



**COMMITTEE ON AUDIT AND COMPLIANCE
PRE-MEETING AGENDA**

Thursday, November 19, 2020

3:00 p.m.

Virtual Meeting: (646) 558-8656, ID#: 943 1621 9266

University of Florida, Gainesville, Florida

Committee Members:

Marsha D. Powers (Chair), David L. Brandon, Richard P. Cole, Sylvain Doré, Leonard H. Johnson, Trevor J. Pope

- 1.0 Call to Order and WelcomeMarsha D. Powers, Chair
- 2.0 Roll Call..... Board Staff
- 3.0 Review Agenda for December 2020 Meeting.....Marsha D. Powers, Chair
 - 3.1 Review Draft Minutes
 - June 4, 2020
 - November 12, 2020
 - 3.2 Review Action Items
 - AC1 Review Charters
 - 1.1 Audit and Compliance Committee Charter
 - 1.2 Office of Internal Audit Charter
 - 1.3 UF Compliance and Ethics Charter
 - AC2 University of Florida Performance Based Funding and Preeminent Status Metrics – Data Integrity (Audit Report) and Annual Data Integrity Certification
 - AC3 Institutional Compliance Annual Report
 - AC4 Office of Internal Audit Work Plan January 1, 2021 - June 30, 2021
 - 3.3 Review Discussion Items
 - Update on External AuditsMarsha D. Powers, Chair
 - Enterprise Risk Management Update..... Charlie Lane, Senior Vice President and Chief Operating Officer
 - Compliance and Ethics Program Update Terra DuBois, Chief Compliance, Ethics and Privacy Officer
 - Audits of Affiliated Organizations..... Alan West, Assistant Vice President and University Controller
 - Audits and Other Reports Joe Cannella, Audit Director and Dhanesh Raniga, Chief Audit Executive

- Quarterly Follow-up..... Joe Cannella and Dhanesh Raniga
- 4.0 New BusinessMarsha D. Powers, Chair
- 5.0 AdjournMarsha D. Powers, Chair