Center. The very first person I met outside of the laboratory was John Due. Although I had met some of my coworkers before, I knew no one else in Miami. I had done my due diligence within the NAACP and the US Civil Rights Commission as well as with Attorney Frank Jones who had been President Carter's point person in Miami after it had exploded following the failure to convict police after the brutal killing of a Black motorcyclist and insurance salesman. So my first phone call was to Attorney Due as he was affectionately known in the Black communities of Miami Dade County. He came out to the small room where I was staying and we talked most of the night and began a friendship that has lasted until this day. Later after my wife arrived we became family friends with John, his wife Pat and their girls. The youngest one used to babysit for us. Until John left Miami we worked closely together in the NAACP. For over a decade I was active on the Community Relations Board and served a term as chair when John was a senior staff person. Since John left Miami I have seen him at state and National NAACP meetings, funerals and his 80th birthday party. We keep in touch via e-mail, facebook and phone calls. Although I did not know John in the 1960s when he continually put his life and career on the line, as an activist during that period I am well aware of the situations he faced. What distinguished John from many of the others who risked their lives for freedom was that he was able to combine his personal courage with his intellectual abilities to always see the larger picture. His work in Mississippi where he gathered information to empower the US Civil Rights Commission with the information they needed to have an impact is an achievement recognized in the literature.

For a good number of years John wrote a regular column in the Miami Times, Miami Dade's weekly Black newspaper, known as the largest Black newspaper in the south. There he demonstrate the skills of a public intellectual: His skill as a county employee in getting the Community Relations Board to instruct him to look into exactly the situation he wanted to address was amazing and kept him out of serious trouble with the local authorities for when his work stepped on toes, he would have the cover of doing as his Board instructed!

When the original lawyers on the major Miami Dade County school desegregation suit were no longer available, John took over as unpaid attorney for the plaintiffs. He regularly spoke before the School Board always pushing the boundaries of what was a very limited decision and he was in court opposing unitary status even though a losing battle. I believe (and I sat through those hearings) that his efforts were what made the

Judge extract a promise from the School System to continue to address disparities and to keep the format of an advisory committee similar to that which advised the Judge on equity issues.

In Miami's low income Black community Attorney Due was the only lawyer many people knew and he held their affection because of his humanity. When the county downsized the Community Relations Board and it look liked he might be pushed out, the community uprising was immense, from the all areas and segments they rallied behind Attorney Due. The mayor felt he had to come to the community meeting held to support John to say there was never any intent to leave Attorney Due without a job and one was created as a community advocate and liaison for the Community Action Agency where he worked until he retired.

John received many awards throughout his career but I was happy to have a part in getting him one of them, The NAACP recognizes nationally at its annual convention lawyers who have over time made a real difference through work with local Branches and at the State level. When I was Branch President I worked with the Director of the NAACP's Legal Department to see that John received that well deserved recognition. John was and is a prodigious reader and is always analyzing individual and community action within the context of what it means to be Black in America and indeed the world and working to see how local work can be part of a bigger effort involving the psychology of the wider context. To this day he works with the Sheriff of Gadson County on restorative justice, he speaks to numerous groups giving not only history but also philosophy and Afrofuturism. He is active on email and facebook. He has continued with the NAACP on local, state and national levels.

I am pleased to support efforts to grant him an honorary document especially since his personal courage and sacrifice is combined with the intellectual acuity of a professorial Sincerely, Shadful a Braue
Bradford E. Brown PhD scholar and teacher.



102 Martin Luther King Ave. St. Augustine, FL 32084

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Ronnie Watson

February 9, 2018

The Honorary Degrees and Distinguished Awards Committee University of Florida Faculty Senate University of Florida Gainesville, Florida 32611

If anyone's life works deserves an honorary doctorate, it is John Due's. He has spent more than six decades of his life advocating for civil justice and defending the civil rights of those who have protested and marched for the equal treatment of African Americans from the 1960s to this present day.

He was among the last graduates of the Florida A & M Law school before it closed and in 1993 he became an advocate for its reopening. While a student there he became a codefendant in the U.S. Supreme Court case for the Freedom Riders Movement to integrate interstate transportation. The court ruled that no state could interfere with the freedom of speech of protesters. Due passed the bar and went on to argue civil rights cases himself.

His late wife, Patricia Stevens Due, was a leader of the Tallahassee lunch counter sit ins in the 1960s. Together they raised a family, all the while still serving communities to make equal justice the norm in local and national government.

As a young civil right attorney, he defended protesters who were arrested in St. Augustine in the 1963-64 civil rights demonstrations. He collaborated with Dr Robert B. Hayling who was the leader in the St. Augustine demonstrations that brought Dr Martin Luther King, Jr. to the streets of St. Augustine leading to his 1964 arrest there. The fingerprint cards of that arrest are archived and displayed at the Lincolnville Museum.

Mr. Due's work with the Samuel H. Proctor Oral History Program of which the LMCC has partnered to collect St. Augustine and Lincolnville histories, exhibits his continued and unselfish willingness to share his wealth of first-hand knowledge of the fight for equality in American. His interactions with youth shows his continued commitment to be an instrument of change for a better future.

His and his late wife's works have propelled them into the national scene of Civil Rights workers, but it is the wealth of the work they have done within the state of Florida that warrants recognition by your prestigious institution.

On behalf of the Lincolnville Museum and Cultural Center, I strongly endorse the nomination of Attorney Due for an honorary doctorate degree. His impact in this community, state and beyond is still being realized through his works and writings.

Thank you for your consideration of bestowing this honor to Mr Due.

Floyd Phillips, President



Department of History Department Chair

P.O. Box 117362 Gainesville, FL 32611 352-273-3383 edale@ufl.edu

October 31, 2019

Honorary Degrees and Distinguished Awards Committee University of Florida Faculty Senate University of Florida Gainesville, Florida 32611

Re: Honorary Degree for John Due, Esq.

Dear members of the committee,

I write in support of the nomination of John Due, Esq. for an honorary degree. As chair of the Department of History, I write on behalf of my colleagues in the department. I consulted the department's elected advisory committee and they unanimously supported this nomination. One colleague, whose scholarly focus is not on the United States, captured that committee's sentiments when she noted "Not having heard of Mr. Due until now, I am impressed with how much he has contributed to our society and wholeheartedly support his nomination for an honorary degree."

As that suggests, our support is primarily motived by our recognition of the significant contributions to society that Mr. Due made during his lengthy career as a civil rights attorney. But I also want to note that Mr. Due has made significant contributions to the University of Florida. Along with his late wife and daughter, Mr. Due has come to UF speak to our students on several occasions. I have used a taped interview of Mr. and Mrs. Due, preserved in the Samuel Proctor Oral History Program archives, in my own classes to help my students understand the struggle for civil rights in Florida and the nation. Other colleagues have done the same.

Mr. Due has done a great deal to make our world a better and more just place. For that reason, the Department of History believes he deserves to be awarded an Honorary Doctorate of Humane Letters by the University of Florida.

Professor and Chair, Department of History



Call for Nominations

Nominator's Name: Lynn Tomaszewski	!
Department: School of Art + Art History	
Address: FAC 101B, UF	
Phone: 352-294-1372	_Fax:
Email: Itomaszewski(@)arts.utl.edu	
Signature of Nominator: General	
nominations at its next meeting in October. should be mailed to the committee in care of Stepl October 1. 1. General Information:	d Distinguished Awards Committee will consider This form and a complete nomination package hanie McBride, Provost's Office, PO Box 113175 by
Nominec's Name: Marilyn Minter	
Affiliation: Alumni and Donor	104
Business Address: c/o Maia Gianakos Sa	
Home Address: 12 E 94th St, New York	
	essFax:
Email: maia@salon94.com	· · · · · · · · · · · · · · · · · · ·
Nominated for (PLEASE CHECK ONE):	
Distinguished Alumna/Alumnus	Because the University of Florida is a public university and part of the State University System, the university's policy is that elected or appointed officials of the State of
Distinguished Achievement	Florida or the Federal Government to whom the university is directly or indirectly answerable are ineligible for honorary degrees and distinguished awards. Current
Distinguished Service	faculty members, officers, an employees of the university are also ineligible
Honorary Degree : (please check one)	
Doctor of Arts	Doctor of Music
Doctor of Commerce	Doctor of Pedagogy
Doctor of Divinity	Doctor of Pharmacy
Doctor of Education	Doctor of Public Administration
Doctor of Fine Arts	Doctor of Public Service
Doctor of Humane Letters	Doctor of Science
Doctor of Laws	Doctor of Technology
Doctor of Letters	
Description Please write a brief description of this individual's	intellectual and professional achievements and attributes
and attach it to this form.	
3. Vita or Resume	
Please attach the nominee's vitae, resume or a biog	graphical description.
4. Supporting letters or materials	
A. Three or more letters of support (typically a n	nixture of internal and external letters) that will help the

on others. B. Letter of support from the college dean that will host the award recipient

the committee understand the significance of this nominee's work, his/her contributions, and his/her impact



College of the Arts
School of Art and Art History

101 Fine Arts Building C PO Box 115801 Gainesville, FL 32611-5801 352-392-0201 352-392-8453 Fax

September 30, 2019

Dear Members of the Committee on Honorary Degrees:

It is with great pleasure that I formally nominate Marilyn Minter for an Honorary Doctorate in Fine Arts from the University of Florida. Ms. Minter's remarkable achievements in art as a painter, videographer and photographer place her at the pinnacle of the field of contemporary art. In addition, Ms. Minter's role in popular culture and her activism have widespread and lasting impact. As a native of Florida and an alumna of the School of Art + Art History, Ms. Minter made work while at the University of Florida that informed her career for years to come. As a successful working artist, Ms. Minter has welcomed our students into her New York studio for years to share her work, firsthand knowledge of the artworld and serve as a role model for young and ambitious artists.

Marilyn Minter is regarded as among the most relevant and influential artists of her generation. Minter is known as an artist that consistently pushed the boundaries and challenged the maledominated art world to insert her unique voice into the still-challenged space of women's bodies. Marilyn Minter has staged solo exhibitions at numerous institutions in the United States and abroad, including the San Francisco Museum of Modern Art; the Contemporary Arts Center, Cincinnati; La Conservera, Centro de Arte Contemporáneo, Murcia, Spain; the Museum of Contemporary Art in Cleveland; and the Deichtorhallen, Hamburg, and Lehmann Maupin in Hong Kong. She is the recipient of many of the artworld's most prestigious awards including Louis Comfort Tiffany Grant, 2006, Guggenheim Fellowship, 1998, National Endowment for the Arts. Artist's Fellowship Grant, 1989. Her work is in the permanent collections of major art museums internationally including, Perez Museum of Art, Miami, FL, The Guggenheim Museum, New York, NY, San Francisco Museum of Modern Art, San Francisco, CA, Denver Art Museum, Denver, CO, Kunsthaus Museum, Zurich, Switzerland, Moderna Museet, Stockholm, Sweden, Museum of Fine Arts, Boston, MA, Museum of Modern Art, New York, NY, Museum of Contemporary Art, Los Angeles, CA, Whitney Museum of American Art, New York, NY. In addition to her artworld success, Minter's work has garnered acclaim in popular culture as well. Collected by Madonna, Minter has worked collaboratively with Miley Cyrus and created a portrait for the New York Times Magazine of Lady Gaga. This success in both the fine art world and popular culture makes her a rare visual artist and one we are proud to claim as a member of our community.

Minter's time in Florida and growing up in the south still courses through her work – begun as a form of rebellion against the racism and patriarchy she faced in the south, the photographs Ms. Minter's made while in Gainesville are still considered formative for her mature work and are as resonant today as they were when they were made. Often going against the trends in contemporary

The Foundation for The Gator Nation



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art by working in the style of photo realism and choosing controversial subject matter, Minter's work provokes and expands the dialog around how women could and should represent images of women's bodies. Minter's persistence, strength and resolve embody the spirit of the Gator Nation: follow your own path, go against the grain, when met with resistance-keep going. The eminent art critic, Roberta Smith, describes Minter's work in a 2016 review:

"Ms. Minter paints sheets of glass between her subject and us. Sometimes the glass is cracked, dripping with steam or liquid or scrawled with graffiti. These layers further complicate the reading of the images while visually punning on painting. The glass becomes a sarcastic evocation of the cherished "picture plane" of formalist abstraction, while the watery drips refer to the painting process of an artist whose technique is all but invisible. It is as if we were seeing how advertisements fare out in the city, vulnerable to taggers. Welcome to the real world, they say. Don't let it stop you."

Such ideals are in line with those of the School of Art + Art History, the College of the Arts, and indeed, the University of Florida in general. We are a diverse community of creative, engaged citizens and learners. Ms. Minter embodies these values through her work, achievements and actions. In this packet the committee will also find a letter from College of the Arts Dean, Onye Ozuzu, as well as internal letters of support from Professor Richard Heipp, Associate Professors Julia Morrisroe and Michelle Tillander and Assistant Professor Anthea Behm and an external letter of support from one of the leading contemporary art curators in the US, Dan Cameron, Contemporary art curator and arts administrator, currently the artistic director of Open Spaces, Kansas City and former Chief Curator of the Orange County Museum of Art. As Dr. Tillander said of Minter, "I have loved Minter's artwork for many years especially as a woman photographer who was able, like so many women, to move outside of the closed system of galleries and museums to share her work. This [Honorary Doctorate] would be a ... great full circle honor for Minter."

I urge the committee's careful consideration of the nomination of Marilyn Minter for an Honorary Doctorate in Fine Arts. Her spectacular and enduring achievements in art and considerable generosity in mentoring UF students are deeply aligned with the stated University of Florida Honorary Degree protocol of "...sustained achievements of lasting significance and value."

Sincerely,

Gompard.

Lynn Tomaszewski, MFA

Director and Professor of Practice

University of Florida School of Art + Art History

The Foundation for The Gator Nation



College of the Arts |

PO Box 115800

Gainesville, FL 32611

Telephone: (352) 392-0207 Fax: (352) 392-3802

www.arts.ufl.edu

September 30, 2019

Dear Members of the Committee on Honorary Degrees:

I write to formally nominate Marilyn Minter for an Honorary Doctorate degree in Fine Arts from the University of Florida. Vetting has taken place among the faculty, and she fully meets the criteria of eminent or high distinction for sustained achievements of lasting significance and value.

In this packet you will find nomination letters from Lynn Tomasewski, Director of the School of Art and Art History, Richard Heipp, Faculty and former school director, Julia Morrisroe, Associate Professor, Michelle Tillander, Associate Professor and Anthea Behm, Assistant Professor. Their letters and expert perspectives will detail the particular achievements of this UF alumna, and eminently successful painter and photographer. Since her years in Gainesville and the seminal work that she made here, Ms. Minter has been producing an insistently lush and complex vision of the feminine. She has been ahead of her time in an unapologetic traversing of the worlds of high art, popular culture, and social activism. Her work is included in the collections of prestigious of art museums internationally as well as in the works of pop icons like Madonna, Miley Cyrus and Lady Gaga.

My colleagues will outline in detail the import of Ms. Minter's body of work. Of particular note, I believe, is the way that her work has resurged in this era of re-assessing the progress that our culture has or has not made in the arena of gender equity, representation, and engagement with female sexuality. In Ms. Minter we have an alumna whose body of work could stand on its own as worthy of the Honorary degree simply for its legacy and we also have an artist whose work is proving to be particularly relevant right now and making an impact on emerging conditions in our culture as we speak.

Ms. Minter has remained connected to the Gator nation and participates in the education of our students by hosting them in her studios in New York City. There she introduces them to the complex worlds of Art practice and profession, and offers that powerful experience of being in the presence of someone that started where they are now, and have achieved at the highest levels.

Ms. Minter is an artist who embodies the values of the University of Florida and the College of the Arts. I strongly support Marylin Minter's nomination for an Honorary Doctorate in Fine Art. Please contact us with any questions.

Best.

Onye P. Ozuzu Professor of Dance

Dean



College of the Arts
School of Art + Art History

101 Fine Arts C PO Box 115801 Gainesville, FL 32611-5801 352-392-0201 352-392-8453 Fax

Lynn Tomaszewski Director School of Art and Art History

September 19, 2019

Dear Lynn,

This letter is in enthusiastic support of awarding Marilyn Minter an honorary PhD from the College of the Arts. Marilyn is without question our most "famous" and internationally respected visual art alumni. She is a highly influential painter, feminist and activist. She received her BFA from UF in1970 when our art program was dominated by male faculty. While in school here at UF she created a series of, at the time shockingly revealing, black and white photographs of her mother, smoking, grooming herself in a mirror and at home in her nightgown. This group of photographs would be the inspiration and starting point for her life's work. They were included in Minter's recent retrospective exhibition titled *Pretty/Dirty*.

I have led a group of our students to New York city for over 35 years. Marilyn has generously opened her studio and met with our students on four different occasions during this annual School of Art and Art History student trip. She provided our students with valuable fist hand knowledge of the inner workings of the high-power New York art world as well as insights into the highs and lows of living the life of a successful, outspoken feminist artist.

Minter is best known for her photorealist paintings, but she also creates provocative videos and photographs. Her paintings are simply amazing. They are monumental, beautifully crafted (with a technique developed by Minter) detailed, sensual paintings that explore the complex and contradictory concepts surrounding beauty and the feminine body. Her work presents a point of view that is both critical and celebratory commenting on the power of desire. She successfully navigates this fissure with seductively beautiful, yet disturbing imagery. In addition, her work questions the fashion industry's commercialization of sex and the female body.

Minter has been the subject of many solo exhibitions including institutions such as the San Francisco Museum of Modern Art, La Conservera, Centro de Arte Contemporáneo, Ceutí/Murcia, Spain, the Museum of Contemporary Art, Cleveland, and the Deichtorhallen in Hamburg, Germany. Minter's work has been included in countless group exhibitions in museums all over the world. In 2006, she was included in the Whitney Biennial, and in collaboration with Creative Time she installed billboards all over Chelsea in New York City. In 2015, Minter's retrospective *Pretty/Dirty* opened at the Contemporary Arts Museum, Houston, TX. *Pretty/Dirty* and then traveled to Museum of Contemporary Art

Denver. The exhibition continued on to the Orange County Museum of Art and the Brooklyn Museum of Art. The retrospective spanned more than four decades. But it should be noted that the exhibition begins with the artist's earliest artworks, from 1969 while a student at UF, featuring the rarely exhibited photographs of her mother.

Minters work is included in many of the most prestigious museums across the globe including: the Perez Museum of Art, Miami. FL, The Guggenheim Museum, New York, NY, Moderna Museet, Stockholm, Sweden, Museum of Fine Arts, Boston, MA, Museum of Modern Art, New York, NY, Museum of Contemporary Art, Los Angeles, CA, Kunsthaus Museum, Zurich, Switzerland, MIT List Center, Boston, MA, and the Whitney Museum of American Art, New York, NY.

She has been the recipient of many awards including a Louis Comfort Tiffany Grant, a National Endowment for the Arts, Artist's Fellowship as well as a Guggenheim Fellowship.

In addition to her many contributions to the art world, Marylin is also a social activist. She views her activism as part of her art practice. She has been honored by Planned Parenthood for her reproductive rights advocacy, raising millions of dollars for the organization. Recently, she took part in the Women's March, and has done work with Swing Left, the Halt Action Group and the political action group Downtown for Democracy.

I am sure that you will agree, Marilyn Minter is an outstanding, highly qualified and deserving candidate of an honorary PhD from the College of the Arts. If you have any questions, please do not hesitate to contact me.

Sincerely,

Richard Heipp Professor



College of Fine ArtsSchool of Art and Art History

PO Box 115801 Gainesville, FL 32611-5801 352-392-0201 352-392-8453 Fax

September 29, 2019

Dear Members of the Committee on Honorary Degrees:

I am writing to support the nomination of Marilyn Minter for an Honorary Doctorate in Fine Arts from the University of Florida. Marilyn Minter has a sustained career of eminent achievement in the arts and is an internationally renowned artist working in painting, photography and video. Minter's overwhelmingly lush and seductive photorealist paintings have been exhibited in the 2016 Whitney Biennial, NY and exhibitions of her work have been presented prestigious venues such as the Brooklyn Museum, NY, Museum of Contemporary Art, San Diego and Museum of Contemporary Art, Cleveland just to name a few. Her videos, which also feature provocative and intimate images of female independence have been screened at the Museum of Modern Art, NY and in Times Square.

As the co-leader of the School of Art + Art History's Annual New York Art Trip I've taken many groups of students to Marilyn's studio over the years. It is in this environment that Marilyn's continued impact on our students is made visible. She is a pragmatic hard-working artist who runs a studio with two locations and over ten employees who work on everything from paintings, videos, photographic shoots, digital production, philanthropic projects, finance and public relations. Marilyn walks our students through the complexity of her studio business and career, making what is frequently invisible visible to our students. She generously shares her experiences and hard won wisdom and on a trip where students visit four or five artist studios, Marilyn's is always the most educational and impactful.

As an artist Marilyn Minter has fearlessly forged a new path for painting and as an alumna of UF she contributes annually to our mission of educating the next generation of artists. I'm grateful for the time and care she extends to our students. This Honorary Doctorate is an appropriate recognition of her outstanding achievements and sustained relationship with the School of Art + Art History.

Sincerely,

Associate Professor of Painting and Drawing

University Term Professor



College of the Arts
School of Art and Art History

PO Box 115801 Gainesville, Florida 32611-5801 352-392-9977 352-392-8453 Fax

September 30, 2019

Dear Members of the Committee on Honorary Degrees:

It is an honor to nominate Marilyn Minter for an Honorary Doctorate in Fine Arts from the University of Florida. Minter's remarkable successes in art are exceptional, both as a painter, videographer, and photographer, all of which have established her as a presence in contemporary art for the past four decades. Minter moved from Shreveport, Louisiana, to Miami Beach in the early 1950s—the height of the Jim Crow era. In her formative years, she witnessed prevalent racism and segregation, often getting into trouble for confronting her teacher's prejudice.

At age 17, Minter enrolled at the University of Florida majoring in art, first studying drawing and eventually taking an interest in photography. She received a Bachelor of Arts degree in 1970 from the University of Florida.

Minter's artwork throughout her career has focused on identity politics through a sophisticated engagement with surrealism. Throughout her life, she has remained committed to undoing oppressive norms, a critique that, arguably, extends into gender and sexuality issues.

Early on, Marilyn Minter's goal in her artwork was to reinvigorate the tropes of fashion photography through fine art. The juxtaposition of in-your-face beauty with down-and-dirty reality ultimately became her focus. Milja Ficpatrik argues that the "lush paintings, photographs, and videos, created by Marilyn Minter, vividly manifest our culture's complex and sometimes contradictory emotions around the feminine body and beauty." *Blue Poles* (2007), one of Minter's more notable paintings, portrays a beautiful face, and at the same time, reveals flaws—a pimple, the freckles, and the errant eyebrow hairs.

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Her work is in the permanent collections of major international art museums, including: the Perez Museum of Art (Miami); the Solomon R. Guggenheim Museum (New York); the San Francisco Museum of Modern Art; the Denver Art Museum; the Kunsthaus Museum (Zurich); the Moderna Museet (Stockholm); the Museum of Fine Arts (Boston); the Museum of Modern Art (New York); the Museum of Contemporary Art (Los Angeles); and the Whitney Museum of American Art (New York).

Marilyn Minter has staged solo exhibitions at numerous institutions in the United States and abroad, including: the San Francisco Museum of Modern Art; the Contemporary Arts Center (Cincinnati); La Conservera Centro de Arte Contemporáneo (Murcia, Spain); the Museum of Contemporary Art (Cleveland); the Deichtorhallen (Hamburg); and the Lehmann Maupin (Hong Kong). She is the recipient of many of the art world's most prestigious awards, including: the Louis Comfort Tiffany Grant (2006); the Guggenheim Fellowship (1998); the National Endowment for the Arts Artist's Fellowship Grant (1989). Ms. Minter's success in both the fine art world, popular culture, and social causes, such as the fight against racism and oppressive norms, as well as in support of woman's rights, makes her a unique visual artist and one whom we are honored to claim as a member of the Gator Nation.

Marilyn Minter has been pushing boundaries both as a renowned artist and a justice-minded activist for decades. Most recently, she teamed up with curator and art historian

Andrianna Campbell to create Anger Management, a pop-up store at the Brooklyn Museum containing artist-designed, resistance-themed clothing and objects.

Minter was featured in a 2019 Flamingo Magazine Arts & Culture issue in which she spoke about her activism, most recently with the Women's March, Swing Left, Down Town Democracy, and The Halt Action Group. This latter organization is a coalition of artists, curators,

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writers, psychoanalysts, and activists, who have pooled together their creative forces to stage various political actions in New York City.

In the past, Minter has stated that she does not like the South. In a 2018 interview with Oliver Giles for *Indonesia Tatler*, she stated, "Millennials make me hopeful. They are the most justice-minded of any generation. I mean, look at the kids who survived the Parkland shooting. They're amazing." Minter relates to the Parkland activists who are outspoken about school safety. This is reminiscent of her experiences in elementary and high school where she spoke against injustice.

I appeal to the committee for careful consideration of the nomination of Marilyn Minter for an Honorary Doctorate in Fine Arts. Minter's remarkable and lasting achievements in art and considerable generosity in mentoring UF students are strongly aligned with the stated University of Florida Honorary Degree protocol, whose award declares: "In recognition of eminent achievement in scholarship which exemplifies the purposes and ideals" of the University of Florida.

Sincerely,

Dr. Michelle Tillander, Associate Professor, Art Education

School of Art and Art History, University of Florida

PO Box 115801, Gainesville, FL 32611-5801

Office Phone: 352.392.9977 Fax: 352.392.8453, mtilland@ufl.edu

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September 30, 2019

Dear Members of the Committee on Honorary Degrees:

It is my great pleasure to write in support of Marilyn Minter's nomination for an Honorary Doctorate in Fine Arts from the University of Florida. Minter has been a feminist trailblazer in the field of visual arts for over four decades. In one of her major photographic series, for example, she juxtaposes glossy visual language appropriated from beauty advertisements with the realities of physical imperfections, thus exposing the exploitation and commodification of women's bodies. In addition to her distinguished career as an artist, she is a leading figure in the fight for equal rights for women and is deeply involved with supporting and fund raising for organizations committed to social justice. Given the exceptional quality of her artwork, research, and social engagement, I recommend Marilyn Minter's nomination for an Honorary Doctorate in the highest terms.

Included in this packet is evidence of Minter's extraordinary achievements and the enduring significance and value she has contributed to her field, through her extensive portfolio of artworks, her high-profile exhibitions, distinguished awards, and political activism. What might be less evident perhaps is the profound and transformative effect she has had not only on her own generation but the generations that followed, particularly on women and minority artists. Minter entered a field dominated by patriarchal systems of value and expression, and although the struggle is ongoing, she was at the forefront of opening up spaces of access in gallery and museum contexts, as well as breaking down misogynist discourses within academia and other institutions. Countless artists, myself included, have benefited from her work combining art and social justice. Without the effects of the feminist content of Minter's work, and also her achievement of a sustaining her significant art practice, the field would look very different today.

It is for these reasons that Minter has my highest recommendation and impassioned support. As we mark the centennial anniversary of women's constitutional right to vote, awarding Marilyn Minter an Honorary Doctorate in Fine Arts from the University of Florida this coming year would be an honor that is entirely ours. Should you need any further help in your decision making process, please do not hesitate to contact me.

Sincerely,

Anthea Behm

as heel -

Assistant Professor, Creative Photography

School of Art and Art History

The Foundation for The Gator Nation

October 1, 2019

Dear Professor Tomaszewski,

I would like to take this opportunity to lend my full and enthusiastic support to the nomination of the artist Marilyn Minter for an Honorary Doctorate. Although not a member of the University of Florida community, I've been part of the downtown New York art community since the early 1980s, and I'm proud to say that Ms. Minter is one of the most accomplished artists from that period who is still practicing, and at the height of her artistic talents, thirty years later.

Like many artists of her generation, Minter quickly narrowed in on media treatment of women as her subject matter, and there was a long period of time during which her paintings generated a deeply critical edge that reflected the darker side of misogyny in American popular culture. I developed a comfortable working relationship with her during this period, when she also become a strong supporter of the New Museum, where I served as Senior Curator from 1995 to 2006.

During the 1990 s and early 2000s, Minter underwent a gradual but no less surprising transformation. Whereas she once saw the media exploitation of women as a sort of existential threat, she became fascinated with how women can achieve empowerment though the articulation of desire, and much of her work for the past 15 years has reflected this new focus of her attention. Her later video collaboration with Madonna reveals the extent of her commitment to this vision of personal agency through desire.

I began writing about Marilyn Minter's work in the mid-1980's for the long-defunct East Village Eye, and I have stayed an ardent fan ever since. Most recently, in my capacity as Chief Curator at Orange County Museum of Art in California, I decided to present the traveling retrospective of Minter's work that had been organized by CAM Houston, and it opened there to great acclaim in late 2015.

Knowing Marilyn personally, I'm also aware that her years in Florida were very important to her growth as an artist, and she has reflected that connection in different bodies of work over the years. I can think of few things that would be as meaningful to her as being honored in this way by her alma mater.

Thank you for your consideration in this matter, and I hope you can count on me for any questions or commentary on Ms. Minter's tremendous achievements.

Sincerely yours,

Dan Cameron



MARILYN MINTER

Bom 1948 Shreveport, LA Lives and works in New York, NY

EDUCATION

1972 MFA, Painting, Syracuse University, Syracuse, NY 1970 BFA, University of Florida, Gainesville, FL

SOLO EXHIBITIONS

2019	Marilyn Minter, Simon Lee Gallery, London, United Kingdom
2018	Marilyn Minter, Lehmann Maupin, Hong Kong, China Marilyn Minter. Smash + New Photographs, Baldwin Gallery, Aspen, CO Channel 3, Ratio 3, San Francisco, CA Marilyn Minter, Regen Projects, Los Angeles, CA
2017	Marilyn Minter: Pretty/Dirty, Brooklyn Museum, Brooklyn, NY
2016	Marilyn Minter, Salon 94 Bowery, New York, NY Marilyn Minter. Prettyl/Dirty, Orange County Museum of Art, Newport Beach, CA
2015	I'm not much but I'm all that I think about, Contemporary Art Museum, St. Louis, MO Marilyn Minter. Pretty/Dirty, Museum of Contemporary Art, Denver, CO Marilyn Minter. Pretty/Dirty, Contemporary Arts Museum, Houston, TX
2013	Marilyn Minter, Regen Projects, Los Angeles, CA
2011	Marilyn Minter, Salon 94 Bowery, New York, NY Marilyn Minter, Deichtorhallen, Hamburg, Germany Paintings From the 80's, Team Gallery, New York NY
2010	7th Annual Alt Picture Show, Museum of Contemporary Art, San Diego, CA Orange Crush, Museum of Contemporary Art, Cleveland, OH Green Pink Caviar, Museum of Modern Art, New York, NY
2009	Marilyn Minter, Regen Projects, Los Angeles, CA La Conservera, Centro de Arte Contemporáneo, Ceutí/Murcia, Spain Chewing Color, Center for Contemporary Art, Cincinnati, OH Green Pink Caviar, Salon 94 Freemans, New York, NY
2008	The Pam Show, Andrehn-Schiptjenko Gallery, Stockholm, Sweden
2006	Marilyn Minter, Salon 94 Gallery, New York, NY Marilyn Minter, Gavlak Projects, Palm Beach, FL Baldwin Gallery, Aspen, CO Circuit, Lausanne, Switzerland
2005	San Francisco Museum of Modern Art, San Francisco, CA Voges and Partner, Frankfurt, Germany
2004	Baldwin Gallery, Aspen, CO Andrehn-Schiptjenko Gallery, Stockholm, Sweden
2003	Fredericks Freiser Gallery, New York, NY



2001	Thaddeus Ropac Gallery, Paris, France
2000	Andrehn-Schiptjenko Gallery, Stockholm XL Xavier La Boulbenne, New York, NY
1998	Galleri Index, Stockholm, Sweden XL Xavier La Boulbenne, New York, NY
1997	XL Xavier La Boulbenne, New York, NY
1995	Max Protetch Gallery, New York, NY Tri Art Gallery, Los Angeles, CA Postmasters Gallery, New York, NY
1992	Max Protetch Gallery, New York, NY John C. Stoller & Co., Minneapolis, MN
1991	Greenberg Gallery, Saint Louis, MO Meyers/Bloom Gallery, Santa Monica, CA
1990	Max Protetch Gallery, New York, NY Simon Watson Gallery, New York, NY
1989	Nicola Jacobs Gallery, London, England
1988	White Columns, New York, NY
1986	Gracie Mansion Gallery, New York, NY (in collaboration with Christoff Kohlhoffer)
1984	Gracie Mansion Gallery, New York, NY (in collaboration with Christoff Kohlhoffer)
1975	Everson Museum, Syracuse, NY

Ruby City Inaugural Exhibitions, San Antonio, TX (forthcoming)

SELECTED GROUP EXHIBITIONS

2019

	Feast & Famine, Paul Robeson Galleries, Rutgers University, Rutgers, NJ Like Sugar, The Frances Young Tang Teaching Museum and Art Gallery at Skidmore College, Saratoga Springs, NY
2018	COLOUR FORM TEXTURE, Louisiana Museum of Modern Art Open Ended: Painting and Sculpture Since 1900, San Francisco Museum of Modern Art, San Francisco, CA Food Sex Art The Starving Artists' Cookbook, Ryan Lee Gallery, New York, NY
2017	Really?, Wilding Cran Gallery, Los Angeles, CA

Sex Work: Feminist Art & Radical Politics, Frieze London, Regent's Park, London, UK Socially Engaged, Ernest G. Welch School of Art & Design Gallery, Georgia State University, Atlanta, GA Female\Feminist/2017, Chauncey Stillman Gallery at Lyme Academy College of Fine Arts, Old Lyme, CT CUNT, Venus, Los Angeles, CA

MIDTOWN, Lever House, New York, NY

What I Loved: Selected Works from the '90s, Regen Projects, Los Angeles, CA

2016 Jeffrey Deitch and Larry Gagosian Present 'Desire' Curated by Diana Widmaier Picasso, Miami, FL Wall to Wall: Carpets by Artists, MoCA Cleveland, Cleveland, OH

Coming to Power. 25 Years of Sexually X-Plicit Art By Women, Maccarone Gallery, New York,

For Freedoms, Jack Shainman Gallery, New York, NY I Prefer Life, Museumsfreunde Weserburg, Bremen, Germany

Tightrope Walk: Painted Images After Abstraction, curated by Barry Schwabsky, White Cube Bermondsey, London, UK



No Man's Land: Women Artists from the Rubell Family Collection, Rubell Family Collection Contemporary Arts Foundation, Miami, FL
Ten Year Anniversary, Gavlak Gallery, Los Angeles, CA
Mistakes Were Made, Kyiv Biennial, Kyiv, Ukraine
Enchanted Space, organized by Barbara London, Fridman Gallery, New York, NY
Pretty Raw: After and Around Helen Frankenthaler, Rose Art Museum, Waltham, MA

2014 Queensize: Female Artists from the Olbricht Collection, Berlin, Germany

Killer Heels: The Art of the High Heeled Shoe, Brooklyn Museum, Brooklyn, NY

Duro Olowu: More Material, Salon 94 Bowery, New York, NY

Look At Me: Portraiture From Manet To The Present, Leila Heller Gallery, New York, NY

She Was a Film Star Before She Was My Mother, Dorsky Curatorial Programs,

Long Island City, NY

Bash 1, Kleinert/James Gallery, Woodstock, NY

NYC Makers Open, Museum of Arts and Design, New York, NY

Gola, Art and Science of Taste, Palazzo d'Accursio in Piazza Maggiore, Bologna Italy

Gola, Art and Science of Taste, La Triennale, Milan Italy

Pace Gems: Selections from the Linda Pace Foundation Permanent Collection, The

Linda Pace Founation Gallery, San Antonio, TX Bad Conscience, Metro Pictures, New York, NY

Surface, Stephen D. Paine Gallery, Massachusetts College of Art and Design, Boston, MA

2013 Desire, Yancey Richardson Gallery, New York, NY

Skin Trade, PPOW, New York, NY

The Cat Show, White Columns, New York, NY Privacy, Schim Kunsthalle, Frankfurt, Germany Riotous Baroque, Guggenheim Museum, Bilbao, Spain

Flow, Just Flow: Variations on a Theme, University of Richmond Museums, Richmond, VA

2012 We the People, Robert Rauschenberg Foundation Project Space, New York, NY

Riotous Baroque, Kunsthaus Zurich, Switzerland Group Show, Regen Projects, Los Angeles, CA

Girl Talk, CAM Raleigh, NC

Pink Caviar, Louisiana Museum, Humlaebek, Denmark

2011 Memories of the Future: The Olbricht Collection, La Maison Rouge, Paris, France

Commercial Break, Garage Center for Contemporary Culture and POST, Venice Biennial, Italy

2010 Collection in Context: Lynda Benglis, John Chamberlain, Andy Warhol, and Marilyn Minter.

The Baltimore Museum of Art

Passion Fruits, Selection from the Thomas Olbricht Collection, Me Collectors Room, Berlin

7th Annual Alternative Picture Show, Museum of Contemporary Art, San Diego, CA The Anniversary Show, San Francisco Museum of Modern Art, San Francisco, CA

Desire, Blanton Museum of Art, Austin, TX

Don Juan in the Village, curated by Bjarne Melgaard, Lars Bohman Gallery,

Stockholm, Sweden

Sweat, curated by Fabienne Stephan and Marilyn Minter, Patricia Low, Gstaad, Switzerland

Think Pink, curated by Beth Rudin DeWoody, Gavlak Projects, Palm Beach, FL

Talent Show, curated by Robert Melee, The Kitchen, New York, NY

2009 The Audio Show, Friedrich Petzel Gallery, New York, NY

Pink Panther, curated by Amy Smith-Stewart, Kumukumu Gallery, New York, NY The Female Gaze: Women Look At Women, Cheim & Read, New York, NY

"200 Artworks – 25 Years" Artists' Editions for Parkett, 21st Century Museum of

Contemporary Art, Kanazawa, Japan

Pretty Is And Pretty Does, SITE Santa Fe, Santa Fe, NM

Objects of Value, Miami Art Museum, Miami, FL

The Glamour Project, Lehmann Maupin Gallery, New York, NY

The Palace at 4 a.m., Gana Art Gallery, New York, NY For Your Eyes Only, De Markten, Brussels, Belgium

2008 Darkside, Fotomuseum, Winterthur, Switzerland

Focus: The Figure, Denver Art Museum, Denver, CO

Agency: Art and Advertising, McDonough Museum of Art, Youngstown State University, Youngstown, OH

Expenditure, Busan Biennale, Busan, Korea

Whatever's Whatever Hydra School Projects, Hydra, Greece

Sweat, Marilyn Minter + Mika Rottenberg, Galerie Laurent Godin, Paris

2007 Foam of the Daze, Amy Smith Stewart, New York, NY

Sex in the City, Dumbo Art Center, Brooklyn, NY Sparkle Then Fade, Tacoma Art Museum, Tacoma, WA

Rencontres de la Photographie, Arles, France

RocknRoll, Norrköpings Museum, Norrköpings, Sweden

Dangerous Beauty, Curated by Manon Slome, Chelsea Art Museum, New York, NY

Dangerous Beauty, Naples Art Museum, Italy

2006 Whitney Biennial, New York, NY

Nightmares of Summer, Marvelli Gallery, New York, NY The Other Side, Tony Shafrazi Gallery, New York, NY Flaming June, Spanierman Modern, New York, NY

2005 Gorgeous, Hangar Bicocca, Milan, Italy

Paper, Patricia Faure Gallery, Santa Monica, CA

Bounds of Love, John Connelly Presents, New York, NY Tete a Tete, Greenberg van Doren Gallery, New York, NY The Super Fly Effect, Jersey City Museum, Jersey City, NJ

Suddenly Older, Clifford Gallery, Colgate University, Hamilton, NY

2004 Black & White, Greenberg Van Doren Gallery, New York, NY

AmFaR Portfolio, Regen Projects, Los Angeles, CA

Skin Deep, Union Station, Toronto, Canada (traveling to The Netherlands and Portugal)

2003 Cross Currents at Century's End: Selections from the Neuberger Berman Art Collections, Henry Art Gallery,

Seattle, WA

amFaR Portfolio curated by Jack Pierson, Cheim and Read, New York, NY Jessica Stockholder Show, Gorney Bravin & Lee Gallery, New York, NY

4 Walls, 8 Views, Arena Gallery, New York, NY

2002 Shimmering Substance, curated by Barry Schwabsky and Catsou Roberts, Arnolfini, Bristol, England, and

Cornerhouse, Manchester, England Reactions, Exit Art, New York, NY

2001 Summer Group, Sandroni.Rey, Venice, CA

2000 Uncomfortable Beauty, Group show, Jack Tilton / Anna Kustera, New York, NY

Issue: Group show, curated by Jack Pierson, Thaddaeus Ropac Gallery, Paris, France

2000 anos luz, Galeria Leyendecker, Santa Cruz Tenerife, Canary Islands

Mommy Dearest, Gimpel Fils, London, England

Go Figure, Newhouse Center for Contemporary Arts, New York, NY

1999 Lounge, Exit Art, New York, NY

Millennium Calendar, Bard College, Annandale on Hudson, NY Paintings Pictures, Beaver College Art Gallery, Beaver, PA

1998 cloth-bound, Laure Genillard Gallery, London, England

Photolmage, Museum of Fine Arts, Boston, MA (traveling to Des Moines Art Center, Des Moines, IA)

Elbowroom, Third Link, Stockholm, Sweden

Bathroom, curated by Wayne Koestenbaum, Thomas Healy Gallery, New York, NY

1997 Alive & Well, Elizabeth Harris Gallery, New York, NY

Sex/Industry, curated by John Yau, Stephan Stux Gallery, New York, NY

1996 Thinking Print: Books to Billboard 1980-1995, The Museum of Modern Art, New York, NY

Making Pictures: Women and Photography, Nicole Klagsburn Gallery, New York, NY Mona Hatoum, Lovett/Codagnone, Marilyn Minter, XL Xavier La Boulbenne, New York, NY

PHOTOgraphism (in painting), Pratt Manhattan Gallery, New York, NY What I did on my summer vacation, White Columns, New York, NY

The Most Important Thing in the World: Oasis vs. Blur and Artists Who rock, curated by Bill Aming, The Art Exchange Show.

New York, NY

1995 Pittura Immedia: Malerei in der 90er Jahren, curated by Peter Weibel, Neue Galerie am Landesmuseum

Joanneum und Kunstlerhaus, Graz, Austria

Smells Like Vinyl, Roger Merians Gallery, New York, NY The Joy of Painting, Here Gallery, New York, NY

1994 The Place of Art, Sprengel Museum, Hanover, Germany

De-Pop, curated by Sandra Antelo-Suarez, Cummings Art Center, Connecticut College, New London, CT

Drama, Max Protetch Gallery, New York, NY The Long Weekend, Trial Balloon, New York, NY

Promotional Copy, 100 Food Porn Video, The Kitchen, New York, NY

Sex, Adam Baumgold Fine Art, New York, NY
The Rag Trade, The Inter Art Center, New York, NY

1993 Promotional Copy, 100 Food Porn Video, DIA Center for the Arts, New York, NY

The Return of the Cadavre Exquis, The Drawing Center, New York, NY (traveling to The Corcoran Gallery of Art, Washington, DC; Santa Monica Museum of Fine Art, Santa Monica, CA; Forum for Contemporary Art, St.

Louis, MO; American Art Center, Paris, France)

Coming to Power, David Zwimer Gallery, New York, NY Regarding Masculinity, Arthur Rogers Gallery, New Orleans, LA

Body Count, White Columns, New York, NY Tri-Sexual, TRI Gallery, Los Angeles, CA

1992 Effected Desire, The Carnegie Museum of Art, Pittsburgh, PA

Slow Art, P.S.I., Long Island City, NY

Works-Concepts-Processes-Situations-Informations, Galerie 1900-2000, Paris, France

1991 Ho Hum All Ye Faithful, John Post Lee Gallery, New York, NY

New generation: New York, Part III, curated by Bob Nickas Carnegie Mellon Art Gallery, Pittsburgh, PA

1990 Stendahl Syndrome: The Cure, Andrea Rosen Gallery, New York, NY

The Clinic, Simon Watson Gallery, New York, NY Making Pictures, Fiction/Nonfiction Gallery, New York, NY

1989 Ten Years Anniversary Show, Nicola Jacobs Gallery, London, England

Max Protetch: 20 years, Max Protetch Gallery, New York, NY

Repetition, Hirschl-Adler Modern, New York, NY

AWARDS AND GRANTS

Louis Comfort Tiffany Grant, 2006 Guggenheim Fellowship, 1998

New York Foundation for the Arts, Visual Arts Grant, 1992 National Endowment for the Arts, Artist's Fellowship Grant, 1989

New York Foundation for the Arts, Artist's Grant, 1988

PUBLIC COLLECTIONS

Perez Museum of Art, Miami, FL

The Guggenheim Museum, New York, NY Baltimore Museum of Art, Baltimore, MD



San Francisco Museum of Modern Art, San Francisco, CA Maison Europeenne de la Photographie, Paris, France Collection Claudine et Jean-Marc Salomon, château d'Arenthon, Alex, France Neuberger Berman, New York, NY Chase Manhattan Bank, New York, NY Deutsche Bank, New York, NY Denver Art Museum, Denver, CO Everson Museum, Syracuse, NY Kunsthaus Museum, Zurich, Switzerland Moderna Museet, Stockholm, Sweden Museum of Fine Arts, Boston, MA Museum of Modern Art, New York, NY Museum of Contemporary Art, Los Angeles, CA Syracuse University, Syracuse, NY Museum of Contemporary Art, San Diego, CA MIT List Center, Boston, MA Whitney Museum of American Art, New York, NY Blanton Museum of Fine Arts, Austin, TX

SELECTED BIBLIOGRAPHY

2018

Lopatko, Katya, "Marilyn Minter Talks Fantasies, Social Media and Being Cunty," *The Art Gorgeous*, August 23 Giles, Oliver, "10 Hong Kong Exhibitions to See in August," *Hong Kong Tatler*, August I Dellas, Mary, "Marilyn Minter's New Works Will Show in Los Angeles," *New York Magazine: The Cut*, May 15 Feitelberg, Rosemary, "Marilyn Minter Kicks Off Video Art Project in the Oculus," *WWD*, January 10

2017

Orne, Kate, "Marilyn Minter: Owning Her Power," *Upstate Diary*, Issue Four Wasylko, Nadya, "Interview: Marilyn Minter, The Art World's Punk Spirit at Frieze London," *The Times*, October 8

Manatakis, Lexi, "Sex positive radical art and feminism in the 70s-80s," *Dazed*, October 3 Jordan, Elizabeth, "Marilyn Minter: Art, Activisim, Glamour, and Sex," *Whitewall*, May 25

Dimitrov, Alex, "An Artist and A Poet Consider Loneliness," *The New York Times Style Magazine*, March 9 Wortham, Jenna, "Marilyn Minter Finds Art in the Female Form," *The New York Times Magazine*, February 15 Pilar, Viladas, "Inside Marilyn Minter's Colorful, Irrepressible, Art-Filled Hideaway in the Woods," *W Magazine*, February 9

Kastner, Jeffrey, Artforum, January

2016

Kennedy, Randy, "Sensuality: Dirt, Pimples and All," *The New York Times*, October 30 Benor, Dayla, "Why Marilyn Minter is More Relevant Now Than Ever Before," *Dazed*, October 28 Barton, Dave, "Marilyn Minter: Pretty Dirty' at OCMA Nails the Artist's Pathology of Beauty", *OC Weekly*, May 25

Goldman, Edward, "Empty and Disgusting? Doubly Wrong," The Huffington Post, May 24

Garcia, Laia, "The Lenny Interview: Marilyn Minter," Lenny, May 13

Davies, Madeleine, "Marilyn Minter: Women Have to Be Dead or Practically Dead to Achieve the Success of Male Artists," *Jezebel*, April 15

Cascone, Sarah, "Check Out These Steamy New Portraits of Miley Cyrus by Marilyn Minter," artnet news, April 15

Martinez, Alanna, "Marilyn Minter Paints Miley Cyrus, Tate Modern Vows to Show More women-And More," Observer, April 15

Knight, Christopher, "Marilyn Minter's 'Pretty/Dirty' show allures and repulses all at the same time," The Los Angeles Times, April 23

Small, Rachel, "Object D'Art: Don't Fuck with Us," Interview, April 15

Krentcil, Faran, "Miley, Marc, and Artist Marilyn Minter Team Up for Planned Parenthood," *Elle*, April 14 Ghorashi, Hannah, "Between Us, We Can Do Anything!": Marilyn Minter Debuts Miley Cyrus Portrait for Planned Parenthood," *ARTNews*, April 14

Gotthardt, Alexxa, "What Marilyn Minter's Photo of Miley Cyrus Can Do for Reproductive Rights," Artsy, April 14

Boessenkool, Antonie, "Once-controversial artist Marilyn Minter is the subject of a career retrospective at the O.C. Museum of Art", *The Orange County Register*, April 5



Ghorashi, Hannah, "I Want Women to Look Like They Can't Get Throw Away': Marilyn Minter on her Retrospective, 'Pretty/Dirty'", *ARTnews*, February 4 Ward, Kate, "Marilyn Minter on Why It's Time for the Next Generation of Activists to Take Charge." *PAPER Magazine*, Winter

2015 Gianni Jetzer, "Marilyn Minter", Kaleidoscope, December

Rogers, Kate, "Brush and Palette: Marilyn Minter's erotic 'Pretty/Dirty", *Clarion*, October 24 Johnson, Paddy, "Marilyn Minter is the Windowlicker of Representation", *Art F City*, October 23 Paglia, Michael, "Review: Don't Miss Marilyn Minter: Pretty/Dirty at MCA Denver", Westword, October 14 Rinaldi, Ray Mark, "MCA: Marilyn Minter's Beastly Take On Our Beautiful World", *The Denver Post*, September 27

Messinger, Kate, "Marilyn Minter and Betty Tompkins on Censorship, Art, and Being Embraced by Millennials",

The Creators Project, September 24

Sehgal, Parul, "Marilyn Minter: Pretty/Dirty", The New York Times, June 28

Fialho, Alex, Artforum, April 17

Guiducci, Mark, "Marilyn Minter on her First Major Retrospective", Vogue, April 15

Meredith Mendelsohn, "Marilyn Minter's Seductive Paintings and Photographs Collected in First Major

Retrospective", Architectural Digest, March 31

2014 Stern, Steven, "Seen in the Studio: Marilyn Minter", New York Magazine, November 26

Miller, Steve, "Marilyn Minter, Glamourpuss", Muse, June

2013 "Marilyn Minter, Planet Gorgeous", Purple Spring

"Marilyn Minter", Art Review, Issue 67, April
"Gritty Glamour", The Daily Beast, March 25
"The Right Dose", The New York Times, February 24

Strick, Charlotte, "Women by Women", The Paris Review Daily, January 9

2012 Minter, Marilyn, "The Art of Education," Modem Painters, April

2011 New Yorker, November 21

Trice, Emilie, "The Road to Harburg," The Paris Review Daily, May 12

Duhon, Peter, "Post-Career Rehab, Marilyn Minter's Seedy Side Shows," New York Observer, April 26

Harris, Jane, "Interview: Marilyn Minter," Time Out New York, March 29

2010 Trebay, Guy, "The Crew Behind a One-Woman Show," The New York Times, June 3

2009 Darakjian, Gareen, "Marilyn Minter's Pleasure Principle," Soma Magazine vol. 23.6, September 9

Johnson, Ken, "Green Pink Caviar," *The New York Times, May 8* Carlin, T.J., "Studio Visit: Marilyn Minter," *Time Out New York, April 2*

"Pregnant," Vogue, March

2008 Austin, Tom, "A MAM Exhibition Offers Plenty of Value Judgments" The Miami Herald, December 7

Halle, Howard, "A Matter of Time" Time Out New York, cover, 18-9, July 24

"Precious art" British Vogue, May

2007 Metro NY, "Marilyn Minter's Art Keeps Fashion Real," October 23

Colman, David, "Marilyn Minter," Elle Décor, October

Parkett magazine with Albert Oehlen and John Kessler Published image of Dollface. Haru Kunzru. "Magda

Mandela," The New Yorker, August 6

Minter, Marilyn, "Notes on Design: Sweat," All-Story, Summer

Lipsky-Karasz, "Pretty Ugly," WWD, May

Dupuis, Isabelle, "Marilyn Minter," Flosh Art, March/April

Schwabsky, Barry, "Beauty and Desecration," Art in America, March

2006 Sjostrom, Jan, "Artist at the Top of her World," Palm Beach Daily News, December 18

Lipsky-Karasz. Elisa, "Top Model," W Magazine, January

Kino, Carol, "In the Studio: Marilyn Minter," Art and Auction, November Bellini, Andrea, "Whitney Biennal: Day for Night," Flash Art, May/June

Daderko, Dean, "Whitney Biennial Breaks its Rules," Downtown Express, Volume 18, Issue 5, May 5-11

Yablonsky, Linda, "Slides and Prejudice," ARTnews, April



Webster, Peter, "Art Insider," New York Home March-April Eleey, Peter, "The 2006 Whitney Biennale," Frieze Issue 100 Esplund, Lance, "Canned Rebellion," The New York Sun, March 2 Kino, Carol, "Donating Work for Charity Has a Downside for Artists," The New York Times, May 28 Lowenstein, Kate, "Mud Honey," Time Out New York, March 2-8 MacAdam, Barbara, "Artists up Close: Whitney Biennial Cover Artist Marilyn Minter," March 7

McCormick, Carlo, "Fashion Crisis," Paper, March

Clark, Luke (with Jeannie Ralston and Andy Young), "Show and Tell," Travel and Leisure, March Plagens, Peter, 'The Art of the Deal," Newsweek, March 6

Saltz, Jerry, "Biennial in Babylon," The Village Voice, March I Scott, Andrea K, "Exhibition A-list," Time Out New York, February 23-March 1

Vogel, Carol, "Big and Bold," The New York Times, March 3

2005 Ballentine, Sandra, "Beauty and the Artiste," The New York Times Style Magazine, Spring

Glen, Helfand, "Vogue on Steroids," Bay Area Reporter, May 5

Kerr, Hilary, "Must See/Hear," Elle, April

Young, Lisa Jay, "Excess All Areas," Art Review, April

Mays Powell, Harriet "Fashion & Art," New York Magazine, February 14

Vogel, Carol, "This Whitney Biennial Will Take in the World," The New York Times, November 30

2004 Indiana, Gary, "One Brief, Scuzzy Moment," New York Magazine, December

Remy, Patrick, "Eight Women," French Vogue, March

Yablonsky, Linda, "How Far Can You Go?" Art News, January

2003 Amy, Michael, "Gallery Going," The New York Sun, October 9

Burton, Johanna, "New York Critics' Picks," Artforum, October

Neuman, Barry, "Marilyn Minter: New Paintings and Photographs," Boiler, October

Oneacre, Alison, "Hallowed Walls," Women's Wear Daily, February 3 Smith, Roberta, "Art in Review," The New York Times. September 26

2002 Christensen, Anne, "Editors Choice," New York Times Magazine, November 17

Jones, Kristen, "Sensual Crevice," (Photographed by Marilyn Minter) Versace Magazine Saltz, Jerry, "Mommie Queerest," Village Voice, September 25

Yablonsky, Linda, "Marilyn Minter," Issue #6 Fall Magazine

2001 Boulbes, Carole, Issue group show, review, and "Art Press"

Ollman, Leah, "Art Reviews," Los Angeles Times, August 24

2000 "Assignment" Issue #4, Curated by Jack Pierson, September

Brodow, Anna, "Review," Svenska Dagbladet, March 25 Bydler, Charlotte, "Shiny Enamel," Aftonbladet, March Cohen, Michael, "New Feminist Art," Flash Art, Summer Cotter, Holland, "Art in Review," New York Times, May 5

Ericsson, Lars O, "Moist Fleshy Cakes," Dagens Nyheter, March 18 Johnson, Ken, "Art in Review," New York Times, December 29 Kent, Sarah, "Critics Choice," Time Out, July 11-17

Lind, Ingela, "Review," Dagens Nyheter, March 24-30

Madestrand, Bo, "Dirty Mind," Merge #9 Wachtmeister, Marika, "Glamour," Feming, June

Wilsher, Mark, "Mommy Dearest," What's On in London, July 5

1998 Allerholm, Milou, "Review," Pa Stan, April 17

Allerholm, Milou, "Review," Dagens Nyheter, April 17

Hellberg, Susanna, "Exhibition in the Third Track's Tunnel," Dagens Nyheter, July 21

Johnson, Ken, "Art in Review," New York Times, November 13

Najafi, Sina, "Marilyn Minter," Material

Ripley, Deborah, "Postcoital Painting," Art Net, December 9

Stahre, Ulrika, "Pictures from the Sensual Zone," Goteborgs Posten, July 31

Watson, Simon, "Simon Says," Village Voice, October 7



1997	Arning, Bill, "Review," <i>Time Out NY</i> , June 5-12 Mumford, Steve, "Review," May "Review," <i>The New Yorker</i> , May 26 Schwabsky, Barry, "Review" <i>Artforum</i> , September Watson, Simon, "Review," <i>Simon Says</i> , April
1996	Colman, David, "Q/A: Hard Core Culture," <i>Artforum</i> , February Hainley, Bruce, "Solitary Refinement," <i>Artforum</i> , January
1995	Carmel, Pepe, "Art in Review," New York Times, January 13 Cotter, Holland, "Art in Review," New York Times, Sept. 22 Greene David, "Critics' Choice," Los Angeles Reader, July 13 "Marilyn Minter," Frieze, September Halle, Howard, "Critics' Picks," Time Out New York, September 27 – October 24 Hirsch, Faye, "Review," Art in America, May Koestenbaum, Wayne, "Eye Openers," The New Yorker, August 21 & 28 "Art of the Fugue," Artforum, December Levin, Kim, "Voice Choices," The Village Voice, September 22 Newhall, Edith, "Not Pop With A Capital P, Please," New York Magazine, January 23 Pagel, David, "Arts Reviews," Los Angeles Times, June 29
1994	Lennox, Lillian, "Vulvamorphia," Lusitania #6, September
1993	Avgikos, Jan, "All that Heaven Allows," <i>Flash Art</i> , Summer Edelman, Robert, "Review," <i>Art Press</i> , September Frank, Peter, "Video Pick of the Week," <i>L.A. Weekly</i> , July Pagel, David, "Nature of Desire," <i>Los Angeles Times</i> , April 1





Living and working in New York city



https://www.marilynmin ter.net/

Marilyn Minter

Biography

She born in 1948 in Shreveport, Louisiana, went on to receive her BA from the University of Florida at Gainesville in 1970 and an MFA from Syracuse University in 1972.

Minter is a contemporary American painter, photographer and sculptor artist whose personal brand of photorealist painting examines contemporary notions of beauty. She has utilized her skills in both photography and painting to capture banal scenes featuring nudity, food and fashion. Minter first found artistic popularity in the early 1990s and has been featured in a number of major solo and group exhibitions, her works are held in museum collections. Also, she got awards and grants.

In addition to her artistic profession, Minter has obtained recognition for her political activism. She serves on the art committee for Downtown for Democracy, a political action group whose aim is to transform cultural influence into political power. Most recently, she teamed up with curator and art historian Andrianna Campbell to create *Anger Management*, a pop up store at the Brooklyn Museum that featured artist-designed, resistance-themed clothing and objects.

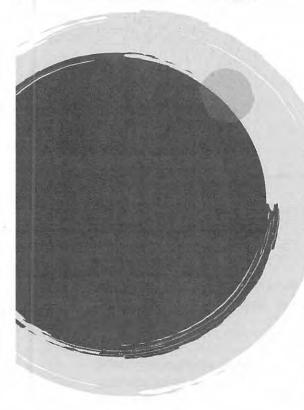
Solo exhibitions

2019 Marilyn Minter, Simon Lee Gallery, London, United Kingdom

2018 Marilyn Minter, Lehmann Maupin, Hong Kong, China

Marilyn Minter: Smash + New Photographs, Baldwin Gallery,

Aspen, CO Channel 3, Ratio 3, San Francisco, CA



Marilyn Minter, Regen Projects, Los Angeles, CA

2017 Marilyn Minter: Pretty/Dirty, Brooklyn Museum, Brooklyn, NY

2016 Marilyn Minter, Salon 94 Bowery, New York, NY

Marilyn Minter: Pretty/Dirty, Orange County Museum of Art,

Newport Beach, CA

2015 I'm not much but I'm all that I think about, Contemporary

Art Museum, St. Louis, MO

Marilyn Minter: Pretty/Dirty, Museum of Contemporary Art,

Denver, CO

Marilyn Minter: Pretty/Dirty, Contemporary Arts Museum,

Houston, TX

2013 Regen Projects, Los Angeles, CA

2011 Marilyn Minter, Salon 94 Bowery, New York, NY

Marilyn Minter, Deichtorhallen, Hamburg, Germany

Paintings From the 80's, Team Gallery, New York NY

2010 7th Annual Alt Picture Show, Museum of Contemporary

Art, San Diego, CA

Orange Crush, Museum of Contemporary Art, Cleveland, OH

Green Pink Caviar, Museum of Modern Art, New York, NY

2009 Regen Projects, Los Angeles, CA

La Conservera, Centro de Arte Contemporáneo, Ceutí/Murcia,

Spain

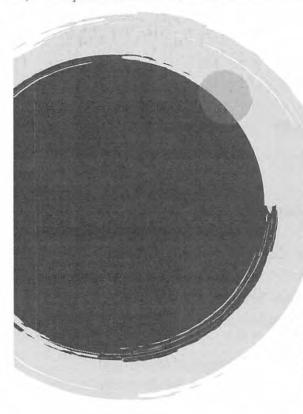
Chewing Color, Center for Contemporary Art, Cincinnati, OH

Green Pink Caviar, Salon 94 Freemans, New York, NY

2008 Andrehn-Schiptjenko Gallery, Stockholm, Sweden

2006 Salon 94 Gallery, New York, NY

Gavlak Projects, Palm Beach, FL



Baldwin Gallery, Aspen, CO

Circuit, Lausanne, Switzerland

2005 San Francisco Museum of Modern Art, San Francisco, CA

Voges and Partner, Frankfurt, Germany

2004 Baldwin Gallery, Aspen, CO

Andrehn-Schiptjenko Gallery, Stockholm, Sweden

2003 Fredericks Freiser Gallery, New York, NY

2001 Thaddeus Ropac Gallery, Paris, France

2000 Andrehn-Schiptjenko Gallery, Stockholm

XL Xavier La Boulbenne, New York, NY

1998 Galleri Index, Stockholm, Sweden

XL Xavier La Boulbenne, New York, NY

1997 XL Xavier La Boulbenne, New York, NY

1995 Max Protetch Gallery, New York, NY

Tri Art Gallery, Los Angeles, CA

Postmasters Gallery, New York, NY

1992 Max Protetch Gallery, New York, NY

John C. Stoller & Co., Minneapolis, MN

1991 Greenberg Gallery, Saint Louis, MO

Meyers/Bloom Gallery, Santa Monica, CA

1990 Max Protetch Gallery, New York, NY

Simon Watson Gallery, New York, NY

1989 Nicola Jacobs Gallery, London, England

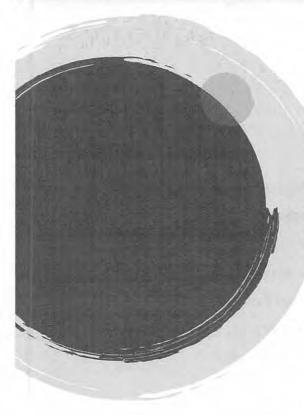
1988 White Columns, New York, NY

1986 Gracie Mansion Gallery, New York, NY (in collaboration

with Christoff Kohlhoffer)

1984 Gracie Mansion Gallery, New York, NY (in collaboration

with Christoff Kohlhoffer)



1975 Everson Museum, Syracuse, NY

Selected group exhibitions

2019 Ruby City Inaugural Exhibitions, San Antonio, TX (forthcoming)

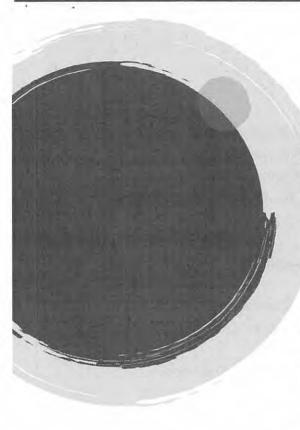
Feast & Famine, Paul Robeson Galleries, Rutgers University, Rutgers, NJ

Like Sugar, The Frances Young Tang Teaching Museum and Art Gallery at Skidmore College, Saratoga Springs, NY

2018 COLOUR FORM TEXTURE, Louisiana Museum of Modern Art Open Ended: Painting and Sculpture Since 1900, San Francisco Museum of Modern Art, San Francisco, CA Food Sex Art The Starving Artists' Cookbook, Ryan Lee Gallery, New York, NY

2017 Really?, Wilding Cran Gallery, Los Angeles, CA
Sex Work: Feminist Art & Radical Politics, Frieze London, Regent's
Park, London, UK
Socially Engaged, Ernest G. Welch School of Art & Design Gallery,
Georgia State University, Atlanta, GA
Female\Feminist/2017, Chauncey Stillman Gallery at Lyme
Academy College of Fine Arts, Old Lyme, CT
CUNT, Venus, Los Angeles, CA
MIDTOWN, Lever House, New York, NY
What I Loved: Selected Works from the '90s, Regen Projects, Los
Angeles, CA

2016 Jeffrey Deitch and Larry Gagosian Present 'Desire' Curated by Diana Widmaier Picasso, Miami, FL Wall to Wall: Carpets by Artists, MoCA Cleveland, Cleveland, OH Coming to Power: 25 Years of Sexually X-Plicit Art By Women, Maccarone Gallery, New York, For Freedoms, Jack Shainman Gallery, New York, NY I Prefer Life, Museumsfreunde Weserburg, Bremen, Germany



2015 Tightrope Walk: Painted Images After Abstraction, curated by Barry Schwabsky, White Cube Bermondsey, London, UK No Man's Land: Women Artists from the Rubell Family Collection, Rubell Family Collection Contemporary Arts Foundation, Miami, FL

Ten Year Anniversary, Gavlak Gallery, Los Angeles, CA Mistakes Were Made, Kyiv Biennial, Kyiv, Ukraine Enchanted Space, organized by Barbara London, Fridman Gallery, New York, NY

Pretty Raw: After and Around Helen Frankenthaler, Rose Art Museum, Waltham, MA

2014 Queensize: Female Artists from the Olbricht Collection, Berlin, Germany

Killer Heels: The Art of the High Heeled Shoe, Brooklyn Museum, Brooklyn, NY

Duro Olowu: More Material, Salon 94 Bowery, New York, NY Look At Me: Portraiture From Manet To The Present, Leila Heller Gallery, New York, NY

She Was a Film Star Before She Was My Mother, Dorsky Curatorial Programs, Long Island City, NY

Bash 1, Kleinert/James Gallery, Woodstock, NY

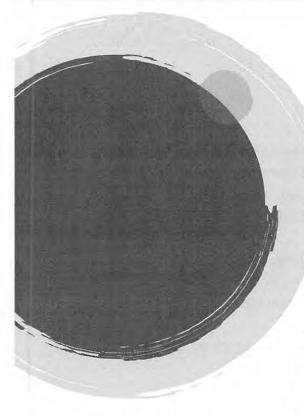
NYC Makers Open, Museum of Arts and Design, New York, NY Gola, Art and Science of Taste, Palazzo d'Accursio in Piazza Maggiore, Bologna Italy

Gola, Art and Science of Taste, La Triennale, Milan Italy

Pace Gems: Selections from the Linda Pace Foundation Permanent Collection, The Linda Pace Foundation Gallery, San Antonio, TX

Bad Conscience, Metro Pictures, New York, NY Surface, Stephen D. Paine Gallery, Massachusetts College of Art and Design, Boston, MA.

2013 Desire, Yancey Richardson Gallery, New York, NY Skin Trade, PPOW, New York, NY



The Cat Show, White Columns, New York, NY
Privacy, Schirn Kunsthalle, Frankfurt, Germany
Riotous Baroque, Guggenheim Museum, Bilbao, Spain
Flow, Just Flow: Variations on a Theme, University of Richmond
Museums, Richmond, VA

2012 We the People, Robert Rauschenberg Foundation Project Space, New York, NY Riotous Baroque, Kunsthaus Zurich, Switzerland Group Show, Regen Projects, Los Angeles, CA Girl Talk, CAM Raleigh, NC Pink Caviar, Louisiana Museum, Humlaebek, Denmark

2011 Memories of the Future: The Olbricht Collection, La Maison Rouge, Paris, France Commercial Break, Garage Center for Contemporary Culture and POST, Venice Biennial, Italy

2010 Collection in Context: Lynda Benglis, John Chamberlain, Andy Warhol, and Marilyn Minter, The Baltimore Museum of Art Passion Fruits, Selection from the Thomas Olbricht Collection, Me Collectors Room, Berlin

7th Annual Alternative Picture Show, Museum of Contemporary Art, San Diego, CA

The Anniversary Show, San Francisco Museum of Modern Art, San Francisco, CA

Desire, Blanton Museum of Art, Austin, TX

Don Juan in the Village, curated by Bjarne Melgaard, Lars Bohman Gallery, Stockholm, Sweden

Sweat, curated by Fabienne Stephan and Marilyn Minter, Patricia Low, Gstaad, Switzerland

Think Pink, curated by Beth Rudin DeWoody, Gavlak Projects, Palm Beach, FL



Talent Show, curated by Robert Melee, The Kitchen, New York, NY

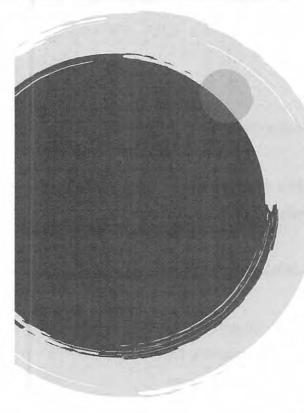
2009 The Audio Show, Friedrich Petzel Gallery, New York, NY
Pink Panther, curated by Amy Smith-Stewart, Kumukumu Gallery,
New York, NY

The Female Gaze: Women Look At Women, Cheim & Read, New York, NY

"200 Artworks – 25 Years" Artists' Editions for Parkett, 21st
Century Museum of Contemporary Art, Kanazawa, Japan
Pretty Is And Pretty Does, SITE Santa Fe, Santa Fe, NM
Objects of Value, Miami Art Museum, Miami, FL
The Glamour Project, Lehmann Maupin Gallery, New York, NY
The Palace at 4 a.m., Gana Art Gallery, New York, NY
For Your Eyes Only, De Markten, Brussels, Belgium

2008 Darkside, Fotomuseum, Winterthur, Switzerland Focus: The Figure, Denver Art Museum, Denver, CO
Agency: Art and Advertising, McDonough Museum of Art, Youngstown State University, Youngstown, OH
Expenditure, Busan Biennale, Busan, Korea
Whatever's Whatever Hydra School Projects, Hydra, Greece
Sweat, Marilyn Minter + Mika Rottenberg, Galerie Laurent Godin, Paris

2007 Foam of the Daze, Amy Smith Stewart, New York, NY
Sex in the City, Dumbo Art Center, Brooklyn, NY
Sparkle Then Fade, Tacoma Art Museum, Tacoma, WA
Rencontres de la Photographie, Arles, France



RocknRoll, Norrköpings Museum, Norrköpings, Sweden
Dangerous Beauty, Curated by Manon Slome, Chelsea Art
Museum, New York, NY
Dangerous Beauty, Naples Art Museum, Italy

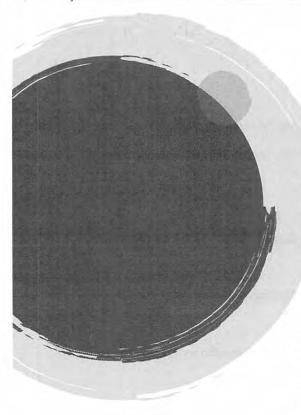
2006 Whitney Biennial, New York, NY
Nightmares of Summer, Marvelli Gallery, New York, NY
The Other Side, Tony Shafrazi Gallery, New York, NY
Flaming June, Spanierman Modern, New York, NY

2005 Gorgeous, Hangar Bicocca, Milan, Italy
Paper, Patricia Faure Gallery, Santa Monica, CA
Bounds of Love, John Connelly Presents, New York, NY
Tete a Tete, Greenberg van Doren Gallery, New York, NY
The Super Fly Effect, Jersey City Museum, Jersey City, NJ
Suddenly Older, Clifford Gallery, Colgate University, Hamilton, NY

2004 Black & White, Greenberg Van Doren Gallery, New York, NY AmFaR Portfolio, Regen Projects, Los Angeles, CA Skin Deep, Union Station, Toronto, Canada (traveling to The Netherlands and Portugal).

2003 Cross Currents at Century's End: Selections from the Neuberger Berman Art Collections, Henry Art Gallery, Seattle, WA amFaR Portfolio curated by Jack Pierson, Cheim and Read, New York, NY

Jessica Stockholder Show, Gorney Bravin & Lee Gallery, New York, NY



4 Walls, 8 Views, Arena Gallery, New York, NY

2002 Shimmering Substance, curated by Barry Schwabsky and Catsou Roberts, Arnolfini, Bristol, England, and Cornerhouse, Manchester, England Reactions, Exit Art, New York, NY

2001 Summer Group, Sandroni.Rey, Venice, CA2000 Uncomfortable Beauty, Group show, Jack Tilton / AnnaKustera, New York, NY

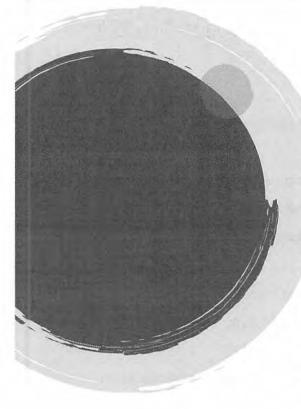
Issue: Group show, curated by Jack Pierson, Thaddaeus Ropac Gallery, Paris, France

2000 años luz, Galeria Leyendecker, Santa Cruz Tenerife, Canary Islands

Mommy Dearest, Gimpel Fils, London, England
Go Figure, Newhouse Center for Contemporary Arts, New York,
NY

1999 Lounge, Exit Art, New York, NY Millennium Calendar, Bard College, Annandale on Hudson, NY Paintings Pictures, Beaver College Art Gallery, Beaver, PA

1998 cloth-bound, Laure Genillard Gallery, London, England PhotoImage, Museum of Fine Arts, Boston, MA (traveling to Des Moines Art Center, Des Moines, IA)
Elbowroom, Third Link, Stockholm, Sweden
Bathroom, curated by Wayne Koestenbaum, Thomas Healy
Gallery, New York, NY



1997 Alive & Well, Elizabeth Harris Gallery, New York, NY Sex/Industry, curated by John Yau, Stephan Stux Gallery, New York, NY

1996 Thinking Print: Books to Billboard 1980-1995, The Museum of Modern Art, New York, NY

Making Pictures: Women and Photography, Nicole Klagsburn Gallery, New York, NY

Mona Hatoum, Lovett/Codagnone, Marilyn Minter, XL Xavier La Boulbenne, New York, NY

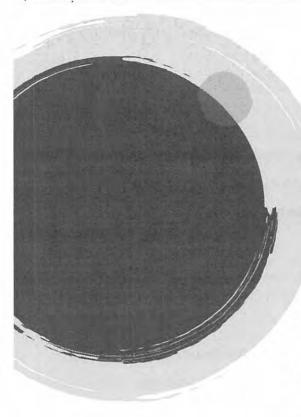
PHOTOgraphism (in painting), Pratt Manhattan Gallery, New York,
NY

What I did on my summer vacation, White Columns, New York, NY
The Most Important Thing in the World: Oasis vs. Blur and Artists
Who rock, curated by Bill Arning,

The Art Exchange Show, New York, NY

1995 Pittura Immedia: Malerei in der 90er Jahren, curated by Peter Weibel, Neue Galerie am Landesmuseum Joanneum und Kunstlerhaus, Graz, Austria
Smells Like Vinyl, Roger Merians Gallery, New York, NY
The Joy of Painting, Here Gallery, New York, NY

1994 The Place of Art, Sprengel Museum, Hanover, Germany
De-Pop, curated by Sandra Antelo-Suarez, Cummings Art Center,
Connecticut College, New London, CT
Drama, Max Protetch Gallery, New York, NY
The Long Weekend, Trial Balloon, New York, NY



Promotional Copy, 100 Food Porn Video, The Kitchen, New York, NY

Sex, Adam Baumgold Fine Art, New York, NY
The Rag Trade, The Inter Art Center, New York, NY

1993 Promotional Copy, 100 Food Porn Video, DIA Center for the Arts, New York, NY

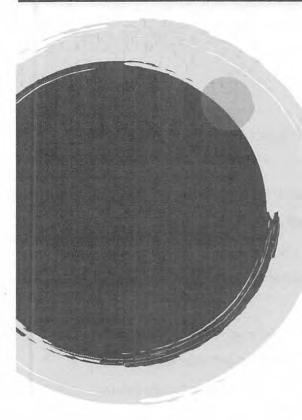
The Return of the Cadavre Exquis, The Drawing Center, New York, NY (traveling to The Corcoran Gallery of Art, Washington, DC; Santa Monica Museum of Fine Art, Santa Monica, CA; Forum for Contemporary Art, St. Louis, MO; American Art Center, Paris, France)

Coming to Power, David Zwirner Gallery, New York, NY
Regarding Masculinity, Arthur Rogers Gallery, New Orleans, LA
Body Count, White Columns, New York, NY
Tri-Sexual, TRI Gallery, Los Angeles, CA

1992 Effected Desire, The Carnegie Museum of Art, Pittsburgh, PA Slow Art, P.S.I., Long Island City, NY Works-Concepts-Processes-Situations-Informations, Galerie 1900-2000, Paris, France

1991 Ho Hum All Ye Faithful, John Post Lee Gallery, New York, NY New generation: New York, Part III, curated by Bob Nickas Carnegie Mellon Art Gallery, Pittsburgh, PA

1990 Stendahl Syndrome: The Cure, Andrea Rosen Gallery, New York, NY The Clinic, Simon Watson Gallery, New York, NY Making Pictures, Fiction/Nonfiction Gallery, New York, NY



1989 Ten Years Anniversary Show, Nicola Jacobs Gallery, London, England Max Protetch: 20 years, Max Protetch Gallery, New York, NY Repetition, Hirschl-Adler Modern, New York, NY

Awards and grants

Louis Comfort Tiffany Grant, 2006
Guggenheim Fellowship, 1998
New York Foundation for the Arts, Visual Arts Grant, 1992
National Endowment for the Arts, Artist's Fellowship Grant, 1989
New York Foundation for the Arts, Artist's Grant, 1988

Public collections

Perez Museum of Art, Miami, FL

The Guggenheim Museum, New York, NY

Baltimore Museum of Art, Baltimore, MD

San Francisco Museum of Modern Art, San Francisco, CA

Maison Europeenne de la Photographie, Paris, France Collection

Claudine et Jean-Marc Salomon, château d'Arenthon, Alex, France

Neuberger Berman, New York, NY

Chase Manhattan Bank, New York, NY

Deutsche Bank, New York, NY

Denver Art Museum, Denver, CO

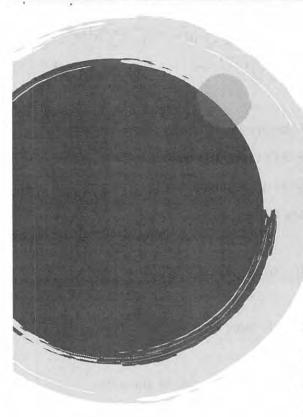
Everson Museum, Syracuse, NY

Kunsthaus Museum, Zurich, Switzerland Moderna Museet,

Stockholm, Sweden

Museum of Fine Arts, Boston, MA

Museum of Modern Art, New York, NY



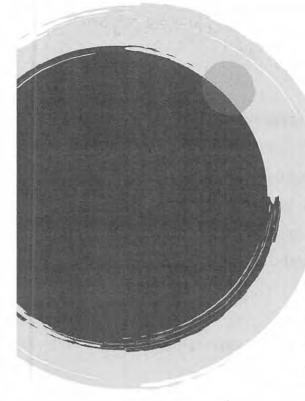
Museum of Contemporary Art, Los Angeles, CA
Syracuse University, Syracuse, NY
Museum of Contemporary Art, San Diego, CA
MIT List Center, Boston, MA
Whitney Museum of American Art, New York, NY
Blanton Museum of Fine Arts. Austin, TX

Selected bibliography

2018 Lopatko, Katya, "Marilyn Minter Talks Fantasies, Social Media and Being Cunty," The Art Gorgeous, August 23
Giles, Oliver, "10 Hong Kong Exhibitions to See in August," Hong Kong Tatler, August 1
Dellas, Mary, "Marilyn Minter's New Works Will Show in Los Angeles," New York Magazine: The Cut, May 15
Feitelberg, Rosemary, "Marilyn Minter Kicks Off Video Art Project in the Oculus," WWD, January 10

2017 Orne, Kate, "Marilyn Minter: Owning Her Power," Upstate Diary, Issue Four
Wasylko, Nadya, "Interview: Marilyn Minter, The Art World's Punk
Spirit at Frieze London," The Times, October 8
Manatakis, Lexi, "Sex positive radical art and feminism in the 70s80s," Dazed, October 3
Jordan, Elizabeth, "Marilyn Minter: Art, Activisim, Glamour, and
Sex," Whitewall, May 25
Dimitrov, Alex, "An Artist and A Poet Consider Loneliness," The
New York Times Style Magazine, March 9

Wortham, Jenna, "Marilyn Minter Finds Art in the Female Form,"



The New York Times Magazine, February 15
Pilar, Viladas, "Inside Marilyn Minter's Colorful, Irrepressible, ArtFilled Hideaway in the Woods," W Magazine, February 9
Kastner, Jeffrey, Artforum, January

2016 Kennedy, Randy, "Sensuality: Dirt, Pimples and All," The New York Times, October 30

Benor, Dayla, "Why Marilyn Minter is More Relevant Now Than Ever Before," Dazed, October 28

Barton, Dave, "Marilyn Minter: Pretty Dirty' at OCMA Nails the Artist's Pathology of Beauty", OC Weekly, May 25

Goldman, Edward, "Empty and Disgusting? Doubly Wrong," The Huffington Post, May 24

Garcia, Laia, "The Lenny Interview: Marilyn Minter," Lenny, May 13

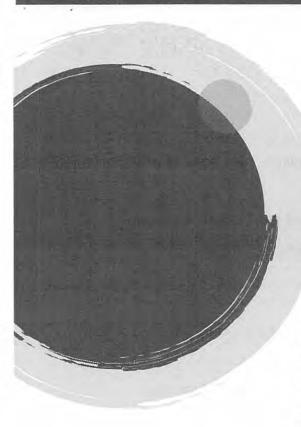
Davies, Madeleine, "Marilyn Minter: Women Have to Be Dead or Practically Dead to Achieve the Success of Male Artists," Jezebel, April 15

Cascone, Sarah, "Check Out These Steamy New Portraits of Miley Cyrus by Marilyn Minter," artnet news, April 15

Martinez, Alanna, "Marilyn Minter Paints Miley Cyrus, Tate Modern Vows to Show More women-And More," Observer, April 15

Knight, Christopher, "Marilyn Minter's 'Pretty/Dirty' show allures and repulses all at the same time," The Los Angeles Times, April 23

Small, Rachel, "Object D'Art: Don't Fuck with Us," Interview, April 15 Krentcil, Faran, "Miley, Marc, and Artist Marilyn Minter Team



Up for Planned Parenthood," Elle, April 14

Ghorashi, Hannah, "Between Us, We Can Do Anything!': Marilyn Minter Debuts Miley Cyrus Portrait for Planned Parenthood," ARTNews, April 14

Gotthardt, Alexxa, "What Marilyn Minter's Photo of Miley Cyrus Can Do for Reproductive Rights," Artsy, April 14

Boessenkool, Antonie, "Once-controversial artist Marilyn Minter is the subject of a career retrospective at the O.C. Museum of Art", The Orange County Register, April 5

Ghorashi, Hannah, "I Want Women to Look Like They Can't Get
Throw Away': Marilyn Minter on her Retrospective,
'Pretty/Dirty'", ARTnews, February 4

Ward, Kate, "Marilyn Minter on Why It's Time for the Next Generation of Activists to Take Charge." PAPER Magazine, Winter **2015** Gianni Jetzer, "Marilyn Minter", Kaleidoscope, December Rogers, Kate, "Brush and Palette: Marilyn Minter's erotic 'Pretty/Dirty'", Clarion, October 24

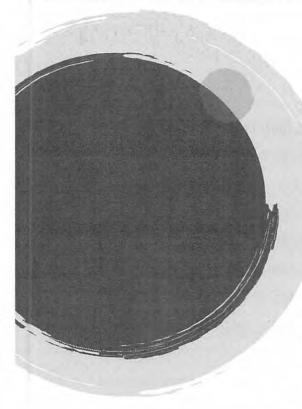
Johnson, Paddy, "Marilyn Minter is the Windowlicker of Representation", Art F City, October 23

Paglia, Michael, "Review: Don't Miss Marilyn Minter: Pretty/Dirty at MCA Denver", Westword, October 14

Rinaldi, Ray Mark, "MCA: Marilyn Minter's Beastly Take On Our Beautiful World", The Denver Post, September 27

Messinger, Kate, "Marilyn Minter and Betty Tompkins on Censorship, Art, and Being Embraced by Millennials", The Creators Project, September 24

Sehgal, Parul, "Marilyn Minter: Pretty/Dirty", The New York Times, June 28



Fialho, Alex, Artforum, April 17

Guiducci, Mark, "Marilyn Minter on her First Major Retrospective", Vogue, April 15

Meredith Mendelsohn, "Marilyn Minter's Seductive Paintings and Photographs Collected in First Major Retrospective", Architectural Digest, March 31

2014 Stern, Steven, "Seen in the Studio: Marilyn Minter", New York Magazine, November 26 Miller, Steve, "Marilyn Minter, Glamourpuss", Muse, June

2013 "Marilyn Minter, Planet Gorgeous", Purple Spring

"Marilyn Minter", Art Review, Issue 67, April

"Gritty Glamour", The Daily Beast,, March 25

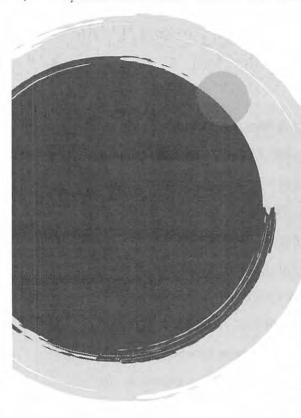
"The Right Dose", The New York Times, February 24

Strick, Charlotte, "Women by Women", The Paris Review Daily,
January 9

2012 Minter, Marilyn, "The Art of Education," Modern Painters, April

2011 New Yorker, November 21

Trice, Emilie, "The Road to Harburg," The Paris Review Daily, May 12 Duhon, Peter, "Post-Career Rehab, Marilyn Minter's Seedy Side Shows," New York Observer, April 26
Harris, Jane, "Interview: Marilyn Minter," Time Out New York, March 29



2010 Trebay, Guy, "The Crew Behind a One-Woman Show," The New York Times, June 3

2009 Darakjian, Gareen, "Marilyn Minter's Pleasure Principle," Soma Magazine vol. 23.6, September 9 Johnson, Ken, "Green Pink Caviar," The New York Times, May 8 Carlin, T.J., "Studio Visit: Marilyn Minter," Time Out New York, April 2 "Pregnant," Vogue, March

2008 Austin, Tom, "A MAM Exhibition Offers Plenty of Value Judgments" The Miami Herald, December 7
Halle, Howard, "A Matter of Time" Time Out New York, cover, 18-9, July 24
"Precious art" British Vogue, May

2007 Metro NY, "Marilyn Minter's Art Keeps Fashion Real,"
October 23 Colman, David, "Marilyn Minter," Elle Décor, October
Parkett magazine with Albert Oehlen and John Kessler Published
image of Dollface. Haru Kunzru. "Magda Mandela," The New
Yorker, August 6 Minter, Marilyn, "Notes on Design: Sweat," AllStory, Summer

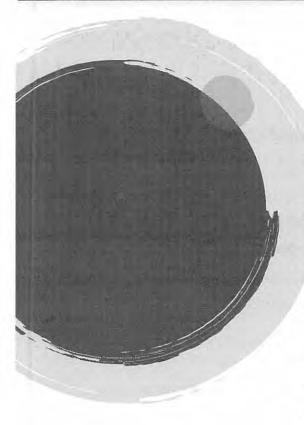
Lipsky-Karasz, "Pretty Ugly," WWD, May

Dupuis, Isabelle, "Marilyn Minter," Flash Art, March/April

Schwabsky, Barry, "Beauty and Desecration," Art in America,

March

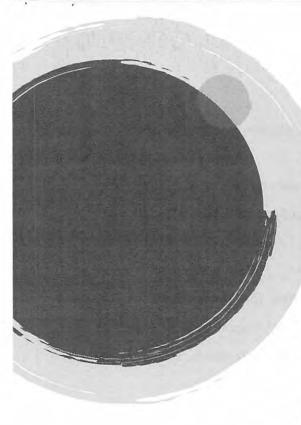
2006 Sjostrom, Jan, "Artist at the Top of her World," Palm Beach Daily News, December 18



Lipsky-Karasz. Elisa, "Top Model," W Magazine, January Kino, Carol, "In the Studio: Marilyn Minter," Art and Auction, November Bellini, Andrea, "Whitney Biennal: Day for Night," Flash Art, May/June Daderko, Dean, "Whitney Biennial Breaks its Rules," Downtown Express, Volume 18, Issue 5, May 5-11 Yablonsky, Linda, "Slides and Prejudice," ARTnews, April Webster, Peter, "Art Insider," New York Home March-April Eleey, Peter, "The 2006 Whitney Biennale," Frieze Issue 100 Esplund, Lance, "Canned Rebellion," The New York Sun, March 2 Kino, Carol, "Donating Work for Charity Has a Downside for Artists," The New York Times, May 28 Lowenstein, Kate, "Mud Honey," Time Out New York, March 2-8 MacAdam, Barbara, "Artists up Close: Whitney Biennial Cover Artist Marilyn Minter," March 7 McCormick, Carlo, "Fashion Crisis," Paper, March Clark, Luke (with Jeannie Ralston and Andy Young), "Show and Tell," Travel and Leisure, March Plagens, Peter, "The Art of the Deal," Newsweek, March 6 Saltz, Jerry, "Biennial in Babylon," The Village Voice, March 1 Scott, Andrea K, "Exhibition A-list," Time Out New York, February 23-March 1

2005 Ballentine, Sandra, "Beauty and the Artiste," The New York
Times Style Magazine, Spring
Glen, Helfand, "Vogue on Steroids," Bay Area Reporter, May 5
Kerr, Hilary, "Must See/Hear," Elle, April
Young, Lisa Jay, "Excess All Areas," Art Review, April

Vogel, Carol, "Big and Bold," The New York Times, March 3



Mays Powell, Harriet"Fashion & Art," New York Magazine, February 14

Vogel, Carol, "This Whitney Biennial Will Take in the World," The New York Times, November 30

2004 Indiana, Gary, "One Brief, Scuzzy Moment," New York Magazine, December

Remy, Patrick, "Eight Women," French Vogue, March
Yablonsky, Linda, "How Far Can You Go?" Art News, January

2003 Amy, Michael, "Gallery Going," The New York Sun, October

Burton, Johanna, "New York Critics' Picks," Artforum, October Neuman, Barry, "Marilyn Minter: New Paintings and Photographs," Boiler, October

Oneacre, Alison, "Hallowed Walls," Women's Wear Daily, February 3

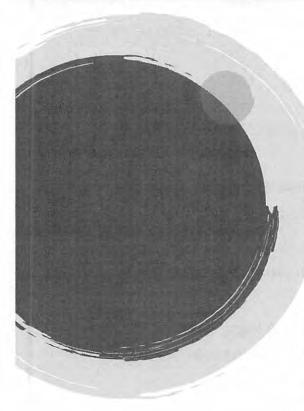
Smith, Roberta, "Art in Review," The New York Times, September 26

2002 Christensen, Anne, "Editors Choice," New York Times Magazine, November 17

Jones, Kristen, "Sensual Crevice," (Photographed by Marilyn Minter) Versace Magazine

Saltz, Jerry, "Mommie Queerest," Village Voice, September 25
Yablonsky, Linda, "Marilyn Minter," Issue #6 Fall Magazine

2001 Boulbes, Carole, Issue group show, review, and "Art Press"



Ollman, Leah, "Art Reviews," Los Angeles Times, August 24

2000 "Assignment" Issue #4, Curated by Jack Pierson, September Brodow, Anna, "Review," Svenska Dagbladet, March 25
Bydler, Charlotte, "Shiny Enamel," Aftonbladet, March
Cohen, Michael, "New Feminist Art," Flash Art, Summer
Cotter, Holland, "Art in Review," New York Times, May 5
Ericsson, Lars O, "Moist Fleshy Cakes," Dagens Nyheter, March 18
Johnson, Ken, "Art in Review," New York Times, December 29
Kent, Sarah, "Critics Choice," Time Out, July11-17
Lind, Ingela, "Review," Dagens Nyheter, March 24-30
Madestrand, Bo, "Dirty Mind," Merge #9
Wachtmeister, Marika, "Glamour," Femina, June
Wilsher, Mark, "Mommy Dearest," What's On in London, July 5

1998 Allerholm, Milou, "Review," Pa Stan, April 17

Allerholm, Milou, "Review," Dagens Nyheter, April 17

Hellberg, Susanna, "Exhibition in the Third Track's Tunnel,"

Dagens Nyheter. July 21

Johnson, Ken, "Art in Review," New York Times, November 13

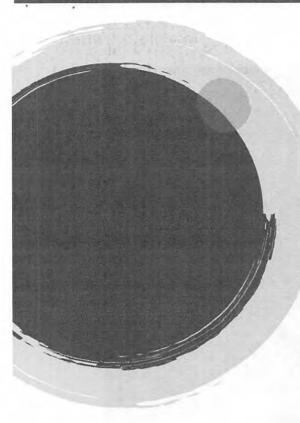
Najafi, Sina, "Marilyn Minter," Material Ripley, Deborah,
"Postcoital Painting," Art Net, December 9

Stahre, Ulrika, "Pictures from the Sensual Zone," Goteborgs

Posten, July 31

Watson, Simon, "Simon Says," Village Voice, October 7

1997 Arning, Bill, "Review," Time Out NY, June 5-12 Mumford, Steve, "Review," May



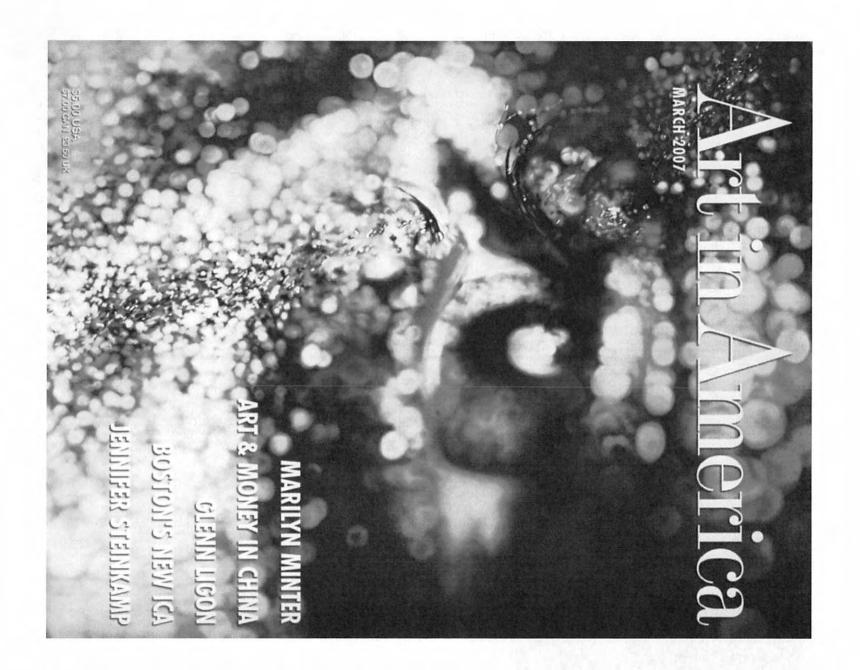
"Review," The New Yorker, May 26
Schwabsky, Barry, "Review" Artforum, September
Watson, Simon, "Review," Simon Says, April

1996 Colman, David, "Q/A: Hard Core Culture," Artforum,
February Hainley, Bruce, "Solitary Refinement," Artforum,
January

1995 Carmel, Pepe, "Art in Review," New York Times, January 13
Cotter, Holland, "Art in Review," New York Times, Sept. 22
Greene David, "Critics' Choice," Los Angeles Reader, July 13
"Marilyn Minter," Frieze, September Halle, Howard, "Critics'
Picks," Time Out New York, September 27 – October 24
Hirsch, Faye, "Review," Art in America, May Koestenbaum,
Wayne, "Eye Openers," The New Yorker, August 21 & 28
"Art of the Fugue," Artforum, December Levin, Kim, "Voice
Choices," The Village Voice, September 22
Newhall, Edith, "Not Pop With A Capital P, Please," New York
Magazine, January 23
Pagel, David, "Arts Reviews," Los Angeles Times, June 29

1994 Lennox, Lillian, "Vulvamorphia," Lusitania #6, September

1993 Avgikos, Jan, "All that Heaven Allows," Flash Art, Summer Edelman, Robert, "Review," Art Press, September
Frank, Peter, "Video Pick of the Week," L.A. Weekly, July
Pagel, David, "Nature of Desire," Los Angeles Times, April 1



Beauty and Desecration

Marilyn Minter's photo-realist enamel paintings and large-scale photographs combine the brash energy of popular culture with an understated awareness of art history.

BY BARRY SCHWABSKY

The phrase "dirty realism" may have been popularized by a 1983 issue of the literary magazine *Granta*, but the idea has been around at least since Caravaggio started painting his angels and pilgrims with mud and grime on their feet at the end of the 16th century. Four hundred years later, Marilyn Minter has taken to doing the same with the denizens of her own fiercely dazzling paintings and photographs. But for all her slyly understated awareness of art history, one look at Minter's work is enough to know that its brash, boisterous energy comes from pop culture as much as from the great tradition of painting. What she takes from pop, above all, is its simultaneous love of glamour and compulsion to desecrate it. As Minter recently explained to critic Carlo McCormick, her art is invested "in the moment when everything goes wrong. . It's when the model sweats. There's lipstick on the teeth and the makeup's running."1 Not that anything's ever allowed to go awry with the paintings themselves. Their technique is never less than immaculate-and unprecedented: no one has ever handled enamel paint with such sensitivity and nuance. What's astonishing, though, is the way Minter's fascination with this moment in which the construction of beauty collapses brings her back to ambitious painting-and some of the most lovingly painted dirty feet since 1610.

A painting like Stepping Up (2005)—shown at the last Whitney Biennial—might give the impression of being based on a paparazzo's telephoto scoop: Glamourpuss Walks Through Soot! But that dirt represents not so much the intrusion of reality into a fantasy as an occasion for painterliness in the document, just like running makeup or the lipstick smeared across a girl's teeth. And far from undermining the allure of fashion, this extremely close view of the filthy skin revealed by a



Marilyn Minter: Mudbath, 2006, enamel on metal, 84 by 120 inches. Collection Gael New

134 March 2007



I Stefan Edlis, Chicago/Whitney Museum of American Art, New York, All photos this article courtesy Salon 94, New York

Art in America 135

A symbiotic relationship was made clear in Minter's most recent show: if her paintings are based in photography, her photographs are imbued with the vision of a painter.

gaudy, strapless high-heeled shoe as its owner ascends a staircase serves to prove, if anything, the compelling power of extreme footwear: The shoe still looks fabulous and the woman who wears it, though one sees next to nothing of her, comes off as heroically defiant of her soiled condition. Or as Bruce Hainley wrote of an earlier, very different body of work by Minter-a series of stark, black-and-white photographs of her mother, shot in 1969 but not shown until 1995-the unknown and almost unseen woman depicted in Stepping Up manifests "the strange staunchness of self-creation, the oftenignored aggressive calm and defiance of femininity. Of her complex introspection nothing is learned except the importance of not giving a damn."2 Like Francesco Vezzoli with his over-the-top Trailer for a Remake of Gore Vidal's "Caligula" (2005), Minter went brilliantly against the grain of a relentlessly earnest Biennial, showing that a more dis-

Right, Glazed, 2006, enamel on metal, 96 by 60 inches. Private collection.

Below, Cylcone, 2006, C-print, 50 by 36 inches.





turbing (and perhaps deeply, though obliquely, political) art could be made by voluptuously plunging into the morass of our contemporary decadence than by tut-tutting from its sidelines.

It's impossible to speak for very long about Minter's work without worrying at its relation to photography. I'm one of those, it should be said, who thinks that while painting has gained a great deal from the interaction with its sister medium, painters who affect the "look" of the photograph have mostly been paying diminishing returns since the heyday of Gerhard Richter and Malcolm Morley. Minter has been the signal exception, and her most recent one-person show in New York (at Salon 94, immediately followed by exhibitions at Gavlak, West Palm Beach, and Baldwin Gallery, Aspen) helps explain why. Juxtaposing four, mostly large, enamel-on-metal paintings with three (nearly as large) color photographs, it highlighted the similarity of effect in her works, whatever the medium. The exhibition made it clear that if her paintings are based in photography, her photographs are imbued with the vision

of a painter. This symbiotic relationship was most evident in a painting and a photograph depicting the same subject. In the 8-by-5-foot painting Glazed (2006), a heavily made-up eye appears open, watching like that of a bird of prey. Cyclone (2006), a photograph of the same eye, gorgeously dusted with purple glitter but this time closed, revels in the





Above, Bosary, 2006, C-print, 50 by 36 inches.

Left, Crystal Swallow, 2006, enamel on metal, 96 by 60 inches. Blanton Museum, Austin.

decomposition of the subject in a disorienting play of reflections and points of light, each little spangle like a brushstroke that constructs the image and takes it to pieces at once. That's a fundamentally painterly perception.

Most paintings-that-look-like-photographs look more or less alike—styleless—because so do most photographs (I mean the family snapshots and news photos that are generally the raw material for such work). Thanks to their extreme stylization, Minter's paintings and photographs manage to break this predictable cycle. Her paintings may look like photographs but the photographs they resemble, made with a painter's eye, don't look like anyone else's. Minter's unwavering pursuit of excessive sensation and raunchy surfaces amounts not to a "dirty realism" but, if anything, to a dirty formalism.

1. Carlo McCormick, "Fashion Crists," Paper, March 2006, p. 58.

p. 58. 2. Bruce Hainley, "Solitary Refinement: Marilyn Minter's 'Coral Ridge Towers," *Artforum*, January 1996, p. 61.

Marilyn Minter's recent paintings and photographs were shown at Salon 94, New York [Nov. 12, 2006-Jan. 20, 2007], Garlak, West Palan Beach (Nov. 25, 2006-Jan. 3, 2007], and Baldwin Gallery, Aspen [Dec. 26, 2006-Jan. 30, 2007]. Works by the artist will also appear in Les Rencontres Internationales de la Photographie, Arles [July 3-Sept. 16].

Author: Barry Schwabsky is an American art critic and poet living in London.

Art in America 137

Smith, Roberta. "A 'Nasty Woman' of Contemporary Art Fearlessly Renders the Body." The New York Times, November 11th, 2016

After a presidential campaign that underscored various women's rights issues, what better art exhibition to review than one devoted to provocative images of female independence at its most intimate, centering explicitly on the body.

"Marilyn Minter: Pretty/Dirty" is part of "A Year of Yes," a series of exhibitions on trailblazing female artists at the Brooklyn Museum. Ms. Minter, 68, has been exhibiting in New York since the early 1980s, and is increasingly admired by younger generations for her fearless renderings of both the mechanisms of beauty and its dark underbelly.

Over the last three decades, Ms. Minter has operated in the gap defined by feminism, painting and popular culture, carving out a place as one of contemporary art's bad girls. There aren't many, and she is one of the few who are primarily painters. Along with Joan Semmel and Betty Tompkins, she appropriated for painting the provocative use of the female body that is usually limited to performance artists, including Carolee Schneemann and Valie Export in the late 1960s, and Vanessa Beecroft in more recent times.



"Black Orchid" (2012) at the Brooklyn Museum. An exhibition of Marilyn Minter's works features provocative images of the female body. Courtesy of the artist and Salon 94, New York, Regen Projects, Los Angeles

While pushing the often denigrated 1960s style of Photo Realism to new extremes, Ms. Minters's paintings invite us to consider the ways women do and do not own their bodies. They contrast their private ideas of pleasure with the external cues — played out in fashion, advertising, burlesque or pornography — that set stereotypes of beauty, behavior and sexuality.

Originated by the Contemporary Arts Museum in Houston and the Museum of Contemporary Art in Denver, this show contains 44 paintings on canvas or metal, four stunning videos and sundry occasionally interesting photographs — all spanning peripatetically from 1969 to the present. It regularly fulfills its contradictory "Pretty/Dirty" subtitle, sometimes within the same work. Many efforts are ravishingly, if confusingly, beautiful. A few are definitely for adult audiences, which is why you'll find a parental warning at the show's entrance.

In Ms. Minter's best-known works, images are rendered in extreme close-up, embedded in lush

surfaces that border on abstract and establish a winking, parodistic relationship with formalist painting. They are usually flooded with ambiguity, leaving us to our own devices, juggling not always pleasant meanings. A painting of a tightly framed, gaudily made-up eye has an undeniable reptilian mien in its carefully detailed sheen and wrinkles. In a mesmerizing billboard-size video, a pair of ruby red lips and tongue twist and turn through a scarlet sludge; we might be watching a deep-sea creature sopping up dinner.



"Coral Ridge Towers (Mom Smoking)," one of Ms. Minter's works from 1969 that capture her mother. Courtesy of the artist and the collection of Beth Rudin DeWoody

Back on canvas, a seemingly bejeweled orb pushes forward, evoking ancient treasure in Hollywood Technicolor ("Raiders of the Lost Ark" crossed my mind); actually, it is a glittering glob of gold liquid being expelled by a mouth edged in blue lipstick. Ms. Minter's images possess a disorienting doubleness that forces you to examine them with extra care; they put you on intimate terms with the motif, the painted surface and your own suggestibility.

Ms. Minter was born in Shreveport, La., in 1948 and grew up in South Florida. Her childhood seems to have been challenging. She remembers her parents, who divorced when she was 8, as glamorous and unreliable. Her mother vacillated between drugs and alcohol; her father was a drinker, a gambler and a womanizer. Part of their legacy to their daughter would be later problems with drugs and alcohol (since overcome), and perhaps an enduring attraction to glamour as a female preoccupation that is part curse, part pleasure, part impossible fantasy. The show opens with a series of hazy black-and-white photographs from 1969 that capture the artist's reclusive mother in a frothy negligee, moving about a dim, somewhat bedraggled bedroom, curling her hair, putting on makeup, posing before a mirror. Silent, atmospheric, these images attest to the hypnotic pull that mothers can exert on daughters, portraying their subject as both powerful and passive. Throughout her childhood, Ms. Minter had one guiding light. She knew early on that she possessed an unusual skill for copying images, which coalesced into the ambition to be an artist. She left home at 16 to study art at the University of Florida, Gainesville, and went on to Syracuse University for her graduate degree. By 1972, she was in New York City, initially eking out a living as a plumber's assistant.



"Blue Poles" (2007) depicts sparkling blue eye shadow, straggly eyebrows and a prominent pimple. Courtesy of the artist, Private collection, Switzerland

By the mid-1970s, Ms. Minter was deep into Photo Realism, converting photographs into paintings. She followed in the footsteps of other female artists like Vija Celmins and Sylvia Plimack Mangold, who were among the first to suggest that, contrary to the work of its flashier male adherents, Photo Realism had a striking potential for quiet, deadpan enumerations of the everyday. (Old art movements never die; with luck, they fall into more talented hands.) The first group of paintings here are wonderfully restrained little canvases whose subjects include frozen peas defrosting in a kitchen sink, or curls of paper scattered on a linoleum floor whose generic pattern is depicted in quick smears of paint. They could be said to describe the domestic realm, while other paintings of photographs on the same linoleum conjure a working studio.

Ms. Minter came of age in the East Village of the 1980s, when misbehavior was the norm, and she wanted to be part of it. In an interview in the show's catalog, she recalls asking herself, "What is the subject matter that women never do?" Her answer: pornography. One result was "Porn Grid" of 1989, four small paintings whose images are lifted from men's magazines, aided, abetted and partly obscured by salacious drips of paint. Ms. Minter first showed these in 1990 at Simon Watson's gallery in SoHo, her second solo in New York, to harsh criticism from anti-pornography feminists

This is not a conventional, step-by-step retrospective, so it is a little hard to see how Ms. Minter progressed from her rawer, pornographic paintings to those of this century, which trade on suggestion more than on sex and are more imposing in terms of size and color. By the late 1990s, she had a studio of assistants trained in her technique, which included finishing her enamel surfaces with soft pats of the fingers, not the brush.

Although it's from 2007, "Blue Poles" seems to exemplify the transition. First, the title, after one of Pollock's masterpieces, indicates a greater attention to abstraction. It is an exacting image of the eyes (roughly shadowed with sparkling blue) of a young person, including straggly eyebrows and a prominent pimple. Does this depict a bit of human reality behind the beauty, of, say, a stage show, or is the subject too young to be doing this?

In the show's final gallery, a complex blur descends. Ms. Minter paints sheets of glass between her subject and us. Sometimes the glass is cracked, dripping with steam or liquid or scrawled with graffiti. These layers further complicate the reading of the images while visually punning on painting. The glass becomes a sarcastic evocation of the cherished "picture plane" of formalist abstraction, while the watery drips refer to the painting process of an artist whose technique is all but invisible. It is as if we were seeing how advertisements fare out in the city, vulnerable to

taggers. Welcome to the real world, they say. Don't let it stop you.

The New York Times

Lush Morsels From an Artist's Erotic Imagination

By Nancy Princenthal

Nov. 11, 2016

"If I paint a glass of water, people think it's erotic," the artist Marilyn Minter said during a recent visit to her studio in New York's Garment District. She laughed ruefully, but they'd have reason. Outrageously beautiful and irresistibly disturbing, Ms. Minter's increasingly monumental paintings invoke a spectrum of human desires: for sex, food, affection and, hardly least, for being seen.

Tall and stylish, with a ready wit and an open manner, Ms. Minter works from photographs reconfigured in Photoshop, creating illusionistic canvases of body fragments awash in bling. Jewels and liquid gold spill out of open mouths. Lips and tongues press against image surfaces. Silver beads morph into droplets of water that are sprayed across paintings as big as billboards — a format she has used twice. Upping the game, a fragment of a 2009 Minter video, "Green Pink Caviar," will soon light up the Jumbotron screen on the interior facade of the Barclays Center in Brooklyn. It focuses on an enormous, disembodied mouth slurping up variously colored goo. Eros is definitely on hand.

Although she has said that she is in many ways an abstractionist, and insisted to me, "I never tell people what to think," the pleasures of Ms. Minter's work are grounded in the bodies of women. And many in pop culture are cheering her on. Madonna used "Green Pink Caviar" for a projection in her recent concert tour. When the survey exhibition now at the Brooklyn Museum opened in Houston, Beyoncé tweeted about it.



Marilyn Minter's "Orange Crush" (2009). Marilyn Minter, via Salon 94, New York

The attention is reciprocal, if wary. Earlier in her career, Ms. Minter drew from commercial print sources, including pornography, and some of her work has gone back into the mass media. She produced a 30-second television ad for a 1990 gallery exhibition (it ran on "Nightline" and the David Letterman and the Arsenio Hall shows), and, more recently, she accepted commissions from Playboy magazine and the fashion designer Tom Ford. The last two didn't really work out; as much as she is interested in such crossovers, her purposes are those of an artist. She likes things that are disfavored. (Ms. Minter, 68, said she took on the Playboy project as an opportunity to celebrate pubic hair, which, she was disheartened to learn, a number of young women were lasering away. Her enthusiasm wasn't fully shared by the editors.)

Although she treats some of her photographs as free-standing artworks, Ms. Minter's primary mode, and strength, is painting. Working with a half-dozen assistants, she applies layer upon layer of thinned enamel paint, which is daintily swept with brushes and patted with fingertips onto metal support surfaces using the "cobbled" Photoshopped images as guides. It is a process that takes up to two years — and, she notes, involves no airbrushing. Bill Arning, the co-curator (with Elissa Auther) of the survey, is the director at the Contemporary Arts Museum in Houston, where it originated, and recalled that during its run there, a Rubens exhibition was on view at a museum nearby. "If I wanted to explain Minter's studio practice," Mr. Arning said, "I could point across the street."

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An early supporter of Ms. Minter's work, he noted that a great deal had changed for gender politics since the show was conceived, when "young women thought the questions Minter's work raises" — about women taking control of how their bodies are seen — "were academic, art-historical issues that had been worked out."

A still from the 2014 video "Smash."

Marilyn Minter, via Salon 94, New York and Regen Projects, Los Angeles

The recent presidential campaign has helped move feminist concerns into mass culture — and, it seems, generated new enthusiasm for the license she takes.

The earliest works in the survey at the Brooklyn Museum are a series of noirish black-and-white photographs taken by Ms. Minter when she was still in school. They show her mother smoking in bed, or seated at a vanity applying makeup; in all, she either looks at herself in a mirror, or straight at the camera (and her daughter behind it). The artist, who was born in Shreveport, La., in 1948 and grew up in Louisiana and Florida, describes her mother as a drug-addicted Southern belle and her father, who left the family when she was 8, as a gambler and compulsive womanizer.

But she is leery of being seen in light of her background. "Everyone likes the idea of dysfunctional families," she said, "especially for women artists." She didn't exhibit these photographs until 1995. Still, she said she accepts the enduring importance to her work of themes they introduce: grooming and preening, glamour and its fault lines.

"Food Porn No. 35" (1989-90). Marilyn Minter, via Salon 94, New York

From the late 1970s come photorealist oil paintings of linoleum floors; chaste though they are, they, too, hint at things to come, with spilled fluids, shiny bits of foil, and canny alignments of subjects with the image surface. Other early paintings are based on photographs of young girls and starlets, seen through hand-painted dot-screens of the kind used in offset printing. Faux dot-screens also appear in the small enamel paintings of the "100 Food Porn" series (1989-90), which feature ostensibly aphrodisiac or otherwise suggestive foods — an artichoke, an ear of corn, the pink-fleshed belly of a gutted fish — being cracked open, knifed or peeled apart. The gestures, depicted and painterly, are aggressive; flesh is torn, drips happen. The only works in this exhibition based on hard-core pornography were made at roughly the same time, and are also small. A quartet of paintings mostly frame women's brightly lip-sticked mouths and erect penises. Two paintings depict women's genitals. In one, the subject touches herself.

Ms. Minter didn't linger on this material. Shortly after, she began to shoot her own photographs. As her work's size and degree of enlargement grew, the imagery slid past raw candor to the edgeless realm of libidinal imagination. Digital manipulation allowed for further departures from the real.

As before, only fragments of bodies are shown; Ms. Minter pointed out that she has never pictured a full figure, or a clothed one. Nor does she depict outright violence or abjection. Lipstick is vivid, eye shadow lavish and abundant. But so are blemishes: freckles, pimples, stray hairs. Armor-thick toenail polish is chipped; feet are wet and dirty. The way the models are staged and shot challenged commercial photography and also circled back into it. "We didn't know — this was back in 1993 — that the fashion industry would put jewels very delicately into the models' mouths," she said. "I would cram them in until they started gagging, and that's when I started shooting."

Paints in Ms. Minter's studio. George Etheredge for The New York Times

In the video "Smash" (2014), a pair of feet strapped into jeweled, silvery high-heeled sandals stomp their way through viscous silver liquid and shattered glass to a percussive soundtrack. This appalling, mesmerizing production required cutting-edge (and expensive) equipment, and it paid off. Mr. Arning explained, "She's always aware of what time it is in the culture."

A series of new paintings at Salon 94 is based on photographs of women behind steamed or frosted panes of glass, some beaded with water. You can practically feel them sweat. In bringing us close enough to see these details, she makes us engage intimately — maybe uncomfortably so — with her subjects. It's a lesson in private pleasure and public display.

In the 1970s, when Ms. Minter's career began, a great many feminist artists were taking their clothes off — for photo-based activist and conceptual work, for performances and for paintings. When women got dressed again in the following decade and turned to the cooler modes of image appropriation and media critique, Ms. Minter

instead raised the heat. In the splintering women's movement of the 1980s, "sex-positive" feminists — those who believe that women's sexual practices and fantasies, in all their variety, should be celebrated rather than policed — tangled with a wave of antipornography activists.

"Big Breath" (2016).

At the same time, as Mr. Arning noted, the culture was being ravaged by AIDS. "The question was," he recalled, "how do you continue to have an erotic life during an epidemic and not die? Minter's work offered a strong feminist voice leading the way." But her work was polarizing. As Mr. Arning put it, "People in the art world she really cared about hated those paintings."

Ms. Minter has become a paragon for younger artists who came up with internet pornography and naked selfies circulating on social media. They also have had the benefit of work by Hannah Wilke, Carolee Schneemann and A. L. Steiner — all models for taking control over their bodies, and having pride in them.

Men come into this picture too, as Ms. Minter was quick to point out. She cited — perhaps surprisingly — Jeff Koons ("he's a great artist!") along with Robert Rauschenberg and Gerhard Richter, Mike Kelley and Richard Prince. "These are people that moved the dial," she said.

But she also hastened to credit female peers: Cindy Sherman, Laurie Simmons, Rosemarie Trockel, Sarah Charlesworth, Louise Lawler. She might have added, for their gender-bending and boundary-crashing as much as their materials, the glittery sculptures of Lynda Benglis and Thomas Lanigan-Schmidt, the sequined paintings of Mickalene Thomas, Catherine Opie's photographs of Elizabeth Taylor's jewels and makeup. Welcoming all earnest attempts at perfect bedazzlement, and embracing, with fanfare, the effort's futility, Ms. Minter insists only on taking the risk.

A version of this article appears in print on Nov. 13, 2016, Section AR, Page 20 of the New York edition with the headline: Lush Morsels From an Erotic Imagination

Request to Change the Name of a Center/Institute

Over the life of a Center or Institute the need or interest in changing the name may occur. To request such a name change, complete the information below and forward to the Provost's Office, PO Box 113175. The name change is contingent upon approval from the Provost.

Center/Institute Original Name: Center for Disability Policy and Practice

Proposed New Center/Institute Name: University of Florida Literacy Institute

Brief Explanation for the Name Change:

We are refining the Center's mission to focus more specifically on improving educational outcomes for children with dyslexia and other reading disabilities. The University of Florida Literacy Initiative (UFLI – pronounced "you fly") is an ongoing effort by UF faculty and students to improve literacy outcomes for children who struggle to learn to read and write. This initiative has become very well known through extensive work with teachers and children across Florida and beyond. Given the widespread recognition of the UFLI name, we propose changing the name of the Center for Disability Policy and Practice to the University of Florida Literacy Institute.

7/5/2019 10:51 AM EDT	RJH
Date ☐ Disapproved	

Request to Change the Name of a Center/Institute

Over the life of a Center or Institute the need or interest in changing the name may occur. To request such a name change, complete the information below and forward to the Provost's Office, PO Box 113175. The name change is contingent upon approval from the Provost.

Center/Institute Original Name: INSPIRE - Invigorating STEM through the Pursuit of Research and Equity

Proposed New Center/Institute Name: Collaborative for Equity in Education (CEE)

Brief Explanation for the Name Change:

The mission for INSPIRE was concerned with addressing research and equity in an important but narrowly defined discipline-based area, STEM. The new name reflects a focus on educational equity more broadly defined from an interdisciplinary and multidisciplinary perspective with a focus on the intersections of race, gender, language and culture, socioeconomic status, and ability and on identifying and naming the processes and practices that systemically disadvantage specific groups of students in preK-20 school systems. The new name reflects the interests and activities of several faculty members in the College as well as the mission of UF to contribute locally and nationally. Importantly, the new name makes the participatory nature of research and policy and practice an explicit focus. Finally, the name change aligns with the College's effort to be a preeminent leader in education, which serves as a vehicle for the economic, cultural and societal benefit of the state of Florida, pation and world.

Director Date Dean Date

Vice President (as appropriate) Date

Joseph Glover 7/5/2019 | 10:52 AM EDT RIH

Provost Date

Approved Disapproved

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Copy to requesting Center: (date) 1-5-19

Copy to Institutional Research: (date) 7-10-19

Form 1

Jacksonville Aging Studies Center

5/11/2018

University of Florids University Submitting Proposal	President Date
	Joseph Glover 7/19/2019 11:22 AM EDT
	Provost Date
Research	20010
Type of Institute/Center	Senior Vice President Date
05/11/2018	Q 6/24/19
Proposed Implementation Date	Vice President for Research Date
51	6/12/15
Associated Discipline (2-digit CIP)	Dean of School or College Date
8 6-17.19	
Proposed Institute/Center Date Director (if known)	Vice President and Chief Date Financial Officer
	(as appropriate)

Form 2

Institute/Center Data

I/C Name:		Jacksonville Aging Studies Center	
I/C Code:	•	University: University of Florida	1/C Type:
I/C Director:	Ľ	or Marco Pahor, MD	Discipilat(s) 51 (2-Digit CIPs):
	1833 Boulevard		
I/C Address:	2nd Floor, Suite 2056		
	Jacksonville, FL 32206		
I/C Telephone:	904-244-4690	VC E-Mall Address: ja	x-ascent@iax.ufl,edu
	COM-Jacksonville	I/C Web Site Address: https://hsc	i.uft.edu/aqinq-studies-cen
I/C SUNCOM:			

Mission

To train future leaders in research and conduct translational research on aging and independence of underserved, minority older adults.

Mission

Goals

words)

The Jacksonville Aging Studies CENTer (JAX-ASCENT) is an integrative physical and intellectual (No more than 120 and behavioral translational research on aging and independence of older adults. This focus is pursued using an interdisciplinary approach that traverses a broad spectrum of biomedical investigation, including clinical research, behavioral sciences, social sciences, epidemiology. biostatistics, and health services, tailured on research in urban minorities and low socioeconomic status older adults.

Key Terms:

Aging

Research

Minority

Interdisciplinary

Form la

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Indirect Cost Return for Proposed Center

The indirect cost return for a center in a college is subtracted from that received by the college. This is because all indirect costs are now returned to the colleges, minus costs of running central programs. This form is to establish what percentage (7.5% maximum), if any, the proposed center or institute will receive in indirect cost return.

INDIRECT COST ASSIGNMENT

Date:		
Institute or Center Name:		
College:		
Indirect Cost Return:	YES7.5% Return (max 7.5%) NO	%
Dean's Agreement: (Use separate form for each college)		

EDC/cl

See attached updated Form 3 and evail. MOM 1/11/19

I/C Code:		I/C Name:	Ja	cksonville Agi	ng Studies Cente	it .	
Prepared By:		Date:	Date: 2/22/2019 Telephone:		9042444690		
F	Estimated		YEAR:	The state of the s			
	\	Budgeta	Budgetary Unit:*				
Expenditures for the Institute/Center		SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total	
Salaries & Benefits	Faculty, TEAMS, & USPS						
Other	Housestaff						
Personal	Graduate Assistants						
Services	Other						
E	Expenses						
Operating	Operating Capital Outlay						
Total Expenditures							
Positio	ons and Rate	SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total	
Faculty Positions (FTE in Personyears)						,	
TEAMS and USPS Positions (FTE in Personyears)			3.00			3.00	
Total Positions (FTE in Personyears)						3.00	
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	ary Rates for These ad USPS Positions						
	Salary Rates for 18. and USPS Positions						

Projected Space Requirements (in square feet)

Projected Space Required by Source	Office	Laboratory	Conference Rooms	Other
From Existing Inventory	4000	110	400	
Rented				
New Construction				

Sum of Salary Rates

for These <u>Faculty</u> Positions
Sum of Salary Rates for These

TEAMS and USPS Positions
Sum of Salary Rates for

Faculty, TEAMS, and USPS Positions

Form 3

I/C Code:		I/C Name: Jacksonville Aging Studies Center			er		
Prepared By:		Date:	2/22/2019	22/2019 Telephone: 904244		14690	
Es	Estimated		FISCAL YEAR: 2019				
		Budgetary Unit:*					
Expenditures for the Institute/Center		SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total	
Salaries & Benefits	Faculty, TEAMS, & USPS		270,362			270,362	
Other	Housestaff						
Personal	Graduate Assistants						
Services	Other						
Ŀ	Expenses		39,581		18,480	58,061	
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Total	Total Expenditures		309,943		18,480	328,423	
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B	Faculty Positions (FTE in Personyears)		1.40			1.40	
	nd USPS Positions n Personyears)		3.00			3.00	
	Total Positions (FTE in Personyears)		4.40			. 4.40	

106,354

164,008

270,362

106,354

164,008

270,362

^{*} Budgetary Unit: Specify E&G, IFAS, or UF-HSC

McKee, Michael V

From:

Bowman, Jennifer <Jennifer.Bowman@jax.ufl.edu>

Sent:

Thursday, July 11, 2019 8:37 AM

To:

McKee, Michael V

Subject:

RE: Jax Aging Studies Center PDF

Attachments:

form3.xlsx

Sorry, that was an oversight. It should be 4.4.

Jennifer Bowman, MHA, MPH Clinical Research Manager JAX-ASCENT University of Florida (904) 244- 4691 1833 Boulevard 2nd Floor, Suite 2056 Jacksonville, FL 32206

From: McKee, Michael V < mckee@UFL.EDU> Sent: Monday, July 08, 2019 11:54 AM

To: Bowman, Jennifer < Jennifer.Bowman@jax.ufl.edu>

Subject: RE: Jax Aging Studies Center PDF

The Form 3 shows 1.4 Faculty FTE and 3.0 TEAMS FTE, but the total is 5.4 FTE. That doesn't add up correctly.

Mike

Michael V. McKee, C.P.A. | Vice President and CFO | (352) 392-2402

From: Bowman, Jennifer < Jennifer.Bowman@jax.ufl.edu>

Sent: Monday, July 8, 2019 11:27 AM

To: McKee, Michael V < mckee@UFL.EDU>; Norton, David P < dpnorton@ufl.edu>

Cc: Anton, Stephen < santon@ufl.edu >; Pahor, Marco < mpahor@ufl.edu >; Haley, Leon < Leon. Haley@jax.ufl.edu >

Subject: RE: Jax Aging Studies Center PDF

The grant pays for a portion of many faculty members' salary. Their remaining salary may be paid by their department or other contracts and grants.

Jennifer Bowman, MHA, MPH Clinical Research Manager JAX-ASCENT University of Florida (904) 244- 4691 1833 Boulevard 2nd Floor, Suite 2056 Jacksonville, FL 32206

From: McKee, Michael V < mckee@UFL.EDU > Sent: Monday, July 08, 2019 9:56 AM

To: Bowman, Jennifer < Jennifer.Bowman@jax.ufl.edu>; Norton, David P < dpnorton@ufl.edu>

Cc: Anton, Stephen <santon@ufl.edu>; Pahor, Marco <mpahor@ufl.edu>; Haley, Leon <leon.Haley@jax.ufl.edu>

Subject: RE: Jax Aging Studies Center PDF

Thank you. This is helpful. However, it appears that the FTE counts don't add up correctly?

Regards, Mike

Michael V. McKee, C.P.A. | Vice President and CFO | (352) 392-2402

From: Bowman, Jennifer < Jennifer.Bowman@jax.ufl.edu>

Sent: Monday, July 8, 2019 8:47 AM
To: Norton, David P < dpnorton@ufl.edu>

Cc: Anton, Stephen < santon@ufl.edu >; Pahor, Marco < mpahor@ufl.edu >; McKee, Michael V < mckee@UFL.EDU >;

Haley,Leon < Leon.Haley@jax.ufl.edu > Subject: RE: Jax Aging Studies Center PDF

Good morning,

Information and details on the budget has been included in the attached center application for the Jacksonville Aging Studies Center.

Jennifer Bowman, MHA, MPH Clinical Research Manager JAX-ASCENT University of Florida (904) 244- 4691 1833 Boulevard 2nd Floor, Suite 2056 Jacksonville, FL 32206

From: Pahor, Marco < mpahor@ufl.edu > Sent: Saturday, July 06, 2019 2:39 AM

To: Bowman, Jennifer < Jennifer.Bowman@jax.ufl.edu>

Cc: Anton, Stephen < santon@ufl.edu > Subject: FW: Jax Aging Studies Center PDF

Hi Jennifer,

Could you please amend the center application with the info I have added below and the attached letter, and send it to Dr. Norton.

I have only the PDF file and cannot edit
Thank you very much
Marco

From: Norton, David P < dpnorton@ufl.edu>

Sent: Friday, July 5, 2019 5:34 PM
To: Pahor, Marco < mpahor@ufl.edu >

Cc: McKee, Michael V < mckee@UFL.EDU>; Haley, Leon < Leon. Haley@jax.ufl.edu>

Subject: RE: Jax Aging Studies Center PDF

Could you amend the center application with this info and forward the revised packet to me?

From: Pahor,Marco <mpahor@ufl.edu>
Sent: Friday, July 5, 2019 5:30 PM
To: Norton,David P <dpnorton@ufl.edu>

Cc: McKee, Michael V < mckee@UFL.EDU>; Haley, Leon < Leon. Haley@jax.ufl.edu>

Subject: RE: Jax Aging Studies Center PDF

Hi David,

The JAX-ASCENT is funded by a NIH R33AG056540 infrastructure grant (currently in funding year 3 out of 5, see link below) and UF institutional commitment (see attached letter).

https://projectreporter.nih.gov/project_info_details.cfm?aid=9565380&icde=45377707

I will be pleased to address any questions in this regard.

Best,

Marco

THE UNIVERSITY OF FLORIDA JACKSONVILLE AGING STUDIES CENTER (JAX-ASCENT)

Awardee Organization: UNIVERSITY OF FLORIDA

Abstract Text:

Summary Older adults of racial minorities and low socioeconomic (SES) status represent particularly high risk populations who are underserved and are significantly underrepresented in clinical research. This has led to a gap in knowledge regarding the appropriate and/or optimal prevention and treatment approaches for this high risk group. Within the state of Florida, the city of Jacksonville (JAX) has a high proportion of minority and low SES individuals. By expanding existing research collaborations on both aging and health disparities at its JAX site, the University of Florida (UF) has a unique opportunity to conduct important research that can reduce this knowledge gap. These existing relationships include partnership in conducting multi-center NIH-funded clinical trials, along with several community outreach efforts both at UF Gainesville (GNV) and JAX. However, there is currently no cohesive, organized resource to integrate these important research collaborations in aging and health disparities at UF-JAX. At the UF GNV campus, we have a strong clinical translational research infrastructure with the Claude D. Pepper Older Americans Independence Center, Clinical Translational Science Institute and Disparities Research. In JAX, UF has a large health care facility in a densely populated minority and low SES area, but limited research infrastructure focused on aging research. To fully actualize the potential of this remarkable resource, we propose to develop a dedicated center for aging research focused on racial minorities and low SES older adults. This state-of-the-art clinical translational research facility for multidisciplinary research Jacksonville Aging Studies CENTer (JAX-ASCENT) will closely partner with the UF GNV campus and capitalize on our expertise and resources. Additionally, JAX-ASCENT will create an integrative physical and intellectual environment in which trainees at all levels and scientists from diverse disciplines can interact and conduct clinical and behavioral translational research on aging and independence of older adults. This focus will be pursued using an interdisciplinary approach that traverses a broad spectrum of biomedical investigation, including clinical research, behavioral sciences, social sciences, epidemiology, biostatistics, and health services, while implementing rigor and transparency in research. We will develop and partner regarding expertise on clinical trials, recruitment, adherence, retention, assessment of geriatric outcomes, biomarkers, and behavioral studies all focused and tailored on research in urban minorities and low SES older adults. We will apply a conceptual/logic model of community-based participatory research partnerships to improve community involvement and

health outcomes, and to build a research participants registry. We will develop the physical and human infrastructure, mentor junior faculty towards leadership roles, and have JAX-ASCENT become self-sustaining. JAX-ASCENT will expand knowledge in clinical translational research in largely understudied populations and both enlarge and enrich the diversity of research in older minority populations, clinical effectiveness, outcomes, and community engagement programs at UF.

Public Health Relevance Statement:

Narrative With the development of JAX-ASCENT, we will provide resources and personnel (including NIH funded investigators) to support the development and implementation of behavioral, nutritional, and pharmacologic clinical trials, and observational studies of social determinants of health contributing to chronic diseases and functional decline in underserved, minority and low SES older adults. The JAX-ASCENT will fill a critical gap in knowledge regarding the translation and dissemination of research from efficacy studies to underserved, minority older adults who are at high risk of geriatric conditions.

From: Norton, David P < dpnorton@ufl.edu>

Sent: Friday, July 5, 2019 3:17 PM
To: Pahor, Marco < mpahor@ufl.edu >

Cc: McKee, Michael V < mckee@UFL.EDU>; Haley, Leon < Leon. Haley@jax.ufl.edu>

Subject: FW: Jax Aging Studies Center PDF

Hi Marco

Would it be possible to get more details/info on the budget for this center proposal per the request of the UF CFO?

Thanks David

From: McKee, Michael V < mckee@UFL.EDU > Sent: Wednesday, July 3, 2019 2:16 PM
To: Norton, David P < dpnorton@ufl.edu > Subject: FW: Jax Aging Studies Center PDF

David,

This proposal came to me, but there is no budget data on the proposal. Shouldn't there be?

Mike

Michael V. McKee, C.P.A. | Vice President and CFO | (352) 392-2402

From: Giese, Sharon E < sharon 23@ufl.edu > Sent: Wednesday, July 3, 2019 2:04 PM
To: McKee, Michael V < mckee@UFL.EDU > Subject: Jax Aging Studies Center PDF

Attached.

Proposal for New Center

Jacksonville Aging Studies Center

Proposed Activities

The Jacksonville Aging Studies creates an integrative physical and intellectual environment in which trainees at all levels and scientists from diverse disciplines can interact and conduct clinical and behavioral translational research on aging and independence of older adults. Researchers will conduct behavioral, nutritional and pharmacologic clinical trials targeting older adults, particularly racial minorities and people of low socioeconomic status. They will also study social determinants of health that contribute to chronic diseases and functional decline within those demographic groups. Organizers are using a five-year, \$3.6 million award from the National Institutes of Health to develop and operate the center. A Junior Scholar grant program was initiated for junior faculty or postdoctoral associates at the University of Florida to conduct clinical and basic science research to use as pilot projects to apply for further outside grant funding, such as National Institutes of Health grants.

Reporting Structure

The Jacksonville Aging Studies Center reports to the Dean of the College of Medicine-Jacksonville with further support from the Associate Dean for Research in the Office of Research Affairs. The Dean has already demonstrated support through matching funds to assist with the Junior Scholar grant program.

Administrative Structure

The director, Marco Pahor, received a joint appointment in Jacksonville in the Department of Medicine. An oversight committee and community advisory board are both set up to dictate the direction of research for the center. The members of the committee and board are listed below.

Oversight Committee:

- Marco Pahor, Chair and Professor, Department of Aging and Geriatric Research, College of Medicine, University of Florida
- Stephen Anton, Chief and Associate Professor, Department of Aging and Geriatric Research,
 College of Medicine, University of Florida
- Linda Cottler, Associate Dean and Professor, Department of Epidemiology, College of Public Health and Health Professions, University of Florida
- Shiva Gautam, Professor, Office of Research Affairs, College of Medicine-Jacksonville, University of Florida-Jacksonville
- Christiaan Leeuwenburgh, Chief and Professor, Department of Aging and Geriatric Research,
 College of Medicine, University of Florida
- Todd Manini, Program Director and Associate Professor, Department of Aging and Geriatric Research, College of Medicine, University of Florida
- Arshag Mooradian, Chair and Professor, Department of Endocrinology, College of Medicine-Jacksonville, University of Florida-Jacksonville
- Carolyn Tucker, Term Professor, Department of Psychology, College of Liberal Arts and Sciences
- Fern Webb, Clinical Assistant Professor, Department of Community Health and Family Medicine,
 College of Medicine-Jacksonville, University of Florida-Jacksonville

- Faheem Guirgis, Associate Professor, Department of Emergency Medicine, College of Medicine-Jacksonville, University of Florida-Jacksonville
- Marie Crandali, Professor, Department of Surgery, College of Medicine-Jacksonville, University of Florida-Jacksonville
- Nipa Shah, Chair and Professor, Department of Community Health and Family Medicine, College of Medicine-Jacksonville, University of Florida-Jacksonville
- Tina Bottini, Assistant Dean, Office of Research Affairs, College of Medicine-Jacksonville, University of Florida-Jacksonville

Community Advisory Board:

- Monica Albertie, Lead Research Scientist, Mayo Clinic
- Irvin Cohen, Executive Director, Northeast Florida YMCA
- Reverend Alton Coles, Senior Pastor, Hearst Chapel in St. Augustine
- Helen Jackson, Founder and Executive Director, Women of Color Cultural Foundation; Pastor,
 Prince Chapel
- George Maxey, Executive Director, New Town Success Zone at Edward Waters College
- Reverend Lee Welkley, Pastor, Advent Christian Church



BOARD MEETING AGENDA Friday, December 6, 2019 Beginning at ~11:20 a.m. President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Call to Or	der and WelcomeMorteza Hosseini, Chai
Verificati	on of QuorumMark Kaplan, University Secretary
Public Co	mment, if anyAmy Hass, Vice President and General Counsel
Action Ite	ems (Consent) Morteza Hosseini, Chai
Board of	Trustees
<u>BT1</u>	Retreat Minutes – September 5, 2019
BT2	Board Minutes – September 6, 2019
	ee on Facilities and Capital Investments (FCI)
FCI1	UF Housing Proposed Fees FY 2020-21
FCI2	Dining Services Proposed Fees FY 2020-21
FCI3	Transportation and Parking Proposed Fees FY 2020-21
	ee on Governance, Government Relations, and Internal Affairs (GGRIA)
GGRIA1	Governance Standards Amendments
GGRIA2	Direct Support Organization Board Appointments
GGRIA3	Naming Policy
GGRIA4	Board Self-Evaluation
Committ	ee on Audit and Compliance (AC)
AC1	University of Florida Performance Based Funding and Preeminent Status
	Metrics – Data Integrity (Audit Report) and Data Integrity Certification
AC2	Office of Internal Audit Work Plan – January 1, 2020 - June 30, 2020
AC3	Annual Report for Compliance Programs
Committ	ee on Academics, Faculty and Student Affairs & Experience (AFSAE)
A EC A E 4	, , ,
AFSAE1	Tenure Upon Hire
AFSAE1 AFSAE2	• • • • • • • • • • • • • • • • • • • •

5.0	Action Items (Non-Consent) Morteza Hosseini, Chair
	FCI4 and R19-221 Naming: Sam Tripson Memorial Porch
	FCI5 and R19-222 Naming: Jason Beaird Memorial Bullpen
	FCI6 and R19-223 Naming: James W. "Bill" Heavener Football Training Center
	FCI7 and R19-224 Naming: Chris & Melody Malachowsky Data Science &
	Information Technology Building
	FCI8 and R19-225 Naming: Billy Donovan Court, Exactech Arena at the Stephen C.
	O'Connell Center
6.0	President's ReportW. Kent Fuchs, President
7.0	New BusinessMorteza Hosseini, Chair
8.0	Comments of the Chair of the BoardMorteza Hosseini, Chair
9 N	Adjourn Morteza Hosseini, Chair



BOARD MEETING MINUTES September 6, 2019 President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Time Convened: 1:59 p.m. Time Adjourned: 2:09 p.m.

Board members present:

Jason J. Rosenberg (Committee Chair), David L. Brandon, James W. Heavener, Morteza Hosseini (Board Chair), Leonard H. Johnson, Thomas G. Kuntz (Board Vice Chair), Michael C. Murphy, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Robert G. Stern, Ray G. Thomas and Anita G. Zucker.

Others present:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Zina Evans, Vice President for Enrollment Management and Associate Provost; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Edward Jimenez, Chief Executive Officer for UF Health Shands; Mark Kaplan, Vice President for Government and Community Relations; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Senior Vice President for Health Affairs and President of UF Health, David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic Communications and Marketing; Jack Payne, Senior Vice President for Agriculture and Natural Resources; Curtis Reynolds, Vice President for Business Affairs and other members of the University community.

1.0 Verification of Quorum

Vice President and General Counsel Hass verified a quorum with all members present.

2.0 Call to Order and Welcome

Board Chair Hosseini welcomed all everyone in attendance and called the meeting to order at 1:59 p.m.

601/608

3.0 Action Items (Consent Agenda)

Board Chair Hosseini turned the Board's attention consent action items from our committees that we discussed at length earlier today for your approval.

Board of Trustees Minutes

Board Chair Hosseini asked if there were any additions and/or corrections to the minutes. Hearing none, he asked for a motion to approve the minutes from the March 29, 2019 and June 6, 2019 meetings, which was made by Trustee Kuntz and a second by Trustee Zucker. Board Chair Patel asked for further discussion, after which he asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

Committee on Academic, Faculty and Student Affairs & Experience (AFSAE) AFSAE1 Tenure Upon Hire

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items AFSAE1 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

AFSAE2 Textbook and Instructional Material Affordability Report

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items AFSAE2 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

AFSAE3 University Press of Florida Annual Report 2018-2019

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items AFSAE3 for its approval, which was made by Trustee Murphy, and second which was made by Trustee O'Keefe. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

Committee on Facilities and Capital Investments (FCI)

FCI1 Fixed Capital Outlay Budget Year 2019-2020

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items FCI1 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

FCI2 PK Yonge Phase II

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items FCI2 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further

602/608

discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

FCI3 Amended Capital Improvement Plan Legislative Budget Request for Fiscal Year Ending June 30, 2021

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items FCi3 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Powers. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

Committee on Finance, Strategic Planning and Performance Metrics (FSPPM) FSPPM1 Carryforward Spending Plan

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items FSPPM1 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

FSPPM2 DSO and Affiliate Budgets

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items FSPPM2 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

Committee on Governance, Government Relations and Internal Affairs (GGRIA) GGRIA1 Governance Standards Amendments

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items GGRIA1 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

GGRIA2 Collective Bargaining Agreement Amendments

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items GGRIA2 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

GGRIA3 Tuition Regulation

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items GGRIA2 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further

603/608

discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

GGRIA4 DSO Appointments

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items GGRIA4 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

GGRIA5 Legislative Budget Request Approvals

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items GGRIA5 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

GGRIA6 President Appointment Extension

Board Chair Hosseini asked for any questions or further discussion. He then asked for a motion to approve Board Consent Action Items GGRIA6 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Johnson. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

4.0 Action Items (Non-Consent)

Board Chair Hosseini stated that there were Non-Consent Action Items that you heard about earlier today in the Governance Committee.

FCI4 and R19-217 Naming: Gary Condron Indoor Practice Facility

Board Chair Hosseini asked for a motion to approve Non-Consent Action Item FCI4 and R19-217 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

FCI5 and R19-218 Naming: Allison Folds Naming

Board Chair Hosseini asked for a motion to approve Non-Consent Action Item FCI5 and R19-218 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

FCI6 and R19-219 Naming: Ultima Morgan and John Morgan Courtroom

Board Chair Hosseini asked for a motion to approve Non-Consent Action Item FCI6 and R19-219 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

604/608 4

FCI7 and R19-220 Naming: Phillip Stansly Naming

Board Chair Hosseini asked for a motion to approve Non-Consent Action Item FCI7 and R19-220 for its approval, which was made by Trustee Kuntz, and second which was made by Trustee Zucker. Board Chair Hosseini asked for further discussion, and then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

5.0 New Business

There was no new business to come before the board.

6.0 Comments of the Chair of the Board

Board Chair Hosseini reminded everyone of the upcoming State University Systems Board of Governors meeting and Trustee Summit that will take place at UF in the end of October. He also looks forward to seeing those who will be at Monday's press conference and looks forward sharing the news.

7.0 Adjourn

There being no further discussion, the meeting was adjourned at 2:09 p.m.

605/608 5



BOARD RETREAT MINUTES September 5-6, 2019 President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, FL

Board members present:

Jason J. Rosenberg (Committee Chair), David L. Brandon, James W. Heavener, Morteza Hosseini (Board Chair), Leonard H. Johnson, Thomas G. Kuntz (Board Vice Chair), Michael C. Murphy, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Robert G. Stern, Ray G. Thomas and Anita G. Zucker.

Others present:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Zina Evans, Vice President for Enrollment Management and Associate Provost; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Edward Jimenez, Chief Executive Officer for UF Health Shands; Mark Kaplan, Vice President for Government and Community Relations; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Senior Vice President for Health Affairs and President of UF Health, David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic Communications and Marketing; Curtis Reynolds, Vice President for Business Affairs; Scott Stricklin, Director of Athletics, and other members of the University community.

Board Chair Mori Hosseini welcomed everyone, thanked everyone for their good work in UFs rise in the rankings, and introduced President Fuchs.

President Fuchs thanked everyone for their continued efforts to elevate UF in its rankings to become a Top 5 university. He gave highlights and distributed information from his recent address on the State of the University.

Provost Joe Glover, Associate Provost Zina Evans, and Associate Provost and Director of Institutional Planning and Research Cathy Lebo discussed UF Metrics which have been an integral part of UF's rise in the rankings. In particular, they discussed some of the following: faculty retention, faculty to support ratio, faculty to student ratio, and attracting top students to increase UF's freshman class SAT scores.

Vice President Mark Kaplan, Vice President Nancy Paton, and Vice President Tom Mitchell presented on UF storytelling and Advocacy. For the first time at the upcoming State University System Board of Governors meeting that will take place at UF at the end of October, UF has the unique opportunity to present a 30 minute presentation and tell our story. Mori pointed out that this is UF's opportunity to launch our campaign to become Top 5. We need to highlight why it is in the state's best interest for UF to become top 5 and that we need their support. The group was divided into four groups for a brainstorming session.

Trustee Jason Rosenberg presented a white paper on Student Experience – Student Success. He discussed the following three phases of Student Experience – Student Success; student recruitment, as a UF student, and as an Alum. He discussed organizing a working group to continue to discuss in more detail and improve the three phases of Student Experience – Student Success.

Senior Vice President David Nelson and Chief Executive Officer, Shands, Ed Jimenez presented on UF Health Shands. SVP Nelson began by giving an update on North Florida's Academic Health Center in Jacksonville rise in the rankings, increase in reputation, and improvements in care. CEO Jimenez then discussed UF Health's ambition and action plan to become the topranked health system in Florida. CEO Jiemenz also discussed the Medical Center of Deltona and the arrangement with Halifax Health and UF Health to make it happen.

Adjourn

The Retreat was adjourned at 5:15 p.m.

September 5-6, 2019, President's Room 215B, Emerson Alumni Hall, University of Florida, Gainesville, FL

Board members present:

Jason J. Rosenberg (Committee Chair), David L. Brandon, James W. Heavener, Morteza Hosseini (Board Chair), Leonard H. Johnson, Thomas G. Kuntz (Board Vice Chair), Michael C. Murphy, Daniel T. O'Keefe, Rahul Patel, Marsha D. Powers, Robert G. Stern, Ray G. Thomas and Anita G. Zucker.

Others present:

W. Kent Fuchs, President; Winfred Phillips, Executive Chief of Staff; Joseph Glover, Provost and Senior Vice President for Academic Affairs; Charlie Lane, Senior Vice President and Chief Operating Officer; Amy Hass, Vice President and General Counsel; Elias Eldayrie, Vice President and Chief Information Officer; Zina Evans, Vice President for Enrollment Management and Associate Provost; Antonio Farias, Chief Diversity Officer and Senior Advisor to the President; Jodi Gentry, Vice President for Human Resources; Edward Jimenez, Chief Executive Officer for UF Health Shands; Mark Kaplan, Vice President for Government and Community Relations; Michael McKee, Vice President and Chief Financial Officer; Thomas Mitchell, Vice President for Advancement; David Nelson, Senior Vice President for Health Affairs and President of UF Health, David Norton, Vice President for Research; Nancy Paton, Vice President for Strategic

Communications and Marketing; Jack Payne, Senior Vice President for Agriculture and Natural Resources; Curtis Reynolds, Vice President for Business Affairs and other members of the University community.

Board Chair Hosseini called the retreat to order at 8:30 a.m. and welcomed all those in attendance.

Vice President Mike McKee, Assistant Vice President, University Controller, Alan West and Assistant Vice President, Financial Analysis and Budget George Kolb offered additional Board training by reviewing the Enterprise Financial Summary.

Chair Mori Hosseini, Trustee Rahul Patel, Vice President and General Counsel Amy Hass, and Vice President Mark Kaplan discussed the Board self-evaluation which Trustees received information about in an email.

Vice President and General Counsel Amy Hass introduced Director of Compliance & Conflicts of Interest Gary Wimsett and Director of Research Compliance and Global Support Terra Dubois for a conflict of interest and outside activities discussion.

The retreat adjourned at 10:50 am